

MULTIPLICITIES FORMULA FOR GEOMETRIC QUANTIZATION, PART II

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1. Introduction. Let P be a compact manifold. Let H be a compact Lie group acting on the right on P . We assume that the stabilizer of each element $y \in P$ is a finite subgroup of H . The space $M = P/H$ is an orbifold and every orbifold can be presented this way. If H acts freely, then M is a manifold. If \mathcal{L} is an H -equivariant line bundle on P , the space \mathcal{L}/H will be called an *orbifold line bundle* on M . Let G be a compact Lie group with Lie algebra \mathfrak{g} acting on the compact orbifold $M = P/H$. We consider the case where M is a prequantized symplectic orbifold. Let \mathcal{L} be a G -equivariant Kostant-Souriau orbifold line bundle on M . Then the quantized representation $Q(M, \mathcal{L})$ associated to (M, \mathcal{L}) is a virtual representation of G constructed as the $\mathbb{Z}/2\mathbb{Z}$ -graded space of H -invariant solutions of the H -horizontal Dirac operator on P twisted by the line bundle \mathcal{L} .

Let $\mu: M \rightarrow \mathfrak{g}^*$ be the moment map for the G -action. Assume 0 is a regular value of μ . Let M_{red} be the reduced orbifold of M ; that is, $M_{\text{red}} = \mu^{-1}(0)/G$. Consider the reduced orbifold line bundle $\mathcal{L}_{\text{red}} = \mathcal{L}|_{\mu^{-1}(0)}/G$ on M_{red} . In the case where both G and H are torus, we prove here the formula

$$Q(M, \mathcal{L})^G = Q(M_{\text{red}}, \mathcal{L}_{\text{red}}).$$

This formula was conjectured by Guillemin-Sternberg [4] and proved when M is a complex manifold and \mathcal{L} a sufficiently positive G -equivariant holomorphic line bundle. Here, we do not assume the existence of complex structure on M . Initially, we obtained a proof [7] of the formula $Q(M, \mathcal{L})^G = Q(M_{\text{red}}, \mathcal{L}_{\text{red}})$ for the case where M is a symplectic manifold with Hamiltonian action of a torus G such that G acts freely on $\mu^{-1}(0)$. Let us recall that independently E. Meinrenken [6] had obtained a proof of the formula $Q(M, \mathcal{L})^G = Q(M_{\text{red}}, \mathcal{L}_{\text{red}})$ including the case where M_{red} is an orbifold. It is possible to generalise the method sketched in [7] to cover the case of orbifolds. Indeed, after writing a character formula [9] for $Q(P/H, \mathcal{L})$, similar arguments can be given. We give here an alternative approach that requires almost no calculations. This approach is the K -theoretical version of the deformation argument in equivariant cohomology employed in Part I of this article [8]. However, we have tried to write the present article in such a way that the reading of Part I (although reassuring) is not necessary to understand our arguments. In Part I, we wrote in detail the case of an S^1 -action using a deformation formula for the character of $Q(M, \mathcal{L})$. The original inspiration of

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this deformation argument goes back to Witten [10]. We here replace this argument by a simpler argument based on the deformation of the symbol of the Dirac operator inside G -transversally elliptic symbols. Although this approach is more direct, it uses Atiyah's theory [1] of the index of transversally elliptic symbols: existence of the index as a trace-class virtual representation of G , homotopy invariance of the index, excision, multiplicativity, and the explicit calculation of the index for the Atiyah symbol m (see Formula 5).

Let us explain briefly our argument in the case of an S^1 -Hamiltonian action on a compact symplectic manifold M . Let $G = S^1$. Let $E \in \mathfrak{g}$ be a basis of the Lie algebra \mathfrak{g} and let $f = \mu(E)$. Let (\cdot, \cdot) be a G -invariant metric. We introduce on M the G -invariant 1-form

$$\lambda(\cdot) = \mu(E)(E_M, \cdot),$$

where E_M is the vector field on M generated by the S^1 -action. We denote by (x, ξ) a point of the cotangent bundle T^*M . With the help of λ , we deform the symbol $c(x, \xi) \otimes I_{\mathcal{L}}$ of the twisted Dirac operator in a G -transversally elliptic symbol $c_1(x, \xi) = c(x, \xi - t\lambda_x) \otimes I_{\mathcal{L}}$. As the index is invariant under homotopy, we obtain $Q(M, \mathcal{L}) = \text{index}(c_1)$. A model for the virtual representation $\text{index}(c_1)$ can be realised in the space of solutions of an operator D_1 with symbol homotopic to c_1 . The form λ vanishes on $M_0 = f^{-1}(0)$ and on M^G . We can choose such an operator D_1 so that its solutions are supported near the set of zeroes of λ . Using this, we need to analyse c_1 on a neighbourhood of M_0 and M^G . Assume zero is a regular value of f . Then M_0/G is an orbifold. It is easy to see that the restriction c_1^0 on a neighbourhood of M_0 isomorphic to $M_0 \times \mathbb{R}$ is just the tensor product of the symbol of the G -horizontal Dirac operator $D_{\mathcal{L}_0}$ on M_0 (twisted by $\mathcal{L}|_{M_0}$) and the Bott symbol on \mathbb{R} . We thus have

$$\text{index}(c_1^0)^G = Q(G \backslash M_0, G \backslash \mathcal{L}|_{M_0}).$$

We analyse the symbol c_1^a on a neighbourhood of a fixed-point component M_a of M^G . If M_a is a point p_a , the symbol c_1^a coincides with the Atiyah symbol m shifted by a line bundle. Thus, we obtain that the index of c_1^a is $e_{i\mu_a + \rho_a} \sum_k Tr_{S^k N_a}$, where N_a is the tangent space at p_a equipped with a particular complex structure. By calculations very similar to those in Part I [8], we see that the trivial representation of G does not occur in $\text{index}(c_1^a)$.

