

ON A CONJECTURE OF CLEMENS ON RATIONAL  
CURVES ON HYPERSURFACES

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## 0. Introduction

In [2], H. Clemens proved the following theorem:

**0.1 Theorem.** *Let  $X \subset \mathbb{P}^n$  be a general hypersurface of degree  $d \geq 2n - 1$ . Then  $X$  contains no rational curve.*

In [3],[4] Ein generalized Clemens theorem in two directions; he considered a smooth projective variety  $M$  of dimension  $n$ , instead of  $\mathbb{P}^n$  (which is a mild generalization since any such  $M$  can be projected to  $\mathbb{P}^n$ ), and general complete intersections  $X \subset M$  of type  $(d_1, \dots, d_k)$  and proved:

**0.2 Theorem.** *If  $d_1 + \dots + d_k \geq 2n - k - l + 1$ , any subvariety  $Y$  of  $X$  of dimension  $l$  has a desingularisation  $\tilde{Y}$  which has an effective canonical bundle; if the inequality is strict, the sections of  $K_{\tilde{Y}}$  separate generic points of  $\tilde{Y}$ .*

In the case of divisors  $Y \subset X$ , this result has been improved by Xu [11],[12], who proved:

**0.3 Theorem.** *Let  $Y \subset X$  be a divisor,  $\tilde{Y}$  a desingularization of  $Y$ , then  $p_g(\tilde{Y}) \geq n - 1$  if  $\sum d_i \geq n + 2$ .*

In [11], he gave more precise estimates for the minimal genus of a curve in a general surface in  $\mathbb{P}^3$ .

Now these results are not optimal, excepted in the case of divisors. In fact we will prove in the case of hypersurfaces the following improvement of Clemens and Ein's results:

**0.4 Theorem.** (See 2.10.) *Let  $X \subset \mathbb{P}^n$  be a general hypersurface of degree  $d \geq 2n - l - 1$ ,  $1 \leq l \leq n - 3$ ; then any subvariety  $Y$  of  $X$  of dimension  $l$  has a desingularization  $\tilde{Y}$  with an effective canonical bundle; if the inequality is strict, the sections of  $K_{\tilde{Y}}$  separate generic points of  $Y$ .*

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In particular, this proves that general hypersurfaces of degree  $d \geq 2n - 2$ ,  $n \geq 4$  do not contain rational curves, which was conjectured by Clemens. This result is now optimal since hypersurfaces of degree  $\leq 2n - 3$  contain lines. Similarly, general hypersurfaces of degree  $d \geq 2n - 3$  do not contain a surface covered by rational curves, for  $n \geq 5$ , and this cannot be improved since hypersurfaces of degree  $\leq 2n - 4$  contain a positive dimensional family of lines. The case  $n = 4, d = 2n - 3 = 5$  is Clemens conjecture on the finiteness of rational curves of fixed degree in a general quintic threefold and is not accessible by our method.

**0.5.** In the first section, we will prove a very simple proposition (1.1) concerning the global generation of the bundle  $T\mathcal{X}(1)|_X$ , where  $\mathcal{X}$  is the universal family of complete intersections,  $\mathcal{X} \subset \mathbb{P}^n \times \Pi_i H^0(\mathcal{O}_{\mathbb{P}^n}(d_i))^0$ , where the last factor denotes the open set of  $\Pi_i H^0(\mathcal{O}_{\mathbb{P}^n}(d_i))$  parametrizing smooth complete intersections, and  $X \subset \mathcal{X}$  is a special member of the family. We will show how the theorems of Clemens and Ein are deduced from this. Notice that this is only a formal simplification of the proof of Ein, since the principle of the proof is certainly the same. However, it allows to estimate the codimension of the sublocus of  $\Pi_i H^0(\mathcal{O}_{\mathbb{P}^n}(d_i))^0$  where the statement fails to be true. We also give an improvement of Xu's theorem using a refinement of Proposition 1.1. We finally recall from [9], the following kind of applications:

**0.6 Theorem.** *If  $\sum_i d_i > 2n - k + 1$ , and  $X$  is general, no two points of  $X$  are rationally equivalent.*

**0.7.** The second section is devoted to the improvement of these results in the case of hypersurfaces. The main technical point here is Proposition 2.2, which concerns sections of the bundle  $\Lambda^2 T\mathcal{X}(1)|_X$ . In the above mentioned papers the authors used only sections of  $\Lambda^2 T\mathcal{X}(2)|_X$ , (which are easily obtained using the wedge products of sections of  $T\mathcal{X}(1)|_X$ ), which explains why their results can be improved (by 1).

**1.** We will begin this section with the proof of the following proposition 1.1; let  $S^{d_i} := H^0(\mathcal{O}_{\mathbb{P}^n}(d_i))$ ,  $d_i \geq 2$  and let  $\mathcal{X} \subset \mathbb{P}^n \times \Pi_i S^{d_i 0}$  be the universal complete intersection; for  $t = (t_1, \dots, t_k) \in \Pi_i S^{d_i 0}$ , let  $X_t := pr_2^{-1}(t) \subset \mathcal{X}$  be the complete intersection parametrized by  $t$ . We assume that  $\dim X_t \geq 2$ , and that  $H^0(T_{X_t}(1)) = \{0\}$ , which is certainly true if  $K_{X_t} \geq \mathcal{O}_{X_t}(1)$  (with the first assumption), so is not restrictive since this is the only case that we will consider for applications. Then we have:

**1.1 Proposition.** *The bundle  $T\mathcal{X}(1)|_{X_t}$  is generated by global sections.*

*Proof.* Consider the exact sequence of tangent bundles:

$$1.1.1. \quad 0 \rightarrow T_{X_t}(1) \rightarrow T\mathcal{X}(1)|_{X_t} \rightarrow (\Pi_i S^{d_i}) \otimes \mathcal{O}_{X_t}(1) \rightarrow 0.$$

From  $h^0(T_{X_t}(1)) = 0$ , we deduce:

1.1.2.  $H^0(T\mathcal{X}(1)|_{X_t}) = \text{Ker } \mu$ , where  $\mu : \Pi_i S^{d_i} \otimes S^1 \rightarrow H^1(T_{X_t}(1))$  is the coboundary map induced by 1.1.1.

Now  $X_t \subset \mathbb{P}^n$  is defined by  $t_1 = \dots = t_k = 0$ , so we have the exact sequence:

$$1.1.3. \quad 0 \rightarrow T_{X_t} \rightarrow T\mathbb{P}^n|_{X_t} \xrightarrow{\alpha} \Pi_i \mathcal{O}_{X_t}(d_i) \rightarrow 0,$$

where  $\alpha(X_i \partial / \partial X_i) = (X_l \partial t_1 / \partial X_j|_{X_t}, \dots, X_l \partial t_k / \partial X_j|_{X_t})$ . 1.1.3 gives then an isomorphism:

1.1.4.

$$\begin{aligned} \text{Ker}(H^1(T_{X_t}(1))) &\rightarrow H^1(T\mathbb{P}^n(1)|_{X_t}) \\ &\cong \Pi_i H^0(\mathcal{O}_{X_t}(d_i + 1)) / \alpha((H^0(T\mathbb{P}^n|_{X_t})). \end{aligned}$$

Now using the map  $pr_{1*}$  between 1.1.1 and 1.1.3:

1.1.5.

