

A REMARK ON UNIFORM BOUNDEDNESS FOR BRAUER GROUPS

ANNA CADORET AND FRANÇOIS CHARLES

ABSTRACT.

The Tate conjecture for divisors on varieties over number fields is equivalent to finiteness of ℓ -primary torsion in the Brauer group. We show that this finiteness is actually uniform in one-dimensional families for varieties that satisfy the Tate conjecture for divisors – e.g. abelian varieties and $K3$ surfaces.

1. INTRODUCTION

1.1. Let k be a field of characteristic $p \geq 0$ and let \bar{k} be a separable closure of k . Let X be a smooth proper variety over k . For any prime number $\ell \neq p$, consider the cycle class map

$$c_1 : \text{Pic}(X_{\bar{k}}) \otimes \mathbb{Q}_{\ell} \rightarrow H^2(X_{\bar{k}}, \mathbb{Q}_{\ell}(1)).$$

The absolute Galois group $\text{Gal}(\bar{k}/k)$ of k acts naturally on the étale cohomology group $H^2(X_{\bar{k}}, \mathbb{Q}_{\ell}(1))$, and the image of the cycle class map c_1 is contained in the group

$$\bigcup_U H^2(X_{\bar{k}}, \mathbb{Q}_{\ell}(1))^U,$$

where U runs through the open subgroups of $\text{Gal}(\bar{k}/k)$. We say that X satisfies the ℓ -adic Tate conjecture for divisors if the image of c_1 is equal to the group above.

As is well-known, the Tate conjecture for divisors is related to finiteness results for the Brauer group. Indeed, given X and ℓ as above, X satisfies the ℓ -adic Tate conjecture for divisors if and only if for every subgroup U of finite index in $\text{Gal}(\bar{k}/k)$, the group

$$\text{Br}(X_{\bar{k}})^U[\ell^{\infty}]$$

is finite.

1.2. In this paper, we investigate whether one might be able to find uniform bounds on the Brauer groups above when the variety X varies in a family. Following Várilly-Alvarado's conjectures on $K3$ surfaces [V17, par. 4], such a question might be regarded as an analogue of results of Manin [Ma69], Faltings-Frey [Fr94], Mazur [Ma77] and Merel [Me96] on uniform bounds for torsion of elliptic curves. Related boundedness results for families of smooth proper varieties have been developed by Cadoret-Tamagawa [CT12], [CT13], [CT17], [CT16]. It should be noted that all the aforementioned results can only treat the case of one-dimensional bases. Dealing with the higher-dimensional case seems to be much harder, as rational points are not well-understood on varieties of dimension at least 2.

The main result of this paper is as follows.

Theorem 1.2.1. *Let k be a finitely generated field of characteristic zero. Let S be a curve over k , and let $\pi : X \rightarrow S$ be a smooth, proper morphism. Let ℓ be a prime number. Then for every positive integer d , there exists a constant C_d satisfying the following property: for every field extension $k \subset K \subset \bar{k}$ with degree $[K : k] \leq d$ and every K -point s such that X_s satisfies the ℓ -adic Tate conjecture for divisors*

$$|\text{Br}(X_{s,\bar{k}})^{\text{Gal}(\bar{k}/K)}[\ell^{\infty}]| \leq C_d.$$

Examples of projective varieties for which the Tate conjecture for divisors is known – and so for which Theorem 1.2.1 applies – include abelian varieties and $K3$ surfaces [Fa83, T94].

In the case of certain one-parameter families of abelian and $K3$ surfaces – which are actually parametrized by Shimura curves – the theorem above was proved by Várilly-Alvarado-Viray [VAV16, Theorem 1.5, Corollary 1.6]. While their proof relies on deep arithmetic properties of elliptic curves, Theorem 1.2.1 is

derived as a consequence of the results of Cadoret-Tamagawa in [CT13]. We will also prove a version of Theorem 1.2.1 that does not involve the Tate conjecture – see Theorem 3.5.1.

Outline of the paper. Section 2 is devoted to some general statements and questions about Brauer groups and their behavior in families. In Section 3 we prove Theorem 1.2.1 and its unconditional variant.

Acknowledgements. The work on this note started after listening to an inspiring talk of Várilly-Alvarado on his joint work with Viray at the conference "Arithmetic Algebraic Geometry" at the Courant Institute in september 2016. We thank Tony Várilly-Alvarado for useful discussions and sharing his conjectures with us. We thank Emiliano Ambrosi for helpful discussions.

This project has received funding from the ANR grant ANR-15-CE40-0002-01 and, for the second author, from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement No 715747).

Notation. If A is an abelian group, and n is an integer, we write $A[n]$ for the n -torsion subgroup of A . If ℓ is a prime number, we write

$$A[\ell^\infty] := \varinjlim A[\ell^n]$$

for the ℓ -primary torsion subgroup of A , and

$$T_\ell(A) := \varprojlim A[\ell^n]$$

for its ℓ -adic Tate module.

Let X be a smooth proper variety over a field k . We write $Br(X)$ for the Brauer group of X , that is, the étale cohomology group $H^2(X, \mathbb{G}_m)$, and $NS(X)$ for the Néron-Severi group of X , that is, the image of $Pic(X)$ in $H^2(X_{\bar{k}}, \mathbb{Q}_\ell(1))$, where \bar{k} is a separable closure of k .