In our proof of the equality

$$Q(M, \mathcal{L})^G = Q(M_{\text{red}}, \mathcal{L}_{\text{red}}),$$

the number $Q(M_{\text{red}}, \mathcal{L}_{\text{red}})$ is by definition the index of an elliptic operator on the orbifold M_{red} . When M_{red} is a complex algebraic variety, the number $Q(M_{\text{red}}, \mathcal{L}_{\text{red}})$ is given by Riemann-Roch-Kawasaki's formula [5]. When (as is the case in our setting) M_{red} is a quotient of a manifold by a torus action, integral formula for the

number $Q(M_{\text{red}}, \mathcal{L}_{\text{red}})$ is given by Atiyah [1] Corollary 9.12. It follows that our result coincides with Meinrenken’s expression. In [9], we give similar integral expressions for the trace of the index of G -elliptic operators on orbifolds.

2. Quantization on orbifolds. Let G be a compact Lie group with Lie algebra \mathfrak{g} . Let M be a smooth G -manifold. Let T^*M be the cotangent bundle to M with projection $p: T^*M \rightarrow M$. We follow notations of [2] for transversally elliptic symbols. If \mathcal{E}^\pm are G -equivariant Hermitian vector bundles over M , a morphism $\sigma \in \Gamma(T^*M, \text{Hom}(p^*\mathcal{E}^+, p^*\mathcal{E}^-))$ of G -equivariant vector bundles will be called a symbol. We denote by $\sigma^*: p^*\mathcal{E}^- \rightarrow p^*\mathcal{E}^+$ the adjoint of the morphism σ . A point of T^*M will be denoted by (x, ξ) , with $x \in M$ and $\xi \in T_x^*M$. Then $\sigma(x, \xi): \mathcal{E}_x^+ \rightarrow \mathcal{E}_x^-$. The subset of point $(x, \xi) \in T^*M$ where $\sigma(x, \xi)$ is not invertible will be called the characteristic set of σ . We do not assume any homogeneity assumption on σ . However, we assume that σ is defined and is C^∞ on all of T^*M . If σ is invertible outside a compact subset of T^*M , we will say that σ is elliptic. Then an elliptic symbol σ defines an element of $K_G(T^*M)$, and if M is compact, the index of σ is a virtual finite-dimensional representation of G , that is, a difference of two finite-dimensional representations of G . We denote by T_G^*M the closed subset of T^*M which is the union of conormal to the orbits of G in M ; that is,

$$T_G^*M = \{(x, \xi); \langle \xi, X_M(x) \rangle = 0 \text{ for all } X \in \mathfrak{g}\}.$$

A symbol σ will be called transversally elliptic if the restriction of $\sigma(x, \xi)$ to T_G^*M is invertible outside a compact subset of T_G^*M . Then σ defines an element of $K_G(T_G^*M)$. If M is compact, then the index of σ is defined as in [1] and is a trace-class virtual representation of G . If U is a G -invariant open subset of a compact G -manifold M , and if σ is a G -transversally elliptic symbol on U , then the index of σ is also defined. If σ is a symbol on M invertible on all points of T^*M above $M - U$, then $\text{index}(\sigma) = \text{index}(\sigma|_U)$. This is the excision lemma.

Let us recall the definition of the external product of symbols. Let M_1 and M_2 be two manifolds with G -actions. Let \mathcal{E}_i^\pm be G -equivariant Hermitian vector bundles over M_i . Let $p_i^*\mathcal{E}_i^+ \xrightarrow{\sigma_i} p_i^*\mathcal{E}_i^-$ be two transversally elliptic symbols. We denote by

$$\sigma_1 \odot \sigma_2: p_1^*\mathcal{E}_1^+ \otimes p_2^*\mathcal{E}_2^+ \oplus p_1^*\mathcal{E}_1^- \otimes p_2^*\mathcal{E}_2^- \rightarrow p_1^*\mathcal{E}_1^- \otimes p_2^*\mathcal{E}_2^+ \oplus p_1^*\mathcal{E}_1^+ \otimes p_2^*\mathcal{E}_2^-$$

the symbol defined by

$$(1) \quad \sigma_1 \odot \sigma_2 = \begin{pmatrix} \sigma_1 \otimes I & -I \otimes \sigma_2^* \\ I \otimes \sigma_2 & \sigma_1^* \otimes I \end{pmatrix}.$$

Under some conditions on characteristic sets of σ_1 and σ_2 , the symbol $\sigma_1 \odot \sigma_2$ is transversally elliptic. Furthermore, the tensor product $\text{index}(\sigma_1) \otimes \text{index}(\sigma_2)$ of the virtual trace-class representations $\text{index}(\sigma_i)$ is a virtual trace-class represen-

tation of G (for example, if one of the σ_i is elliptic, these conditions are satisfied), and

$$(2) \quad \text{index}(\sigma_1 \odot \sigma_2) = \text{index}(\sigma_1) \otimes \text{index}(\sigma_2).$$

This is the multiplicativity property of the index. We will use this equality for symbols verifying the hypothesis of [1, Theorem 3.5]. (The group G is a product $G_1 \times G_2$, and G_2 acts trivially on M_1 .)

Let P be a compact smooth manifold. Let G and H be two compact Lie groups acting on P with commuting actions. We assume that the action of H is infinitesimally free; that is, the stabilizer of any point $y \in P$ is a finite subgroup of H . We write the action of H on the right and the action of G on the left. The quotient space P/H is provided with an action of G , and the space P/H will be called a G -orbifold. A tangent vector on P tangent at $y \in P$ to the orbit $H \cdot y$ will be called a vertical tangent vector. We will say that the space T_H^*P is the horizontal cotangent bundle. The bundle T_H^*P is a $(G \times H)$ -equivariant vector bundle over P . Let us assume that T_H^*P is an even-dimensional $(G \times H)$ -equivariant orientable vector bundle. For simplicity, we assume that T_H^*P admits a $(G \times H)$ -invariant spin structure. Let $K_{G \times H}(P)$ be the Grothendieck group of $(G \times H)$ -equivariant vector bundles on P . Choose a $(G \times H)$ -invariant orientation o on T_H^*P . Then there is a well-defined quantization map

$$Q_{P,H}^o: K_{G \times H}(P) \rightarrow R(G).$$

We can construct this map as follows. Choose a $(G \times H)$ -invariant metric on P . The bundle of vertical vectors is isomorphic to $P \times \mathfrak{h}$. Let