$$\begin{array}{ccccccc} 0 & \rightarrow & T_{X_t}(1) & \rightarrow & T\mathcal{X}(1)|_{X_t} & \rightarrow & (\Pi_i S^{d_i}) \otimes \mathcal{O}_{X_t}(1) \rightarrow 0 \\ & & Id \downarrow & & pr_{1*} \downarrow & & ev \downarrow \\ 0 & \rightarrow & T_{X_t}(1) & \rightarrow & T\mathbb{P}^n(1)|_{X_t} & \xrightarrow{\alpha} & \Pi_i \mathcal{O}_{X_t}(d_i + 1) \rightarrow 0 \end{array}$$

we see immediately that the map  $\mu$  of 1.1.2 takes its value in  $\text{Ker}(H^1(T_{X_t}(1)) \rightarrow H^1(T\mathbb{P}^n(1)|_{X_t}))$ , and via the isomorphism of 1.1.4, is simply the map:

1.1.6.  $\mu : (\Pi_i S^{d_i}) \otimes S^1 \rightarrow \Pi_i H^0(\mathcal{O}_{X_t}(d_i + 1)) / \alpha(H^0(T\mathbb{P}^n(1)|_{X_t}))$  obtained by composition of the product:  $S^{d_i} \otimes S^1 \rightarrow S^{d_i+1}$ , the restriction to  $X_t$ , and the projection modulo  $\text{Im}(\alpha)$ .

1.1.7. Next let  $x \in X_t$  be any point; tensoring everything with  $\mathcal{I}_x$  we get similarly isomorphisms:

1.1.8.

$$\begin{aligned} \text{Ker}(H^1(T_{X_t}(1) \otimes \mathcal{I}_x)) &\rightarrow H^1(T\mathbb{P}^n(1)|_{X_t} \otimes \mathcal{I}_x) \\ &\cong \Pi_i H^0(\mathcal{O}_{X_t}(d_i + 1) \otimes \mathcal{I}_x) / \text{Im}(\alpha_x), \end{aligned}$$

where  $\alpha_x : H^0(T\mathbb{P}^n(1)|_{X_t} \otimes \mathcal{I}_x) \rightarrow \Pi_i H^0(\mathcal{O}_{X_t}(d_i + 1) \otimes \mathcal{I}_x)$  is the map induced by  $\alpha$  in 1.1.3, and

1.1.9.  $H^0(T\mathcal{X}(1)|_{X_t} \otimes \mathcal{I}_x) \cong \text{Ker } \mu_x$ , where  $\mu_x : (\Pi_i S^{d_i}) \otimes S^1_x \rightarrow \Pi_i H^0(\mathcal{O}_{X_t}(d_i + 1) \otimes \mathcal{I}_x) / \text{Im}(\alpha_x)$  is the multiplication followed by restriction to  $X_t$  and projection mod.  $\text{Im}(\alpha_x)$  as in 1.1.6 ( Here  $S^1_x := H^0(\mathcal{O}_{X_t}(1) \otimes \mathcal{I}_x)$ ).

Now the proof of 1.1 is finished with the obvious observation that  $\mu$  and  $\mu_x$  are surjective: indeed, the map given by the inclusion  $H^1(T\mathbb{P}^n(1)|_{X_t} \otimes \mathcal{I}_x) \rightarrow H^1(T\mathbb{P}^n(1)|_{X_t})$  is injective since  $T\mathbb{P}^n(1)|_{X_t}$  is

generated by its sections. From  $H^0(T_{X_t}(1)) = 0$ , we have the exact sequence:

$$1.1.10. \quad 0 \rightarrow H^0(T_{X_t|_x}) \rightarrow H^1(T_{X_t}(1) \otimes \mathcal{I}_x) \rightarrow H^1(T_{X_t}(1)) \rightarrow 0,$$

which induces an exact sequence:

1.1.11.

$$0 \rightarrow H^0(T_{X_t|_x}) \rightarrow (\text{Ker}(H^1(T_{X_t}(1) \otimes \mathcal{I}_x) \rightarrow H^1(T\mathbb{P}^n(1)|_{X_t} \otimes \mathcal{I}_x))) \rightarrow \text{Ker}(H^1(T_{X_t}(1)) \rightarrow H^1(T\mathbb{P}^n(1)|_{X_t})) \rightarrow 0,$$

that is:

$$1.1.12. \quad 0 \rightarrow H^0(T_{X_t|_x}) \rightarrow \text{Im}(\mu_x) \rightarrow \text{Im}(\mu).$$

It then follows that  $\text{Ker}(\mu_x) \subset \text{Ker}(\mu)$  has codimension equal to:  $\dim(\bigoplus_i S^{d_i}) + h^0(T_{X_t|_x}) = \text{rank}(T\mathcal{X}(1)|_x)$ . By the isomorphisms of 1.1.2, 1.1.6, 1.1.9, we conclude that  $H^0(T\mathcal{X}(1)|_{X_t} \otimes \mathcal{I}_x) \subset H^0(T\mathcal{X}(1)|_{X_t})$  has codimension equal to the rank of  $T\mathcal{X}$ , which means that  $T\mathcal{X}(1)|_{X_t}$  is globally generated at  $x$ .

Now Proposition 1.1 implies

**1.2 Corollary.** *For any  $l \geq 0$  the bundle  $\wedge^l T\mathcal{X} \otimes \mathcal{O}_{X_t}(l)$  is generated by global sections, and the bundle  $\wedge^l T\mathcal{X} \otimes \mathcal{O}_{X_t}(l+1)$  is very ample (in the sense that its global sections restrict surjectively to its sections over any 0-dimensional subscheme of length two of  $X_t$ ).*

Now  $T\mathcal{X}|_{X_t}$  has determinant equal to  $K_{X_t} \cong \mathcal{O}_{X_t}(\sum_i d_i - n - 1)$ , so we have:

**1.2.1.**  $\wedge^l T\mathcal{X} \otimes \mathcal{O}_{X_t}(l) \cong \wedge^{N+n-k-l} \Omega_{\mathcal{X}|_{X_t}} \otimes \mathcal{O}_{X_t}(l - \sum_i d_i + n + 1)$ , where  $N = \dim(\bigoplus_i S^{d_i})$ , so  $N + n - k = \dim \mathcal{X}$ . Thus we conclude:

**1.3 Corollary.**  $\Omega_{\mathcal{X}}^{N+n-k-l}|_{X_t}$  is generated by global sections when  $l - \sum_i d_i + n + 1 \leq 0$ , and is very ample when this inequality is strict.

