## Aisles in derived categories

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Bull. Soc. Math. Belg. 40 (1988), 239-253.

## Summary

The aim of the present paper is to demonstrate the usefulness of aisles for studying the tilting theory of  $\mathcal{D}^b(\mod A)$ , where A is a finitedimensional algebra. In section 1, we establish the equivalence of "aisles" with "t-structures" in the sense of [3] and give a characterization of aisles in molecular categories. Section 2 contains an application to the generalized tilting theory of hereditary algebras. Using aisles, we then give a geometrical proof of the theorem of Happel [7] which states that a finitedimensional algebra which shares its derived category with a Dynkinalgebra A can be transformed into A by a finite number of reflections. The techniques developed so far naturally lead to the classification of the tilting sets in  $\mathcal{D}^b(\mod k\vec{A}_n)$  presented in section 5. Finally, we consider the classification problem for aisles in  $\mathcal{D}^b(\mod A)$ , where A is a Dynkin-algebra. We reduce it to the classification of the silting sets in  $\mathcal{D}^b(\mod A)$ , which we carry out for  $\Delta = \vec{A}_n$ .

We thank P. Gabriel for lectures on these topics and for his helpful criticisms during the preparation of the manuscript.

## Notations

Let A be a finite-dimensional algebra over a field k. We denote by

- mod A the category of finitely generated right A-modules,
- proj A the full subcategory of mod A consisting of the projective A-modules,

- $C^{-}(\text{proj } A)$  the category of differential complexes over proj A which are bounded above,
- $C^b(\text{proj } A)$  the full subcategory of  $C^-(\text{proj } A)$  consisting of the complexes which are bounded above and below,
- $\mathcal{C}_b^-(\text{proj } A)$  the full subcategory of  $\mathcal{C}^-(\text{proj } A)$  consisting of the complexes X such that  $H^i X = 0$  for almost all  $i \in \mathbb{Z}$ ,
- \$\mathcal{H}^b(\proj A)\$ the homotopy category obtained from \$\mathcal{C}^b(\proj A)\$ by factoring out the ideal of the morphisms homotopic to zero [12],
- $\mathcal{D}^b(A) := \mathcal{D}^b(\text{mod } A)$  the bounded derived category [12] of the abelian category mod A.

## 1 Aisles

1.1 Let  $\mathcal{T}$  be a triangulated category with suspension functor S. A full additive subcategory  $\mathcal{U}$  of  $\mathcal{T}$  is called an *aisle* in  $\mathcal{T}$  if

- a)  $S\mathcal{U} \subset \mathcal{U}$ ,
- b)  $\mathcal{U}$  is stable under extensions, i.e. for each triangle  $X \to Y \to Z \to SX$  of  $\mathcal{T}$  we have  $Y \in \mathcal{U}$  whenever  $X, Z \in \mathcal{U}$ ,
- c) the inclusion  $\mathcal{U} \to \mathcal{T}$  admits a right adjoint  $\mathcal{T} \to \mathcal{U}, X \mapsto X_{\mathcal{U}}$ .

For each full subcategory  $\mathcal{V}$  of  $\mathcal{T}$  we denote by  $\mathcal{V}^{\perp}$  (resp.  $^{\perp}\mathcal{V}$ ) the full additive subcategory consisting of the objects  $Y \in \mathcal{T}$  satisfying Hom (X, Y) = 0 (resp. Hom (Y, X) = 0) for all  $X \in \mathcal{V}$ .

The following proposition shows that the assignment  $\mathcal{U} \mapsto (\mathcal{U}, S\mathcal{U}^{\perp})$ is a bijection between the aisles  $\mathcal{U}$  in  $\mathcal{T}$  and the t-structures on  $\mathcal{T}$  (in the sense of [3]).

**Proposition.** A strictly (=closed under isomorphisms) full subcategory  $\mathcal{U}$  of  $\mathcal{T}$  is an aisle iff it satisfies a) and c')

c') for each object X of  $\mathcal{T}$  there is a triangle  $X_{\mathcal{U}} \to X \to X^{\mathcal{U}^{\perp}} \to SX_{\mathcal{U}}$ with  $X_{\mathcal{U}} \in \mathcal{U}$  and  $X^{\mathcal{U}^{\perp}} \in \mathcal{U}^{\perp}$ . **Proof.** Suppose  $\mathcal{U}$  satisfies a) and c'). The long exact sequence arising from the triangle in c') shows that  $\operatorname{Hom}(U, X_{\mathcal{U}}) \xrightarrow{\sim} \operatorname{Hom}(U, X)$  for each  $U \in \mathcal{U}$ . If  $U \to X \to V \to SU$  is a triangle and  $U, V \in \mathcal{U}$  then  $\operatorname{Hom}(X, ?)$ vanishes on  $\mathcal{U}^{\perp}$  and  $X^{\mathcal{U}^{\perp}}$ . In particular, the morphism  $X^{\mathcal{U}^{\perp}} \to SX_{\mathcal{U}}$  of c') has a retraction, hence  $X^{\mathcal{U}^{\perp}} = 0$  and  $X \cong X_{\mathcal{U}}$  lies in  $\mathcal{U}$ . Conversely, let  $\mathcal{U}$  satisfy a),b),c). According to b),  $\mathcal{U}$  is strictly full. In order to prove c), we form a triangle  $X_{\mathcal{U}} \xrightarrow{\varphi} X \xrightarrow{\psi} Y \xrightarrow{\varepsilon} SX_{\mathcal{U}}$  over the adjunction morphism  $\varphi$ . Let  $V \in \mathcal{U}$  and  $f \in \operatorname{Hom}(V, Y)$ . We insert f into a morphism of triangles

According to b), W lies in  $\mathcal{U}$ . By assumption, g factors uniquely through  $\varphi$ . Therefore, h has a retraction and  $\varepsilon f = 0$ . So f factors through  $\psi$  and even through  $\psi \varphi = 0$  since  $V \in \mathcal{U}$ .

