

Sheaves on graphs and their homological invariants

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Outline

- 1 Categorical preliminaries
- 2 Presheaves
- 3 Sheaves on graphs and their homology
- 4 Hanna Neumann's conjecture
- 5 Topoi
- 6 Afterword: Homology

Categories

A **category \mathbf{C}** consists of **objects** a, b, c, \dots and **arrows** (morphisms) f, g, h, \dots . Each arrow f has a domain ($\text{dom } f$) and a codomain ($\text{cod } f$), both are objects. Moreover, for each object a there is a distinguished arrow 1_a , called identity, and for each pair of arrows (f, g) such that $\text{dom}(g) = \text{cod}(f)$, there is another arrow $g \circ f : \text{dom } f \rightarrow \text{cod } g$ called their **composition**. The operation of composition is supposed to be associative, and identities to act as neutral elements under composition.¹

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For each category \mathbf{C} , there is a category \mathbf{C}^{op} with the same objects but reversed arrows (i.e. for each arrow f of \mathbf{C} , there is an arrow f^{op} in \mathbf{C}^{op} such that $\text{dom } f^{op} = \text{cod } f$ and $\text{cod } f^{op} = \text{dom } f$).

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A **functor** $T : \mathbf{C} \rightarrow \mathbf{D}$ assigns to each object a of \mathbf{C} an object $T(a)$ of \mathbf{D} , and to each morphism f of \mathbf{C} a morphism $T(f)$ of \mathbf{D} , in such a way that

$$T(1_a) = 1_{T(a)}, \quad T(g \circ f) = Tg \circ Tf.$$

A **subfunctor** S of $T : \mathbf{C} \rightarrow \mathbf{Sets}$ associates to every $c \in \text{Ob}\mathbf{C}$ a subset $S(c)$ of $T(c)$ and to every arrow $f : c \rightarrow c'$ the restriction of $T(f)$ to $S(c)$.

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- 6 A poset is a set E with a binary relation \leq that is reflexive, transitive and anti-symmetric. Every poset can be seen as a category, whose objects are the elements of E ; there is an arrow $e \rightarrow e'$ iff $e \leq e'$. A functor between posets is a monotone map.

Natural transformations

Given two functors $S, T : \mathbf{C} \rightarrow \mathbf{D}$, a natural transformation $\tau : S \rightarrow T$ is a rule that assigns to each object c of \mathbf{C} an arrow $\tau_c : Sc \rightarrow Tc$ of \mathbf{D} , so that $f : c \rightarrow c'$ in \mathbf{C} yields the commutative diagram

$$\begin{array}{ccc} Sc & \xrightarrow{\tau_c} & Tc \\ \downarrow Sf & & \downarrow Tf \cdot \\ Sc' & \xrightarrow{\tau_{c'}} & Tc' \end{array}$$

A diagram of shape \mathbf{J} in \mathbf{C} is a functor $F : \mathbf{J} \rightarrow \mathbf{C}$. The category \mathbf{J} is thought here as an index category, usually finite.

A **cone** to the diagram $F : \mathbf{J} \rightarrow \mathbf{C}$ is an object N of \mathbf{C} and a natural transformation $\psi : \underline{N} \rightarrow F$, where \underline{N} is a constant functor of value N .

A **limit** of the diagram F is a universal cone (L, ϕ) : this means that for any other cone (N, ψ) of F , there exists a *unique* arrow $u : N \rightarrow L$ such that, for every $X \in \text{Ob } \mathbf{C}$, $\psi_X = \phi_X \circ u$.

A limit is *unique up to unique isomorphism*: if (L_1, ψ_1) and (L_2, ψ_2) are limits of F , the universal property gives unique maps $u : L_1 \rightarrow L_2$ and $v : L_2 \rightarrow L_1$ that are inverse to each other.

Colimits are defined similarly, as universal cocones $F \rightarrow \underline{N}$.

Limits: Examples

For instance, if \mathbf{J} is a category with two objects (say 1 and 2) and their identity morphisms, then $F : \mathbf{J} \rightarrow \mathbf{Sets}$ is defined simply by a pair of sets, $F(1)$ and $F(2)$. A cone is a pair of maps $\psi_1 : N \rightarrow F(1)$ and $\psi_2 : N \rightarrow F(2)$, and a limit is precisely the cartesian product $F(1) \times F(2)$, with the canonical projections $\phi_i : F(1) \times F(2) \rightarrow F(i)$. The universality means that there is a unique map $u : N \rightarrow F(1) \times F(2)$ such that

$$\begin{array}{ccc} N & & \\ \downarrow u & \searrow \psi_i & \\ F(1) \times F(2) & \xrightarrow{\phi_i} & F(i) \end{array}$$

commutes for each i .