$$TP = T_{\text{hor}}P \oplus P \times \mathfrak{h}$$

be the orthogonal decomposition of the tangent bundle. The bundles $T_{\text{hor}}P$ and T_H^*P are isomorphic. Let \mathcal{S}_{hor} be the spin bundle for $T_{\text{hor}}P$. The orientation o determines a $\mathbb{Z}/2\mathbb{Z}$ -gradation $\mathcal{S}_{\text{hor}} = \mathcal{S}_{\text{hor}}^+ \oplus \mathcal{S}_{\text{hor}}^-$. If $v \in (T_{\text{hor}}P)_y$, then the Clifford multiplication $c(v)$ is an odd operator on $(\mathcal{S}_{\text{hor}})_y$. Let \mathcal{E} be a $(G \times H)$ -equivariant Hermitian vector bundle on P . Let $\mathcal{S}_{\text{hor}} \otimes \mathcal{E}$ be the twisted horizontal spin bundle. With the help of a choice of a $(G \times H)$ -invariant unitary connection $\nabla = \nabla^+ \oplus \nabla^-$ on $\mathcal{S}_{\text{hor}} \otimes \mathcal{E} = \mathcal{S}_{\text{hor}}^+ \otimes \mathcal{E} \oplus \mathcal{S}_{\text{hor}}^- \otimes \mathcal{E}$, we may define the formally self-adjoint ‘‘horizontal’’ Dirac operator $D_{\text{hor},\mathcal{E}}$ by

$$D_{\text{hor},\mathcal{E}} = \sum_i c(e_i) \nabla_{e_i},$$

where e_i runs over an orthonormal basis of $T_H^*P = T_{\text{hor}}P$. We have $D_{\text{hor},\mathcal{E}} = D_{\text{hor},\mathcal{E}}^+ \oplus D_{\text{hor},\mathcal{E}}^-$ with

$$D_{\text{hor},\mathcal{E}}^+: \Gamma(P, \mathcal{S}_{\text{hor}}^+ \otimes \mathcal{E}) \rightarrow \Gamma(P, \mathcal{S}_{\text{hor}}^- \otimes \mathcal{E})$$

and

$$D_{\text{hor}, \mathcal{E}}^-: \Gamma(P, \mathcal{S}_{\text{hor}}^- \otimes \mathcal{E}) \rightarrow \Gamma(P, \mathcal{S}_{\text{hor}}^+ \otimes \mathcal{E}).$$

Clearly, the operators $D_{\text{hor}, \mathcal{E}}^\pm$ are H -transversally elliptic operators and commute with the natural action of G . The index $\text{index}(D_{\text{hor}, \mathcal{E}}^+)$ is defined as in [1] and is a trace-class virtual representation of H . It follows in particular that the spaces $(\text{Ker } D_{\text{hor}, \mathcal{E}}^\pm)^H$ of H -invariant solutions of $D_{\text{hor}, \mathcal{E}}^\pm$ are finite-dimensional representation spaces of G . We define the virtual representation $Q_{P,H}^\circ(\mathcal{E}) \in R(G)$ by

$$Q_{P,H}^\circ(\mathcal{E}) = (-1)^{(\dim P - \dim H)/2} [(\text{Ker } D_{\text{hor}, \mathcal{E}}^+)^H] - [(\text{Ker } D_{\text{hor}, \mathcal{E}}^-)^H].$$

When H acts freely on P , then the manifold $M = P/H$ admits a G -invariant spin structure, the space \mathcal{E}/H is a vector bundle on P/H , and we have indeed

$$Q_{P,H}^\circ(\mathcal{E}) = Q^\circ(P/H, \mathcal{E}/H)$$

where the map $Q^\circ(M, \cdot)$ was defined in Part I for a spin even-dimensional compact manifold M . Thus, we will write $Q_{P,H}^\circ(\mathcal{E}) = Q^\circ(P/H, \mathcal{E})$.

If $G = \{e\}$, then $Q^\circ(P/H, \mathcal{E})$ is an integer called the Riemann-Roch number of \mathcal{E} . If P is a complex manifold and \mathcal{E} a holomorphic H -equivariant bundle on H , the number $Q^\circ(P/H, \mathcal{E})$ is computed by Kawasaki's formula [5]. In general, it is given by a similar formula [1].

We note for further use the following trivial result. Let T be a torus acting trivially on P . If \mathcal{E} is a $(T \times H)$ -equivariant vector bundle on P , we write $\mathcal{E} = \sum_{\xi} \mathcal{E}_{\xi}$, where T acts on \mathcal{E}_{ξ} by e_{ξ} . Each vector bundle \mathcal{E}_{ξ} is an H -equivariant vector bundle on P , and $Q^\circ(P/H, \mathcal{E}_{\xi})$ is a number. We have

$$(3) \quad Q^\circ(P/H, \mathcal{E}) = \bigoplus_{\xi \in \hat{T}} Q^\circ(P/H, \mathcal{E}_{\xi}) e_{\xi};$$

in particular, the set of weights ξ occurring in $Q^\circ(P/H, \mathcal{E})$ is contained in the set of weights ξ such that \mathcal{E}_{ξ} is nonzero.

We can extend without difficulty all the results of [8] to the case of an S^1 -Hamiltonian action on an orbifold P/H . We first define what is a Hamiltonian action in this case. A differential form α on P will be called *horizontal* if $\iota(Y) \cdot \alpha = 0$ for all $Y \in \mathfrak{h}$. The differential form α is called *basic* if it is horizontal and H -invariant.

Definition 1. A symplectic form on P/H is a closed, basic 2-form σ on P such that, for each $y \in P$, the form σ_y is nondegenerate on $(T_{\text{hor}}P)_y$.

If P/H is a symplectic orbifold, then the bundle T_H^*P has a canonical orientation. We will choose o as being the symplectic orientation, and we may omit it in the notation.

We say that the action of G on P/H is Hamiltonian, if there exists an H -

invariant map $\mu: P \rightarrow \mathfrak{g}^*$ such that

$$d\mu(X) = \iota(X_P)\sigma$$

for all $X \in \mathfrak{g}$.

The following lemma is easily proved.