1.2 For certain triangulated categories, condition 1.1c) can still be weakened. We call an additive category  $\mathcal{T}$  a molecular category if each object of  $\mathcal{T}$  is a finite direct sum of objects with local endomorphism rings. In particular, if A is a finite-dimensional algebra over a field k, the category  $\mathcal{D}^b(A)$  is a molecular category: For all  $X, Y \in \mathcal{D}^b(A)$ , we have  $\dim_k \operatorname{Hom}(X,Y) < \infty$  and for each indecomposable U of  $\mathcal{D}^b(A)$ , End (U)is local since  $\operatorname{End}_{\mathcal{C}^-}(\operatorname{proj} A)(V)$  is local for each indecomposable V of  $\mathcal{C}^-(\operatorname{proj} A)$ .

If  $\mathcal{T}$  is a molecular category, we denote by ind  $\mathcal{T}$  a full subcategory of  $\mathcal{T}$  whose objects form a system of representatives for the isomorphism classes of indecomposables of  $\mathcal{T}$ .

**1.3 Proposition.** Let  $\mathcal{T}$  be a triangulated molecular category and  $\mathcal{U}$  a full additive subcategory which is closed under taking direct summands. The subcategory  $\mathcal{U}$  is an aisle in  $\mathcal{T}$  iff it satisfies a), b) and c").

c") For each object X of  $\mathcal{T}$  the functor  $\operatorname{Hom}(?, X) | \mathcal{U}$  is finitely generated, i.e. there is  $U \in \mathcal{U}$  and an epimorphism  $\operatorname{Hom}_{\mathcal{U}}(?, U) \to \operatorname{Hom}_{\mathcal{T}}(?, X) | \mathcal{U}$ .

**Proof.** The claim follows from the

**Lemma.** Let S be a suspended [9] molecular category and  $F : S^{\text{op}} \to Ab$ a cohomological functor, i.e. for each triangle  $X \xrightarrow{u} Y \xrightarrow{v} Z \xrightarrow{w} SX$  of Sthe sequence  $FX \xleftarrow{Fu} FY \xleftarrow{Fv} FZ$  is exact. The functor F is representable iff it is finitely generated.

**Proof.** Let F be finitely generated. Because S is a molecular category F has a projective cover  $\operatorname{Hom}_{\mathcal{S}}(?, X) \xrightarrow{\varphi} F$  in the abelian category of the additive functors  $S^{\operatorname{OP}} \to Ab$ . We shall show that  $\varphi$  is a monomorphism. Let  $Y \in S$  and  $f \in \operatorname{Hom}(Y, X)$  such that  $\varphi \circ \operatorname{Hom}(?, f) = 0$ . We form a triangle  $Y \xrightarrow{f} X \xrightarrow{g} Z \xrightarrow{h} SY$  in S. By the "Yoneda Lemma" we conclude from the exactness of  $FY \xleftarrow{Ff} FX \xleftarrow{Fg} FZ$  that  $\varphi$  factors through  $\operatorname{Hom}(?, g)$ . By construction of  $\varphi$ ,  $\operatorname{Hom}(?, g)$  admits a retraction. Hence g admits a retraction and f = 0.

**1.4 Proposition.** Let  $\mathcal{U}, \mathcal{V}$  be aisles in a triangulated category  $\mathcal{T}$  such that  $\mathcal{V} \subset \mathcal{U}^{\perp}$ . Then  $\mathcal{W} := \mathcal{U} * \mathcal{V} = \{X \in \mathcal{T} : \text{There is a triangle } U \to X \to V \to SU \text{ with } U \in \mathcal{U}, V \in \mathcal{V}\}$  is also an aisle in  $\mathcal{T}$  (cf. [3, 1.4])

**Proof.** We shall verify the conditions of Proposition 1.1. Only c') is not immediate from the definitions. Let  $X \in \mathcal{T}$ . We form a diagram



where triangles are marked by  $\Delta$  and tailed arrows denote morphisms of degree 1. By definition,  $Y \in \mathcal{W}, X^{\mathcal{U}^{\perp}\mathcal{V}^{\perp}} \in \mathcal{V}^{\perp}$ . Since  $X^{\mathcal{U}^{\perp}\mathcal{V}^{\perp}}$  is an extension of  $S((X^{\mathcal{U}^{\perp}})_{\mathcal{V}})$  by  $X^{\mathcal{U}^{\perp}}$  it also lies in  $\mathcal{U}^{\perp}$ , hence in  $\mathcal{W}^{\perp} = \mathcal{U}^{\perp} \cap \mathcal{V}^{\perp}$ . We obtain the required triangle  $Y \to X \to X^{\mathcal{U}^{\perp}\mathcal{V}^{\perp}} \to SY$  by forming an octahedron with base  $X \to X^{\mathcal{U}^{\perp}} \to X^{\mathcal{U}^{\perp}\mathcal{V}^{\perp}}$ .

