TOPICS IN TROPICAL GEOMETRY

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3.6. The Gröbner polyhedral decomposition associated with an ideal

3.6.1. — Let I be a homogeneous ideal in $K[T_0, \ldots, T_n]$. For $x \in \mathbf{R}^{n+1}$, let $C_x'(I)$ be the set of $y \in \mathbf{R}^{n+1}$ such that $\operatorname{in}_y(I) = \operatorname{in}_x(I)$ and let $C_x(I)$ be its closure in \mathbf{R}^{n+1} . Let $e = (1, \ldots, 1) \in \mathbf{R}^{n+1}$.

Here is the main theorem

Theorem (3.6.2). — Let I be a homogeneous ideal in $K[T_0, ..., T_n]$. The sets $C_x(I)$ form a Γ -strict and Re-invariant polyhedral decomposition of R^{n+1} .

Proposition (3.6.3). — Let $x \in \mathbb{R}^{n+1}$.

- a) The set $C_x(I)$ is a closed Γ -strict and $\mathbf{R}e$ -invariant polyhedron in \mathbf{R}^{n+1} ;
- b) If $in_x(I)$ is a monomial ideal, then $C'_x(I)$ is the interior of $C_x(I)$;
- c) If $\operatorname{in}_x(I)$ is not a monomial ideal, then there exists $y \in \mathbb{R}^{n+1}$ such that $\operatorname{in}_y(\operatorname{in}_x(I))$ is a monomial ideal; for every such y, the polyhedron $C_x(I)$ is a face of $C_y(I)$.

Proof. — Fix $y \in \mathbb{R}^{n+1}$ satisfying the conditions of proposition 3.5.11, c), small enough so that $\operatorname{in}_{x+y}(I) = \operatorname{in}_y(\operatorname{in}_x(I))$ is a monomial ideal. As a consequence of b), it will be enough to assume that $\operatorname{in}_y(\operatorname{in}_x(I))$ is a monomial ideal and y is small enough.

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Re invariant c'exx = relut (Cx(I)) - décomposition polyébrale - l'intersection de deux celluls est vide ou une face de chacune d'elles. · finie cellules de den n+1: (ECX(I) on ret)

Let z = x + y. Fix a finite family $(f_1, ..., f_r)$ in I such that the polynomials $\operatorname{in}_z(f_i)$ are monomials and generate $\operatorname{in}_z(I)$; we may also assume that $\operatorname{in}_z(f_i) = \operatorname{in}_y(\operatorname{in}_x(f_i))$ for all i.

For each i, let $m_i \in \mathbb{N}^{n+1}$ be such that $\operatorname{in}_z(f_i) = \mathbb{T}^{m_i}$. By the argument explained in the proof of lemma 3.5.13, there exists a unique polynomial $g_i \in K[T_0, \ldots, T_n]$, homogeneous of degree $|m_i|$, such that $\mathbb{T}^{m_i} - g_i \in \mathbb{I}$, and such that no monomial appearing in g_i belongs to $\operatorname{in}_z(\mathbb{I})$; write $g_i = \sum c_{i,m} \mathbb{T}^m$ and set $f_i = \mathbb{T}^{m_i} - g_i$. Since \mathbb{T}^{m_i} is the only monomial appearing in f_i that belongs to the monomial ideal $\operatorname{in}_y(\operatorname{in}_x(\mathbb{I})) = \operatorname{in}_z(\mathbb{I})$, one has $\mathbb{T}^{m_i} = \operatorname{in}_y(\operatorname{in}_x(f_i))$. The family (f_i) is thus a Gröbner basis for \mathbb{I} at z.

Lemma **(3.6.4)**. — *With the preceding notation, the set* $C'_z(I)$ *is defined by the strict inequalities*

$$\langle m - m_i, \cdot \rangle + \log(|c_{i,m}|) < 0,$$

for all $i \in \{1, ..., r\}$ and all $m \in \mathbb{N}^{m+1}$ in the support of f_i . The set $C_z(I)$ is the Γ -strict polyhedron defined by the inequalities

$$\langle m - m_i, \cdot \rangle + \log(|c_{i,m}|) \leq 0,$$

for $i \in \{1, ..., r\}$ and $m \in \mathbb{N}^{m+1}$ in the support of f_i .

Proof. — Let $w \in C'_z(I)$. By definition of $C'_z(I)$, one has $\operatorname{in}_w(f_i) \in \operatorname{in}_w(I) = \operatorname{in}_z(I)$, so that the only monomial that can appear in $\operatorname{in}_w(f_i)$ is T^{m_i} , hence $\log(|c_{i,m}|) + \langle m, w \rangle < \langle m_i, w \rangle$ for all i and all m such

that $m \neq m_i$. In the other direction, if w statisfies these inequalities, then $\operatorname{in}_w(f_i) = \operatorname{T}^{m_i}$ for all i, hence $\operatorname{in}_w(I)$ contains $\operatorname{in}_z(I)$. Since both of these ideals have the same Hilbert function, they have to be equal and $w \in C'_z(I)$.