2. A QUESTION ON UNIFORM FINITENESS FOR BRAUER GROUPS

In this section, we recall the relationship between the Tate conjecture and finiteness of the Brauer group. While the Tate conjecture is a qualitative statement, finiteness problems can be made quantitative, which leads us to Question 2.2.1.

2.1. The following proposition provides the basic relationship between the ℓ -adic Tate conjecture and finiteness for Brauer groups.

Let k be a field of characteristic $p \geq 0$. Let X be a smooth proper variety over k . Choose ℓ a prime different from p . Let $B := Br(X_{\bar{k}})$ be the Brauer group of $X_{\bar{k}}$, and set

$$T_\ell := T_\ell(B), V_\ell := T_\ell \otimes \mathbb{Q}_\ell, M_\ell := T_\ell \otimes \mathbb{Q}_\ell / \mathbb{Z}_\ell \simeq V_\ell / T_\ell.$$

For every integer $n \geq 0$, we can identify $M_\ell[\ell^n]$ with T/ℓ^n .

The following proposition is well-known to experts, and can be found in various forms in the literature, especially [T03], [SkZ08, Lemma 2.3], [CTS13, Proposition 4.1].

Proposition 2.1.1. *The following assertions are equivalent*

- (1) *The ℓ -adic Tate conjecture holds for divisors on X ;*
- (2) *$B^U[\ell^\infty]$ is finite for every open subgroup $U \subset Gal(\bar{k}/k)$;*
- (3) *M_ℓ^U is finite for every open subgroup $U \subset Gal(\bar{k}/k)$;*
- (4) *$T_\ell^U = 0$ for every open subgroup $U \subset Gal(\bar{k}/k)$.*

And, if this holds, for every open subgroup $U \subset Gal(\bar{k}/k)$ one has

$$(5) |B^U[\ell^\infty]| \leq |M_\ell^U| + |H^3(X_{\bar{k}}, \mathbb{Z}_\ell(1))[\ell^\infty]|.$$

Proof. We first prove the equivalence of (2), (3) and (4). We can restrict to the case where U is normal. More precisely, we show that for any given normal subgroup $U \subset Gal(\bar{k}/k)$ the following are equivalent

- (2) $B^U[\ell^\infty]$ is finite ;
- (3) M_ℓ^U is finite;
- (4) $T_\ell^U = 0$,

and, that, if this holds, one has

$$(5) \quad |B^U[\ell^\infty]| \leq |M_\ell^U| + |\mathbf{H}^3(X_{\bar{k}}, \mathbb{Z}_\ell(1))[\ell^\infty]|.$$

For every positive integer n , the cohomology of the Kummer short exact sequence

$$1 \rightarrow \mu_{\ell^n} \rightarrow \mathbb{G}_m \rightarrow \mathbb{G}_m \rightarrow 1$$

induces an exact sequence

$$0 \rightarrow NS(X_{\bar{k}}) \otimes \mathbb{Z}/\ell^n \rightarrow \mathbf{H}^2(X_{\bar{k}}, \mu_{\ell^n}) \rightarrow B[\ell^n] \rightarrow 0.$$

Letting n tend to ∞ , we get a commutative diagram with exact lines and columns

$$\begin{array}{ccccccc}
& & 0 & & 0 & & 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & \ell^n NS(X_{\bar{k}}) \otimes \mathbb{Z}_\ell & \longrightarrow & \ell^n \mathbf{H}^2(X_{\bar{k}}, \mathbb{Z}_\ell(1)) & \longrightarrow & K_\ell \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & NS(X_{\bar{k}}) \otimes \mathbb{Z}_\ell & \longrightarrow & \mathbf{H}^2(X_{\bar{k}}, \mathbb{Z}_\ell(1)) & \longrightarrow & T_\ell \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & NS(X_{\bar{k}}) \otimes \mathbb{Z}/\ell^n & \longrightarrow & \mathbf{H}^2(X_{\bar{k}}, \mu_{\ell^n}) & \longrightarrow & B[\ell^n] \longrightarrow 0 \\
& & \downarrow & & \downarrow & & \downarrow \\
& & 0 & \longrightarrow & \mathbf{H}^3(X_{\bar{k}}, \mathbb{Z}_\ell(1))[\ell^n] & \longrightarrow & C \longrightarrow 0 \\
& & & & \downarrow & & \downarrow \\
& & & & 0 & & 0
\end{array}$$

whence a short exact sequence

$$0 \rightarrow T_\ell \otimes \mathbb{Z}/\ell^n \rightarrow B[\ell^n] \rightarrow \mathbf{H}^3(X_{\bar{k}}, \mathbb{Z}_\ell(1))[\ell^n] \rightarrow 0.$$

The exactness on the left follows from the snake, which ensures that $K_\ell = \ell^n T_\ell$.