### 2 Tilting sets

2.1 Let A be a finite-dimensional algebra over a field k. We consider the problem of determining all finite-dimensional k-algebras B such that  $\mathcal{D}^b(B)$  is S-equivalent [9] to  $\mathcal{D}^b(A)$ .

A tilting set (cf. [7]) in  $\mathcal{D}^b(A)$  is a finite subset  $\{T_1, \ldots, T_s\} \subset$ ind  $\mathcal{D}^b(A)$  such that Hom  $(S^lT_i, T_j) = 0$  for all i, j and all integers  $l \neq 0$ .

Each fully faithful S-functor  $F : \mathcal{D}^b(B) \to \mathcal{D}^b(A)$  gives rise to the tilting set  $\{T \in \operatorname{ind} \mathcal{D}^b(A) : T \cong FP$  for some indecomposable projective B-module P}. Conversely, let  $\{T_1, \ldots, T_s\}$  be a tilting set and B :=End  $(\bigoplus_{i=1}^s T_i)$ . By [9, 3.2], the obvious functor from proj B to  $\mathcal{D}^b(A)$ extends to a fully faithful S-functor  $E : \mathcal{H}^b(\operatorname{proj} B) \to \mathcal{D}^b(A)$  (put  $\mathcal{E} := \mathcal{C}^-_b(\operatorname{proj} A)$  in [9, 3.2]). We make the additional assumptions

- a) Hom  $(T_i, T_j) = 0 \forall i > j$  and Hom  $(T_i, T_i)$  is a skew field  $\forall i$ .
- b) gldim $A < \infty$ .

Assumption a) implies  $\operatorname{gldim} B < \infty$ . By composing E with a quasiinverse of the equivalence  $\mathcal{H}^b(\operatorname{proj} B) \to \mathcal{D}^b(B)$  we obtain a fully faithful S-functor  $F : \mathcal{D}^b(B) \to \mathcal{D}^b(A)$ .

**Proposition.** The essential image of F is an aisle in  $\mathcal{D}^b(A)$ . In particular, F has a right adjoint.

**Proof.** Let  $\mathcal{U}_i$  be the strictly full triangulated subcategory of  $\mathcal{D}^b(A)$ generated by  $T_i$ . Since  $\mathcal{D}^b(\text{End}(T_i)) \xrightarrow{\sim} \mathcal{U}_i$ , assumption b) and proposition 1.3 imply that  $\mathcal{U}_i$  is an aisle in  $\mathcal{D}^b(A)$ . The essential image of F equals  $\mathcal{U}_s * \mathcal{U}_{s-1} * \cdots * \mathcal{U}_2 * \mathcal{U}_1$  (by [3, 1.3.10], \* is associative). The claim now follows from proposition 1.4.

**2.2 Theorem.** Let A be a hereditary finite-dimensional k-algebra and  $\{T_1, \ldots, T_s\}$  a tilting set in  $\mathcal{D}^b(A)$ . The functor F is an S-equivalence iff s equals the number of isomorphism classes of simple A-modules.

**Proof.** By [7, 7.3] assumption a) is satisfied for an appropriate numbering of the  $T_i$  and, since A is hereditary, so is assumption b). An S-equivalence  $\mathcal{D}^b(B) \xrightarrow{\sim} \mathcal{D}^b(A)$  induces an isomorphism of the Grothendieck-groups  $K_0(B) \xrightarrow{\sim} K_0(A)$ . Therefore, since s equals the number of isomorphism classes of simple B-modules, the condition is necessary. For the converse, it is enough to show that  $\{FX : X \in \mathcal{D}^b(B)\}^{\perp} = 0$ , by proposition 2.1. Let  $Y \in \mathcal{D}^b(A)$  be indecomposable and such that  $\operatorname{Hom}(FX,Y) = 0, \ \forall X \in \mathcal{D}^b(B)$ . This implies  $\langle [FX], [Y] \rangle = 0$ , where [.] denotes the canonical map  $\mathcal{D}^b(A) \to K_0(A)$  and  $\langle ., . \rangle$  denotes the canonical bilinear form on  $K_0(A)$  [7]. F induces a section  $K_0(B) \to K_0(A)$  (the right adjoint of F yields a retraction). Since rank $K_0(B) = s = \operatorname{rank} K_0(A)$ , we have  $K_0(A) = \{[FX] : X \in \mathcal{D}^b(B)\}$ , hence [Y] = 0 and, since A is hereditary and Y is indecomposable, Y = 0[7].