Let P be the closed convex polyhedron in \mathbb{R}^{n+1} defined by the inequalities $\langle m - m_i, \cdot \rangle + \log(|c_{i,m}|) \leq 0$, for all i and $m \neq m_i$. By what precedes, one has $\mathring{P} = C'_z(I)$. Since \mathring{P} is nonempty (it contains z), one has $P = C_z(I)$.

Lemma **(3.6.5)**. — *The set* $C_x(I)$ *is the smallest face of the polyhedron* $C_z(I)$ *that contains* x.

Proof. — By the choice of y, one has $\operatorname{in}_{x+\varepsilon y}(I) = \operatorname{in}_y(\operatorname{in}_x(I)) = \operatorname{in}_z(I)$ for all ε such that $0 < \varepsilon < 1$. In particular, $x + \varepsilon y \in C_z'(I)$. If we let ε go to 0, we obtain $x \in C_z(I)$.

Let $x' \in C'_x(I)$; since $\operatorname{in}_{x'}(I) = \operatorname{in}_x(I)$, the preceding analysis still applies when one replaces the point x with x', so that

$$\operatorname{in}_{x'+\varepsilon y}(I) = \operatorname{in}_y(\operatorname{in}_{x'}(I)) = \operatorname{in}_y(\operatorname{in}_x(I)) = \operatorname{in}_z(I)$$

for $\varepsilon > 0$ small enough. Then $x' + \varepsilon y \in C_z'(I)$ and $x' \in C_z(I)$. Taking the closure, we obtain $C_x(I) \subset C_z(I)$.

Moreover, T^{m_i} is the only monomial in the support of f_i that belongs to $\text{in}_y(\text{in}_{x'}(f_i))$; this implies that $\text{in}_y(\text{in}_{x'}(f_i)) = T^{m_i}$. On the other hand, the polynomial $\text{in}_{x'}(f_i) - \text{in}_x(f_i)$ belongs to $\text{in}_x(I)$, and none of its monomials belongs to $\text{in}_y(\text{in}_x(I))$, by the definition of f_i .

Its initial form at y must vanish, which implies that $\operatorname{in}_{x'}(f_i) = \operatorname{in}_x(f_i)$. Since T^{m_i} appears in $\operatorname{in}_{x'}(f_i)$, this shows that $\tau_{f_i}(x') = \langle m_i, x' \rangle$, so that $\log(|c_{i,m}|) + \langle m, x' \rangle = \langle m_i, x' \rangle$ for every m such that T^m is in the support of $\operatorname{in}_x(f_i)$; on the other hand, if T^m is not in that support, then $\log(|c_{i,m}|) + \langle m, x' \rangle < \langle m_i, x' \rangle$. Conversely, these inequalities imply that $\operatorname{in}_{x'}(f_i) = \operatorname{in}_x(f_i)$ for all i, so that $\operatorname{in}_{x'}(I) \supset \langle (\operatorname{in}_x(f_i))_i \rangle = \operatorname{in}_x(I)$. Since both ideals $\operatorname{in}_x(I)$ and $\operatorname{in}_{x'}(I)$ have the same Hilbert function, we obtain the equality $\operatorname{in}_{x'}(I) = \operatorname{in}_x(I)$.

This proves that $C_x(I)$ is contained in the face of $C_z(I)$ defined by the equalities $\log(|c_{i,m}|) + \langle m - m_i, x' \rangle = 0$ for all i and all m such that $m \neq m_i$ and $\log(|c_{i,m}|) + \langle m - m_i, x \rangle = 0$. Conversely, if w is a point of this face, then every point of the open segment]x; w[belongs to $C'_x(I)$, hence w belongs to $C_x(I)$.

Finally, a face of $C_z(I)$ containing a point x is obtained by replacing, in the system of affine inequalities defining this polyhedron, by the corresponding equalities some of those inequalities which are equalities at x. The smallest such face is obtained in replacing all possible such inequalities. By the previous description, this is exactly $C_x(I)$.

Lemma 3.6.5 proves part c) of proposition 3.6.3. The formulas of lemma 3.6.4 prove that $C_z(I)$ is a closed Γ-strict polyhedron in \mathbf{R}^{n+1} . Moreover, since f_i is homogeneous, one has $\langle m_i - m, w + te \rangle = \langle m_i - m, w \rangle$ for every $w \in \mathbf{R}^{n+1}$, every $t \in \mathbf{R}$ and every $m \in \mathbf{N}^{m+1}$

such that $c_{i,m} \neq 0$, so that e belongs to the lineality space of $C_z(I)$. Since $C_x(I)$ is a face of $C_z(I)$, the same properties hold for $C_x(I)$.

Let us finally assume that $\operatorname{in}_x(I)$ is a monomial ideal. For every monomial f belonging to $\operatorname{in}_x(I)$, one has $\operatorname{in}_y(f) = f$, so that $\operatorname{in}_y(\operatorname{in}_x(I))$ contains $\operatorname{in}_x(I)$. This implies that $\operatorname{in}_z(I) = \operatorname{in}_y(\operatorname{in}_x(I))$ contains $\operatorname{in}_x(I)$, hence $\operatorname{in}_z(I) = \operatorname{in}_x(I)$ since both ideals have the same Hilbert function. As a consequence, $C_x'(I) = C_z'(I)$, hence $C_x(I) = C_z(I)$. By the formulas of lemma 3.6.4, $C_z'(I)$ is a the closure of a nonempty convex open subset of \mathbf{R}^{n+1} , and every point of $C_z(I) - C_z'(I)$ belongs to a face of $C_z(I)$. This proves that $C_z'(I)$ is the interior of $C_z(I)$.