This gives immediately the following refinement 1.4 of Clemens and Ein's results (0.2): Let  $\mathcal{M} \subset \Pi_i S^{d_i,0}$  be a subvariety, and let  $\tilde{\mathcal{M}} \xrightarrow{\pi} \mathcal{M}$  be an étale map; let  $\mathcal{Y} \subset \mathcal{X}_{\tilde{\mathcal{M}}}$  be a subvariety of the family obtained by base change to  $\tilde{\mathcal{M}}$ ; we assume that  $pr_2 : \mathcal{Y} \rightarrow \tilde{\mathcal{M}}$  is dominant of generic fiber dimension  $l$ . Then we have:

**1.4 Theorem.** *If  $\sum_i d_i \geq 2n - k + 1 - l + \text{codim } \mathcal{M}$ , then any desingularization  $\tilde{Y}_t$  of the generic fiber  $Y_t$  of  $pr_2 : \mathcal{Y} \rightarrow \tilde{\mathcal{M}}$  has an effective canonical bundle. If the inequality is strict, then the sections of  $K_{\tilde{Y}_t}$  separate generic points of  $\tilde{Y}_t$ .*

*Proof.* We have  $\dim \mathcal{Y} = N + l - \text{codim } \mathcal{M}$ ; by 1.3, if  $\sum_i d_i \geq 2n - k + 1 - l + \text{codim } \mathcal{M}$ , then the bundle  $\Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}}^{\dim \mathcal{Y}}|_{X_m}$  is generated by the global sections, for all  $m \in \tilde{\mathcal{M}}$  such that  $\mathcal{M}$  is smooth at  $\pi(m)$ , since the map  $\tilde{\mathcal{M}} \rightarrow \mathcal{M}$  is étale. Let  $\tilde{\mathcal{Y}}$  be a desingularization of  $\mathcal{Y}$ , and  $j : \tilde{\mathcal{Y}} \rightarrow \mathcal{X}_{\tilde{\mathcal{M}}}$  be the natural induced map; then  $j$  is generically

an immersion. So it follows that  $\Omega_{\tilde{Y}}^{\dim \mathcal{Y}}|_{\tilde{Y}_m}$  has a nonzero section, for generic  $m \in \tilde{\mathcal{M}}$ . Since for a smooth fiber  $\tilde{Y}_m$ , one has an isomorphism:  $\Omega_{\tilde{Y}}^{\dim \mathcal{Y}}|_{\tilde{Y}_m} \cong K_{\tilde{Y}_m}$ , we have proved that the canonical bundle  $K_{\tilde{Y}_m}$  is effective, for generic  $m \in \tilde{\mathcal{M}}$ , as we wanted. Similarly, if the inequality is strict, then again by 1.3, the bundle  $\Omega_{\mathcal{X}}^{\dim \mathcal{Y}}|_{X_m}$  is very ample, for any  $m \in \tilde{\mathcal{M}}$ , so for a generic point  $m \in \tilde{\mathcal{M}}$ , satisfying the conditions that  $j$  is an immersion generically along  $\tilde{Y}_m$  and that  $\tilde{Y}_m$  is smooth, we get that the sections of  $\Omega_{\tilde{Y}}^{\dim \mathcal{Y}}|_{\tilde{Y}_m} \cong K_{\tilde{Y}_m}$  separate generic points of  $\tilde{Y}_m$ .

**1.5.** We explain now how we can obtain the following refinement of Xu’s theorem 0.3 in the case of hypersurfaces; of course, only the case where  $d = n + 2$  is to be considered, since the case  $d > n + 2$  is covered by Ein’s theorem.

**1.6 Theorem.** *Let  $X \subset \mathbb{P}^n$  be a general hypersurface of degree  $d = n + 2$ . Then for any irreducible divisor  $Y \subset X$ , any desingularization  $\tilde{Y}$  of  $X$  satisfies that the canonical map of  $\tilde{Y}$  is generically finite on its image.*

We consider again  $\mathcal{X} \subset \mathbb{P}^n \times S^{d^0}$ , the universal hypersurface, and  $X_t \subset \mathcal{X}$  a fiber of  $pr_2$ ; we have shown that  $T\mathcal{X}(1)|_{X_t}$  is generated by the global sections, hence gives a map:

$$1.6.1. \quad \phi : \mathbb{P}(\Omega_{\mathcal{X}}(-1)|_{X_t}) \rightarrow \mathbb{P}^M.$$

The proof of the Theorem 1.6 will follow from

**1.7 Proposition.** *On the set of  $GL(n + 1)$ -invariant hyperplanes of  $T\mathcal{X}(1)|_{X_t}$ , the positive dimensional fibers of  $\phi$  project onto lines contained in  $X$ .*

Here we consider the natural action of  $GL(n + 1)$  on

$$\mathcal{X} \subset \mathbb{P}^n \times S^{d^0}.$$

The  $GL(n + 1)$ -invariant hyperplanes are those which contain the tangent vectors to this action.

**1.8.** Let us explain how 1.7 implies 1.6: it suffices to show that for any étale map  $\mathcal{M} \rightarrow S^{d^0}$ , with a lifting of the  $GL(n + 1)$  action, and any  $GL(n + 1)$ -invariant divisor  $\mathcal{Y} \subset \mathcal{X}_{\mathcal{M}}$ , ( $\mathcal{X}_{\mathcal{M}}$  is the family obtained by base change to  $\mathcal{M}$ ), any desingularization  $\tilde{\mathcal{Y}}$  of  $\mathcal{Y}$  satisfies:

**1.8.1.** *The sections of  $K_{\tilde{\mathcal{Y}}|\tilde{Y}_t} \cong K_{\tilde{Y}_t}$  give a map  $\tilde{Y}_t \cdots \rightarrow \mathbb{P}^M$  generically finite on its image, for generic  $t \in \mathcal{M}$ .*

Now, at a point  $y$  where  $\tilde{\mathcal{Y}} \rightarrow \mathcal{X}_{\mathcal{M}}$  is an immersion,  $T\tilde{\mathcal{Y}}|_y \subset T\mathcal{X}_{\mathcal{M}}|_y$  is a  $GL(n + 1)$ -invariant hyperplane. Let  $t \in \mathcal{M}$  be generic, and  $x, y$  two points of  $\tilde{Y}_t$ , where  $\tilde{\mathcal{Y}} \rightarrow \mathcal{X}_{\mathcal{M}}$  is an immersion. If  $T\tilde{\mathcal{Y}}|_x, T\tilde{\mathcal{Y}}|_y$  are not in the same fiber of  $\phi$ , then there is a section of  $T\mathcal{X}(1)|_{X_t} \cong \Omega_{\mathcal{X}}^{N+n-2}|_{X_t}$  (since  $d = n + 2$ ), which vanishes on  $T\tilde{\mathcal{Y}}|_x$  but not on  $T\tilde{\mathcal{Y}}|_y$ . In other

words, the fibers of the map  $\psi : \tilde{Y}_t \cdots \rightarrow \mathbb{P}^{M^n}$  given by the image of  $H^0(\Omega_{\tilde{\mathcal{X}}^{N+n-2}|_{X_t}})$  in  $H^0(\Omega_{\tilde{Y}^{N+n-2}|_{\tilde{Y}_t}}) \cong H^0(K_{\tilde{Y}_t})$  are contained over an open set of  $\tilde{Y}_t$  in the projection of fibers of  $\phi$ .

So the positive dimensional fibers of  $\psi$ , over an open set of  $\tilde{Y}_t$  must be lines contained in  $X_t$  by 1.7. But if  $t$  is generic, the family of lines in  $X_t$  has dimension  $n - 5$ , so lines in  $X_t$  cannot cover a divisor of  $X_t$ , which proves that  $\psi$  is generically finite on its image.