## 3 Dynkin-algebras

3.1 Let *B* be a basic, connected, finite-dimensional algebra over an algebraically closed field *k*. Assume that there exists a simple, projective, non-injective right *B*-module *P*. Define *Q* by  $B \cong Q \oplus P$ . Then  $T = \tau^- P \oplus Q$  is a tilting module in mod *B* (cf. [5], [8]), where  $\tau^- P$  denotes a preimage of *P* under the Auslander-Reiten-translation. The derived functors  $F = \underline{R} \operatorname{Hom}_B(T, ?) : \mathcal{D}^b(B) \to \mathcal{D}^b(B_P)$  and  $G = \underline{L}(? \otimes_{B_P} T)$ are quasi-inverse *S*-equivalences, where  $B_P = \operatorname{End}(T_B)$  is obtained from *B* by reflection in *P* [4]. Thus, the derived category  $\mathcal{D}^b(B)$  is "invariant under reflections". Conversely, we have the

**Theorem.** (Happel) Let  $\mathcal{D}^{b}(B)$  be S-equivalent to  $\mathcal{D}^{b}(A)$  where A is a Dynkin-algebra (=path algebra [6, 4.1] of a Dynkin quiver). Then A is obtained from B by a finite number of reflections.

**Proof.** Let  $\mathcal{U} = \{X \in \mathcal{D}^b(B) : H^i X = 0 \ \forall i > 0\}$  be the *natural aisle* in  $\mathcal{D}^b(B)$  [3, 1.3.1],  $\mathcal{V}$  the natural aisle in  $\mathcal{D}^b(A)$  and  $E : \mathcal{D}^b(B) \to \mathcal{D}^b(A)$  an *S*-equivalence with  $[\mathcal{V}] \subset [E\mathcal{U}]$ , where [?] denotes the set of isomorphism classes of indecomposables in ?. If  $[\mathcal{V}] = [E\mathcal{U}]$ , *E* induces an equivalence

of the hearts (=hearts of the corresponding t-structures) of  $\mathcal{U}$  and  $\mathcal{V}$ , i.e. of mod B and mod A. In general,  $[\mathcal{V}]$  is obtained from  $[E\mathcal{U}]$  by the omission of finitely many isomorphism classes, as it is apparent from Happel's description of ind  $\mathcal{D}^b(A)$  [7]. By induction, we are reduced to the case  $[\mathcal{V}] = [E\mathcal{U}] \setminus \{EM\}$ , where M is a source of ind  $\mathcal{U}$ , i.e. Hom (V, M) = $0 \forall V \in \operatorname{ind} \mathcal{U}, V \neq M$  and  $S^-M \notin \mathcal{U}$ . The sources of ind  $\mathcal{U}$  are isomorphic to the simple projectives of mod B. It is therefore enough to prove the

**3.2 Lemma.** In the setting of 3.1 let  $\mathcal{U}$  be the natural aisle in  $\mathcal{D}^b(B)$ ,  $\mathcal{W}$  the natural aisle in  $\mathcal{D}^b(B_P)$  and  $\mathcal{W}'$  its essential image under G. Then  $\mathcal{W}' = \{X \in \mathcal{U} : P \text{ is not a direct summand of } X\}.$ 

**Proof.** Let  $\mathcal{U}_P = \{X \in \mathcal{U} : P \text{ is not a direct summand of } X\}$ . It follows from  $\operatorname{pdim}_{B_P}T \leq 1$  that  $GW^{\perp} \subset S\mathcal{U}^{\perp}$  hence  $S\mathcal{U} \subset W'$ . We also have  $S\mathcal{U} \subset \mathcal{U}_P$  and  $\mathcal{U}_P = (S\mathcal{U})*(\mathcal{U}_P \cap \operatorname{mod} B), W' = (S\mathcal{U})*(\mathcal{W}' \cap \operatorname{mod} B)$ . By [2],  $\mathcal{U}_P \cap \operatorname{mod} B$  is just the torsion theory generated by T, which by [5] coincides with the essential image of  $\operatorname{mod} B_P$  under  $H^0G \cong ? \otimes_{B_P} T$ .

# 4 Complete tilting sets in $\mathcal{D}^b(k\vec{A_n})$

Let A be a finite-dimensional algebra over a field k. We define the spectrum of a tilting set M in  $\mathcal{D}^b(A)$  as the full subcategory of  $\mathcal{D}^b(A)$  whose objects are the elements of M. We call a tilting set in  $\mathcal{D}^b(A)$  complete if its cardinality equals the number of isomorphism classes of simple Amodules.

Let A be the path algebra of the quiver  $\vec{A}_n : 1 \to 2 \to \ldots \to n$  [6]. We identify [7] the category ind  $\mathcal{D}^b(A)$  with the mesh-category  $k(\mathbf{Z}\vec{A}_n)$ [11, 2.1] of the translation quiver  $\mathbf{Z}\vec{A}_n$ :



By an  $\vec{A}_n$ -quiver we mean an oriented tree K having n vertices and whose set of arrows is decomposed into a class of  $\alpha$ -arrows and a class of  $\beta$ -arrows such that in each vertex of K there terminate at most one  $\alpha$ arrow and one  $\beta$ -arrow and there originate at most one  $\alpha$ -arrow and one  $\beta$ -arrow. Now let K be an  $\vec{A}_n$ -quiver. For each vertex x of K let  $x^{\alpha}$  (resp.  $x_{\alpha}$ ) be the number of vertices y of K such that the shortest walk from yto x ends (resp. begins) with an  $\alpha$ -arrow terminating (resp. originating) in x. Analogously, we define  $x^{\beta}$  and  $x_{\beta}$ . Then there is exactly one map of the underlying sets of vertices  $K_0 \to (\mathbf{Z}\vec{A}_n)_0, x \mapsto (gx, hx)$  such that

- a)  $\min_{x \in K} gx = 0$ ,
- b)  $(gy, hy) = (gx, hx + x^{\beta} + y_{\beta} + 1)$  for each  $\alpha$ -arrow  $x \xrightarrow{\alpha} y$  and
- c)  $(gy, hy) = (gx + x^{\alpha} + y_{\alpha} + 1, hx x^{\alpha} y_{\alpha} 1)$  for each  $\beta$ -arrow  $x \xrightarrow{\beta} y$ .