Lemma (3.6.6) (Maclagan). — The set of monomial ideals in $K[T_0, ..., T_n]$ which are of the form $in_x(I)$, for some $x \in \mathbb{R}^{n+1}$, is finite.

Proof. — Let \mathscr{F} be this set of ideals. If \mathscr{F} were infinite, there would exist, by theorem 3.4.5, two elements $x, y \in \mathbb{R}^{n+1}$ such that in_x(I) and in_y(I) are monomial ideals and in_x(I) \subsetneq in_y(I). This contradicts the fact that these two ideals have the same Hilbert function. □

Proof of theorem 3.6.2. — Let \mathscr{C} be the set of all subsets of \mathbb{R}^{n+1} the form $C_x(I)$, for some $x \in \mathbb{R}^{n+1}$. The sets $C_x(I)$ are Γ-strict convex polyhedra in \mathbb{R}^{n+1} . Since $x \in C_x(I)$ for all x, their union is equal to \mathbb{R}^{n+1} . If in_x(I) is a monomial ideal, then $C_x(I)$ has dimension n+1; otherwise, $C_x(I)$ is a face of a polyhedron of the form $C_w(I)$. By

lemma 3.6.6, the set \mathscr{C} is finite. Consequently, the set of initial ideals in x(I) is finite, when x varies in \mathbf{R}^{n+1} .

Let $x, y \in \mathbb{R}^{n+1}$. The preceding description shows that $x \in C_y(I)$ if and only if $C_x(I) \subset C_y(I)$. In this case, $C_x(I)$ and $C_y(I)$ are faces of a common (n + 1)-dimensional polyhedron of the form $C_z(I)$; in particular, $C_x(I)$ is a face of $C_y(I)$.

If F is a face of $C_y(I)$, choose x in the relative interior of F; then F and $C_x(I)$ are faces of $C_y(I)$ which both have the point x in their relative interiors; necessarily, $F = C_x(I)$.

Let $x, y \in \mathbb{R}^{n+1}$. For every point $z \in C_x(I) \cap C_y(I)$, one has $C_z(I) \subset C_x(I)$, since $z \in C_x(I)$, and $C_z(I) \subset C_y(I)$, since $z \in C_y(I)$, so that $C_z(I) \subset C_x(I) \cap C_y(I)$. This proves that $C_x(I) \cap C_y(I)$ is a union of faces of $C_x(I)$. However, a union of faces of a polyhedron is convex if and only it has a unique maximal element — so that one of these faces contains all of them. As a consequence, $C_x(I) \cap C_y(I)$ is a face of $C_x(I)$, and it belongs to \mathscr{C} .

Proposition (3.6.7). — Let I be a homogeneous ideal of $K[T_0, ..., T_n]$ and let $x \in \mathbf{R}^{n+1}$. Let $L = \mathrm{affsp}(C_x(I)) - x$ be the minimal vector subspace of \mathbf{R}^{n+1} such that $C_x(I) \subset x + L$. One has $\mathrm{in}_y(\mathrm{in}_x(I)) = \mathrm{in}_x(I)$ for every $y \in L$.

Proof. — Let $g \in \text{in}_x(I)$; let $f_1, \ldots, f_r \in I$ be such that g decomposes as a sum $\sum_{i=1}^r \text{in}_x(f_i)$ of initial forms with pairwise disjoint supports.

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For all i and all $\varepsilon > 0$ small enough, one has $\tau_f(x + \varepsilon y) = \tau_{\text{in}_x(f_i)}(y)$ and $\text{in}_{x+\varepsilon y}(f_i) = \text{in}_y(\text{in}_x(f_i))$. Let J be the subset of $\{1, \ldots, r\}$ consisting of all i where $\tau_{\text{in}_x(f_i)}(y)$ is maximal; by the disjointness of the supports of the polynomials $\text{in}_x(f_i)$, one has

$$\operatorname{in}_{y}(g) = \sum_{i \in J} \operatorname{in}_{y}(\operatorname{in}_{x}(f_{i})) = \sum_{i \in J} \operatorname{in}_{x+\varepsilon y}(f_{i}).$$

If ε is small enough, one has $x + \varepsilon y \in C'_x(I)$, hence $\operatorname{in}_{x+\varepsilon y}(f_i) \in \operatorname{in}_x(I)$ for all i, so that $\operatorname{in}_y(g) \in \operatorname{in}_x(I)$. This implies the inclusion $\operatorname{in}_y(\operatorname{in}_x(I)) \subset \operatorname{in}_x(I)$. Since these two homogeneous ideals have the same Hilbert functions, one has equality.

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3.7. Tropicalization of algebraic varieties

The goal of this section is to generalize theorem 3.3.6 to all ideals of $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$. We first recall how to pass from ideals of this ring to homogeneous ideals of $K[T_0, \ldots, T_n]$, and back.