**1.9 Proof of Proposition 1.7.** Recall from 1.1.2, 1.1.6 the isomorphism:  $H^0(T\mathcal{X}(1)|_{X_t}) \cong \text{Ker } \mu$ , where  $\mu : S^d \otimes S^1 \rightarrow R_t^{d+1}$  is the multiplication  $\mu_0 : S^d \otimes S^1 \rightarrow H^0(\mathcal{O}_{X_t}(d+1))$  followed by the projection  $H^0(\mathcal{O}_{X_t}(d+1)) \rightarrow R_t^{d+1} := S^{d+1}/J_t^{d+1}$ , where  $J_t$  is the jacobian ideal of the defining equation  $F_t$  of  $X_t$ . Let now  $H \subset \text{Ker } \mu$  be a hyperplane and let  $K \subset S^d \otimes S^1$  be a hyperplane such that  $K \cap \text{Ker } \mu = H$ . A point  $x \in X_t$  is in the projection of  $\phi^{-1}(H)$  iff the evaluation map  $H \rightarrow T\mathcal{X}(1)|_x$  is not surjective. Let  $K_x := K \cap S^d \otimes S_x^1$ . Notice that there is at most one point  $x$  such that  $K_x = S^d \otimes S_x^1$ , so we may assume that  $K_x$  is a hyperplane of  $S^d \otimes S_x^1$ , since we are interested in the description of the positive dimensional fibers of  $\phi$ . Using the notation of the proof of 1.1, we have the following exact diagramm:

1.9.2.

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & H^0(T\mathcal{X}(1)|_{X_t} \otimes \mathcal{I}_x) \cap H & \rightarrow & K_x & \xrightarrow{\mu_x} & S_x^{d+1}/\alpha(H^0((T\mathbb{P}^n(1) \otimes \mathcal{I}_x))) \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \rightarrow & H & \rightarrow & K & \xrightarrow{\mu} & R^{d+1}
 \end{array}$$

Under the above assumption,  $K_x \subset K$  has codimension equal to  $N := \dim S^d$ . It is easy to see that the map  $\mu$  is surjective, so we conclude from 1.9.2 that

$$H^0(T\mathcal{X}(1)|_{X_t} \otimes \mathcal{I}_x) \cap H \subset H$$

has codimension equal to  $\text{rank}(T\mathcal{X}(1))$  when  $\mu_x$  is surjective. On the other hand, since  $K_x$  is a hyperplane in  $S^d \otimes S_x^1$ ,  $\mu_x$  will be surjective if  $K_x$  does not contain  $\text{Ker}(\mu_0^x : S^d \otimes S_x^1 \rightarrow H^0(\mathcal{O}_{X_t}(d+1) \otimes \mathcal{I}_x))$ . Thus the projection to  $X_t$  of the fiber  $\phi^{-1}(H)$  is contained in the set  $\{x / \text{Ker } \mu_0^x \subset K_x\}$ , with one eventual supplementary point where  $K_x = S^d \otimes S_x^1$ .

Now suppose that  $H$  contains  $\text{Ker } \mu_0$ : Using the exact sequence:

1.9.3.  $0 \rightarrow T\mathcal{X}|_{X_t} \rightarrow T\mathbb{P}^n|_{X_t} \oplus S^d \otimes \mathcal{O}_{X_t} \xrightarrow{dF} \mathcal{O}_{X_t}(d) \rightarrow 0,$

where  $dF((u, g))(x) = uF_t(x) + g(x)$ , one sees easily that  $T\mathcal{X}|_{X_t}$  contains the bundle  $M_d|_{X_t}$ , where  $M_d$  is defined by the exact sequence:

1.9.4.  $0 \rightarrow M_d \rightarrow S^d \otimes \mathcal{O}_{\mathbb{P}^n} \rightarrow \mathcal{O}_{\mathbb{P}^n}(d) \rightarrow 0.$

Furthermore one checks readily that  $\text{Ker } \mu_0 \subset \text{Ker } \mu$  identifies with the inclusion  $H^0(M_d(1)_{X_t}) \subset H^0(T\mathcal{X}(1)|_{X_t})$  and that  $M_d(1)$  is generated by global sections. So, if  $H$  contains  $\text{Ker } \mu_0$ , then  $\phi^{-1}(H)$  corresponds to hyperplanes  $V_x$  in  $T\mathcal{X}(1)_x, x \in X_t$  such that  $M_{d|x} \subset V_x$ . But it is easy to see that  $M_{d|x}$  together with the vectors tangent to the infinitesimal action of  $GL(n+1)$  generate  $T\mathcal{X}(1)_x$ , so  $\phi^{-1}(H)$  cannot contain a  $GL(n+1)$ -invariant hyperplane, when  $H$  contains  $\text{Ker } \mu_0$ .

Finally, assume that  $\text{Ker } \mu_0 \not\subset H$ ; then we have:

**1.9.5 Lemma.** *The set  $\{x \in X_t / \text{Ker } \mu_0^x \subset K_x\}$  is contained in a line.*

This is elementary: it suffices to note that if  $x, y, z$  are three non-collinear points of  $X_t$ , then  $\text{Ker } \mu_0^x, \text{Ker } \mu_0^y, \text{Ker } \mu_0^z$  generate  $\text{Ker } \mu_0$ .

**1.10.** As in [9], from 1.3 we can also deduce information about the Chow groups  $CH_0(X_t)$  for general  $X_t$ . In fact, let  $\mathcal{M} \subset \Pi_i S^{d_i}$  be a subvariety, as in 1.4; then 1.3 gives us:

**1.10.1.** *For  $\sum_i d_i > 2n - k + 1 + \text{codim } \mathcal{M}$ , the bundle  $\Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}}^{\dim \mathcal{M}}|_{X_m}$  is very ample, for any  $m \in \mathcal{M}$ .*

Now we conclude:

**1.11 Theorem.** *For  $\sum_i d_i > 2n - k + 1 + \text{codim } \mathcal{M}$ , no two distinct points of  $X_m$  are rationally equivalent, if  $m$  is a general point of  $\mathcal{M}$ .*

We recall from [9] how 1.11 is deduced from 1.10.1: if 1.11 is not true, then there is an étale cover  $\tilde{\mathcal{M}}$  of an open set of the smooth part of  $\mathcal{M}$ , and two distinct sections  $\sigma, \tau : \tilde{\mathcal{M}} \rightarrow \mathcal{X}_{\tilde{\mathcal{M}}}$  such that for  $m \in \tilde{\mathcal{M}}, \sigma(m)$  is rationally equivalent to  $\tau(m)$  in the fiber  $X_m$ . The cycle  $Z = \sigma(\tilde{\mathcal{M}}) - \tau(\tilde{\mathcal{M}})$  is of codimension  $n - k$  in  $\mathcal{X}_{\tilde{\mathcal{M}}}$ , and the assumption implies that a multiple of it is rationally equivalent to a cycle supported over a proper subset of  $\tilde{\mathcal{M}}$ . It follows that its class  $[Z] \in H^{n-k}(\Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}}^{n-k})$  vanishes in  $H^0(R^{n-k}pr_{2*}\Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}}^{n-k})$  over an open set of  $\tilde{\mathcal{M}}$ . On the other hand, for  $m \in \tilde{\mathcal{M}}, H^{n-k}(X_m, \Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}|X_m}^{n-k})$  is dual of  $H^0(X_m, \Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}|X_m}^{\dim \tilde{\mathcal{M}}} \otimes K_{\tilde{\mathcal{M}}}^{-1})$  by Serre duality, and one checks the following:(see [9])

**1.11.1.** *The class  $(\alpha_Z)_m \in \text{Hom}(H^0(X_m, \Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}|X_m}^{\dim \tilde{\mathcal{M}}}), K_{\tilde{\mathcal{M}},m})$  obtained as the image of  $[Z]$  by the composite:*

$$\begin{aligned} H^{n-k}(\Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}}^{n-k}) &\rightarrow H^{n-k}(X_m, \Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}|X_m}^{n-k}) \cong (H^0(X_m, \Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}|X_m}^{\dim \tilde{\mathcal{M}}} \otimes K_{\tilde{\mathcal{M}}}^{-1}))^* \\ &\cong \text{Hom}(H^0(X_m, \Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}|X_m}^{\dim \tilde{\mathcal{M}}}), K_{\tilde{\mathcal{M}},m}) \end{aligned}$$

*is equal to  $\sigma^* - \tau^*$ .*

Here  $\sigma^*, \tau^*$  are the pull-back maps of holomorphic forms by the sections  $\sigma, \tau : \tilde{\mathcal{M}} \rightarrow \mathcal{X}_{\tilde{\mathcal{M}}}$ . Now this is finished since by 1.10.1,  $\Omega_{\mathcal{X}_{\tilde{\mathcal{M}}}|X_m}^{\dim \tilde{\mathcal{M}}}$

is very ample, when  $\sum_i d_i > 2n - k + 1 + \text{codim } \mathcal{M}$ , which implies immediately that for  $\sigma(m) \neq \tau(m)$ , the map  $\sigma^* - \tau^*$  cannot be zero at  $m$ , in contradiction with  $(\alpha_Z)_m = 0$ .