Limits: Examples

Similarly, one obtains fiber products as universal cones of \mathbf{J} -diagrams, when \mathbf{J} is the category with objects $*$, 1, and 2, and non-identity arrows

$$1 \longrightarrow * \longleftarrow 2.$$

The universal property looks like

$$\begin{array}{ccccc} Q & & & & \\ & \searrow^{\psi_1} & & & \\ & & L & \xrightarrow{\phi_1} & F(2) \\ & & \downarrow \phi_2 & & \downarrow g_2 \\ & & F(1) & \xrightarrow{g_1} & F(*) \\ & \searrow^{\psi_2} & & & \\ & & & & \end{array}$$

(The components ϕ_* and ψ_* are omitted, because they can be deduced from the other arrows.)

Other examples of limits: terminal objects (\mathbf{J} empty), equalizers ($\mathbf{J} = (* \rightrightarrows *)$), kernels (an equalizer with one of the non-identity arrows mapping to a zero map), etc.

Examples of colimits: initial objects, coequalizers, cokernels, etc.

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Category of sheaves

Let \mathbf{C} be a category.

The category of presheaves on \mathbf{C} , denoted $\widehat{\mathbf{C}}$ or $\mathbf{PSh}(\mathbf{C})$, is the category $[\mathbf{C}^{op}, \mathbf{Sets}]$ of functors from \mathbf{C}^{op} to \mathbf{Sets} . The morphisms are natural transformations i.e. an arrow from a sheaf \mathcal{A} to a sheaf \mathcal{B} is a collection of functions $\{\tau_c : \mathcal{A}(c) \rightarrow \mathcal{B}(c)\}_{c \in \text{Ob } \mathbf{C}}$, called **components**, such that for each $f : c \rightarrow c'$ in \mathbf{C} ,

$$\begin{array}{ccc} \mathcal{A}(c') & \xrightarrow{\tau_{c'}} & \mathcal{B}(c') \\ \downarrow \mathcal{A}(f) & & \downarrow \mathcal{B}(f) \\ \mathcal{A}(c) & \xrightarrow{\tau_c} & \mathcal{B}(c) \end{array}$$

Similarly, the category of sheaves of \mathbb{F} -vector spaces, denoted $\widehat{\mathbf{G}}_{\mathbb{F}}$ or $\mathbf{Mod}(\mathbb{F})$, is the category of functors $[\mathbf{C}^{op}, \mathbf{Vect}_{\mathbb{F}}]$. The morphisms are natural transformations whose components τ_c are linear maps.

Theorem

Let \mathbf{C} be a category. All limits and colimits exist in the category $\widehat{\mathbf{C}}$. Moreover, for each $c \in \text{Ob } \mathbf{C}$, the evaluation functor $\text{ev}_c : \widehat{\mathbf{C}} \rightarrow \mathbf{Sets}$, $\mathcal{A} \rightarrow \mathcal{A}(c)$ commutes with limits and colimits.

In other words, limits and colimits can be computed “object-wise”. See [5, Tag 00VB].

For instance, the product of two sheaves \mathcal{A}, \mathcal{B} in $\widehat{\mathbf{C}}$ is the sheaf that associates to $c \in \text{Ob } \mathbf{C}$ the set $\mathcal{A}(c) \times \mathcal{B}(c)$, and to each arrow $f : c \rightarrow c'$ in \mathbf{C} the map

$$\mathcal{A}(f) \times \mathcal{B}(f) : \mathcal{A}(c') \times \mathcal{B}(c') \rightarrow \mathcal{A}(c) \times \mathcal{B}(c).$$

Similarly, the “abelian” constructions are performed object-wise. For example, given a morphism $\tau : \mathcal{A} \rightarrow \mathcal{B}$ in $\widehat{\mathbf{C}}_{\mathbf{F}}$, its kernel is the presheaf that associates to each $c \in \text{Ob } \mathbf{C}$ the vector space $\ker(\tau_c : \mathcal{A}(c) \rightarrow \mathcal{B}(c))$.

Yoneda embedding

Given a category \mathbf{C} . We suppose that for every $c, c' \in \text{Ob } \mathbf{C}$, $\text{Hom}(c, c')$ is a set.

Given $c \in \text{Ob } \mathbf{C}$, the functor $\mathfrak{h}_c : \mathbf{C}^{op} \rightarrow \mathbf{Sets}$ that associates to every $d \in \text{Ob } \mathbf{C}$ the set $\mathfrak{h}_c(d) = \text{Hom}(d, c)$ and to every arrow $f : d \rightarrow d'$ the map $\mathfrak{h}_c(f) : \text{Hom}(d', c) \rightarrow \text{Hom}(d, c)$, $\phi \mapsto \phi \circ f$ is called the **presheaf represented by c** .

Proposition

Let \mathbf{C} be a category, \mathcal{F} a presheaf on \mathbf{C} , and c an object of \mathbf{C} . There exists an isomorphism, functorial in c and \mathcal{F} ,

$$\iota : \text{Hom}_{\widehat{\mathbf{C}}}(\mathfrak{h}_c, \mathcal{F}) \xrightarrow{\sim} \mathcal{F}(c). \quad (1)$$

In particular, if $\mathcal{F} = \mathfrak{h}_{c'}$, there is a bijection $\text{Hom}_{\mathbf{C}}(c, c') = \text{Hom}_{\widehat{\mathbf{C}}}(\mathfrak{h}_c, \mathfrak{h}_{c'})$: in other words, $\mathfrak{h} : \mathbf{C} \rightarrow \widehat{\mathbf{C}}$ is a fully faithful functor.