3.7.1. — Let $f \in K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$. The support in \mathbb{Z}^n of the homogeneous Laurent polynomial $f(T_1/T_0, \ldots, T_n/T_0)$ have an infimum, say $p = (p_0, \ldots, p_n)$. Explicitly, if S(f) is the support of f and $f = \sum_{m \in S(f)} c_m T^m$, then

$$f(T_1/T_0,...,T_n/T_0) = \sum_{m \in S(f)} c_m T_0^{-m_1-\cdots-m_n} T_1^{m_1} \dots T_n^{m_n},$$

Prop.

Coll x+L= off $ep(C_{x}(I))$ In $(m_{x}(I))=m_{x}(I)$ poin text $y \in L$. geinx(I) $g = in_{\times}(f_1) + \cdots + in_{\times}(f_r)$ = $\sum c_m T^m$ supports des $in_{\times}(f_1)$ obsjoints. $in_{\times}(g)$ = $\sup_{m} log(c_m) + \langle m, y \rangle$? iny (g) = mp (mp log (cm (+ cm, y))

= mp (mp log (cm (+ cm, y))) - sup Tink(fi) $J=dj|T_{g}(y)=T_{m_{\chi}(\frac{1}{2}i)}(y)$ in get Ey (fi) = ing (inx (fi)) E>0 assex petit $in_y(g) = \underbrace{E}_{i\in\mathcal{I}} in_y in_x(f_i) = \underbrace{\sum}_{x\in\mathcal{I}} in_x(f_i)$ C'(I)= relut Cx(I) => X+EY & C'X(I) 80 6>0 $\Rightarrow in_{\chi}(f_i) \in in_{\chi}(I)$ $\Rightarrow in_{\chi}(g) \in in_{\chi}(I)$

3.7. Tropicalization of algebraic varieties

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3.7.1. Let $f \in K[T_1^{\pm 1}, \dots, T_n^{\pm 1}]$. The support in \mathbf{Z}^n of the homogeneous Laurent polynomial $f(T_1/T_0, \dots, T_n/T_0)$ have an infimum, say $p = (p_0, \dots, p_n)$. Explicitly, if S(f) is the support of f and $f = \sum_{m \in S(f)} c_m T^m$, then

f(T₁/T₀,...,T_n/T₀) =
$$\sum_{m \in S(f)} c_m T_0^{-m_1 - \dots - m_n} T_1^{m_1} ... T_n^{m_n}$$
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so that $p_0 = -\deg(f)$ and $p_j = \operatorname{ord}_{T_j}(f)$ for $j \in \{1, ..., n\}$. Let then f^h be the polynomial $T^{-p}f(T_1/T_0, ..., T_n/T_0)$; it is the unique homogeneous polynomial in $K[T_0, ..., T_n]$ such that $\operatorname{ord}_{T_j}(f^h) = 0$ for every $j \in \{0, ..., n\}$ and $f = T_1^{p_1} ... T_n^{p_n} f^h(1, T_1, ..., T_n)$.

3.7.2. — Let I be an ideal in $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$. The ideal I^h generated by all polynomials f^h , for $f \in I$, is a homogeneous ideal of $K[T_0, \ldots, T_n]$. The ring morphism $K[T_0, \ldots, T_n] \to K[T_1, \ldots, T_n]$ with kernel $(T_0 - 1)$ corresponds to setting to 1 the homogeneous coordinate T_0 , it identifies the invertibility locus of T_0 in \mathbf{P}_K^n with the affine space \mathbf{A}_K^n . The locus of invertibility of $T_0 \ldots T_n$ is defined by requiring further that the other homogeneous coordinates are invertible too: this is an open subscheme of \mathbf{P}_K^n which is is naturally isomorphic to $\mathbf{G}_{m_K}^n$ and corresponds to the ring morphism $f \mapsto f(1, T_1, \ldots, T_n)$ from $K[T_0, \ldots, T_n]$ to $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$.

Ideals of $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ correspond to closed subschemes of $G_{mK}^n = \operatorname{Spec}(K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}])$. Homogeneous ideals of $K[T_0, \ldots, T_n]$ correspond to closed subschemes of $P_K^n = \operatorname{Proj}(K[T_0, \ldots, T_n])$. Then $V(I^h)$ is the Zariski closure of V(I).

As a consequence, several geometric properties of V(I) are preserved when passing to $V(I^h)$:

- If V(I) is irreducible, then so is $V(I^h)$;
- If V(I) is integral, then so is $V(I^h)$;

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- One has $dim(V(I^h)) = dim(V(I))$;
- If V(I) is equidimensional, then so is $V(I^h)$.

3.7.3. — Let
$$f \in K[T_1^{\pm 1}, \dots, T_n^{\pm 1}]$$
; let $p \in \mathbb{Z}^n$ be such that $f = T_1^{p_1} \dots T_n^{p_n} f^h(1, T_1, \dots, T_n)$.