Taking inductive limits and identifying $T_\ell \otimes \mathbb{Z}/\ell^n \simeq M[\ell^n]$, we obtain a short exact sequence

$$0 \rightarrow M_\ell \rightarrow B[\ell^\infty] \rightarrow \mathbf{H}^3(X_{\bar{k}}, \mathbb{Z}_\ell(1))[\ell^\infty] \rightarrow 0$$

and taking U -invariants, we obtain an exact sequence

$$(2.1.1.6) \quad 0 \rightarrow M_\ell^U \rightarrow B^U[\ell^\infty] \rightarrow \mathbf{H}^3(X_{\bar{k}}, \mathbb{Z}_\ell(1))^U[\ell^\infty].$$

This shows (2) \Leftrightarrow (3) and that, in that case, (5) holds. For the equivalence (3) \Leftrightarrow (4), let $U_\ell \subset \mathrm{GL}(T_\ell)$ denote the image of $U \subset \mathrm{Gal}(\bar{k}/k)$ acting on T_ℓ and consider the exact sequence

$$0 \rightarrow T_\ell^U \rightarrow V_\ell^U \rightarrow M_\ell^U \xrightarrow{\delta} \mathbf{H}^1(U_\ell, T_\ell).$$

Since U_ℓ is a compact ℓ -adic Lie group, $\mathbf{H}^1(U_\ell, T_\ell)$ is a topologically finitely generated \mathbb{Z}_ℓ -module [S64, Prop. 9]. In particular, $\mathrm{im}(\delta)$ is finite. The conclusion follows from the fact that either $T_\ell^U = V_\ell^U = 0$ or V_ℓ^U/T_ℓ^U is infinite.

Now, assume X satisfies the ℓ -adic Tate conjecture for divisors. Then, since $NS(X_{\bar{k}})$ is a finitely generated abelian group ([N52, p. 145, Thm. 2], [SGA6, XIII, 5.1]), we can find an open subgroup U_0 of $\mathrm{Gal}(\bar{k}/k)$ such that the action of U_0 on $NS(X_{\bar{k}})$ is trivial, and the map

$$NS(X_{\bar{k}}) \otimes \mathbb{Q}_\ell \rightarrow \mathbf{H}^2(X_{\bar{k}}, \mathbb{Q}_\ell(1))^U$$

is onto for every open subgroup U of U_0 . Furthermore, [T94, Prop. (5.1)] shows that as a consequence of the Tate conjecture for X , the exact sequence of U -modules

$$0 \rightarrow NS(X_{\bar{k}}) \otimes \mathbb{Q}_\ell \rightarrow \mathbf{H}^2(X_{\bar{k}}, \mathbb{Q}_\ell(1)) \rightarrow V_\ell \rightarrow 0$$

is split, so that the surjectivity above implies $V_\ell^U = 0$. Since T_ℓ is a torsion-free \mathbb{Z}_ℓ -module, this implies $T_\ell^U = 0$. Since this vanishing holds for any small enough open subgroup U of $\mathrm{Gal}(\bar{k}/k)$, it holds for any

open subgroup U of $\text{Gal}(\bar{k}/k)$. This shows (1) \Rightarrow (4). For the converse implication, let $U_0 \subset \text{Gal}(\bar{k}/k)$ be an open subgroup such that

$$H^2(X_{\bar{k}}, \mathbb{Q}_\ell(1))^{U_0} = \bigcup_{[\text{Gal}(\bar{k}/k):U] < \infty} H^2(X_{\bar{k}}, \mathbb{Q}_\ell(1))^U.$$

Since $T_\ell^{U_0} = 0$ implies $V_\ell^{U_0} = 0$, we obtain an isomorphism

$$(NS(X_{\bar{k}}) \otimes \mathbb{Q}_\ell)^{U_0} \xrightarrow{\sim} H^2(X_{\bar{k}}, \mathbb{Q}_\ell(1))^{U_0}$$

hence, *a fortiori*, $NS(X_{\bar{k}}) \otimes \mathbb{Q}_\ell \xrightarrow{\sim} H^2(X_{\bar{k}}, \mathbb{Q}_\ell(1))^{U_0}$. □

2.2. With Proposition 2.1.1 in mind, we offer the following question on uniform boundedness for Brauer groups, which we view as an enhancement of the ℓ -adic Tate conjecture for divisors. Theorem 1.2.1 settles the case where S is one-dimensional for those points that satisfy the Tate conjecture.

Question 2.2.1. *Let k be a finitely generated field of characteristic zero, and let ℓ be a prime. Let S be a quasi-projective variety over k . Let $\pi : X \rightarrow S$ be a smooth projective morphism. Then for any positive integer d , there exists a constant C_d satisfying the following property: for any field extension $k \subset K \subset \bar{k}$ of degree $[K : k] \leq d$, and any K -point $s \in S(K)$, we have*

$$|Br(X_{s, \bar{k}})^{\text{Gal}(\bar{k}/k)}[\ell^\infty]| \leq C_d.$$

For $d = 1$, Question 2.2.1 is closely related to (the ℓ -adic Tate conjecture for divisors and) the Bombieri-Lang conjecture (see e.g. [B16, Conj. 1.8]). More precisely, assuming that the X_s , $s \in S(k)$ satisfies the ℓ -adic Tate conjecture for divisors, Question 2.2.1 would follow from the following Galois-theoretic conjecture. For every integer $C \geq 1$ let $S^{\leq C}(k)$ be the set of all $s \in S(k)$ such that the image of $\text{Gal}(\bar{k}/k)$ acting on $H^2(X_{\bar{s}}, \mathbb{Q}_\ell)$ is open of index at most C in the image of $\pi_1(S)$ and let $S^{\leq C, \text{ex}}(k)$ be the complement of $S^{\leq C}(k)$ in $S(k)$. Then we have the following:

Conjecture 2.2.2. *There exists an integer $C \geq 1$ such that $S^{\leq C, \text{ex}}(k)$ is not Zariski-dense in S .*

When S is a curve, Conjecture 2.2.2 is a special case of the main result of [CT12]. We explain the relation between the general case of Conjecture 2.2.2 and the Bombieri-Lang conjecture. One can always construct a strictly increasing sequence C_n , $n \geq 0$ of integers and a projective system

$$\dots \rightarrow S_{n+1} \rightarrow S_n \rightarrow S_{n-1} \rightarrow \dots$$

of étale covers of S with the property that the image of $S_n(k)$ in S is $S^{\leq C_n, \text{ex}}(k)$ (see [CT12, §3.1.2]). So proving that $S^{\leq C, \text{ex}}(k)$ is not Zariski-dense in S for C large enough amounts to proving that $S_n(k)$ is not Zariski-dense in S_n for $n \gg 0$. According to the Bombieri-Lang conjecture, this would follow from the fact that S_n is of general type for $n \gg 0$. While the Bombieri-Lang conjecture is still completely open, proving that S_n is of general type for $n \gg 0$ seems to be a more tractable problem. The projective system (S_n) can indeed be regarded as a generalization of towers of Shimura varieties. In this setting, Brunenbarbe recently obtained an asymptotic hyperbolicity result (implying the general type property) – see [B16] and the references therein, as well as [AVA16].

As a concrete example, we now explain how a positive answer to the question above in the case of families of K3 surfaces – for which the Tate conjecture is known – yields a positive answer to the ℓ -primary version of Conjecture 4.6 of Várilly-Alvarado in [V17].

Proposition 2.2.3. *Assume that Question 2.2.1 has a positive answer for families of K3 surfaces. Let d be a positive integer, and let Λ be a lattice. Then there exists a positive constant C_d such that for any degree d number field k with algebraic closure \bar{k} , and any K3 surface X over k with $NS(X_{\bar{k}}) \simeq \Lambda$, the following inequality holds:*

$$|Br(X_{\bar{k}})^{\text{Gal}(\bar{k}/k)}[\ell^\infty]| \leq C_d.$$

Using theorem 1.2.1, the proposition above is a consequence of the following result.

Proposition 2.2.4. *There exists a positive integer N with the following property: let Λ be a lattice. Then there exists a smooth projective family of K3 surfaces $\pi : \mathcal{X} \rightarrow S$ over a quasi-projective base S over \mathbb{Q} such that given any field k of characteristic zero with algebraic closure \bar{k} , and any K3 surface X over k with $NS(X_{\bar{k}}) \simeq \Lambda$, there exists an extension K/k of degree N , and a K -point s of S with $\mathcal{X}_s \simeq X_K$.*

We start with a lemma. If L is a line bundle on a $K3$ surface X , write $c_1(L)$ for its class in $NS(X) \simeq \text{Pic}(X)$.

Lemma 2.2.5. *There exists a positive integer N with the following property: let Λ be a lattice. There exists a positive integer δ_Λ , depending only on Λ , such that for any field k with algebraic closure \bar{k} , and any $K3$ surface X over k with $NS(X_{\bar{k}}) \simeq \Lambda$, there exists an extension K/k of degree N and an ample line bundle L on X_K with $c_1(L)^2 = \delta_\Lambda$.*

Proof. Given any integer n , there exist only finitely many finite groups that can occur as subgroups of $GL_n(\mathbb{Z})$. Since the continuous action of any profinite group on a discrete finitely generated abelian group factors through a finite quotient, and since the Néron-Severi group of any $K3$ surface is free of rank at most 22, this shows that there exist finitely many finite groups that can occur as the image of $Gal(\bar{k}/k) \rightarrow NS(X_{\bar{k}})$ for a $K3$ surface X over a field k with algebraic closure \bar{k} .

In particular, there exists an integer N , independent of X and k , with the following property: for any k, \bar{k} and X as above, there exists a Galois extension $k \subset K \subset \bar{k}$ of degree at most N such that the action of $Gal(\bar{k}/k)$ on $NS(X_{\bar{k}})$ factors through $Gal(K/k)$.

Let X be a $K3$ surface over a field k with algebraic closure \bar{k} , such that $NS(X_{\bar{k}}) \simeq \Lambda$. By [LMS14, Lemma 2.3.2], there exists a constant C_Λ , depending only on Λ , such that there exists an ample line bundle \bar{L} on $X_{\bar{k}}$ with $c_1(\bar{L})^2 = C_\Lambda$. Write $\alpha = c_1(\bar{L})$. Then, N being as above, we can find a Galois extension K of k of degree N such that α is $Gal(\bar{k}/K)$ -invariant.

Consider the exact sequence coming from the Hochschild-Serre spectral sequence and Hilbert 90

$$0 \rightarrow NS(X_K) \rightarrow NS(X_{\bar{k}})^{Gal(\bar{k}/K)} \rightarrow Br(K) \rightarrow Br(X).$$

The zero-cycle $c_2(X)$ on X has degree 24, and induces a morphism $Br(X) \rightarrow Br(K)$ such that the composition $Br(K) \rightarrow Br(X) \rightarrow Br(K)$ is multiplication by 24. This shows that the cokernel of the map $NS(X) \rightarrow NS(X_{\bar{k}})^{Gal(\bar{k}/K)}$ is killed by 24. As a consequence, we can find a line bundle L on X_K with $c_1(L) = 24\alpha$.