**2.** In this section we will consider the case where  $k = 1$ , that is hypersurfaces of degree  $d$  in  $\mathbb{P}^n$ . Let  $\mathcal{X} \subset \mathbb{P}^n \times (S^d)^0$  be the universal hypersurface; the main point in the previous section was to get the global generation of  $\wedge^l T\mathcal{X}(l)|_{X_t}$ , using global sections of  $T\mathcal{X}(1)|_{X_t}$ . I do not know the answer to the following question:

**2.1 Question.** *When is  $\wedge^2 T\mathcal{X}(1)|_{X_t}$  generated by global sections, at least for generic  $t$ ?*

(This should be true when  $K_X$  is ample.)

However, for our applications, the following proposition will suffice to improve the results of Section 1: view  $H^0(\wedge^2 T\mathcal{X}(1)|_{X_t})$  as a space of sections of a certain line bundle over the grassmannian of codimension-two subspaces of  $T\mathcal{X}(1)|_{X_t}$ . Assume  $n \geq 4$  and  $K_X \geq \mathcal{O}_X(1)$ ; then we have:

**2.2 Proposition.** *For generic  $t$ ,  $H^0(\wedge^2 T\mathcal{X}(1)|_{X_t})$  has no base point on the set of  $GL(n + 1)$ -invariant codimension-two subspaces of  $T\mathcal{X}|_{X_t}$ .*

Here we are considering the natural action of  $GL(n + 1)$  on

$$\mathcal{X} \subset \mathbb{P}^n \times S^d : g(x, F) = (g(x), (g^{-1})^*(F));$$

by invariant subspace, we mean subspaces containing the vectors tangent to the orbits of  $GL(n + 1)$ .

*Proof.* Consider the inclusion  $j : \mathcal{X} \hookrightarrow \mathbb{P}^n \times S^d$ ; it gives the exact sequence:

$$2.2.1. \quad 0 \rightarrow T\mathcal{X}|_{X_t} \rightarrow T\mathbb{P}^n|_{X_t} \oplus S^d \otimes \mathcal{O}_{X_t} \xrightarrow{dF} \mathcal{O}_{X_t}(d) \rightarrow 0,$$

where  $dF((u, H))_{(x)} = dF_t(x)(u) + H(x)$  if  $F_t$  is the equation of  $X_t$  in  $\mathbb{P}^n$ . Let  $M_d$  be the bundle on  $\mathbb{P}^n$  defined by the exact sequence:

$$2.2.2. \quad 0 \rightarrow M_d \rightarrow S^d \otimes \mathcal{O}_{\mathbb{P}^n} \rightarrow \mathcal{O}_{\mathbb{P}^n}(d) \rightarrow 0.$$

From 2.2.2, we get an inclusion  $M_d|_{X_t} \subset T\mathcal{X}|_{X_t}$  and an exact sequence:

$$2.2.3. \quad 0 \rightarrow M_d|_{X_t} \rightarrow T\mathcal{X}|_{X_t} \rightarrow T\mathbb{P}^n|_{X_t} \rightarrow 0.$$

In particular, we obtain an inclusion:

$$2.2.4. \quad H^0(\wedge^2 M_d(1)|_{X_t}) \subset H^0(\wedge^2 T\mathcal{X}(1)|_{X_t}).$$

Now we have the following lemma:

**2.3 Lemma.**  *$H^0(\wedge^2 M_d(1))$ , viewed as a set of sections of a certain line bundle on the grassmannian of codimension-two subspaces of the bundle  $M_d$ , has for base points the set  $\{(x, T), x \in \mathbb{P}^n, T \subset M_{d(x)}, \text{ such that } T \text{ contains the ideal of a line } \Delta \text{ through } x\}$ .*

*Proof.* The exact sequence defining  $M_d$  gives an isomorphism:  $H^0(\wedge^2 M_d(1)) \cong \text{Ker } \mu'$ , where  $\mu' : \wedge^2 S^d \otimes S^1 \rightarrow S^d \otimes S^{d+1}$  is the Koszul map defined by:  $\mu'((P \wedge Q) \otimes A) = P \otimes AQ - Q \otimes AP$ . Now  $\text{Ker } \mu'$



contains the elements:  $PA \wedge PB \otimes C - PA \wedge PC \otimes B + PB \wedge PC \otimes A$ , for  $P \in S^{d-1}$ ,  $A, B, C \in S^1$ . It follows that the image of the restriction map:  $H^0(\wedge^2 M_d(1)) \rightarrow \wedge^2 M_d(1)|_x \subset \wedge^2 S^d$  contains the elements  $PA \wedge PB$ , for  $P \in S^{d-1}$ ,  $A, B \in S_x^1$ , where  $S_x^1 := H^0(\mathcal{O}_{\mathbb{P}^n}(1) \otimes \mathcal{I}_x)$ . Let  $T \subset M_{d,x} := H^0(\mathcal{O}_{\mathbb{P}^n}(d) \otimes \mathcal{I}_x)$  be of codimension two, and suppose  $H^0(\wedge^2 M_d(1))$  vanishes on it. Then for any  $P \in S^{d-1}$ ,  $[T : P]_x := \{A \in S_x^1 / PA \in T\}$  must be an hyperplane, that is, the map  $m_P : S_x^1 \rightarrow S_x^d/T$  of multiplication by  $P$  is not surjective. If  $[T : P]_x = S_x^1$  for generic  $P$ , then  $T = S_x^d$ , which is not true; otherwise  $m_P$  has generic rank one. Differentiating this condition at a generic point  $P \in S^{d-1}$ , we find  $[T : P]_x \cdot S^{d-1} \subset T$ , so 2.3 is proved since  $[T : P]_x$  is the component of degree 1 of the ideal of a line  $\Delta$  containing  $x$ . The converse follows from the fact that if  $T$  contains the ideal of a line  $\Delta$  containing  $x$ , the composite map:

**2.3.1.**  $H^0(\wedge^2 M_d(1)) \rightarrow \wedge^2 M_d(1)|_x \rightarrow \wedge^2(M_{d|x}/T)$

factors through the restriction map:

**2.3.2.**  $H^0(\wedge^2 M_d(1)) \rightarrow H^0(\wedge^2 M_d^\Delta(1))$ ,

where  $M_d^\Delta$  is defined by the exact sequence:

**2.3.3.**  $0 \rightarrow M_d^\Delta \rightarrow H^0(\mathcal{O}_\Delta(d)) \rightarrow \mathcal{O}_\Delta(d) \rightarrow 0$ .