Let $x' \in \mathbf{R}^n$ and let $x = (0, x') \in \mathbf{R}^{n+1}$; then the definitions of the tropical polynomials and of the initial forms imply that $\tau_f(x) = \langle p, x \rangle + \tau_{f^h}(x')$ and $\operatorname{in}_x(f) = \operatorname{T}_1^{p_1} \dots \operatorname{T}_n^{p_n} \operatorname{in}_{x'}(f^h)$. In particular, $\operatorname{in}_{x'}(f^h) \in \operatorname{in}_x(\mathbf{I})^h$. Every homogeneous element of \mathbf{I}^h is of the form $\mathbf{T}^m f^h$ for some elements $f \in \mathbf{I}$ and $m \in \mathbf{Z}^n$, one then has $\operatorname{in}_{x'}(\mathbf{T}^m f^h) = \operatorname{T}^m \operatorname{in}_{x'}(f^h) \in \operatorname{in}_x(\mathbf{I})^h$, hence $\operatorname{in}_{x'}(\mathbf{I}^h) \subset \operatorname{in}_x(\mathbf{I})^h$. Conversely, if $f \in \mathbf{I}$, then there exists $m \in \mathbf{Z}^{n+1}$ such that $\operatorname{T}^m \operatorname{in}_x(f)^h = \operatorname{in}_{x'}(f^h)$, hence $\operatorname{T}^m \operatorname{in}_x(f)^h \in \operatorname{in}_{x'}(\mathbf{I}^h)$. This proves the relation

$$in_{\varkappa}(I)^h=(in_{\varkappa'}(I^h):(\underline{T_0\dots T_n})^\infty)=K[T_0,\dots,T_n]\cap in_{\varkappa'}(I^h)_{T_0\dots T_n}.$$

In any case, identifying \mathbf{R}^n with $\{0\} \times \mathbf{R}^n$, the Gröbner decomposition $\Sigma_{\mathrm{I}^{\mathrm{h}}}$ of \mathbf{R}^{n+1} associated with the ideal I^{h} furnishes a similar decomposition Σ_{I} of \mathbf{R}^n . When x varies in an open cell of this decomposition, and x' = (0, x), the initial ideal $\mathrm{in}_{x'}(\mathrm{I}^{\mathrm{h}})$ is constant, hence the initial ideal $\mathrm{in}_x(\mathrm{I})$ is constant. The reader shall be cautious not to state an indue converse assertion: for example, $\mathrm{in}_x(\mathrm{I}) = (1)$ only means that $\mathrm{in}_{x'}(\mathrm{I}^{\mathrm{h}})$ contains a monomial, but the different initial ideals $\mathrm{in}_{x'}(\mathrm{I}^{\mathrm{h}})$ can be very different.

Definition (3.7.4). — Let K be a valued field, let I be an ideal of $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ and let X be the closed subscheme V(I) of $\mathbf{G}_m{}^n$.

- a) The tropical variety \mathcal{T}_X of X is the intersection, for all $f \in I$, of the tropical hypersurfaces \mathcal{T}_f .
- b) A tropical basis of I is a finite family $(f_1, ..., f_m)$ in I such that $\mathcal{T}_X = \bigcap_{i=1}^m \overline{\mathcal{T}_{f_i}}$.

By definition, for $x \in \mathbb{R}^n$, one has $x \notin \mathcal{T}_X$ if and only if there exists $f \in I$ such that the supremum defining $\tau_f(x)$ is achieved at a single monomial $c_m T^m$ of f. This also means that $NP_{f,x}$ is reduced to a point, or, if K is split, that the initial form $in_x(f)$ is a monomial.

Replacing f by $c_m^{-1}T^{-m}f$, we may assume that $\tau_f(x) = 0$, which is achieved uniquely at the monomial 1, that is $NP_{f,x} = \{0\}$. From the point of view of initial forms, this means that $in_x(f) = 1$.

Proposition (3.7.5). — Let I be an ideal of $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ and let X be the closed subscheme V(I). Let (X_j) be the family of its irreducible components; for every j, let $I_j = I(X_j)$ be the prime ideal defining X_j . One has $\mathcal{T}_X = \bigcup_j \mathcal{T}_{X_j}$.

Proof. — The ideals I_j are the minimal prime ideals of $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ containing I; as a consequence, their intersection $J = \bigcap_j I_j$ is the radical of I, the set of all elements $f \in K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ such that there exists $m \ge 1$ such that $f^m \in I$.

généralese la définition dannée su G X = J(I) $X = \begin{cases} X = I \\ X = I \end{cases}$ (f) est un monôme x & vox = If EI cnx/f) est un motion in x (f) = 1 $X = \bigcup X_j$ composants une ductyles. $I = I_j$ idéanx pre muers minimanx contenant $I = I_X$ $\sqrt{I_x} = \bigcap_{i=1}^{T_i} T_i$

Px=UPx;

For every j, one has $\underline{I} \subset I_j$, hence $\mathcal{T}_{X_j} \subset \mathcal{T}_X$. Consequently, $\bigcup \mathcal{T}_{X_j} \subset \mathcal{T}_X$. Conversely, let $x \in \mathbf{R}^m - \bigcup \mathcal{T}_{X_j}$. For every j, there exists $f_j \in I_j$ such that $\operatorname{in}_x(f_j) = 1$. Let $f = \prod f_j$; one has $f \in \bigcap I_j = J$, hence there exists $m \in \mathbf{N}$ such that $f^m \in I$. Then $\operatorname{in}_x(f^m) = \prod_j \operatorname{in}_x(f_j)^m = 1$, hence $\operatorname{in}_x(I) = 1$ and $x \notin \mathcal{T}_X$.