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Directed graphs

Definition

A **directed graph** (digraph) is a 4-tuple $G = (V_G, E_G, t_G, h_G)$, where V_G and E_G are sets (respectively, the vertexes and edges of the digraph), and $h_G : E_G \rightarrow V_G$ and $t_G : E_G \rightarrow V_G$ are functions that assign to each edge a “head” and a “tail”, respectively.

The digraphs are finite i.e. V_G and E_G are finite sets.

Definition

A **morphism of digraphs** $\mu : G \rightarrow K$ is a pair of maps $(\mu_V : V_G \rightarrow V_K, \mu_E : E_G \rightarrow E_K)$ that commute with the head and tail maps:

$$\begin{array}{ccc} E_G & \xrightarrow{t_G} & V_G \\ \downarrow \mu_E & & \downarrow \mu_V \\ E_K & \xrightarrow{t_K} & V_K \end{array} \qquad \begin{array}{ccc} E_G & \xrightarrow{h_G} & V_G \\ \downarrow \mu_E & & \downarrow \mu_V \\ E_K & \xrightarrow{h_K} & V_K \end{array}$$

The category of digraphs

The category **Digraphs** has a terminal object: the category Δ_0 with one object and one morphism (the identity).

It also has fiber products: given maps $\mu_1 : G_1 \rightarrow G$ and $\mu_2 : G_2 \rightarrow G$, their fiber product $K = G_1 \times_G G_2$ is defined by

$$V_K = \{(v_1, v_2) \in V_{G_1} \times V_{G_2} \mid \mu_1(v_1) = \mu_2(v_2)\},$$

$$E_K = \{(e_1, e_2) \in E_{G_1} \times E_{G_2} \mid \mu_1(e_1) = \mu_2(e_2)\},$$

$$t_K = (t_{G_1}, t_{G_2}), \quad h_K = (h_{G_1}, h_{G_2}).$$

Each digraph $G = (V, E, t, h)$ can be seen as a category \mathbf{G} , with objects $V \cup E$, and arrows $t(e) \rightarrow e$ and $h(e) \rightarrow e$ for each $e \in E$, in addition to the identities.

Remark that a morphism of digraphs $\mu: G \rightarrow K$ gives a functor $\mu: \mathbf{G} \rightarrow \mathbf{K}$

Definition

A **sheaf** of sets (resp. of \mathbb{F} -vector spaces) on G is an object of $\widehat{\mathbf{G}}$ (resp. $\widehat{\mathbf{G}}_{\mathbb{F}}$).^a

^aIf the category \mathbf{G} is equipped with the trivial Grothendieck topology, every presheaf on \mathbf{G} is a sheaf according to the general definition [1, Def. 2.1].

In other words, \mathcal{F} consists of

- 1 sets $\mathcal{F}(o)$ (called **values**) for each element $o \in V \cup E = \text{Ob } \mathbf{G}$;
- 2 maps $\mathcal{F}(t, e): \mathcal{F}(e) \rightarrow \mathcal{F}(te)$ and $\mathcal{F}(h, e): \mathcal{F}(e) \rightarrow \mathcal{F}(he)$ (called **restriction maps**), for each $e \in E$.

Grothendieck's operations

Let $\varphi: \mathbf{G} \rightarrow \mathbf{K}$ be a functor.

Given a \mathcal{B} on \mathbf{K} , the sheaf $\varphi^* \mathcal{B} := \mathcal{B} \circ \varphi: \mathbf{G}^{op} \rightarrow \mathbf{Sets}$ is called its pullback. It maps $g \in \text{Ob } \mathbf{G}$ to $\mathcal{B}(\varphi(g))$.

The morphism $\varphi^*: \widehat{\mathbf{K}} \rightarrow \widehat{\mathbf{G}}$ has a left adjoint $\varphi_!: \widehat{\mathbf{G}} \rightarrow \widehat{\mathbf{K}}$, which means that, for all $\mathcal{A} \in \widehat{\mathbf{G}}$ and $\mathcal{B} \in \widehat{\mathbf{K}}$

$$\text{Hom}_{\widehat{\mathbf{K}}}(\varphi_! \mathcal{A}, \mathcal{B}) \cong \text{Hom}_{\widehat{\mathbf{G}}}(\mathcal{A}, \varphi^* \mathcal{B}).$$

Similarly, there is a right adjoint φ_* to φ^* . Therefore, φ^* commutes with limits and colimits (e.g. $\varphi^*(\mathcal{A} \times \mathcal{B}) = \varphi^* \mathcal{A} \times \varphi^* \mathcal{B}$, etc.); $\varphi_!$ commutes with colimits (a.k.a. inductive limits) and φ_* with limits (a.k.a. projective limits). These are general properties of adjoints.²

²The general construction of these functors is the subject of [1, Sec. 1.5] (in french); the particular case of graphs is treated in [2, Sec. 1.4].

What is $\varphi_! : \widehat{\mathbf{G}} \rightarrow \widehat{\mathbf{K}}$ in the case of graphs?