Now it is easy to see that  $H^0(\wedge^2 M_d^\Delta(1)) = \{0\}$ .

From 2.3 and 2.2.3, 2.2.4, we conclude immediately:

**2.4 fact.** *Let  $V \subset T\mathcal{X}|_x$  be a codimension-two subspace which is a base point of  $H^0(\wedge^2 T\mathcal{X}(1)|_{X_t})$ . Then  $V \cap M_{d|x}$  must be a hyperplane of  $M_{d|x}$  or must contain the ideal of a line  $\Delta$  containing  $x$ .*

To deal with the first case, we show:

**2.5 Lemma.** *Let  $P$  be the quotient  $\wedge^2 T\mathcal{X}(1)|_{X_t} / \wedge^2 M_d(1)|_{X_t}$ . Then the map  $H^0(\wedge^2 T\mathcal{X}(1)|_{X_t}) \rightarrow H^0(P)$  is surjective, and  $P$  is generated by global sections.*

*Proof.* The first assertion comes from the vanishing:(see[6])

**2.5.1.**  $H^1(\wedge^2 M_d(1)|_{X_t}) = \{0\}$ .

In fact consider the exact sequence:

**2.5.2.**  $0 \rightarrow \wedge^2 M_d(1)|_{X_t} \rightarrow \wedge^2 S^d \otimes \mathcal{O}_{X_t}(1) \rightarrow M_d \otimes \mathcal{O}_{X_t}(d+1) \rightarrow 0$ .

It follows that:

**2.5.3.**

$$H^1(\bigwedge^2 M_d(1)|_{X_t}) = H^0(M_d \otimes \mathcal{O}_{X_t}(d+1)) / \text{Im}(\bigwedge^2 S^d \otimes S^1),$$

and this is equal to

$$\text{Ker}(S^d \otimes H^0(\mathcal{O}_{X_t}(d+1)) \rightarrow H^0(\mathcal{O}_{X_t}(2d+1))) / \text{Im}(\bigwedge^2 S^d \otimes S^1).$$

But it is shown by M. Green in [6] that the following sequence is exact at the middle:

**2.5.4.**  $\bigwedge^2 S^d \otimes S^1 \rightarrow S^d \otimes S^{d+1} \rightarrow S^{2d+1}$ , where the first map is the Koszul map  $\mu'$  of 2.3. Since  $\text{Ker}(S^d \otimes S^{d+1} \rightarrow S^{2d+1})$  surjects onto  $\text{Ker}(S^d \otimes H^0(\mathcal{O}_{X_t}(d+1)) \rightarrow H^0(\mathcal{O}_{X_t}(2d+1)))$ , we conclude immediately, as in [5], that 2.5.4 remains exact after restriction to  $X_t$ , that is, by 2.5.3, that  $H^1(\bigwedge^2 M_d(1)|_{X_t}) = \{0\}$ .

As for the first statement, we have an exact sequence:

**2.5.5.**  $0 \rightarrow M_d \otimes T\mathbb{P}^n(1)|_{X_t} \rightarrow P \rightarrow \bigwedge^2 T\mathbb{P}^n(1)|_{X_t} \rightarrow 0$ .

Again  $H^1(M_d \otimes T\mathbb{P}^n(1)|_{X_t}) = \{0\}$  by the exact sequence:

**2.5.6.**

$$0 \rightarrow M_d \otimes T\mathbb{P}^n(1)|_{X_t} \rightarrow S^d \otimes T\mathbb{P}^n(1)|_{X_t} \rightarrow T\mathbb{P}^n(d+1)|_{X_t} \rightarrow 0,$$

the equality  $H^1(T\mathbb{P}^n(1)|_{X_t}) = \{0\}$  ( $n \geq 4$ ), and the fact that  $H^0(T\mathbb{P}^n(d+1)|_{X_t})$  is generated by  $H^0(T\mathbb{P}^n(1)|_{X_t})$ .

Finally  $\bigwedge^2 T\mathbb{P}^n(1)|_{X_t}$  is generated by global sections, as is  $M_d \otimes T\mathbb{P}^n(1)|_{X_t}$ , which follows from the Euler sequence and the fact that  $M_d(2)$  is generated by global sections. This last fact is seen as follows: we have  $H^0(M_d(2)) = \text{Ker}(S^d \otimes S^2 \xrightarrow{\text{mult.}} S^{d+2})$ ; this contains the elements  $PA \otimes B - PB \otimes A$ , for  $P \in S^{d-2}, A, B \in S^2$ . Evaluating these elements in  $M_d(2)|_x$ , we get for  $A(x) = 0, B(x) \neq 0$  the elements  $PA, A(x) = 0, P \in S^{d-2}$ , of  $M_d(2)_x = H^0(\mathcal{O}_{\mathbb{P}^n}(d) \otimes \mathcal{I}_x)$ . Clearly, they generate  $H^0(\mathcal{O}_{\mathbb{P}^n}(d) \otimes \mathcal{I}_x)$ .

Now 2.4 and 2.5 show:

**2.6 Corollary.** *If  $V \subset T\mathcal{X}|_x$  is a codimension-two subspace which is a base point of  $H^0(\bigwedge^2 T\mathcal{X}(1)|_{X_t})$ , then  $V \cap M_d|_x$  must contain the ideal of a line  $\Delta$  containing  $x$ .*

Indeed, if  $V \cap M_d|_x$  is a hyperplane of  $M_d|_x$ , the map

$$H^0(\bigwedge^2 T\mathcal{X}(1)|_{X_t}) \rightarrow \bigwedge^2 (T\mathcal{X}|_x/V)$$

factors through the map:  $H^0(\bigwedge^2 T\mathcal{X}(1)|_{X_t}) \rightarrow P_x$  which is surjective by 2.5.

**2.7.** To finish the proof of Proposition 2.2, we now specialize to the case of the Fermat variety  $X$  defined by the equation  $F = \sum_i X_i^d = 0$ . We may do it because of the following lemma:

**2.7.1 Lemma.**  *$h^0(\bigwedge^2 T\mathcal{X}(1)|_{X_t})$  is independant of  $t \in S^{d^0}$ .*

*Proof.* Using the exact sequence (see 2.5) defining  $P$ :

$$0 \rightarrow \bigwedge^2 M_d(1)|_{X_t} \rightarrow \bigwedge^2 T\mathcal{X}(1)|_{X_t} \rightarrow P \rightarrow 0,$$

and 2.5.1, it suffices to prove that  $h^0(\wedge^2 M_d(1)|_{X_t})$  and  $h^0(P)$  are independent of  $t \in S^{d^0}$ . For the first one, this comes from the exact sequence (see 2.5.2, 2.5.4)

**2.7.2.**

$$\begin{aligned} 0 \rightarrow H^0(\wedge^2 M_d(1)|_{X_t}) &\rightarrow \wedge^2 S^d \otimes H^0(\mathcal{O}_{X_t}(1)) \\ &\rightarrow S^d \otimes H^0(\mathcal{O}_{X_t}(d+1)) \rightarrow H^0(\mathcal{O}_{X_t}(2d+1)) \rightarrow 0, \end{aligned}$$

where all spaces, starting from the second one have constant rank. For the second one, this follows from the exact sequence 2.5.4, with  $H^1(M_d \otimes T\mathbb{P}^n(1)|_{X_t}) = \{0\}$ . So it suffices to know that  $H^0(M_d \otimes T\mathbb{P}^n(1)|_{X_t})$  and  $H^0(\wedge^2 T\mathbb{P}^n(1)|_{X_t})$  have ranks independent of  $t$ . But this is immediate for the second one by Bott vanishing theorem, and for the first one by the exact sequence:

**2.7.3.**

$$\begin{aligned} 0 \rightarrow H^0(M_d \otimes T\mathbb{P}^n(1)|_{X_t}) &\rightarrow S^d \otimes h^0(T\mathbb{P}^n(1)|_{X_t}) \\ &\rightarrow H^0(T\mathbb{P}^n(d+1)|_{X_t}) \rightarrow 0, \end{aligned}$$

where all terms starting from the second one have constant rank by Bott vanishing theorem.