LEMMA 2. *Assume that zero is a regular value of μ . Then the action of $G \times H$ on $P_0 = \mu^{-1}(0)$ is infinitesimally free. Furthermore, the restriction σ_0 of σ to the manifold P_0 is a symplectic structure on the orbifold $P_0/(G \times H)$.*

For $X \in \mathfrak{g}$, we define $\sigma_g(X) = \mu(X) + \sigma$. Let \mathcal{L} be a $(G \times H)$ -invariant line bundle on P with $G \times H$ -invariant connection A . We say that \mathcal{L} is a Kostant-Souriau line bundle on the G -Hamiltonian orbifold P/H , if the equivariant curvature of \mathcal{L} is equal to $i\mu(X) + i\sigma$. In this case, we say that the orbifold P/H is prequantized. The space $Q(P/H, \mathcal{L})$ is a virtual representation of G .

Let G and K be two compact Lie groups. We assume that the group $G \times K$ acts in a Hamiltonian way on $(P/H, \sigma)$. Let $\mu: P \rightarrow \mathfrak{g}^*$ be the moment map for the G -action on P/H . Assume that zero is a regular value of μ . Let $P_0 = \mu^{-1}(0)$. Then $(P_0/(G \times H), \sigma_0)$ is a K -Hamiltonian orbifold.

THEOREM 3. *Let $(P/H, \sigma)$ be a symplectic orbifold for the action of a torus H . We consider a Hamiltonian action of $G \times K$ on P/H , where G and K are two compact Lie groups. Assume that G is a torus. Let $\mu: P \rightarrow \mathfrak{g}^*$ be the moment map for the G -action on P/H . Assume that zero is a regular value of μ . Let $P_0 = \mu^{-1}(0)$. Assume that the $(G \times K)$ -Hamiltonian orbifold P is prequantized. Let \mathcal{L} be a Kostant-Souriau line bundle for the Hamiltonian action of $(G \times K)$ on P . Then we have the equality in $R(K)$:*

$$Q(P/H, \mathcal{L})^G = Q(P_0/(G \times H), \mathcal{L}|_{P_0}).$$

Proof. In the setting of orbifolds, we can proceed by induction on G . We assume the theorem proven for an S^1 -action. Let $\exp: \mathfrak{g} \rightarrow G$ be the exponential map and Γ its kernel. If $X \in \Gamma$, the 1-parameter subgroup $\theta \mapsto \exp \theta X/2\pi$ is closed and isomorphic to $S^1 = \{e^{i\theta}\}$. We can choose $X \in \Gamma$ such that zero is a regular value of $f = \mu(X)$. Indeed, let us denote by $P(G_{\text{vert}})$ the set of points $y \in P$ such that $Gy \in Hy$ (if $H = \{1\}$, this is the fixed point set of G). This is a closed submanifold of P . Our hypothesis that zero is a regular value of μ implies that $\mu(P(G_{\text{vert}}))$ is a finite set of nonzero elements ξ_i of \mathfrak{g}^* . The critical set of the map $f = \mu(X)$ is the set $P(X_{\text{vert}})$ where the vector field X_P is vertical. If X is generic in \mathfrak{g} , the set $P(X_{\text{vert}})$ coincides with $P(G_{\text{vert}})$. Thus, if X is generic in \mathfrak{g} , then $\xi_i(X) \neq 0$, and the critical set $P(G_{\text{vert}})$ of f does not intersect $f^{-1}(0)$.

Let $X \in \Gamma$ such that zero is a regular value of f . Consider the compact 1-parameter group $\exp \theta X$ isomorphic to S^1 . There is a subgroup G' of G such that the map $S^1 \times G' \rightarrow G$ given by $(e^{i\theta}, g') \mapsto \exp(\theta X)g'$ is a finite cover. Applying

the theorem to S^1 , with symmetry group G' , we obtain $Q(P/H, \mathcal{L})^{S^1 \times G'} = Q(P_0/(S^1 \times H), \mathcal{L})^{G'}$ with $P_0 = f^{-1}(0)$, and we conclude by induction.

Thus, it is sufficient to prove this theorem for an action of $G = S^1$.

Let $G = \{e^{i\theta}; \theta \in \mathbb{R}\}$. We choose a basis E of \mathfrak{g} such that $\exp(\theta E) = e^{i\theta}$ and denote by $f: P \rightarrow \mathbb{R}$ the map $f = \mu(E)$.

We consider the vector field $W = fE_p$ on P . Denote by C the set of points $y \in P$ of P where the vector W_y is vertical. Morally, the space C/H is the set of zeroes of the vector field W acting on P/H . It is clear that $C = P_0 \cup P(E_{\text{vert}})$ where $P_0 = f^{-1}(0)$, and where $P(E_{\text{vert}})$ is the set of points $y \in P$ where E_y is vertical. Let \mathcal{F} be the set of connected components of $P(E_{\text{vert}})$. For $a \in \mathcal{F}$, we write P_a for the corresponding connected component. (If $H = \{1\}$, then $P(E_{\text{vert}})$ is simply the set of fixed points of $G = \{\exp \theta E\}$). Using the vector field W , we first deform the Dirac operator $D_{\text{hor}, \mathcal{L}}^+$ in a $(G \times H)$ -transversally elliptic operator "trivial" outside a neighbourhood of C . We denote by (y, ξ) an element of T^*P with $y \in P$ and $\xi \in T_y P$. Consider the symbol σ of $D_{\text{hor}, \mathcal{L}}^+$. We have

$$\sigma(y, \xi) = c^+(h(\xi)) \otimes I: (\mathcal{S}_{\text{hor}}^+ \otimes \mathcal{L})_y \rightarrow (\mathcal{S}_{\text{hor}}^- \otimes \mathcal{L})_y,$$

where h is the horizontal projection of T^*P on $T_{\mathbb{R}}^*P$. We identify TP with T^*P .

LEMMA 4. For all $t \in \mathbb{R}$, the symbol

$$\sigma_t(y, \xi) = \sigma(y, \xi - tW_y)$$

is a $(G \times H)$ -transversally elliptic symbol.