Let $\varphi : \mathbf{G} \rightarrow \mathbf{K}$ be a morphism of digraphs. For any $\mathcal{A} \in \widehat{\mathbf{G}}$, we take

$$(\varphi_! \mathcal{A})(k) = \bigoplus_{g \in \varphi^{-1}(k)} \mathcal{A}(g).$$

Given a nonidentity arrow $f : v_k \rightarrow e_k$ in \mathbf{K} , the map $\varphi_! \mathcal{A}(f)$ is the only one that makes the diagram

$$\begin{array}{ccc} \mathcal{A}(e_g) & \xrightarrow{\iota_{e_g}} & \bigoplus_{e \in \varphi^{-1}(e_k)} \mathcal{A}(e) \\ \downarrow & & \downarrow \varphi_! \mathcal{A}(f) \\ \mathcal{A}(e_g) & \xrightarrow{\mathcal{A}\xi} \mathcal{A}(v_g) \xrightarrow{\iota_{v_g}} & \bigoplus_{v \in \varphi^{-1}(v_k)} \mathcal{A}(v) \end{array} \quad (2)$$

Here $\xi : v_g \rightarrow e_g$ is an arrow \mathbf{G} such that $f = \varphi(\xi)$ i.e. a tail map (resp. head map) if f is a tail (resp. head) map.

Remarks on $\varphi_!$

If φ is clear from context, we write \mathcal{A}_G instead of $\varphi_!\mathcal{A}$.

When $\iota: G' \rightarrow G$ is an inclusion, then $\underline{\mathbb{F}}_{G'}$ is just the sheaf whose values are \mathbb{F} on G' and 0 elsewhere.

If $\varphi: G \rightarrow K$ is a morphism of digraphs and $\mathcal{A} \in \widehat{\mathcal{K}}$, then

$$\mathcal{A}_G := \varphi_!\varphi^*\mathcal{A} = \mathcal{A} \otimes \underline{\mathbb{F}}_G.$$

The tensor product is computed object-wise. If $K' \rightarrow G$ is another morphism, then

$$\underline{\mathbb{F}}_K \otimes \underline{\mathbb{F}}_{K'} \simeq \underline{\mathbb{F}}_{K \times_G K'}.$$

If $L \rightarrow G$ is an arbitrary morphism of digraphs, then

$$\varphi^*\underline{\mathbb{F}}_L = \underline{\mathbb{F}}_{K \times_G L}.$$

If $\mu: G' \rightarrow G''$ is a morphism of graphs “over G ” i.e. there is a commutative triangle

$$\begin{array}{ccc} G' & \xrightarrow{\mu} & G'' \\ & \searrow \varphi & \swarrow \psi \\ & G & \end{array},$$

then there is an induced morphism $\mu_*: \underline{\mathbb{F}}_{G'} \rightarrow \underline{\mathbb{F}}_{G''}$ in $\widehat{\mathbf{G}}$, which includes the category of digraphs over G as a subcategory of sheaves over G . *This functor is not full.*

Homology

Let $G = (V, E, h, t)$ be a digraph and \mathcal{F} a sheaf on it. Set

$$\mathcal{F}(E) := \bigoplus_{e \in E} \mathcal{F}(e), \quad \mathcal{F}(V) = \bigoplus_{v \in V} \mathcal{F}(v).$$

Let $d_h: \mathcal{F}(E) \rightarrow \mathcal{F}(V)$ map $\phi \in \mathcal{F}(e)$ to $\mathcal{F}(h, e)(\phi) \in \mathcal{F}(he)$. A map d_t is defined similarly. Set $d = d_h - d_t$.

Definition

The **zeroth** and **first homology groups** of \mathcal{F} are respectively

$$H_0(\mathcal{F}) := \text{coker}(d) = \mathcal{F}(V) / \text{im } d, \quad H_1(\mathcal{F}) := \ker(d).$$

The **Betti numbers** are their dimensions, $h_i(\mathcal{F}) = \dim H_i(\mathcal{F})$.

When $\mathcal{F} = \underline{\mathbb{F}}$, the constant sheaf with value \mathbb{F} , then d is the usual incidence matrix, and $H_i(G) := H_i(\underline{\mathbb{F}})$ is the usual homology of G seen as a directed CW-complex.

$$\chi(\mathcal{F}) := h_0(\mathcal{F}) - h_1(\mathcal{F}) = \dim \mathcal{F}(V) - \dim \mathcal{F}(E).$$

Algebraic graph theory

Given a sheaf \mathcal{F} on a digraph G , suppose that for each $g \in \text{Ob } \mathbf{G}$, $\mathcal{F}(g)$ is equipped with an inner product. Then there are adjoint operators d_h^* , d_t^* and $d^* = d_h^* - d_t^*$ from $\mathcal{F}(V)$ to $\mathcal{F}(E)$. The **laplacians** of \mathcal{F} are

$$\Delta_0 = dd^* : \mathcal{F}(V) \rightarrow \mathcal{F}(V), \quad \Delta_1 = d^*d : \mathcal{F}(E) \rightarrow \mathcal{F}(E).$$

When \mathbb{F} is of characteristic zero, then the Δ_i are positive semi-definite operators.