**2.8.** So let  $X$  be the Fermat variety,  $x \in X$  and  $V \subset T\mathcal{X}|_x$  be a codimension-two subspace, which is a base point of  $H^0(\wedge^2 T\mathcal{X}(1)|_X)$ , and is invariant under the infinitesimal action of  $GL(n+1)$ , which means that it contains:

$$\mathbf{2.8.1.} \quad J_x := \{(u(x), -\bar{u}F)\} \subset T\mathcal{X}|_x \subset T\mathbb{P}^n|_x \times S^d,$$

where  $u \in H^0(T\mathbb{P}^n)$ , and  $\tilde{u}$  is a lifting of  $u$  in the Lie algebra of  $GL(n+1)$ , so  $\tilde{u} = \sum_i A_i \partial/\partial X_i$ ,  $A_i \in H^0(\mathcal{O}_{\mathbb{P}^n}(1))$  and  $\bar{u}F = \sum_i A_i \partial F/\partial X_i$ .

We know by 2.6 that  $V$  contains the ideal of a line  $\Delta$  containing  $x$ :  $I_\Delta(d) \subset M_d|_x \subset T\mathcal{X}|_x$ . Let  $T\mathcal{X}|_x^\Delta := T\mathcal{X}|_x/I_\Delta(d)$ , and let  $J_x^\Delta$  be the image of  $J_x$  in  $T\mathcal{X}|_x^\Delta$ . Since  $V$  contains  $J_x$  and  $I_\Delta(d)$ , the map:

$$H^0(\wedge^2 T\mathcal{X}(1)|_X) \rightarrow H^0(\wedge^2 T\mathcal{X}(1)|_x) \rightarrow \wedge^2(T\mathcal{X}/V)$$

factors through the map:

$$\mathbf{2.8.2.} \quad \beta : H^0(\wedge^2 T\mathcal{X}(1)|_X) \rightarrow \wedge^2(T\mathcal{X}|_x^\Delta/J_x^\Delta),$$

and it suffices to show that  $\beta$  is surjective, to conclude that  $V$  cannot be a base point of  $H^0(\wedge^2 T\mathcal{X}(1)|_X)$ .

Now we do the following: We can choose two coordinates  $X_i, X_j$ , which give independent coordinates on  $\Delta$ ; also, we may assume that not all coordinates  $X_k, k \neq i, j$  vanish at  $x$ , because there are at least two nonvanishing coordinates at any  $x \in X$ . Let  $A_\lambda := X_i - \lambda X_j$ , for

$\lambda \in \mathcal{C}$  and let  $P_\lambda := (X_i^{d-1} - \lambda^{d-1} X_j^{d-1}) / (X_i - \lambda X_j) \in S^{d-2}$ . Recall from 1.1.2, 1.1.6 the isomorphism:

$$\mathbf{2.8.3.} \quad H^0(T\mathcal{X}(1)|_X) \cong \text{Ker}(\mu : S^d \otimes S^1 \rightarrow R^{d+1});$$

it follows that for any  $T \in S^2$ :

$$\mathbf{2.8.4.} \quad TP_\lambda \otimes A_\lambda \in H^0(T\mathcal{X}(1)|_X), \text{ since}$$

$$TP_\lambda \cdot A_\lambda = T(X_i^{d-1} - \lambda^{d-1} X_j^{d-1}) \in J(F).$$

Now we have:

**2.8.5.**  $TP_\lambda \otimes A_\lambda \wedge SP_\lambda \otimes A_\lambda \in H^0(\wedge^2 T\mathcal{X}(2)|_X)$  vanishes on  $\{A_\lambda = 0\}$  for any  $T, S \in S^2$ .

To see this, note that along  $\{A_\lambda = 0\}$ ,  $TP_\lambda \otimes A_\lambda$  gives a vertical vector, that is an element of  $TX \subset T\mathcal{X}$ , since in the exact sequence:

$$\mathbf{2.8.6.} \quad 0 \rightarrow TX|_y \rightarrow T\mathcal{X}|_y \xrightarrow{\pi} S^d \rightarrow 0,$$

one has  $\pi(TP_\lambda \otimes A_\lambda) = TP_\lambda \cdot A_\lambda(y)$ , which vanishes when  $A_\lambda(y) = 0$ . This vertical vector is easy to compute, retracing through the construction of the isomorphism:  $H^0(T\mathcal{X}(1)|_X) \cong \text{Ker}(\mu)$ ; in fact we have  $TP_\lambda \cdot A_\lambda = T(X_i^{d-1} - \lambda^{d-1} X_j^{d-1})$  in  $S^{d+1}$ , and this is equal to

$$(1/d)T(\partial F/\partial X_i - \lambda^{d-1} \partial F/\partial X_j).$$

Then we have the following:

**2.8.7.** For  $A_\lambda(y) = 0$ , one has

$$\begin{aligned} (TP_\lambda \otimes A_\lambda)_y &= (1/d)T(y)(\partial/\partial X_i - \lambda^{d-1} \partial/\partial X_j) \\ &\in TX(1)|_y \subset T\mathbb{P}^n(1)|_y. \end{aligned}$$

So clearly  $TP_\lambda \otimes A_\lambda$  and  $SP_\lambda \otimes A_\lambda$  are proportional along  $\{A_\lambda = 0\}$ , which proves 2.8.5.

It follows that, after dividing by  $A_\lambda$ , we get a section  $(TP_\lambda \otimes A_\lambda \wedge SP_\lambda \otimes A_\lambda)/A_\lambda$  of  $\wedge^2 T\mathcal{X}(1)|_X$ . Clearly, if  $W \subset T\mathcal{X}|_x$  is the subspace generated by the  $TP_\lambda \otimes A_\lambda$ , when  $T$  and  $\lambda$  vary, the sections  $(TP_\lambda \otimes A_\lambda \wedge SP_\lambda \otimes A_\lambda)/A_\lambda$  generate the subspace  $\wedge^2 W(1) \subset \wedge^2 T\mathcal{X}(1)|_x$  since for generic  $\lambda$ ,  $A_\lambda(x) \neq 0$  (we have assumed that  $X_i, X_j$  are independent on  $\Delta$ ).

