Definable Henselian Valuations

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18.11.2013

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Let K be a field and Γ_v an ordered abelian group.

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Let K be a field and Γ_v an ordered abelian group. Recall that a valuation on K is a map $v : K \twoheadrightarrow \Gamma_v \cup \{\infty\}$ such that, for all $x, y \in K$,

$$v(x) = \infty \iff x = 0,$$
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$$v(xy) = v(x) + v(y),$$
 (2)

$$v(x+y) \ge \min(v(x), v(y)). \tag{3}$$

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Recall that the ring $\mathcal{O}_{v} = \{x \in K \mid v(x) \ge 0\}$ is a valuation ring of K, i.e. for all $x \in K$ we have $x \in \mathcal{O}_{v}$ or $x^{-1} \in \mathcal{O}_{v}$.

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We say that v is non-trivial if $v|_{K^{\times}} \neq 0$ or, equivalently, $\mathcal{O}_{v} \neq K$.

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A valuation ring has a unique maximal ideal $\mathfrak{m}_{v} = \{x \in K \mid v(x) > 0\}$, we call the quotient $Kv := \mathcal{O}_{v}/\mathfrak{m}_{v}$ the residue field of (K, v). We usually denote $vK := \Gamma_{v}$.

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For a field F, consider the polynomial ring F[t]. Then there is a natural valuation v on F[t] via

$$v\left(\sum_{i=0}^{n}a_{i}t^{i}\right)=\min\{0\leq i\leq n\mid a_{i}\neq 0\}$$

where $n \in \mathbb{N}$, $a_i \in F$.

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$$v\left(\frac{f}{g}\right) = v(f) - v(g)$$

for $f, g \in F[t] \setminus \{0\}$.

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Furthermore, v extends to the power series field F((t)) by setting

$$v\left(\sum_{i=m}^{\infty}a_{i}t^{i}\right)=\min\{m\leq i\leq\infty\mid a_{i}\neq0\}.$$

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- ▶ Let (K, v) be a valued field, consider $f \in \mathcal{O}_v[X]$ and $a \in \mathcal{O}_v$. Then f(a) = 0 implies $\overline{f}(\overline{a}) = 0$.

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- ▶ Let (K, v) be a valued field, consider $f \in \mathcal{O}_v[X]$ and $a \in \mathcal{O}_v$. Then f(a) = 0 implies $\overline{f}(\overline{a}) = 0$.
- ► Language of valued fields: L_{ring} ∪ {O}, where O is a unary relation symbol.

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Theorem (Hensel's Lemma)

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For a valued field (K, v), the following are equivalent: 1. v extends uniquely to every algebraic extension of K.

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If (K, v) satisfies one of the conditions in the theorem, the valuation v is called henselian.

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If (K, v) satisfies one of the conditions in the theorem, the valuation v is called henselian. The field K is called henselian, if there exists some non-trivial henselian valuation on K.

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With the valuation v defined as before, (F(t), v) is not henselian:

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Consider the polynomial $f(X) = X^2 - (t+1) \in \mathcal{O}_v[X]$. Then f does not have a zero in F(t), but there exists an $a \in \mathcal{O}_v$ such that the reduction \overline{a} is a simple zero of $\overline{f} = X^2 - 1$.

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On the other hand, (F((t)), v) is henselian as it is complete.

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The Ax-Kochen/Ersov principle

Ax-Kochen/Ersov Theorem

Let (K, v) and (L, w) be henselian valued fields with char(Kv) = 0. Then

 $(K, v) \equiv (L, w) \iff Kv \equiv Lw \text{ and } vK \equiv wL.$

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Essentially, the theorem says that if the residue characteristic is 0, then any (elementary) statement about (K, v) can be reduced to statements about Kv and vK.

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- Essentially, the theorem says that if the residue characteristic is 0, then any (elementary) statement about (K, v) can be reduced to statements about Kv and vK.
- There are also versions for positive characteristic.

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Definable Valuations

We call a valuation v on K definable if there is some \mathcal{L}_{ring} -formula with parameters from K defining the valuation ring.

Idea: Capture the AK/E picture within Th(K).

Example

The *t*-adic valuation is definable on K((t)) by the formula $\phi(x) \equiv \exists y \ y^2 - y = tx^2$.

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Note that separably and real closed fields do not admit any non-trivial definable valuations.

From now on, all fields K are neither real nor separably closed.

Some Facts about Definable Valuations

▶ Not every henselian valuation is definable (Delon and Farré, see [1]).