Because  $hx = 1 + x^{\alpha} + x_{\beta}$ , this map is indeed well defined. Let  $M_K$  denote its image.

**Theorem.** The assignment  $K \mapsto M_K$  induces a bijection from the isomorphism classes of  $\vec{A_n}$ -quivers to the complete tilting sets M in  $ind \mathcal{D}^b(k\vec{A_n}) = k(\mathbf{Z}\vec{A_n})$  with  $\min_{(g,h)\in M} g = 0$ . Moreover, the spectrum of  $M_K$  is described by the quiver K bound by all possible relations  $\alpha\beta = 0$  and  $\beta\alpha = 0$ (cf. [1]).

**Proof.** Let K be an  $\vec{A_n}$ -tree. We call  $x \in K_0$  a knot of K if x is contained in a full subtree of one of the forms

$$\bullet \xrightarrow{\alpha} x \xrightarrow{\alpha} \bullet, \ \bullet \xrightarrow{\alpha} x \xleftarrow{\beta} \bullet, \ \bullet \xleftarrow{\beta} x \xrightarrow{\alpha} \bullet, \ \bullet \xleftarrow{\beta} x \xleftarrow{\beta} \bullet.$$

The other vertices of K are called peaks. We term  $(g,h) \in (\mathbf{Z}\vec{A_n})_0$  a marginal vertex if h = 1 or h = n and we call the other vertices of  $\mathbf{Z}\vec{A_n}$  inner vertices. We use induction on the number m of knots of K.

If m = 0, K has one of the forms

$$K_{\alpha} = \bullet \stackrel{\alpha}{\to} \bullet \stackrel{\beta}{\to} \bullet \stackrel{\alpha}{\to} \dots$$

or

$$K_{\beta} = \bullet \xrightarrow{\beta} \bullet \xrightarrow{\alpha} \bullet \xrightarrow{\beta} \dots$$



Figure 1:  $\mathbf{Z}\vec{A}_n$  with given vertex P

It is easy to see that the corresponding sets  $M_{K_{\alpha}}$ ,  $M_{K_{\beta}}$  are exactly the complete tilting sets M of ind  $\mathcal{D}^b(k\vec{A}_n)$  which only consist of marginal vertices and satisfy  $\min_{(g,h)\in M} g = 0$ .

Now let m > 0. We first describe the complete tilting sets in  $k(\mathbf{Z}\vec{A}_n)$ which contain a given inner vertex P. The tilting sets  $\{X_1, \ldots, X_r, P\}$ and  $\{Y_1, \ldots, Y_s, P\}$  (cf. Fig. 1) give rise to fully faithful embeddings  $j_X : \mathcal{D}^b(k\vec{A}_{r+1}) \to \mathcal{D}^b(k\vec{A}_n)$  and  $j_Y : \mathcal{D}^b(k\vec{A}_{s+1}) \to \mathcal{D}^b(k\vec{A}_n)$ . We may assume that  $j_X(\mathbf{Z}\vec{A}_{r+1})_0 \subset (\mathbf{Z}\vec{A}_n)_0$  and  $j_Y(\mathbf{Z}\vec{A}_{s+1})_0 \subset (\mathbf{Z}\vec{A}_n)_0$ , in particular  $j_X P_X = P$  and  $j_Y P_Y = P$  where  $P_X = (0, r+1) \in (\mathbf{Z}\vec{A}_{r+1})_0$  and  $P_Y =$  $(0, s+1) \in (\mathbf{Z}\vec{A}_{s+1})_0$ . With these notations, the complete tilting sets Min  $k(\mathbf{Z}\vec{A}_n)$  containing P are exactly the sets  $j_X(L) \cup j_Y(N)$  where  $L \subset$  $(\mathbf{Z}\vec{A}_{r+1})_0$  and  $N \subset (\mathbf{Z}\vec{A}_{s+1})_0$  are complete tilting sets containing  $P_X$  and  $P_Y$ , respectively. Here, the set of marginal points of M equals  $\{j_X(R) :$ R is a marginal point of  $L \setminus P_X \} \cup \{j_Y(R) : R$  is a marginal point of  $N \setminus P_Y$ }. For the corresponding spectra we have the pushout diagram

$$\begin{array}{cccc} k & \stackrel{i_X}{\to} & L \\ i_Y \downarrow & & \downarrow j_X \\ N & \stackrel{j_Y}{\to} & M \end{array}$$

where k is considered as a category with one object and  $i_X$  and  $i_Y$  are fully faithful with  $i_X(k) = P_X$  and  $i_Y(k) = P_Y$ . Combined with the induction hypothesis, this description shows that the spectra of the complete tilting sets in  $k(\mathbf{Z}\vec{A_n})$  are described by the  $\vec{A_n}$ -quivers with all relations  $\alpha\beta = 0 = \beta\alpha$  and that peaks are mapped to marginal points by the corresponding isomorphisms of categories. So let M be a complete tilting set in  $k(\mathbf{Z}\vec{A_n})$  whose spectrum is described by K. We claim that the corresponding bijection  $e: K_0 \to M \subset (\mathbf{Z}\vec{A_n})_0$  satisfies conditions b) and c). This is obvious if x, y are peaks of K since then ex, ey are marginal points. If for example x is a knot we apply the above construction with P = ex and the claim follows from the induction hypothesis.