Proof. We see that the vanishing of $\sigma_t(y, \xi)$ implies that $h(\xi)$ is proportional to $h(W_y)$. As W_y at each point is proportional to E_y , we see that the symbol σ_t is never 0 on $T_{G \times H}^*P - \{0\}$. ■

Consider the $(G \times H)$ -transversally elliptic symbol σ_1 . Its index is a trace-class virtual representation of $(G \times H)$. By homotopy invariance of the index, we have then $\text{index}(D_{\text{hor}, \mathcal{L}}^+) = \text{index}(\sigma_1)$.

Remark 2.1. In the identification of TP with T^*P , the vector field W becomes equal to the 1-form λ of [10]. The homotopy argument for the equality $\text{index}(D_{\text{hor}, \mathcal{L}}^+) = \text{index}(\sigma_1)$ is similar to the fact that the form $e^{itd_g \lambda}$ is congruent to 1 in equivariant cohomology.

The argument which follows corresponds to the localisation argument employed in [8], when t becomes large.

Outside a neighbourhood of C , the horizontal component of W is nonzero; we then can deform the symbol

$$(4) \quad \sigma_1(y, \xi) = c^+(h(\xi) - h(W_y)) \otimes I$$

to a symbol independent of ξ and given by the bundle isomorphism $c^+(-h(W_y)) \otimes I$. Indeed, we may choose a $(G \times K \times H)$ -invariant partition of unity, where ϕ_0 is identically 1 in a neighbourhood of C and with support in a small neighbourhood of C and deform $\sigma_1(y, \xi)$ in $\sigma'_1(y, \xi) = c^+(\phi_0(y)h(\xi) - \phi_1(y)h(W_y)) \otimes I$. We denote by σ_1^0 the restriction of σ'_1 to a tubular neighbourhood of P_0 and by σ_1^a the restriction of σ'_1 to a tubular neighbourhood of P_a . By the excision lemma, we then have

$$\text{index}(\sigma_1) = \text{index}(\sigma_1^0) \oplus (\oplus_{a \in \mathcal{F}} \text{index}(\sigma_1^a)).$$

To prove Theorem 3, it remains to prove the following proposition.

PROPOSITION 5. *Let $G = S^1$ acting on the symplectic orbifold P/H . Then*

(1) *Let a be a component of the set $P(G_{\text{vert}})$; then*

$$\text{index}(\sigma_1^a)^{G \times H} = 0;$$

(2) $\text{index}(\sigma_1^0)^{G \times H} = Q(P_0/(G \times H), \mathcal{L}_0)$.

We will prove the equality (1) of Proposition 5 in Section 3 and the equality (2) in Section 4.

3. The local index near a fixed-point component. We first describe a particular transversally elliptic symbol which will be needed for the description of σ_1^a .

Let V be a complex Hermitian vector space. Let $U(V)$ be the unitary group of V . Let $S^1 = \{e^{i\theta}\}$. Consider the exterior bundle $\Lambda V = \Lambda^+ V \oplus \Lambda^- V$ graded in even and odd elements. For $v \in V$, we denote by $\varepsilon(v)$ the left exterior multiplication by v and by $\iota(v)$ the contraction by v . Let $\ell(v) = \varepsilon(v) + \iota(v)$ so that $\ell(v)^2 = \|v\|^2 I$. We write $\ell(v) = \ell^+(v) \oplus \ell^-(v)$ with $\ell^+(v): \Lambda^+ V \rightarrow \Lambda^- V$.

We identify T^*V with $V \oplus V$ with the help of the scalar product on V . Define

$$(5) \quad m(v, \xi) = \ell^+(v - i\xi).$$

It is a S^1 -transversally elliptic symbol on V . Furthermore, m is $U(V)$ -invariant. Thus, m is a fortiori a $U(V)$ -transversally elliptic symbol. The index of m is a trace-class virtual representation of $U(V)$. We denote by \det_V the 1-dimensional representation $u \rightarrow \det_V(u)$ of $U(V)$.

LEMMA 6. *We have the equality of virtual representations of $U(V)$*

$$\text{index}(m) = (-1)^{\dim_{\mathbb{C}} V} \det_V \otimes \bigoplus_{k=0}^{\infty} S^k(V).$$

Proof. It is sufficient to prove this formula on the torus of $U(V)$ composed of diagonal matrices. Then, by multiplicativity of the index, it is sufficient to prove this formula for $V = \mathbb{C}$. This results from [1, Lemma 6.4] (see also [2, Appendix 2]). ■

We also recall that if $V = V_0 \oplus V_1$ is an orthogonal decomposition of an even-dimensional Euclidean vector space V in two even-dimensional Euclidean spaces, then the spinor space S for V is the tensor product of the spinor spaces S_i for V_i :

$$S = S_0 \otimes S_1 .$$

If $\xi = \xi_0 + \xi_1$ with $\xi_i \in V_i$, then

$$c(\xi) = c(\xi_0) \otimes c(\xi_1) .$$

Let us analyze $P(E_{\text{vert}})$. At a point $y \in P(E_{\text{vert}})$, there exists a unique vector $H_y \in \mathfrak{h}$, such that $(E + H_y)_y = 0$. Let I be the set of elements $Y \in \mathfrak{h}$ such that $P(E + Y)$ is nonempty. This set is finite. Then it is clear that $P(E_{\text{vert}}) = \bigcup_{i \in I} P(E + Y_i)$. For each $a \in \mathcal{F}$ there exists a unique $Y_a \in \mathfrak{h}$ such that P_a is a connected component of the manifold $P(E + Y_a)$. We write $S_a = E + Y_a$. The manifold P_a is an H -manifold with infinitesimally free action of H . In particular, the subgroup of H acting trivially on P_a is a finite group. Let us remark that the 1-parameter group generated by S_a in $G \times H$ is compact. Indeed, the action of $\exp 2\pi Y_a$ on P_a is trivial as $Y_a = (E + Y_a) - E$. Thus, $\gamma_a = \exp 2\pi Y_a$ belongs to the finite subgroup of H acting trivially on P_a . We thus see that there exists an integer k such that $\exp 2\pi k S_a = 1$ in $G \times H$. The map $\mathbb{R} \rightarrow G \times H$ given by $\theta \mapsto \exp \theta S_a$ gives a map from $\mathbb{R}/2\pi k\mathbb{Z}$ into $G \times H$ and the 1-parameter subgroup $G_a \subset G \times H$ generated by $S_a = E + Y_a$ is compact. The projection $G \times H \rightarrow G$ realizes G_a as a finite cover of G . The manifold P_a is a connected component of the manifold P^{G_a} . The function $\mu(E)$ is constant on P_a . Let μ_a be its constant value. The action of G_a on $\mathcal{L}|_{P_a}$ is thus given by $\exp(\theta S_a) \cdot v = e^{i\mu_a \theta} v$. In particular, μ_a is a rational number.