The pullback of L to $X_{\bar{k}}$ is $\bar{L}^{\otimes 24}$, which is ample. Since ampleness is a geometric property, L itself is ample. We have $c_1(L)^2 = 24^2 C_\Lambda =: \delta_\Lambda$, which only depends on Λ . \square

Proof of Proposition 2.2.4. Apply the previous lemma to find an integer N such that for X as in the proposition, there exists an extension K of k of degree N , and an ample line bundle L on X_K with $c_1(L)^2 = \delta$, where δ only depends on Λ .

By Kollár-Matsusaka's refinement of Matsusaka's big theorem [KM83], and since the canonical bundle of a $K3$ surface is trivial by definition, we can find integers i and r , depending only on Λ , such that $L^{\otimes i}$ is very ample, and induces an embedding of X into a projective space of dimension at most r as a subvariety of degree at most δi^2 . The existence of the Hilbert scheme (or the theory of Chow forms) allows us to conclude. \square

3. PROOF OF THEOREM 1.2.1 AND ITS UNCONDITIONAL VARIANT

Let k be a finitely generated field of characteristic 0.

3.1. Let S be a smooth, separated and geometrically connected variety over k , with generic point η . If s is a point of S , we will always write \bar{s} for a geometric point lying above s .

Let $\pi : X \rightarrow S$ be a smooth, proper morphism. For every prime ℓ , let

$$\rho_\ell : \pi_1(S) \rightarrow \text{GL}(H^2(X_{\bar{\eta}}, \mathbb{Q}_\ell(1)))$$

denote the corresponding representation¹. Let K be a field, and let s be a K -point of S . We write $\pi(s)$ for the absolute Galois group of K considered with its natural map to $\pi_1(S)$, and we write also ρ_ℓ for the representation of $\pi_1(s)$ obtained by composing the above with $\pi_1(s) \rightarrow \pi_1(S)$.

Let S_ℓ^{gen} denote the set of all points s of S with value in a field such that $\rho_\ell(\pi_1(s))$ is an open subgroup of $\rho_\ell(\pi_1(S))$. Let S_ℓ^{ex} be the complement of S_ℓ^{gen} . In other words, S_ℓ^{gen} is the set of points s such that the Zariski closures of $\rho_\ell(\pi_1(s))$ and $\rho_\ell(\pi_1(S))$ have the same neutral component. Note that the generic point η always belongs to S_ℓ^{gen} .

¹The choice of base points for étale fundamental groups will play no part in the sequel; we ignore such choices systematically in the following and do not mention base points in the notation.

3.2. We start with the following proposition, which will allow us to compare the Galois-invariant part of Brauer groups of points in S_ℓ^{gen} .

Proposition 3.2.1. *For every $s \in S_\ell^{gen}$, the natural specialization maps $NS(X_{\bar{\eta}}) \rightarrow NS(X_{\bar{s}})$ and $Br(X_{\bar{\eta}}) \rightarrow Br(X_{\bar{s}})$ are isomorphisms. In particular, if X_s satisfies the ℓ -adic Tate conjecture for divisors then $X_{s'}$ satisfies the ℓ -adic Tate conjecture for divisors for all $s' \in S_\ell^{gen}$.*

Proof. For every prime ℓ' , consider the commutative specialization diagram, with exact rows

$$(3.2.1.1) \quad \begin{array}{ccccccc} 0 & \longrightarrow & NS(X_{\bar{\eta}}) \otimes \mathbb{Z}_{\ell'} & \longrightarrow & H^2(X_{\bar{\eta}}, \mathbb{Z}_{\ell'}(1)) & \longrightarrow & T_{\ell'}(Br(X_{\bar{\eta}})) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & NS(X_{\bar{s}}) \otimes \mathbb{Z}_{\ell'} & \longrightarrow & H^2(X_{\bar{s}}, \mathbb{Z}_{\ell'}(1)) & \longrightarrow & T_{\ell'}(Br(X_{\bar{s}})) \longrightarrow 0. \end{array}$$

By the smooth base change theorem, the middle vertical map is an isomorphism, so that the leftmost vertical map is injective, and the rightmost vertical map is surjective. Since this holds for every ℓ' , the specialization map $NS(X_{\bar{\eta}}) \rightarrow NS(X_{\bar{s}})$ is injective.

Let U be an open subgroup of $\rho_\ell(\pi_1(s))$ acting trivially on $NS(X_{\bar{s}})$, and such that $NS(X_{\bar{s}}) \otimes \mathbb{Z}_\ell$ injects into $H^2(X_{\bar{s}}, \mathbb{Z}_\ell(1))^U$. Since $s \in S_\ell^{gen}$, U is open in $\rho_\ell(\pi_1(S))$ hence (3.2.1.2) $U \cap \rho_\ell(\pi_1(S_{\bar{k}}))$ is open in $\rho_\ell(\pi_1(S_{\bar{k}}))$.