## 5 Aisles in $\mathcal{D}^b(k\Delta)$

5.1 Let  $\mathcal{U}$  be an aisle in a triangulated category  $\mathcal{T}$ . The heart of  $\mathcal{U}$  is the full subcategory  $\mathcal{U}^0 = \mathcal{U} \cap S\mathcal{U}^{\perp}$  of  $\mathcal{T}$ ; the associated cohomology functor  $H^0_{\mathcal{U}} : \mathcal{T} \to \mathcal{U}^0$  is given by  $X \mapsto (X_{\mathcal{U}})^{S\mathcal{U}^{\perp}}$  [3, 1.3.1-6]. The aisle  $\mathcal{U}$  is faithful if the inclusion  $\mathcal{U}^0 \to \mathcal{T}$  extends to an S-equivalence  $\mathcal{D}^b(\mathcal{U}^0) \to \bigcup_{n \in \mathbb{N}} S^{-n}\mathcal{U}$ ; it is separated if  $\bigcap_{n \in \mathbb{N}} S^n\mathcal{U} = 0$ .

Let  $k\Delta$  be the path algebra [6] of a Dynkin-quiver  $\Delta$ . For each subset  $M \subset \operatorname{ind} \mathcal{D}^b(k\Delta)$  let  $\mathcal{F}(M)$  be the smallest strictly full subcategory of  $\mathcal{D}^b(k\Delta)$  which contains M and is stable under S and closed under extensions and direct summands. By proposition 1.3,  $\mathcal{F}(M)$  is an aisle. The assignment  $\{T_1, \ldots, T_s\} \mapsto \mathcal{F}(T_1, \ldots, T_s)$  is a bijection between the tilting sets in  $\mathcal{D}^b(k\Delta)$  and the faithful aisles. We shall generalize the concept of a tilting set in order to obtain an analogous description of all separated aisles in  $\mathcal{D}^b(k\Delta)$ .

A silting set in  $\mathcal{D}^b(k\Delta)$  is a finite subset  $\{R_1, \ldots, R_s\} \subset \operatorname{ind} \mathcal{D}^b(k\Delta)$ such that  $\operatorname{Hom}(R_i, S^l R_j) = 0 \ \forall i, j \text{ and } \forall l > 0.$ 

#### Theorem.

a) The assignment  $\{R_1, \ldots, R_s\} \mapsto \mathcal{F}(R_1, \ldots, R_s)$  is a bijection between the silting sets in  $\mathcal{D}^b(k\Delta)$  and the separated aisles in  $\mathcal{D}^b(k\Delta)$ .

If  $\{R_1, \ldots, R_s\}$  is a silting set and  $\mathcal{W} = \mathcal{F}(R_1, \ldots, R_s)$  we have

- b)  $\mathcal{W} \cap^{\perp}(S\mathcal{W}) \cap \operatorname{ind} \mathcal{D}^b(k\Delta) = \{R_1, \dots, R_s\}$
- c)  $s \leq |\Delta_0|$ , and  $s = |\Delta_0| \Leftrightarrow \bigcup_{n \in \mathbb{N}} S^{-n} \mathcal{W} = \mathcal{D}^b(k\Delta)$
- d)  $\mathcal{W} = \{ X \in \bigcup_{n \in \mathbb{N}} S^{-n} \mathcal{W} : \operatorname{Hom} (R_i, S^l X) = 0 \ \forall i, \ \forall l > 0 \}$



Figure 2: Example of  $\mathcal{V}(\bullet)$  and  $\mathcal{U}(\circ)$  in  $\mathbf{Z}A_n$ 

e) H<sup>0</sup><sub>W</sub>R<sub>1</sub>,..., H<sup>0</sup><sub>W</sub>R<sub>s</sub> form a system of representatives of the isomorphism classes of indecomposable projectives of W<sup>0</sup>, and H<sup>0</sup><sub>W</sub> induces an equivalence between the full subcategories {R<sub>1</sub>,...,R<sub>s</sub>} and {H<sup>0</sup><sub>W</sub>R<sub>1</sub>,...,H<sup>0</sup><sub>W</sub>R<sub>s</sub>} of D<sup>b</sup>(kΔ).

**Proof.** 1st step: The following variant of a construction by Parthasarathy [10] allows us to use induction on  $|\Delta_0|$ .