Consider the normal bundle \mathcal{N}_a to P_a in P . We then have an orthogonal decomposition

$$T_{\text{hor}} P|_{P_a} = T_{\text{hor}} P_a \oplus \mathcal{N}_a .$$

The action of S_a on \mathcal{N}_a allows us to decompose $\mathcal{N}_a \otimes_{\mathbb{R}} \mathbb{C}$ as a sum of complex vector bundles $\mathcal{N}_a(\alpha)$ where G^a acts on $\mathcal{N}_a(\alpha)$ by the weight e_α .

If μ_a is positive, we write

$$\Delta_a^{\text{out}} = \{ \alpha, i(\alpha, S_a) < 0 \} .$$

At the opposite, if μ_a is negative, we write

$$\Delta_a^{\text{out}} = \{ \alpha, i(\alpha, S_a) > 0 \} .$$

We write

$$\mathcal{N}_a^+ = \bigoplus_{\alpha \in \Delta_a^{\text{out}}} \mathcal{N}_a(\alpha) .$$

The vector bundle $T_{\text{hor}}P_a$ has a spin structure, with spinor bundle $\mathcal{S}_{\text{hor},a}$. Furthermore, we can construct a line bundle ρ_a over P_a such that $(\rho_a)^2 = \Lambda^{n_a}\mathcal{N}_a^+$ where n_a is the rank of \mathcal{N}_a^+ .

The index of the $(G \times H)$ -transversally elliptic symbol σ_1^a is a trace-class virtual representation of $G \times H$. The action of G in $\text{index}(\sigma_1^a)^H$ is a trace-class virtual representation of G . As the group K acts as a group of symmetries, this virtual representation is indeed a virtual representation of $G \times K$. Choose an orientation o_a on $T_{\text{hor}}P_a$. The sign $\varepsilon(o, o_a, \Delta_a^{\text{out}})$ is defined in [8, Formula (23)].

THEOREM 7. *We have the equality of trace-class virtual representations of $G \times K$*

$$\text{index}(\sigma_1^a)^H = \varepsilon(o, o_a, \Delta_a^{\text{out}}) \sum_{k=0}^{\infty} Q^{o_a}(P_a/H, \mathcal{L}|_{P_a} \otimes \rho_a \otimes S^k(\mathcal{N}_a^+)).$$

Let us first note that we obtain from this theorem the corollary.

COROLLARY 8. *Let μ_a be the constant value of $\mu(E)$ on P_a .*

If $\mu_a > 0$, the virtual representation $\text{index}(\sigma_1^a)^H$ of $G = S^1$ is of the form $\bigoplus_n a_n e^{in\theta}$ with $n \geq \mu_a$.

If $\mu_a < 0$, the virtual representation $\text{index}(\sigma_1^a)^H$ of $G = S^1$ is of the form $\bigoplus_n a_n e^{in\theta}$ with $n \leq \mu_a$.

In particular, for all $a \in \mathcal{F}$, we have $(\text{index}(\sigma_1^a))^{G \times H} = 0$.

Proof. To compute the action of G on $\text{index}(\sigma_1^a)^H$, it is sufficient to compute the action of the covering group $G_a \subset G \times H$ of G . As G_a acts trivially on P_a , we can employ Formula (3) to obtain the result. Indeed, by our choice of Δ_a^{out} , then for $\mu_a > 0$, all weights of $\exp \theta S_a$ on $\mathcal{L}|_{P_a} \otimes \rho_a \otimes S^k(\mathcal{N}_a^+)$ are of the form $e^{i(\mu_a+k)\theta}$ with $k_a > 0$. ■

Let us prove Theorem 7. The group K acts as a group of symmetries, and all of our construction will be K -invariant. Thus, we leave implicit the action of K .

We consider the noncompact manifold equal to the total space of \mathcal{N}_a . It is a $(G \times H)$ -manifold. The manifold \mathcal{N}_a is fibered over P_a with projection p_a . Consider the infinitesimally free action of H on \mathcal{N}_a . Using a connection on \mathcal{N}_a , we can write the tangent bundle to the total space \mathcal{N}_a as

$$T\mathcal{N}_a = (\mathcal{N}_a \times \mathfrak{h}) \oplus p_a^*(T_{\text{hor}}P|_{P_a}).$$

Thus, we have $T_{\text{hor}}\mathcal{N}_a = p_a^*(T_{\text{hor}}P|_{P_a})$ and $p_a^*(\mathcal{S}_{\text{hor}}|_{P_a})$ is a spin bundle for $T_{\text{hor}}\mathcal{N}_a$. We still denote by \mathcal{L} the line bundle $p_a^*(\mathcal{L}|_{P_a})$ on \mathcal{N}_a . The horizontal \mathcal{L} -twisted Dirac symbol on $T^*\mathcal{N}_a$ is defined for $z \in \mathcal{N}_a$ above $y \in P_a$ and $\xi \in (T^*\mathcal{N}_a)_z$ by

$$d^a(z, \xi) = c^+(h(\xi))_z \otimes I: \mathcal{S}_y^+ \otimes \mathcal{L}_y \rightarrow \mathcal{S}_y^- \otimes \mathcal{L}_y,$$

where we still denote by h the projection of $(T^*\mathcal{N}_a)_z$ on $(T_{\text{hor}}^*P)_y$.