When $\mathcal{F} = \underline{\mathbb{F}}$, with the standard inner products, the laplacians above are the usual laplacians of the graph.

Moreover, one can define the “degree” operator $D_0 = d_h d_h^* + d_t d_t^*$ and the “adjacency” operator $A_0 = d_h d_t^* + d_t d_h^*$ in such a way that $\Delta_0 = D_0 - A_0$, etc.

What are the spectral properties of these matrices?

Theorem

To each short exact sequence $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$ (i.e. such that the kernel of each arrow is the image of the preceding one), there is a long exact sequence of homology groups

$$0 \rightarrow H_1(\mathcal{F}_1) \rightarrow H_1(\mathcal{F}_2) \rightarrow H_1(\mathcal{F}_3) \rightarrow H_0(\mathcal{F}_1) \rightarrow H_0(\mathcal{F}_2) \rightarrow H_0(\mathcal{F}_3) \rightarrow 0.$$

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Definition

A sequence x_0, \dots, x_n of real numbers is **triangular** if for all i , $0 < i < n$,

$$x_i \leq x_{i-1} + x_{i+1}.$$

If $A \xrightarrow{f} B \xrightarrow{g} C$ satisfies $\text{im } f = \ker g$, then

$$\dim B = \dim(\ker g) + \dim(\text{im } g) = \dim(\text{im } f) + \dim(\text{im } g) \leq \dim A + \dim C.$$

Hence the Betti numbers of a long exact sequence form a triangular sequence.

Quasi-Betti numbers

Definition

Let \mathbf{G} be a digraph, and α_0, α_1 be two functions from $\text{Ob } \widehat{\mathbf{G}}$ to $[0, \infty)$. We say that (α_0, α_1) is a **quasi-Betti number pair** if

- 1 For each $\mathcal{A} \in \widehat{\mathbf{G}}$, $\alpha_0(\mathcal{A}) - \alpha_1(\mathcal{A}) = \chi(\mathcal{A})$.
- 2 For any $\mathcal{A}, \mathcal{B} \in \widehat{\mathbf{G}}$ and $i \in \{1, 2\}$,

$$\alpha_i(\mathcal{A} \oplus \mathcal{B}) = \alpha_i(\mathcal{A}) + \alpha_i(\mathcal{B}).$$

- 3 For any short exact sequence of sheaves on G , $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$, the sequence of integers

$$0, \alpha_1(\mathcal{F}_1), \alpha_1(\mathcal{F}_2), \alpha_1(\mathcal{F}_3), \alpha_0(\mathcal{F}_1), \alpha_0(\mathcal{F}_2), \alpha_0(\mathcal{F}_3), 0$$

is triangular.

We say that α_1 is a “first quasi-Betti number”.

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Hanna Neumann's conjecture

The conjecture is a statement about the rank of the intersection $K \cap L$ of two finitely generated subgroups K and L of a free group. (The rank is the smallest cardinality of a generating set.)

In 1954, Howson proved that the intersection of two finitely generated subgroups is always finitely generated. Hanna Neuman proved that

$$\text{rank}(K \cap L) - 1 \leq 2(\text{rank } K - 1)(\text{rank } L - 1),$$

and she also conjectured that one can remove the factor 2 in the bound:

$$\text{rank}(K \cap L) - 1 \leq (\text{rank } K - 1)(\text{rank } L - 1).$$

Later Walter Neumann proposed an stronger conjectural inequality, known as the SHNC.

SHNC: graph-theoretic version

A bicolored digraph is a directed graph G such that each edge is labeled 1 or 2; equivalently, it is a digraph morphism $\nu: G \rightarrow B_2$, where B_2 is the graph with one vertex and two loops. It is an étale bigraph if ν is étale: an injection of incoming (resp. outgoing) edges of ν into incoming (resp. outgoing) edges of $\nu(v)$.

The SHNC is equivalent to

$$\rho(K \times_{B_2} L) \leq \rho(K)\rho(L)$$

for all étale bigraphs K and L , where ρ denotes the **reduced cyclicity** of a graph,

$$\rho(G) = \sum_{X \in \text{conn}(G)} \max(0, h_1(X) - 1).$$

The sum runs over the connected components of G , and h_1 is its usual homology as a CW-complex (number of independent cycles).

Definition

Let \mathcal{F} be a sheaf on a digraph G , and U a subspace of $\mathcal{F}(V)$. The head/tail neighborhood of U is

$$\Gamma_{ht}(U) = \bigoplus_{e \in E} \{w \in \mathcal{F}(e) \mid d_h(w), d_t(w) \in U\}.$$

The excess of \mathcal{F} at U is

$$\text{ex}(\mathcal{F}, U) = \dim \Gamma_{ht}(U) - \dim U,$$

and its maximum over all subspaces of $\mathcal{F}(V)$ is the **maximum excess** of \mathcal{F} .