Let  $\mathcal{W}$  be a separated aisle in  $\mathcal{D}^b(k\Delta)$  and Q a source (3.1) of  $\operatorname{ind} \mathcal{W}$ . We may assume that  $\Delta$  admits a unique source q and that Q = (0, q). Let Q be the full subcategory of  $\mathcal{D}^b(k\Delta)$  whose objects are the direct sums of objects  $S^nQ$ ,  $n \in \mathbb{Z}$ . The tilting set  $\{(0, r) : r \in \Delta_0, r \neq q\} \subset k(\mathbb{Z}\Delta)$ yields a fully faithful S-functor  $\mathcal{D}^b(k\Delta') \to \mathcal{D}^b(k\Delta)$  (2.1), where  $\Delta'$  is obtained from  $\Delta$  by omitting the vertex q and all arrows originating in q. The essential image of this S-functor equals  ${}^{\perp}Q$ . We claim that  $\mathcal{W} = \mathcal{U} * \mathcal{V}$ , where  $\mathcal{U} = \mathcal{W} \cap {}^{\perp}Q$  and  $\mathcal{V} = \mathcal{W} \cap Q$ . Obviously, we have  $\mathcal{W} \supset \mathcal{U} * \mathcal{V}$ . Conversely, let X be an indecomposable in  $\mathcal{W}$  which is not isomorphic to Q. We have the triangle  $X_{{}^{\perp}Q} \to X \to X^Q \to SX_{{}^{\perp}Q}$  (1.3). The assumptions on X imply that  $X^Q$  lies in  $S\mathcal{V}$ . Thus, as an extension of X by  $S^-X^Q \in \mathcal{V} \subset \mathcal{W}, X_{{}^{\perp}Q}$  lies in  $\mathcal{W} \cap {}^{\perp}Q = \mathcal{U}$ .

2nd step: b) Let the numbering be chosen in such a way that  $\operatorname{Hom}(R_j, R_i) = 0 \forall i < j$ . We apply the construction of the first step to the source  $Q = R_1$ of ind  $\mathcal{W}$ . Let  $X \in \mathcal{W} \cap^{\perp}(S\mathcal{W})$  be indecomposable. We have the triangle  $X_{\perp Q} \to X \to X^{\mathcal{Q}} \to SX_{\perp Q}$ . As in the first step, either  $X \cong R_1$  or  $X^{\mathcal{Q}} \in S\mathcal{V}$  and in this case we infer  $X^{\mathcal{Q}} = 0$  and  $X \in \mathcal{U} \cap^{\perp}(S\mathcal{U})$ . The claim now follows from the induction hypothesis.

a) Because of b) we only have to show surjectivity. In the setting of the first step let  $R_1 = Q$ . We complete  $R_1$  to a system of representatives  $\{R_1, \ldots, R_s\}$  of the indecomposables of  $\mathcal{W} \cap^{\perp}(S\mathcal{W})$ . Then  $\{R_2, \ldots, R_s\}$ is a system of representatives of the indecomposables of  $\mathcal{U} \cap^{\perp}(S\mathcal{U})$ . According to the induction hypothesis, we have  $\mathcal{U} = \mathcal{F}(R_2, \ldots, R_s)$ , and therefore  $\mathcal{W} = \mathcal{U} * \mathcal{V} = \mathcal{F}(R_1, \ldots, R_s)$ .

The proof of c) is left to the reader. d) Obviously,  $\mathcal{W}$  is contained in the aisle given in the assertion. Conversely, let  $X = S^{-n}Y$  ( $Y \in \mathcal{W}, n \in \mathbb{N}$ ) and Hom  $(R_i, S^l X) = 0 \forall i, \forall l > 0$ . By induction we conclude  $S^{-n}Y_{\mathcal{U}} \in \mathcal{U}$ . Using Hom  $(R_1, S\mathcal{U}) = 0$  and the triangle  $Y_{\mathcal{U}} \cong Y_{\perp \mathcal{Q}} \to$  $Y \to Y^{\mathcal{Q}} \to SY_{\perp \mathcal{Q}}$  we infer Hom  $(S^{-l}R_1, S^{-n}Y^{\mathcal{Q}}) = 0$  for all l > 0 and  $S^{-n}Y^{\mathcal{Q}} \in \mathcal{V}$ .

e) From Hom  $(R_1, SW) = 0$  it follows that  $R_1 \cong H^0_W R_1$  is projective in  $W^0$ . The rest of the assertion follows from the

**Lemma.** Let  $\mathcal{U}, \mathcal{V}$  be aisles in a triangulated category  $\mathcal{T}$  such that  $\mathcal{U} \subset^{\perp} \mathcal{V}$  and let  $\mathcal{W} = \mathcal{U} * \mathcal{V}$ . (cf. proposition 1.4)

- a)  $\mathcal{V}^0 \subset \mathcal{W}^0$  and  $H^0_{\mathcal{V}} | \mathcal{W}^0$  is right adjoint to this inclusion.
- b)  $H^0_{\mathcal{U}}|\mathcal{W}^0$  is exact and  $H^0_{\mathcal{W}}|\mathcal{U}^0$  is left adjoint to  $H^0_{\mathcal{U}}|\mathcal{W}^0$  and fully faithful.
- c) For each  $A \in \mathcal{W}^0$  we have an exact sequence

$$H^0_{\mathcal{W}}H^0_{\mathcal{U}}A \to A \to H^0_{\mathcal{W}}A^{\mathcal{U}^{\perp}} \to 0$$

d)  $H^0_{\mathcal{W}}|\mathcal{W}\cap^{\perp}(S\mathcal{W})$  is fully faithful and for  $X \in \mathcal{U}$  we have  $H^0_{\mathcal{W}}X \cong H^0_{\mathcal{W}}H^0_{\mathcal{U}}X$ .

We leave the proof of the lemma to the reader (compare with [3]).