So, to show that  $\beta$  (2.8.2) is surjective, it suffices to show:

**2.8.8.** The composite map:  $W \hookrightarrow T\mathcal{X}|_x \rightarrow T\mathcal{X}|_x^\Delta/J_x^\Delta$  is surjective, or equivalently:

$$\mathbf{2.8.9.} \quad W_\Delta + J_x^\Delta = T\mathcal{X}|_x^\Delta, \text{ where } W_\Delta \text{ is the projection of } W \text{ in } T\mathcal{X}|_x^\Delta.$$

But  $W(1)$ , viewed as a subspace of  $T\mathbb{P}^n(1)|_x \oplus S^d \otimes \mathcal{O}_x(1)$  is generated by the elements  $(-(1/d)T(x)(\partial/\partial X_i - \lambda^{d-1} \partial/\partial X_j), TP_\lambda \cdot A_\lambda(x))$ , for  $\lambda \in \mathcal{C}, T \in S^2$ , with  $P_\lambda := (X_i^{d-1} - \lambda^{d-1} X_j^{d-1}) / (X_i - \lambda X_j)$ . Clearly, when  $\lambda, T$  move, the restrictions to  $\Delta$  of the elements  $TP_\lambda \cdot A_\lambda(x)$  generate

$H^0(\mathcal{O}_\Delta(d))$ , since  $X_i, X_j$  are independant on  $\Delta$ . Finally the kernel of the projection  $W_\Delta \rightarrow H^0(\mathcal{O}_\Delta(d))$  is generated by the vertical vector  $(1/d)T(x)(\partial/\partial X_i - \lambda^{d-1}\partial/\partial X_j)$  for  $T(x) \neq 0$  and  $A_\lambda(x) = 0$ . It follows that, as a subspace of  $T\mathbb{P}^n(1)|_x \oplus H^0(\mathcal{O}_\Delta(d)) \otimes \mathcal{O}_x(1)$ ,  $W_\Delta$  is equal to:

**2.8.10.**  $\{(u, g), u \in \langle \partial/\partial X_i, \partial/\partial X_j \rangle \otimes \mathcal{O}_x(2)/dF(u) + g(x) = 0\}$ .

So  $W_\Delta$  is of codimension  $n - 2$  in  $T\mathcal{X}(1)|_x$ , since  $\partial/\partial X_i, \partial/\partial X_j$  are independant in  $T\mathbb{P}^n(-1)|_x$ . To prove that  $W_\Delta + J_x^\Delta = T\mathcal{X}_x^\Delta$ , it suffices to verify that  $J_x^\Delta \cap W_\Delta$  is of codimension  $n - 2$  in  $J_x^\Delta$ .

But by 2.8.1 and 2.8.10, we find:

**2.8.11.**

$$J_x^\Delta \cap W_\Delta = \{(u(x), -uF)/u(x) \in \langle \partial/\partial X_i, \partial/\partial X_j \rangle \otimes \mathcal{O}_x(2)\},$$

where the equality holds in  $T\mathcal{X}(1)|_x \subset T\mathbb{P}^n(1)|_x \oplus H^0(\mathcal{O}_\Delta(d)) \otimes \mathcal{O}_x(1)$ , and this is clearly of codimension  $n - 2$  in  $J_x^\Delta$ , since the projection  $J_x^\Delta \rightarrow T\mathbb{P}^n_x$  is surjective, and  $\partial/\partial X_i, \partial/\partial X_j$  are independant in  $T\mathbb{P}^n(-1)|_x$  (this follows from the assumption that not all coordinates  $X_k, k \neq i, j$  vanish at  $x$ ). So the proof of Proposition 2.2 is finished.

**2.9.** Although it should be clear from the reasoning in the proof of Theorem 1.4, we repeat the argument which gives the next result:

**2.10 Theorem.** *Let  $d \geq 2n - l - 1, 1 \leq l \leq n - 3$ ; then for  $X \subset \mathbb{P}^n$  general of degree  $d$  and  $Y \subset X$  a subvariety of dimension  $l, K_{\tilde{Y}}$  is effective, where  $\tilde{Y}$  is any desingularization of  $Y$ . If the inequality is strict, the canonical map of  $\tilde{Y}$  is of degree one on its image.*

*Proof.* It suffices to show that for any étale map  $\mathcal{M} \rightarrow (S^d)^0$ , and for any  $GL(n + 1)$ -invariant subvariety  $\mathcal{Y} \subset \mathcal{X}_\mathcal{M}$  dominating  $\mathcal{M}$ , with generic fiber dimension  $l$ , if  $\tilde{\mathcal{Y}}$  is a desingularization of  $\mathcal{Y}, H^0(K_{\tilde{\mathcal{Y}}|\tilde{Y}_t}) \neq 0$ , (resp.  $H^0(K_{\tilde{\mathcal{Y}}|\tilde{Y}_t})$  separates the points of an open set of  $\tilde{Y}_t$  when the inequality is strict), for  $t$  generic in  $\mathcal{M}$ .

But for  $t$  generic in  $\mathcal{M}$  and  $y$  generic in  $Y_t, \mathcal{Y}$  is smooth at  $y$  and  $T\mathcal{Y}|_y \subset T\mathcal{X}_\mathcal{M}|_y$  is a space of codimension  $n - 1 - l$ , invariant under  $GL(n + 1)$ . Now we have by Proposition 1.1 that  $T\mathcal{X}_\mathcal{M}(1)|_{X_t}$  is generated by global sections, and by Proposition 2.2 that  $H^0(\Lambda^2 T\mathcal{X}_\mathcal{M}(1)|_{X_t})$  has no base point on the set of  $GL(n + 1)$ -invariant codimension two subspaces of  $T\mathcal{X}_\mathcal{M}(1)|_{X_t}$  for  $t$  generic in  $\mathcal{M}$ . Let  $y$  be generic in  $Y_t$  as above and let  $\sigma_{l+1}, \dots, \sigma_{n-3}$  be sections of  $T\mathcal{X}_\mathcal{M}(1)|_{X_t}$ , such that  $\langle T\mathcal{Y}|_y, (\sigma_i)_{i=l+1, \dots, n-3} \rangle$  is a codimension two  $GL(n + 1)$ -invariant subspace  $V$  of  $T\mathcal{X}_\mathcal{M}(1)|_y$ ; there exists  $\omega \in H^0(\Lambda^2 T\mathcal{X}_\mathcal{M}(1)|_{X_t})$  which does not vanish on  $V$ ; now

$$\omega(V) = \omega \wedge \sigma_l \wedge \dots \wedge \sigma_{n-3}(T\mathcal{Y}|_y),$$

and  $\omega \wedge \sigma_l \wedge \dots \wedge \sigma_{n-3}$  is a section of

$$\bigwedge^{n-1-l} T\mathcal{X}_{\mathcal{M}}(n-2-l)|_{X_t} \cong \Omega_{\mathcal{X}_{\mathcal{M}}|_{X_t}}^{N+l}(n-2-l-K_{X_t}).$$

So if  $K_{X_t} \geq \mathcal{O}_{X_t}(n-2-l)$ , that is, when  $d \geq 2n-l-1$ , there is a section of  $\Omega_{\mathcal{X}_{\mathcal{M}}|_{X_t}}^{N+l}$  which does not vanish in  $\Omega_{\tilde{y}}^{N+l}|_{\tilde{y}_t} \cong K_{\tilde{y}|_{\tilde{y}_t}}$ . Similarly, if the inequality is strict, there is a section of  $\Omega_{\mathcal{X}_{\mathcal{M}}|_{X_t}}^{N+l}(-1)$  which does not vanish in  $\Omega_{\tilde{y}}^{N+l}|_{\tilde{y}_t}(-1) \cong K_{\tilde{y}|_{\tilde{y}_t}}(-1)$ ; hence the canonical map of  $\tilde{Y}_t$  is of degree one on its image in this case.

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