The excess is a supermodular function

$$\text{ex}(U) + \text{ex}(V) \leq \text{ex}(U + V) + \text{ex}(U \cap V),$$

hence the spaces that maximize it form a lattice.

The key fact is $\text{m.e.}(\underline{\mathbb{F}}) = \rho(G)$.

Ideas for the proof: contagious vanishing

Theorem

If α_1 is any first quasi-Betti number for sheaves of \mathbb{F} -vector spaces on a graph G , and if $\alpha_1(\mathcal{F}) = 0$ for such certain sheaf \mathcal{F} , then for any subgraph G' of G it holds that $\alpha_1(\mathcal{F}_{G'}) = 0$.

Proof.

Consider the short exact sequence

$$0 \rightarrow \mathcal{F}_{G'} \rightarrow \mathcal{F} \rightarrow \mathcal{F}/\mathcal{F}_{G'} \rightarrow 0.$$

The triangularity of the sequence $0, \alpha_1(\mathcal{F}_{G'}), \alpha_1(\mathcal{F}), \dots$ implies the result. □

Ideas for the proof: contagious vanishing (continued)

To establish the SHNC in its graph-theoretic form, one proves first that the maximum excess is a first quasi-Betti number. Then one considers certain exact sequences

$$0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$$

where \mathcal{F}_1 is a so-called ρ -kernel. It is proved then that the maximum excess of a generic ρ -kernels vanish, which in turn implies that $\text{m.e.}(\mathcal{F}_2) \leq \text{m.e.}(\mathcal{F}_3)$.

For any subgraph $G' \subset G$, one can prove that tensoring with the sheaf $\underline{\mathbb{F}}_{G'}$ is an exact functor i.e. there are also short exact sequences

$$0 \rightarrow \mathcal{F}_1 \otimes \underline{\mathbb{F}}_{G'} \rightarrow \mathcal{F}_2 \otimes \underline{\mathbb{F}}_{G'} \rightarrow \mathcal{F}_3 \otimes \underline{\mathbb{F}}_{G'} \rightarrow 0.$$

In view of the last theorem and the remarks above, one gets the stronger statement $\text{m.e.}((\mathcal{F}_2)_{G'}) \leq \text{m.e.}((\mathcal{F}_3)_{G'})$ —recall that $\mathcal{F}_1 \otimes \underline{\mathbb{F}}_{G'} = (\mathcal{F}_1)_{G'}$.

The maximum excess is a first quasi-Betti number?

To prove this, Friedman shows that the maximum excess of a sheaf \mathcal{F} on G can be computed as a *twisted cohomology* of the sheaf $\varphi^*\mathcal{F}$ provided one has a “sufficiently good” covering map $\varphi : G' \rightarrow G$.

Twisted cohomology

Let \mathbb{F}' be a field extension of \mathbb{F} , and $\psi: E_G \rightarrow \mathbb{F}'$ a function. By a twisting of $\mathcal{F} \in \widehat{\mathcal{G}}$ by ψ , we mean a sheaf of \mathbb{F}' -vector spaces \mathcal{F}^ψ such that $\mathcal{F}^\psi(g) = \mathcal{F}(g) \otimes_{\mathbb{F}} \mathbb{F}'$, for each object g , and $\mathcal{F}^\psi(h, e) = \mathcal{F}(h, e)$, $\mathcal{F}^\psi(t, e) = \psi(e)\mathcal{F}(t, e)$.

In particular, ψ can be seen as $|E_G|$ indeterminates, in which case \mathbb{F}' is taken to be $\mathbb{F}(\psi)$, the field of rational functions in the $\psi(e)$. The differential $d = d_{\mathcal{F}^\psi}$ is a morphism of finite dimensional vector spaces over $\mathbb{F}(\psi)$.

Definition

The i -th twisted homology group is $H_i^{\text{twist}}(\mathcal{F})$, for $i = 0, 1$, is respectively the cokernel and kernel of $d_{\mathcal{F}^\psi}$.

There is an analogous short/long exact sequences theorem, hence the Betti numbers h_i^{twists} also give a triangular sequence.

$h_1^{\text{twist}}(\mathbb{F}) = \rho(G)$. In turn, $h_0^{\text{twist}}(\mathbb{F}) = h_1^{\text{twist}}(\mathbb{F}) + \chi(\mathbb{F}) = \rho(G) + \chi(G)$ is the number of acyclic components of G .

The fundamental theorem

Theorem

For any sheaf \mathcal{F} on a digraph G , let $\mu: G'' \rightarrow G$ be a covering map where the Abelian girth is at least

$$2(\dim \mathcal{F}(V) + \dim \mathcal{F}(E)) + 1.$$

Then

$$h_1^{\text{twist}}(\mu^* \mathcal{F}) = \text{m.e.}(\mu^* \mathcal{F}).$$

Recall that if $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$, the same is true for $0 \rightarrow \mu^* \mathcal{F}_1 \rightarrow \mu^* \mathcal{F}_2 \rightarrow \mu^* \mathcal{F}_3 \rightarrow 0$.

Friedman also proves that $\text{m.e.}(\mu^* \mathcal{F}) = \text{m.e.}(\mathcal{F}) \deg(\mu)$ using Galois theory of graphs.