Proposition (3.7.6). — Let K be a valued field, let I be an ideal of $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ and let X be the closed subscheme V(I) of \mathbf{G}_m^n .

a) The ideal I admits a tropical basis. \leftarrow $\circ \iota \epsilon$

Px = NY+

 \mathcal{Y} b) The tropical variety \mathcal{T}_X is a Γ -strict polyhedral subspace of \mathbf{R}^n . \longleftarrow

c) For every valued extension L of K, one has $\mathcal{T}_{X_L} = \mathcal{T}_{X}$.

Proof. — We first prove assertion *a*) under the assumption that there is a splitting of the valuation $K^{\times} \to \underline{\Gamma}$.

Let I^h be the homogeneous ideal of $K[T_0, ..., T_n]$ associated with I. Let \mathcal{T}_X^h be the set of all $x \in \mathbf{R}^{n+1}$ such that $\mathrm{in}_x(I^h)$ does not contain any monomial.

If $x \notin \mathcal{T}_X^h$, then $\operatorname{in}_x(\operatorname{I}^h)$ contains a monomial, say T^m , hence there exists $f \in \operatorname{I}^h$ such that $\operatorname{in}_x(f) = \operatorname{T}^m$. Then $\operatorname{in}_y(f) = \operatorname{T}^m$ for every $y \in \mathbf{R}^{n+1}$ close enough to x, so that \mathcal{T}_X^h is closed in \mathbf{R}^{n+1} .

If $x \in \mathcal{T}_X^h$, then the open cell $C_x'(I^h)$ is contained in \mathcal{T}_X^h as well, and its closure $C_x(I^h)$ too. Consequently, \mathcal{T}_X^h is a union of some cells of the Gröbner polyhedral decomposition Σ_{I^h} . In particular, it is a Γ -strict polyhedral subset of \mathbf{R}^{n+1} .

f= Tfi = NI = VIx Jp for Ix in x (f) = Tinx (f) = 1. donc X & V_X (on peut récure l'argument en la mis de polytops de Newton de polytops de Newton pos peson quil soit saindé) ph = Lx EIR+1 | inx (In) ne contient pas de mariore } Ex = (x \in R | in (I) re contract p2s }.

= {x \in R | x = (0, x) \in B & y.

> réunior de cellules de la décomposition

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K mu

Let $x \in \mathbb{R}^n$ and let x' = (0, x). Then $in_x(I) = (1)$ if and only if there exists $f \in I$ such that $in_x(f) = 1$; then $in_{x'}(f^h)$ is a monomial in T_1, \ldots, T_n multiplied by a polynomial in T_0 . By homogeneity, $\operatorname{in}_{x'}(f^h)$ is a monomial. Conversely, if $\operatorname{in}_{x'}(f^h)$ is a monomial, then $\operatorname{in}_x(f)$ is a monomial as well. This proves that \mathcal{T}_X is the set of $x \in \mathbf{R}^n$ such that $(0, x) \in \mathcal{T}_{\mathbf{x}}^{\mathbf{h}}$.

Moreover, for every cell $C'_x(I^h)$ such that the corresponding initial ideal in_x(I^h) contains a monomial, we may choose $f \in I$ such that $\operatorname{in}_{x}(f^{\operatorname{h}})$ is a monomial. The family (f_{i}) of these polynomials satisfies the required condition.

To prove c), we may assume that the valuation of the field L has a splitting, so that assertion *a*) holds for \mathcal{T}_{X_1} .

The inclusion $\mathcal{T}_{X_L} \subset \mathcal{T}_X$ follows from the definition. Indeed, if $x \in \mathbb{R}^n$ — \mathcal{T}_X , there exists $f \in I$ such that the supremum defining $\tau_f(x)$ is reached for one monomial only, and the same property holds for f viewed as an element of I_L , so that $x \notin \mathcal{T}_{X_L}$.

Conversely, let $x \in \mathbb{R}^n - \mathcal{T}_{X_L}$ and let $f = \sum c_m T^m \in I_L$ be such that the supremum defining $\tau_f(x)$ is reached at only one monomial. Let us consider an expression $f = \sum_{i=1}^{r} a_i f_i$, where $a_i \in L$ and $f_i \in I$, and the integer r is minimal. Let $S \subset \mathbb{Z}^n$ be the union of the supports of the f_i and let us consider the $r \times S$ matrix A given by the coefficients of these Laurent polynomials. Among all finite families

Supposon que X_=V(I,) a un base tropicale Démontos que X=U(I) et 8x=8x

in (IA) contract in monote, chaisson fx tq inx(f) set un miniones. $y \in C'_{x}(I^{a})$ équation de C'x(Iª) or vot que iny (fx) nontre fin de « mauvours allulis ~ fine free by XE marvaix allul (d)) in x (fi) est un mono