Choose an embedding $\bar{k} \subset \mathbb{C}$. We may assume $\bar{s} \in S(\mathbb{C})$. Let $[L] \in NS(X_{\bar{s}})$, where L is a line bundle on $X_{\bar{s}}$. From (3.2.1.2) and comparison between the analytic and étale sites, the image $c_1(L)$ of $[L]$ in $H^2(X_{\bar{s}}, \mathbb{Q}(1))$ is invariant under a finite index subgroup of $\pi_1(S_{\mathbb{C}})$ corresponding to a finite étale cover $S'_\mathbb{C}$ of $S_{\mathbb{C}}$. Fix a smooth compactification $\bar{X}_{S'_\mathbb{C}}$ of $X_{S'_\mathbb{C}}$. The theorem of the fixed part and the degeneration of the Leray spectral sequence show that $H^2(X_{\bar{s}}, \mathbb{Q}(1))^{\pi_1(S'_\mathbb{C})}$ is a sub-Hodge structure of $H^2(X_{\bar{s}}, \mathbb{Q}(1))$ onto which the Hodge structure $H^2(\bar{X}_{S'_\mathbb{C}}, \mathbb{Q}(1))$ surjects. Semisimplicity of the category of polarized Hodge structures ensures that $c_1(L)$ can be lifted to a Hodge class in $H^2(\bar{X}_{S'_\mathbb{C}}, \mathbb{Q}(1))$. By the Lefschetz (1, 1) theorem, this implies that some multiple of $[L]$ can be lifted to an element of $NS(X_{\bar{\eta}})$. As a consequence, the specialization map

$$NS(X_{\bar{\eta}}) \rightarrow NS(X_{\bar{s}})$$

has torsion cokernel. Since for any prime number ℓ' , both cycle class maps to ℓ' -adic cohomology have torsion-free cokernel – as the Tate modules are torsion-free – the specialization map is surjective, so it is an isomorphism.

Finally, for every prime ℓ' and positive integer n , consider the specialization diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & NS(X_{\bar{\eta}}) \otimes \mathbb{Z}/\ell'^n \mathbb{Z} & \longrightarrow & H^2(X_{\bar{\eta}}, \mu_{\ell'^n}) & \longrightarrow & Br(X_{\bar{\eta}})[\ell'^n] \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & NS(X_{\bar{s}}) \otimes \mathbb{Z}/\ell'^n \mathbb{Z} & \longrightarrow & H^2(X_{\bar{s}}, \mu_{\ell'^n}) & \longrightarrow & Br(X_{\bar{s}})[\ell'^n] \longrightarrow 0. \end{array}$$

Both the leftmost and the middle vertical maps are isomorphisms, so the rightmost one is an isomorphism as well. Since the Brauer groups are torsion, this proves that the specialization map on Brauer groups is an isomorphism. This concludes the proof of the first part of the assertion in Lemma 3.2.1. The second part of the assertion is a formal consequence of the first. \square

3.3. For every open normal subgroup $U \subset \rho_\ell(\pi_1(S))$, let $S_U \subset S$ denote the set of all points s with value in a field such that $\rho_\ell(\pi_1(s)) \supset U$. The set S_U is well-defined since $\rho_\ell(\pi_1(s))$ is well-defined up to conjugation by an element of $\rho_\ell(\pi_1(S))$ and U is normal in $\rho_\ell(\pi_1(S))$. By definition, $S_U \subset S_\ell^{gen}$ and S_ℓ^{gen} is the union of the S_U as U runs through all normal open subgroups of $\rho_\ell(\pi_1(S))$.

Proposition 3.3.1. *Let $U \subset \rho_\ell(\pi_1(S))$ be a normal open subgroup.*

- (1) *If there exists $s_0 \in S_\ell^{gen}$ such that the variety X_{s_0} satisfies the ℓ -adic Tate conjecture for divisors, then for every $s \in S_U$, there exists a constant $C_U \geq 1$ such that*

$$|Br(X_{\bar{s}})^{\pi_1(s)}[\ell^\infty]| \leq C_U.$$

(2) Assume that the Zariski-closure G_ℓ of $\rho_\ell(\pi_1(S))$ is connected. Then there exists a constant $C_U \geq 1$ such that for every $s \in S_U$

$$[Br(X_{\bar{s}})^{\pi_1(s)}[\ell^\infty] : Br(X_{\bar{\eta}})^{\pi_1(s)}[\ell^\infty]] \leq C_U.$$

Proof. Write $B = Br(X_{\bar{\eta}})$, $T_\ell = T_\ell(B)$, $V_\ell = T_\ell \otimes \mathbb{Q}_\ell$ and $M_\ell = V_\ell/T_\ell$.

By the second part of Proposition 3.2.1 and Proposition 2.1.1, $B^U[\ell^\infty]$ is finite. By the first part of Proposition 3.2.1, the specialization map $B \rightarrow Br(X_{\bar{s}})$ is an isomorphism, which is obviously Galois-equivariant. In particular, since $\rho_\ell(\pi_1(s))$ contains U , we have a natural injection

$$Br(X_{\bar{s}})^{\pi_1(s)}[\ell^\infty] \hookrightarrow B^U[\ell^\infty].$$

This shows (1).