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- ▶ If (K, v) is henselian and \mathcal{O}_v is \emptyset -definable, then the same formula defines a non-trivial henselian valuation ring in any $L \equiv K$.

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- Admitting a non-trivial henselian valuation is not an elementary property in L_{ring} (Prestel and Ziegler, see [7]).

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- Admitting a non-trivial henselian valuation is not an elementary property in L_{ring} (Prestel and Ziegler, see [7]).
- ► Not every henselian valued field admits a Ø-definable non-trivial henselian valuation.

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Questions

Does every (not separably nor real closed) henselian valued field admit a definable henselian valuation?

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- ► Can we classify which henselian fields admit Ø-definable henselian valuations?
- Which henselian valuations are (existentially/universally) definable?
- Which henselian valuations are Ø-definable?
- Does every (not real nor separably closed) NIP field with small absolute Galois group admit a non-trivial definable valuation?

An Application of Definable Valuations

For a field K, the absolute Galois group of K is the group

$$G_{\mathcal{K}} \coloneqq \operatorname{Aut}(\mathcal{K}^{sep}/\mathcal{K}) \cong \lim_{\leftarrow} \operatorname{Gal}(L/\mathcal{K})$$

where L ranges over all finite Galois extensions of K.

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Theorem (Neukirch-Efrat-Koenigsmann-Pop)

Let K be a field. If G_K and $G_{\mathbb{Q}_p}$ are isomorphic as profinite groups, then $K \equiv \mathbb{Q}_p$.

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Value group vs. residue field

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- ► Not every henselian valuation is Ø-definable, so we need to add conditions on (K, v)!
- Conditions on the value group vK are discussed in work of Koenigsmann ([6]) and Hong ([2]).
- ► For the remainder of this talk, we will focus on conditions on the residue field *Kv*.

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For a valued field (K, v), the following are equivalent:

- 1. v extends uniquely to K(p).
- 2. For each $f \in \mathcal{O}_{\nu}[X]$ which splits in K(p) and each $a \in \mathcal{O}_{\nu}$ with $\overline{f}(\overline{a}) = 0$ and $\overline{f}'(\overline{a}) \neq 0$, there exists $\alpha \in \mathcal{O}_{\nu}$ with $f(\alpha) = 0$ and $\overline{\alpha} = \overline{a}$.

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Recall: (K, v) is *p*-henselian if *v* extends uniquely to K(p). *K* is *p*-henselian if *K* admits a non-trivial *p*-henselian valuation.

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Corollary

Let (K, v) be henselian such that Kv is finite. Then v is \emptyset -definable.

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Definition

Let K be a field and let T and X be variables. Then K is called hilbertian if for every polynomial $f \in K[T, X]$ which is separable, irreducible and monic when considered as a polynomial in K(T)[X] there is some $a \in K$ such that f(a, X) is irreducible in K[X].

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Theorem

Let (K, v) be a henselian valued field such that Kv is hilbertian. Then v is \emptyset -definable.

Idea: (for char(K) $\neq p$) Consider $f(T, X) = X^p - mT - 1$ for $m \in \mathfrak{m}_v$. Find $a \in \mathcal{O}_v$ such that f(a, X) is irreducible. Then f(a, X) splits in K(p), has a simple zero in Kv but not zero in K.

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Corollary

Let (K, v) be a henselian valued field such that Kv is PRC and not real closed. Then v is \emptyset -definable.

Franziska Jahnke (WWU Münster)

Definable Henselian Valuations

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Simple fields

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A field K is called simple if the \mathcal{L}_{ring} -theory Th(K) is simple, i.e. no formula has the tree property.

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Let (K, v) be a non-trivially henselian valued field such that Kv is simple and not separably closed. Then v is \emptyset -definable.

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Proposition

Let (K, v) be a non-trivially henselian valued field such that Kv is simple and not separably closed. Then v is \emptyset -definable.

Idea of the proof: Any *p*-henselian field admits a *V*-topology with a uniformly definable base of neighbourhoods of zero. This can be used to construct a definable family of strictly decreasing sets (wrt inclusion).

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Let K be a field. We say that G_K is universal if for every finite group G there are finite Galois extensions $L \subseteq M$ of K such that $Gal(M/L) \cong G$.

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- G_K is non-universal if K is NIP of positive characteristic.
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Observation

Let (K, v) be henselian. Then G_K is non-universal if and only if G_{Kv} is non-universal.

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Let (K, v) be henselian such that char(K) > 0 and K is not separably closed. If K is NIP then K admits a non-trivial Ø-definable henselian valuation.

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Thank you for your attention.

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Model-theoretic methods in the theory of topological fields

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