Autemt dil 8x = N Pfo Conversely, let $x \in \mathbf{R}^n - \mathcal{T}_{X_L}$ and let $f = \sum c_m \mathbf{T}^m \in \mathbf{I}_L$ be such that the supremum defining $\tau_f(x)$ is reached at only one monomial. Let us consider an expression $f = \sum_{j=1}^r a_j f_j$, where $a_j \in \mathbf{L}$ and $f_j \in \mathbf{I}$, and the integer r is minimal. Let $\mathbf{S} \subset \mathbf{Z}^n$ be the union of the supports of the f_j and let us consider the $r \times \mathbf{S}$ matrix A given by the coefficients of these Laurent polynomials. Among all finite families

Oh a provie
$$\mathcal{E}_{X_L} \subset \mathcal{E}_{X_L}$$

 $(x) \notin \mathcal{E}_{X_L}$, démontrons $x \in \mathcal{E}_{X_L}$
il existe $f \in I_L$ $\mathcal{E}_{X_L} (f \mid = h \text{ m})$
 $\text{mix}(f \mid = morione)$

$$f = a_1 f_1 + vof_r \qquad a_j \in L \quad f_j \in I$$

$$(\text{Minnade} \implies f_1 - jf_r \quad \text{nont lin undip } m \cdot L) \quad \text{Cadis}) \qquad f_1 - Z \in I_r \cap I_r$$

$$S(g) \subset S = US(f_j) \qquad A \in \text{mot}_{R \times S}(R) \qquad A = \int_{R}^{g_j} \int_{R}^{g_j} \left[\int_{R}^{g_j} \int$$

 $R = (m_1, ..., m_r)$ in S, let us choose one such that the quantity

$$\left(\log(|\det(A^{R})|) + \sum_{j=1}^{r} \langle m_j, x \rangle \right)$$

is maximal, where A^R is the $r \times r$ submatrix of A with columns m_1, \ldots, m_r . Since A has rank r, the matrix A^R is invertible and there exists a matrix $U \in GL(r, K)$ such that $(UA)^RUA^R = I_r$. Then

$$\log(|\text{det}((UA)^{\mathbb{R}})|) + \sum_{j=1}^{r} \langle m_j, x \rangle = \log(|\text{det}(U)|) + \log(|\text{det}(A^{\mathbb{R}})|) + \sum_{j=1}^{r} \langle m_j, x \rangle$$

is maximal. For $i \in \{1, ..., r\}$ and $m \in S - R$, exchanging the columns m and m_i replaces the above quantity by

$$\log(|(UA)_{i,m}|) + \sum_{j=1}^{r} \langle m_j, x \rangle + \langle m, x \rangle - \langle m_i, x \rangle,$$

so that

$$log(|(UA)_{i,m}|) + \langle m, x \rangle \geq \langle m_i, x \rangle.$$

Replacing the polynomials f_1, \ldots, f_r by the polynomials whose coefficients are given by the matrix UA, we may assume that there are Laurent polynomials $g_j = \sum_{m \in S-R} c_{j,m} T^m$ with support contained in S-R (for $j \in \{1, \ldots, r\}$) such that $f_j = T^{m_j} + g_j$ and such that

Exploiher le choix de R. si on replace R

par R-Lmj V/m/ = R $pour m \in S - R$ on dininue la quantité maximusé en R. det (UAR) = det (W) det (AR) R' log |det (UA") | + 2 < m, x) det (VAF') = Cjin log | Gm + Z < m,x) < log | 1 + Z < m,x)

-dnis v dm >

$$\log(|c_{j,m}|) + \langle m, x \rangle \leq \langle m_j, x \rangle. \text{ Then } C_{m}$$

$$f = \sum_{j=1}^{r} a_j T^{m_j} + \sum_{m \in S-R} (\sum_{j=1}^{r} a_j c_{j,m}) T^m,$$

so that

$$\tau_f(x) \geqslant \sup_j (\log(|a_j|) + \langle m_j, x \rangle).$$

Then, for every $m \in S - R$ and every $j \in \{1, ..., r\}$, one has the inequality

$$\log(|a_jc_{j,m}|) + \langle m, x \rangle \leq \log(|a_j|) + \langle m_j, x \rangle,$$

so that $\log(|c_{j,m}|) + \langle m, x \rangle \leq \langle m_j, x \rangle$ and $\tau_f(x) = \sup_j (\log(|a_j|) + \langle m_j, x \rangle)$.

By the assumption $x \notin \mathcal{T}_f$, there exists a unique $j \in \{1, \ldots, r\}$ such that $\tau_f(x) = \log(|a_j|) + \langle m_j, x \rangle$, and $\log(|c_m|) + \langle m, x \rangle < \tau_f(x)$ for every $m \in S - R$. For $i \in \{1, \ldots, r\}$ such that $i \neq j$, one thus has $\log(|a_i|) + \langle m_i, x \rangle < \log(|a_j|) + \langle m_j, x \rangle$. Then for every $m \in S - R$, one has

$$\left(\log(|a_i c_{i,m}|) + \langle m, x \rangle \leq \log(|a_i|) + \langle m_i, x \rangle < \log(|a_j|) + \langle m_j, x \rangle, \right.$$

so that $\log(|c_m|) = \log(|a_jc_{j,m}|)$. Since $\log(|c_m|) + \langle m, x \rangle < \tau_f(x) = \log(|a_j|) + \langle m_j, x \rangle$, one has $\log(|c_{j,m}|) + \langle m, x \rangle < \langle m_j, x \rangle$. This proves that the supremum defining $\tau_{f_i}(x)$ is reached for the monomial m_i

only. Since $f_j \in I$, we have proved that $x \notin \mathcal{T}_X$. This proves assertion c).