Let $s \in S_U$. From the exact sequence (2.1.1.6), we find

$$0 \rightarrow M_\ell^{\pi_1(s)}/M_\ell^{\pi_1(S)} \rightarrow B^{\pi_1(s)}[\ell^\infty]/B^{\pi_1(S)}[\ell^\infty] \rightarrow Q \rightarrow 0,$$

where Q is a subquotient of $H^3(X_{\bar{\eta}}, \mathbb{Z}_\ell(1))[\ell^\infty]$ and $M_\ell^{\pi_1(s)}/M_\ell^{\pi_1(S)} \hookrightarrow M_\ell^U/M_\ell^{\pi_1(S)}$ by definition of S_U . In particular, (2) follows from Lemma 3.3.2 below. \square

Let T be a free \mathbb{Z}_ℓ -module of rank r and let Π be a closed subgroup of $GL(T)$. Write again $V = T \otimes \mathbb{Q}_\ell$ and $M = T \otimes \mathbb{Q}_\ell/\mathbb{Z}_\ell \simeq V/T$. For any positive integer n , we can identify $M[\ell^n]$ with $T \otimes \mathbb{Z}/\ell^n\mathbb{Z}$. Let G_ℓ denote the Zariski closure of Π in $GL(V)$.

Lemma 3.3.2. *Assume that G is connected². Then, for every normal open subgroup $U \subset \Pi$, the index of M^Π in M^U is finite.*

Proof. Since G is connected, we have $V^U = V^\Pi$ and $T^U = T \cap V^U = T \cap V^\Pi = T^\Pi$. The commutative diagram with exact lines

$$\begin{array}{ccccccc} 0 & \longrightarrow & V^\Pi/T^\Pi & \longrightarrow & M^\Pi & \xrightarrow{\delta_\Pi} & H^1(\Pi, T) \\ & & \parallel & & \downarrow & & \downarrow \text{res} \\ 0 & \longrightarrow & V^U/T^U & \longrightarrow & M^U & \xrightarrow{\delta_U} & H^1(U, T) \end{array}$$

yields an isomorphism

$$M^U/M^\Pi \simeq \text{im}(\delta_U)/\text{im}(\delta_\Pi \circ \text{res}).$$

In particular, the group M^U/M^Π is a quotient of $\text{im}(\delta_U)$ and the conclusion follows from the fact $\text{im}(\delta_U)$ is finite as a torsion submodule of $H^1(U, T)$. \square

3.4. For every positive integer d , define

$$S_{\leq d} = \bigcup_{[K:k] \leq d} S(K).$$

Write $S_{\ell, \leq d}^{\text{gen}}$ and $S_{\ell, \leq d}^{\text{ex}}$ accordingly. The following key result is a reformulation of [CT13, Theorem 1.1 and Remark 3.1.2(1)].

Fact 3.4.1. *Assume that S is a curve. Then for every positive integer d , the image of $S_{\ell, \leq d}^{\text{ex}}$ in S is finite and there exists an open subgroup U_d of $\rho_\ell(\pi_1(S))$ such that $S_{\ell, \leq d}^{\text{gen}} \subset S_{U_d}$.*

Proof of Theorem 1.2.1. Without loss of generality, we may replace k by a finite field extension and S by its reduced subscheme. Also, from Proposition 2.1.1, we may replace S by an open subscheme. Working separately on each connected component, we may assume that S is smooth, separated and geometrically connected variety over k . Let U_d be an open subgroup of $\rho_\ell(\pi_1(S))$ as in Fact 3.4.1. Let $s \in S_{\leq d}$ such that X_s satisfies the ℓ -adic Tate conjecture for divisors.

If $s \in S_{\ell}^{\text{gen}}$, then Fact 3.4.1 and (1) in Proposition 3.3.1 yield $|Br(X_{\bar{s}})^{\pi_1(s)}[\ell^\infty]| \leq C_{U_d}$, for some constant C_{U_d} depending only on U_d .

²This assumption is necessary, as can be shown by considering the $r = 1$ case with Π acting through a finite quotient and U being trivial.

If $s \in S_\ell^{ex}$ then, by Fact 3.4.1, there are only finitely many possibilities for the image s_0 of s in S . Since $\rho_\ell(\pi_1(s_0))$ is an ℓ -adic Lie group, it has only finitely many open subgroups of index at most d . The intersection of all these open subgroups is an open subgroup $U_d(s_0)$ contained in $\rho_\ell(\pi_1(s))$. By construction

$$Br(X_{\bar{s}})^{\pi_1(s)}[\ell^\infty] \subset Br(X_{\bar{s}})^{U_d(s_0)}[\ell^\infty].$$

From Proposition 2.1.1 applied to X_{s_0} , $Br(X_{\bar{s}})^{U_d(s_0)}[\ell^\infty]$ is finite. \square

3.5. Using Proposition 3.3.1, (2) instead of (1) in the proof above, one obtains the following unconditional variant of Theorem 1.2.1.