We consider the complex structure J_a on \mathcal{N}_a such that the i -eigenspace of J_a on $\mathcal{N}_a \otimes_{\mathbb{R}} \mathbb{C}$ is \mathcal{N}_a^+ . Thus, the complex vector bundle (\mathcal{N}_a, J_a) is isomorphic with the vector bundle \mathcal{N}_a^+ . The vector bundle \mathcal{N}_a is a $(G \times H)$ -equivariant Hermitian bundle over P_a . We consider the action of S^1 on \mathcal{N}_a given by $e^{i\theta}$ on each fiber. This action of S^1 commutes with the action of $G \times H$. Consider the vector field W_a generated by this action of $e^{i\theta}$. Thus, $(W_a)_z$ is the vector $(y, -J_a \cdot v)$, if $z = (y, v)$ with $y \in P_a$ and $v \in (\mathcal{N}_a)_y$. We may use the vector field W_a to define the following $(G \times H)$ -transversally elliptic symbol on \mathcal{N}_a :

$$d_1^a(z, \xi) = d^a(z, \xi - (W_a)_z).$$

Near P_a , the horizontal component of $W = fE$ is equal to the horizontal component of fS_a . By our choice of Δ_a^{out} , for all $t \in [0, 1]$ the map $tJ_a + (1 - t)\mu_a S_a$ has no zero eigenvalues. Thus, the action of $\mu_a S_a$ is homotopic to the transformation J_a . By homotopy arguments, we obtain

$$\text{index}(\sigma_1^a) = \text{index}(d_1^a).$$

Furthermore, if we consider the action of $S^1 = \{e^{i\theta}\}$ on \mathcal{N}_a , the symbol d_1^a is $(S^1 \times H)$ -transversally elliptic. We have

$$\text{index}(d_1^a) = \bigoplus_k \text{index}(d_1^a)^k,$$

where $\text{index}(d_1^a)^k$ is the isotypic component of type $e^{ik\theta}$ for the action of S^1 . This series of representations of $G_a \times H$ defines a trace-class virtual representation of $G_a \times H$. We will prove the following lemma.

LEMMA 9. *The isotypic component $\text{index}(d_1^a)^k$ is 0 if $k < n_a$. For $k \geq 0$, we have the equality of virtual representations of G :*

$$(\text{index}(d_1^a)^{k+n_a})^H = \varepsilon(o, o_a, \Delta_a^{\text{out}})Q^{o_a}(P_a/H, \mathcal{L}|_{P_a} \otimes \rho_a \otimes S^k(\mathcal{N}_a^+)).$$

Proof. We analyse the symbol d_1^a . Consider the decomposition of the H -horizontal tangent bundle

$$(T_H^*P)|_{P_a} = T_H^*P_a \oplus \mathcal{N}_a.$$

We denote by \mathcal{S}_0 the corresponding spin bundle for $T_H^*P_a$. Let $\mathcal{S}_1 \rightarrow P_a$ be the spin bundle for the Clifford algebra of the vector bundle $\mathcal{N}_a \rightarrow P_a$. Then

$$\mathcal{S}_{\text{hor}}|_{P_a} = \mathcal{S}_0 \otimes \mathcal{S}_1.$$

We can take as spinor space \mathcal{S}_1 the bundle $\rho_a^{-1} \otimes \Lambda \mathcal{N}_a$. Thus,

$$\mathcal{S}_{\text{hor}}|_{P_a} = \mathcal{S}_0 \otimes \rho_a^{-1} \otimes \Lambda \mathcal{N}_a.$$

Consider the Hermitian vector bundle \mathcal{N}_a . Let N_a be a Hermitian vector space of dimension n_a . We denote by U_a the unitary group of N_a . Let R_a be the principal bundle of Hermitian frames of (\mathcal{N}_a, J_a) framed on N_a . Then R_a is provided with an infinitesimally free action of $H \times U_a$. The manifold \mathcal{N}_a is isomorphic to $R_a \times_{U_a} N_a$. In this isomorphism, the group U_a acts on the left on N_a and on the right on R_a .

Using a connection on $R_a \rightarrow P_a$, the $(H \times U_a)$ -horizontal tangent bundle on R_a is the lift of the bundle $T_H^* P_a$. Choose an orientation o_a on $T_H^* P_a$. This defines a $\mathbb{Z}/2\mathbb{Z}$ -grading of \mathcal{S}_0 . Denote $\mathcal{L}|_{P_a}$ by \mathcal{L}_a . We define the $\mathcal{L}_a \otimes \rho_a^{-1}$ -twisted $(U_a \times H)$ -horizontal Dirac symbol d_0^a on R_a by

$$d_0^a(r, \eta) = c_0^+(h_0(\eta)) \otimes I: (\mathcal{S}^0)_y^+ \otimes (\mathcal{L}_a \otimes \rho_a^{-1})_y \rightarrow (\mathcal{S}^0)_y^- \otimes (\mathcal{L}_a \otimes \rho_a^{-1})_y,$$

where r is a frame at $y \in P_a$ and $\eta \in T_r^* R_a$ projects on the H -horizontal cotangent vector $h_0(\eta) \in (T_H^* P_a)_y$.

The symbol d_0^a is a $(U_a \times H)$ -transversally elliptic symbol on R_a . Thus, its index is a trace-class virtual representation of $U_a \times H$. Let τ be a finite-dimensional representation of U_a in a vector space V_τ . Let \mathcal{V}_τ be the associated vector bundle $R_a \times_{U_a} V_\tau$ on P_a . This is an H -equivariant vector bundle on P_a . By Frobenius reciprocity [1], the isotypic component of type τ^* of $\text{index}(d_0^a)$ is a virtual representation of $G \times H$ equal to

$$\text{index}(D_{\text{hor}, \mathcal{L}_a \otimes \rho_a^{-1} \otimes \mathcal{V}_\tau}^+) \otimes V_{\tau^*}.$$

We identify $T^* N_a$ to $N_a \oplus N_a$ and we write (z_1, ξ_1) an element of $T^* N_a$. Let ΛN_a be the exterior space of the Hermitian space N_a . Consider the symbol m_a on N_a given by Formula (5). Let us lift horizontally the $S^1 \times H$ -transversally elliptic symbol d_1^a to $R_a \times N_a$. We then see that $\text{hor}(d_1^a)$ is the external product of the symbols d_0^a and m_a up to signs. A check of orientations leads to

$$\text{hor}(d_1^a) = (-1)^{n_a} \varepsilon(o, o_a, \Delta_a^{\text{out}}) d_0^a \odot m_a.$$