Since h_1^{twist} is a first Betti number, one gets a triangular sequence involving the $\mu^* \mathcal{F}_i$, and normalization by $\deg(\mu)$ shows that the same holds for the maximum excess of the \mathcal{F}_i s.

Outline

- 1 Categorical preliminaries
- 2 Presheaves
- 3 Sheaves on graphs and their homology
- 4 Hanna Neumann's conjecture
- 5 Topoi**
- 6 Afterword: Homology

Sheaves on topological spaces

Given a topological space (X, τ) , let $\mathcal{O}(X)$ be the category whose objects are τ and whose arrows are inclusions.

A **sheaf** on X is a functor $F : \mathcal{O}(X)^{op} \rightarrow \mathbf{Sets}$ such that:

- 1 for any open covering $\{U_i\}_i$ of an open set U , if $f, g \in \mathcal{F}(U)$ are such that $f|_{U_i} = g|_{U_i}$ for each U_i , then $f = g$, and
- 2 for any open covering $\{U_i\}_i$ of an open set U , if $\{f_i \in \mathcal{F}(U_i)\}_i$ is given such that $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$ for every pair (i, j) , then there is $f \in \mathcal{F}(U)$ such that $f|_{U_i} = f_i$ for each i .

A **subsheaf** of a sheaf \mathcal{F} is a subfunctor of \mathcal{F} that is itself a sheaf.

The full subcategory of $\widehat{\mathcal{O}(X)}$ made of sheaves is denoted $\mathbf{Sh}(X)$ or $\widehat{\mathcal{O}(X)}$. It has a terminal object, 1 , that associates to every open U the singleton $\{*\}$ and to every inclusion the identity map. Remark that 1 is a representable functor, $1 = \mathbf{h}_X$.

Inclusion of $\mathcal{O}(X)$ in $\text{Sh}(X)$

From $\text{Sh}(X)$ one can recover the lattice $\mathcal{O}(X)$ of open subsets of X as the lattice of *subsheaves* of the terminal sheaf 1 .

Indeed, any open set U determines, by the Yoneda embedding, a subfunctor \mathcal{h}_U of 1 , and it is easy to verify that it is a sheaf. Conversely, if $\mathcal{F} \hookrightarrow 1$ is a monomorphism, then $\mathcal{F} = \mathcal{h}_W$, where $W = \bigcup \{U \in \mathcal{O}(X) \mid \mathcal{F}(U) = \{*\}\}$, which is clearly an open set that is mapped by \mathcal{F} to $\{*\}$ by definition of a sheaf.

Thus we can recover X itself provided that each point is determined by its open neighborhoods. For instance, if X is Hausdorff. (The precise condition is being *sober*.)

Graphs as usual topological spaces

Let G be a graph *without self loops*. Then $\text{Top}_G = \{\text{subgraphs of } G\}$ defines a topology on $V_G \sqcup E_G$.

An open set is called irreducible if it cannot be written as a union of its proper open subsets. The irreducible opens of $(V_G \sqcup E_G, \text{Top}_G)$ are the vertexes $\{v\}$ and the sets $\{te, e, he\}$.

If every open can be written as a union of irreducible opens, a sheaf in the usual sense is determined by its values on these irreducibles. So we recover the definition above.

But here is the problem: if G is a category with one vertex v and one loop e , then the resulting topological space has trivial H^1 . This is because one only gets *one* arrow from $\{v\}$ to $\{v, e, v\} = \{v, e\}$. This is always the case in topological spaces, because opens form a poset.

Beyond topology

As we saw, a sober topological space X can be recovered from the category $\text{Sh}(X)$. Based on this result, Grothendieck and his school introduced a vast generalization of point-set topology. The idea is to introduce a notion of topology on an arbitrary category \mathbf{C} (nowadays known as *Grothendieck topologies*) and to give a general definition of sheaf in that setting. Of course, the definitions must coincide with the former ones when $\mathbf{C} = \mathcal{O}(X)$.

Beyond topology

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In the topological case, a possible Grothendieck topology associates to every open U of X the set $J(U)$ of all the open coverings of U . Remark that:

- 1 Every open cover $\{U_i\}$ of U can be pulled-back under an inclusion $\iota: V \rightarrow U$ to get an open cover $\{V \cap U_i\}_i$ of V ;
- 2 If each open set of an open cover $\{U_i\}_i$ of U is covered by opens $\{V_j^i\}_j$ (relative to U), then $\{V_j^i\}_{i,j}$ is an open covering of U ;
- 3 For every U , the set $\{U\}$ is an open covering.

Remark that for an irreducible open U , $J(U) = \{U\}$.

Given a category \mathbf{C} and an object U , a **sieve** on U is a subfunctor \mathcal{S} of \mathcal{h}_U . It generalizes the concept of *open covering*: given an open covering $\{U_i\}$ of an open U , the associated sieve $\mathcal{S} : \mathcal{O}(X)^{op} \rightarrow \mathbf{Sets}$ satisfies $\mathcal{S}(V) = \{*\}$ iff $V \subset U_i$ for some i . (Remark that the sieve determines a subcategory of \mathbf{C} .)