Theorem 3.5.1. *Let k be a finitely generated field of characteristic zero and let S be a quasi-projective curve over k . Let $\pi : X \rightarrow S$ be a smooth, proper morphism. Then for every prime ℓ such that the Zariski-closure of the image of $\pi_1(S)$ acting on $H^2(X_{\bar{\eta}}, \mathbb{Q}_\ell(1))$ is connected and for every positive integer d , there exists a constant C_d satisfying the following property: for every $s \in S_{\ell, \leq d}^{gen}$*

$$[Br(X_{\bar{s}})^{\pi_1(s)}[\ell^\infty] : Br(X_{\bar{\eta}})^{\pi_1(S)}[\ell^\infty]] \leq C_d.$$

REFERENCES

- [AVA16] D. ABRAMOVICH and A. VÁRILLY-ALVARADO, *Level structures on abelian varieties, Kodaira dimensions, and Lang’s conjecture*, Preprint 2016.
- [B16] Y. BRUNEBARBE, *A strong hyperbolicity property of locally symmetric varieties*, Preprint 2016.
- [CT12] A. CADORET and A. TAMAGAWA, *A uniform open image theorem for ℓ -adic representations I*, Duke Math. J. **161**, p. 2605–2634, 2012.
- [CT13] A. CADORET and A. TAMAGAWA, *A uniform open image theorem for ℓ -adic representations II*, Duke Math. J. **162**, p. 2301–2344, 2013.
- [CT16] A. CADORET and A. TAMAGAWA, *Gonality of abstract modular curves in positive characteristic*, Compositio Math. **152**, p. 2405–2442, 2016.
- [CT17] A. CADORET and A. TAMAGAWA, *Genus of abstract modular curves with level- ℓ structures*, to appear in Journal für die reine und angewandte Mathematik.
- [CTS13] J.-L. COLLIOT-THÉLÈNE and A. SKOROBOGATOV, *Good reduction of the Brauer-Manin obstruction*, Trans. Amer. Math. Soc. **365** no. 2, p. 579–590, 2013.
- [Fa83] G. FALTINGS, *Endlichkeitssätze für abelsche Varietäten über Zahlkörpern*, Invent. Math. **73**, 1983, p. 349–366.
- [Fr94] G. FREY *Curves with infinitely many points of fixed degree*, Israel J. Math. **85**, 1994, p. 79–83.
- [KM83] J. KOLLAR and T. MATSUSAKA, *Riemann-Roch Type Inequalities*, American Journal of Mathematics, **105**, p. 229–252, 1983.
- [LMS14] M. LIEBLICH, D. MAULIK and A. SNOWDEN, *Finiteness of K3 surfaces and the Tate conjecture*, Annales scientifiques de l’ENS **47**, fascicule 2, p. 285–308, 2014.
- [Ma69] Ju. I. MANIN, *The p -torsion of elliptic curves is uniformly bounded*, Math. USSR Izv. **3**, p. 433–438, 1969.
- [Ma77] B. MAZUR, *Modular curves and the Eisenstein ideal*, Inst. Hautes Etudes Sci. Publ. Math. **47**, p. 33–186, 1977.
- [Me96] L. MEREL, *Bornes pour la torsion des courbes elliptiques sur les corps de nombres*, Invent. Math. **124**, p. 437–449, 1996.
- [N52] A. NÉRON, *Problèmes arithmétiques et géométriques rattachés à la notion de rang d’une courbe algébrique dans un corps*, Bull. Soc. Math. France **80**, p. 101–166, 1952.
- [S64] J.-P. SERRE, *Sur les groupes de congruence des variétés abéliennes*. Izv. Akad. Nauk SSSR Ser. Mat. **28**, p. 3–20, 1964.
- [S89] J.-P. SERRE, *Lectures on the Mordell-Weil theorem*, Aspects of Mathematics **E15**, Friedr. Vieweg & Sohn, 1989.
- [SGA6] *Théorie des intersections et théorème de Riemann-Roch*, L.N.M. **225**, Springer-Verlag, Berlin, 1971 (French). Séminaire de Géométrie Algébrique du Bois-Marie 1966–1967 (SGA 6); Dirigé par P. Berthelot, A. Grothendieck et L. Illusie. Avec la collaboration de D. Ferrand, J. P. Jouanolou, O. Jussila, S. Kleiman, M. Raynaud et J. P. Serre.
- [SKZ08] A. SKOROBOGATOV and Ju. G. ZARHIN, *A finiteness theorem for the Brauer group of abelian varieties and K3 surfaces*, Journal of Algebraic Geometry **17**, p. 481–502, 2008.
- [TO3] S.G. TANKEEV, *On the Brauer group of an arithmetic scheme. II*. Izv. Ross. Akad. Nauk Ser. Mat. **67**, p. 155–176, 2003.
- [T94] J. TATE, *Conjectures on algebraic cycles in ℓ -adic cohomology* in *Motives* (Seattle, WA, 1991), Proc. Symp. Pure Math. **55** Part I, A.M.S., p. 71–83, 1994.
- [V17] A. VÁRILLY-ALVARADO, *Arithmetic of K3 surfaces in Geometry over nonclosed fields*, (F. Bogomolov, B. Hassett, and Yu. Tschinkel eds.), Simons Symposia **5**, p. 197–248, 2017.
- [VAV16] A. VÁRILLY-ALVARADO and B. VIRAY, *Abelian n -division fields of elliptic curves and Brauer groups of product of Kummer and abelian surfaces*, Forum of Mathematics, Sigma, to appear.

anna.cadoret@imj-prg.fr

IMJ-PRG – Sorbonne Universités, UPMC University Paris 6,
75005 PARIS, FRANCE

francois.charles@math.u-psud.fr

Université Paris-Sud,
91405 ORSAY, FRANCE.