In fact, the same argument also allows to deduce assertion a) in full. We may indeed apply it to every element f of a tropical basis of I_L , and every point $x \in \mathbf{R}^n$. For a given f, there are only finitely many possible families (m_1, \ldots, m_r) as above, so that when x varies, the procedure furnishes finitely Laurent polynomials in I. The collection (f_i) of these Laurent polynomials is a tropical basis of I, as sought for.

For every i, \mathcal{T}_{f_i} is a Γ-strict polyhedral subspace of \mathbb{R}^n , hence so is their intersection \mathcal{T}_X . This proves b) and concludes the proof of the proposition.

Remark (3.7.7). — Let V be a closed subvariety of $(\mathbf{C}^*)^n$ and let I be its ideal in $\mathbf{C}[\mathsf{T}_1^{\pm 1},\ldots,\mathsf{T}_n^{\pm 1}]$. Let us endow the field \mathbf{C} with the trivial valuation. Then $\mathcal{T}_{V(I)}$ coincides with the tropical variety \mathcal{T}_V of definition 2.6.3. This proves that \mathcal{T}_V is a \mathbf{Q} -rational polyhedral set. In particular, theorem 2.6.6 applies to V, and this concludes the proof of the Bieri–Groves theorem (theorem 2.6.5).

Theorem (3.7.8) (Einsiedler, Kapranov & Lind, 2006)

Let I be an ideal of $K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ and let X be the closed subscheme V(I) of G_m^n . The following three subsets of \mathbb{R}^n coincide:

(i) The tropical variety \mathcal{T}_X ;

CEX, CCXx + Cx CBx2 -> ejolité Px-2x2 x ~ feth fiftet Px a un box tropicale 3ajf;

>il suffit de prendre f parr un ens fini

> R n'a qu'un ub fini de possibilité

on le prend tous

pour chaven: une autre décompositur

de le = Z bjgj JR, j & x E EgR box tropicale: prendre tous les gr

f = Z cn T f E I f (z) = 0 f (z) & bf

(ii) The set of all $x \in \mathbf{R}^n$ such that there exists a valued extension L of K and a point $z \in X(L) \subset (L^{\times})^n$ such that $x = \lambda(z)$;

(iii) The image of $X^{an} = \mathcal{V}(I) \subset (\mathbf{G_m}^n)^{an}$ by the tropicalization map $p \mapsto (+\log(p(T_1)), \dots, +\log(p(T_n)))$.

If the valuation of K admits a splitting, they also coincide with:

(iv) The set of all $x \in \mathbf{R}^n$ such that $\operatorname{in}_x(I) \neq K[T_1^{\pm 1}, \dots, T_n^{\pm 1}]$; If \mathbf{K} is algebraically closed and its valuation nontrivial, they also coincide with:

(v) The closure of the set of all $x \in \mathbb{R}^n$ such that there exists a point $z \in X(\mathbb{K}) \subset (\mathbb{K}^{\times})^n$ such that $x = \lambda(z)$.

Proof. — Let us denote these subsets of \mathbb{R}^n by $S_1 = \mathcal{T}_X$, S_2 , S_3 , S_4 , S_5 . As for the proof of theorem 3.3.6, some inclusions are essentially formal. The equality $S_2 = S_3$ has been proved in §3.2.9. If the valuation has a splitting, the equality $\operatorname{in}_x(I) = K[T_1^{\pm 1}, \ldots, T_n^{\pm 1}]$ is equivalent to the existence of $f \in I$ such that $\operatorname{in}_x(I)$ is invertible, that is, a monomial. This proves that $S_1 = S_4$. By definition, S_5 is the closure of a subset of S_2 ; since S_3 is closed, one has $S_5 \subset S_3$. Finally, for every $f \in I$, one has $S_2 \subset \mathcal{T}_f$, hence $S_2 \subset \mathcal{T}_K = S_1$.

The rest of the proof follows from the results proved below. We first establish (lemma 3.7.9) that the dimension of \mathcal{T}_X is at most that of V(I). Under the assumption that K is algebraically closed and its valuation is nontrivial, this is then used to prove that for every point $x \in \mathcal{T}_X \cap \Gamma^n$, there exists $z \in X(K)$ such that $\lambda(z) = x$

7 (LX) - R R Ly(1Z1/1 ..., 1Zn/) Vanik brog cale nor archimedien $\chi^{an} \xrightarrow{\lambda} \stackrel{\sim}{\mathbb{R}}$ étend le Ph. 33.6 (Kapranor)
du cos X = V(f) S₂ = S₃ description d X^{an}
= M(KTT[±], Th)/I) 3₁ = 5₄ déposition de l'x $S_5 = S_3 = S_1$ Il reste à prouve S1 C S5.