Definition ([1, Ex. II, Def. 1.1] or [5, Definition 00Z4])

A topology on \mathbf{C} associates to every $U \in \text{Ob } \mathbf{C}$ a set $J(U)$ of sieves on U such that:

- 1 For every morphism $f : \mathcal{h}_U \rightarrow \mathcal{h}_V$ and every element $\mathcal{S} \in J(U)$, the pullback $\mathcal{S}' \times_{\mathcal{h}_U} \mathcal{h}_V$ belongs to $J(V)$;
- 2 For all $U \in \text{Ob } \mathbf{C}$ and all sieves $\mathcal{S}, \mathcal{S}'$ on U , if $\mathcal{S} \in J(U)$ and for all $(f : V \rightarrow U) \in \mathcal{S}(V)$ the pullback $\mathcal{S}' \times_{\mathcal{h}_U} \mathcal{h}_V \in J(V)$, then $\mathcal{S}' \in J(U)$;^a
- 3 For every $U \in \text{Ob } \mathbf{C}$, the maximal sieve \mathcal{h}_U belongs to $J(U)$.

^aThe morphism $\tilde{f} : \mathcal{h}_V \rightarrow \mathcal{h}_U$ is the image of f under the Yoneda embedding.

In particular, $J(U) = \{\mathcal{h}_U\}$ defines a topology called chaotic or *grossière*.

Definition ([1, Ex. 2, Def. 2.1] or [5, Definition 00Z8])

Let (\mathbf{C}, J) be a Grothendieck topology. A presheaf \mathcal{F} is separable (resp. a **sheaf**) if for every object U of \mathbf{C} and every sieve $\mathcal{S} \in J(U)$, the map

$$\mathrm{Hom}_{\hat{\mathbf{C}}}(\mathcal{h}_U, \mathcal{F}) \rightarrow \mathrm{Hom}_{\hat{\mathbf{C}}}(\mathcal{S}, \mathcal{F})$$

given by precomposition with $\mathcal{S} \hookrightarrow \mathcal{h}_U$ is an injection (resp. bijection).

If J is the *grosnière* topology, then every presheaf is a sheaf.

Definition ([1, Ex. 4, Def. 1.1])

A category \mathbf{T} is called a **topos** if it is equivalent to the category of sheaves on a Grothendieck topology (\mathbf{C}, J) .

Back to graphs

The sheaves on \mathbf{G} according to Friedman's definition are precisely the sheaves on \mathbf{G} equipped with the *grossière* topology.

Then every object of \mathbf{G} is *gross* or irreducible. The sieve associated to a vertex $\{v\}$, seen as a subcategory of \mathbf{G} , only contains $\{v\}$, but the sieve \mathfrak{h}_e associated to an edge e also contains the head and tail of e

So one might say that irreducible opens are either a vertex or an edge with its endpoints (which could also be a loop). A general subgraph is a colimit of representable sheaves \mathfrak{h}_X .

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It is certainly very difficult to say what *is* homology or cohomology, since it appears under many different flavors in many different contexts.

A traditional algebraic viewpoint, also introduced by Grothendieck in [3], regards (co)homology as a measure of the inexactness of a functor.

A category is *abelian* if the usual operations common to the categories of abelian groups and modules have a meaning (addition of morphisms, kernels, cokernels, etc.). A functor between abelian categories is *exact* if it maps short exact sequences to exact sequences. Many functors are *not* exact. For instance, if $0 \rightarrow G_1 \rightarrow G_2 \rightarrow G_3 \rightarrow 0$ is a short exact sequence of abelian groups and G is an arbitrary abelian group, one only has

$$0 \rightarrow \text{Hom}(G_3, G) \rightarrow \text{Hom}(G_2, G) \rightarrow \text{Hom}(G_1, G).$$

The derived functors of $\text{Hom}(-, G)$, called $\{\text{Ext}^i(-, G)\}_{i \geq 1}$, allow us to continue such exact sequence, in principle indefinitely to the right

$$0 \rightarrow \text{Hom}(G_3, G) \rightarrow \text{Hom}(G_2, G) \rightarrow \text{Hom}(G_1, G) \rightarrow \text{Ext}^1(G_3, G) \rightarrow \\ \text{Ext}^1(G_2, G) \rightarrow \text{Ext}^1(G_1, G) \rightarrow \text{Ext}^2(G_3, G) \rightarrow \dots \quad (3)$$

The category $\widehat{\mathbf{G}}_{\mathbb{F}}$ of sheaves of \mathbb{F} -vector spaces on \mathbf{G} is abelian; as we saw, the abelian operations are performed “object-wise”.

Friedman's homology of a sheaf \mathcal{F} in $\widehat{\mathbf{G}}_{\mathbb{F}}$ is

$$H_i(\mathbf{G}, \mathcal{F}) := (\text{Ext}^i(\mathcal{F}, \underline{\mathbb{F}}))^*,$$

where $*$ denotes duality.

An injective resolution of $\underline{\mathbb{F}}$ gives the explicit formulae that we used above.

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