Consider the action of $U_a \times H \times S^1$ on $R_a \times N_a$. The group H acts only on R_a , the group S^1 acts only on N_a , while the group U_a acts both on R_a and U_a . By multiplicativity property of the index, we have the equality of virtual representations of $U_a \times H \times S^1$

$$\text{index}(d_0^a \odot m_a) = \text{index}(d_0^a) \otimes \text{index}(m_a).$$

We thus have (using Lemma 6) the following equality of virtual representations of $H \times U_a \times S^1$:

$$\text{index}(\text{hor}(d_1^a)) = \varepsilon(o, o_a, \Delta_a^{\text{out}}) (\bigoplus_{k=0}^{\infty} \text{index}(d_0^a) \otimes (\det_{N_a} \otimes S^k(N_a)) \otimes e^{i(n_a+k)\theta}).$$

By Frobenius reciprocity, $\text{index}(d_1^a) = (\text{index}(\text{hor}(d_1^a)))^{U_a}$. To compute the space of U_a -invariants in $\text{index}(\text{hor}(d_1^a))$, we must consider the isotypic component of $\text{index}(d_0^a)$ of type $\det_{V_a} \otimes S^k(N_a)$. The associated vector bundle on P_a is the vector bundle $\rho_a^2 \otimes S^k(\mathcal{N}_a^+)$. We thus obtain Lemma 9 and thus Theorem 7. ■

Corollary 3 of Theorem 7 implies the equality (1) of Proposition 5.

4. The local index and reduction. We now analyse the symbol σ_1^0 near P_0 . The action of $G \times H$ is infinitesimally free on P_0 . We can assume our metric on P chosen such that $\|E_P\| = 1$ on P_0 . We have

$$T_{\text{hor}}P|_{P_0} = T_{\text{hor}}P_0 \oplus P_0 \times \mathfrak{g}^*.$$

Furthermore, using a connection for the infinitesimally free action of $G \times H$ on P_0 , we may write

$$T_{\text{hor}}P_0 = T_0 \oplus P_0 \times \mathfrak{g},$$

where T_0 is the $(G \times H)$ -horizontal space of P_0 . Thus,

$$T_{\text{hor}}P|_{P_0} = T_0 \oplus P_0 \times (\mathfrak{g} \oplus \mathfrak{g}^*).$$

The spinor space for the direct orthogonal sum $\mathfrak{g} \oplus \mathfrak{g}^*$ is a two-dimensional graded vector space $S_1 = S_1^+ \oplus S_1^-$ where $S_1^\pm = \mathbb{C}$. Let $E \in \mathfrak{g}$ and $E^* \in \mathfrak{g}^*$ be the dual element. If $\lambda, \eta \in \mathbb{R}$, the Clifford multiplication $c_1(\lambda E + \eta E^*)$ is given by the (2×2) -matrix

$$c_1(\lambda E + \eta E^*) = \begin{pmatrix} 0 & \lambda + i\eta \\ \lambda - i\eta & 0 \end{pmatrix}.$$

Let U be the noncompact manifold $P_0 \times \mathfrak{g}^*$. The horizontal tangent bundle for the H -action on U is

$$T_{\text{hor}}U = T_0 \oplus (U \times (\mathfrak{g} \oplus \mathfrak{g}^*)).$$

Let \mathcal{S}_1 be the trivial bundle on U with 2-dimensional fiber S^1 . Let \mathcal{S}_0 be the spinor bundle for T_0 . The spinor space \mathcal{S} for $T_{\text{hor}}U$ is thus

$$\mathcal{S} = \mathcal{S}_0 \otimes \mathcal{S}_1.$$

We denote by c the Clifford action of an element of $T_{\text{hor}}U$ on \mathcal{S} .

Let $(y, f) \in P_0 \times \mathfrak{g}^*$. If $\xi \in (T_{\text{hor}}U)_{(y, f)}$, we write $\xi = \xi_0 \oplus \lambda E_U \oplus \eta E^*$ with $\lambda, \eta \in \mathbb{R}$ and $\xi_0 \in (T_0)_y$. Consider the symbol c on U given by

$$c((y, f), \xi) = c(\xi_0 + (\lambda E + \eta E^*)) = c_0(\xi_0) \otimes c_1(\lambda E + \eta E^*).$$

Both bundles \mathcal{S}_0 and \mathcal{S}_1 have canonical $\mathbb{Z}/2\mathbb{Z}$ -gradations inherited from the symplectic structures. Consider the vector field $W = fE$ on $P_0 \times \mathfrak{g}^*$. Let $z = (y, f) \in P_0 \times \mathfrak{g}^*$. Denote by $d_1^0(z, \xi)$ the symbol on $P_0 \times \mathfrak{g}^*$ defined by

$$d_1^0(z, \xi) = c^+(z, \xi - fE_y).$$

Then we see that d_1^0 is a G -transversally elliptic symbol on $P_0 \times \mathfrak{g}^*$. A neighbourhood of P_0 in P is diffeomorphic to $P_0 \times \mathfrak{g}^*$ and by homotopy, we have $\text{index}(\sigma_1^0) = \text{index}(d_1^0)$.

It is clear that inside $H \times G$ -transversally elliptic operator (trivial outside $f = 0$), we can deform

$$d_1^0((y, f), \xi) = c^+(\xi_0 + (-f + \lambda)E + \eta E^*) \otimes I_{\mathcal{L}_y}$$

to

$$v_1^0((y, f), \xi) = c^+(\xi_0 - fE + \eta E^*) \otimes I_{\mathcal{L}_y}.$$

Let d_0 be the $(G \times H)$ -horizontal Dirac symbol twisted by the line bundle \mathcal{L}_0 . Consider the Bott elliptic symbol $b(f, \eta) = f + i\eta$ on \mathbb{R} . Checking orientations, we thus see that

$$v_1^0 = d_0 \odot b.$$

As the index of b is identically equal to 1, we obtain that $\text{index}(v_1^0) = \text{index}(d_1^0)$. Taking the H -invariants, we obtain the equality (2) of Proposition 5. Hence, Theorem 3 is proved.

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