5.2 In the setting of 5.1 let  $\{T_1, \ldots, T_s\}$  be a tilting set in  $\mathcal{D}^b(k\Delta)$ . Suppose that the numbering has been chosen in such a way that  $\operatorname{Hom}(T_j, T_i) = 0 \quad \forall j > i$ . Let  $p : \{1, \ldots, s\} \to \mathbf{N}$  be a non-decreasing function with p(1) = 0.

#### Proposition.

- a)  $R_1 := S^{p(1)}T_1, \ldots, R_s := S^{p(s)}T_s$  form a silting set in  $\mathcal{D}^b(k\Delta)$ .
- b) With  $\mathcal{U} = \mathcal{F}(T_1, \ldots, T_s)$ ,  $\mathcal{W} = \mathcal{F}(R_1, \ldots, R_s)$  we have for each  $i \in \mathbb{Z}$

$$\mathcal{U}^0 \cap S^{-i}\mathcal{W} = \{ X \in \mathcal{U}^0 : \text{Hom}\,(T_j, X) = 0 \text{ for each } j \text{ with } i < p(j) \}$$

$$\mathcal{W} = \{ X \in \mathcal{U} : H^i_{\mathcal{U}} X \in \mathcal{U}^0 \cap S^i \mathcal{W}, \, \forall i \in \mathbf{Z} \}$$

c) The full subcategory  $\{R_1, \ldots, R_s\}$  of  $\mathcal{D}^b(k\Delta)$  is isomorphic to the disjoint sum of the full subcategories  $C_j = \{T_i : p(i) = j\}, j \in \mathbf{N},$  of  $\{T_1, \ldots, T_s\}$ .

We leave the proof to the reader.

**5.3 Theorem.** Each silting set in  $\mathcal{D}^b(k\vec{A}_n)$  (cf. section 4) is of the form given in 5.2

**Proof.** Let  $\{R_1, \ldots, R_s\}$  be a silting set in  $\mathcal{D}^b(k\overline{A}_n)$ .

1st step:  $\{R_1, \ldots, R_s\}$  is contained in a complete silting set (= silting set of maximal cardinality).

If s < n we have  $\mathcal{T}^{\perp} \neq 0$  (5.1 c), where  $\mathcal{T} = \bigcup_{m \in \mathbb{N}} S^{-m} \mathcal{F}(R_1, \ldots, R_s)$ . Because gldim $k\Delta < \infty$  we can find an indecomposable  $R_0 \in \mathcal{T}^{\perp}$  such that Hom  $(R_0, S^l R_i) = 0$  for all  $l > 0, i = 1, \ldots, s$ . Then  $\{R_0, \ldots, R_s\}$  is a silting set. The claim now follows by induction on n - s.

2nd step: By the first step we may assume n = s. Let the numbering of the  $R_i$  be non-decreasing with respect to the order on  $\operatorname{ind} \mathcal{D}^b(k\vec{A}_n)$ generated by the arrows of  $\mathbf{Z}\vec{A}_n$ . With the notations of the first step of the proof of theorem 5.1 we set  $Q = R_1$ . The induction hypothesis applied to  $\{R_2, \ldots, R_n\} \subset {}^{\perp}\mathcal{Q}$  yields a tilting set  $\{T_2, \ldots, T_n\}$  which corresponds to a complete tilting set in  $\mathcal{D}^b(k\Delta')$ . Therefore the connected components of the spectrum of  $\{T_2, \ldots, T_n\}$  are precisely the intersections of  $\{T_2, \ldots, T_n\}$  with the connected components of  $\operatorname{ind} {}^{\perp}\mathcal{Q}$ .



Figure 3: A silting set in  $\mathbf{Z}D_4$ 

Let  $\mathcal{C}$  be a connected component of  $\operatorname{ind}^{\perp} \mathcal{Q}$ . Because  $\operatorname{Hom}(Q,?)|\mathcal{C} \neq 0$ and  $\{T_2, \ldots, T_n\} \cap \mathcal{C}$  is a complete tilting set in  $\mathcal{C}$ ,  $\operatorname{Hom}(Q,?)$  does not vanish on  $S^l\{T_2, \ldots, T_n\} \cap \mathcal{C}$  for some  $l \in \mathbb{Z}$ . Hence we may assume that  $\{Q, T_2, \ldots, T_n\}$  is connected. Because  $\{X \in \mathcal{C} : \operatorname{Hom}(Q, X) \neq 0\}$ is linearly ordered by  $X \leq X' :\Leftrightarrow \operatorname{Hom}(X, X') \neq 0$  (cf. figure 2),  $\{Q, T_2, \ldots, T_n\}$  must be a tilting set in  $\mathcal{D}^b(k\vec{A}_n)$ . Setting  $T_1 = Q$  we have  $R_i = S^{p(i)}T_i$  for some function  $p : \{1, \ldots, n\} \to \mathbb{Z}$  with p(1) = 0. It is easy to see that  $\operatorname{Hom}(T_i, T_j) \neq 0$  implies  $p(i) \leq p(j)$ .

5.4 Remarks: a) Silting sets can always be completed (cf. the first step of the above proof) but in general, tilting sets cannot :  $\{(0, 1), (3, 3)\} \subset \mathbf{Z}\vec{A_3}$ .

b) The silting set of figure 3 is not of the form given in 5.2 since 5.2 c) cannot be satisfied.

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