

Donaldson's Q -operators for symplectic manifolds

In memory of Professor LU QiKeng (1927–2015)

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Abstract We prove an estimate for Donaldson's Q -operator on a prequantized compact symplectic manifold. This estimate is an ingredient in the recent result of Keller and Lejmi (2017) about a symplectic generalization of Donaldson's lower bound for the L^2 -norm of the Hermitian scalar curvature.

Keywords Q -operator, quantization, symplectic manifold

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1 Introduction

The Q -operator is an integral operator whose kernel is the square norm of the Bergman kernel of a positive line bundle (see (1.8) and (1.9)). It was introduced by Donaldson [5] in order to find explicit numerical approximations of Kähler-Einstein metrics on projective manifolds, and have attracted much attention recently (see [1, 6, 8–10, 16]).

Using the full asymptotic expansion of the Bergman kernel [2], Liu and Ma [10, Theorem 0.1] verified a statement of Donaldson [5, Subsection 4.2] about the relation of the asymptotics of Q_{K_p} to the heat kernel. Such statement was needed for the convergence of the approximation procedure in [5]. In [6], Liu and Ma improved the statement to a \mathcal{C}^m -estimate for Q_{K_p} on Kähler manifolds, as a crucial step towards the result of [6] about the convergence of the balancing flow to the Calabi flow. This is a parabolic analogue of Donaldson's theorem relating balanced embeddings to metrics with constant scalar curvature [3]. Besides, such results also turn out to be important in Cao and Keller's work [1] on Calabi's problem.

The purpose of this paper is to extend the \mathcal{C}^m -estimates of the operators Q_{K_p} to the case of symplectic manifolds. This result, together with [11], plays an important role in the recent work of Keller and Lejmi [8] about a lower bound for the L^2 -norm of the Hermitian scalar curvature. Such a lower bound was obtained in the Kähler case by Donaldson [4]. Our proof is based on the asymptotic expansion of the (generalized) Bergman kernel, which in our case is the kernel of the spectral projection on lower

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lying eigenstates of the normalized Bochner Laplacian. We refer the readers to the monograph [14] (see also [12, 15]) for more information on the Bergman kernel on symplectic manifolds.

Let us describe our result in detail. Let (X, ω) be a compact symplectic manifold of real dimension $2n$. Let (L, h^L) be an Hermitian line bundle on X , and let ∇^L be an Hermitian connection on (L, h^L) with curvature $R^L = (\nabla^L)^2$. Let (E, h^E) be an auxiliary Hermitian vector bundle with Hermitian connection ∇^E . We assume throughout the paper that (L, h^L) satisfies the pre-quantization condition

$$\frac{\sqrt{-1}}{2\pi} R^L = \omega. \tag{1.1}$$

We choose an almost complex structure J on TX (i.e., $J \in \text{End}(TX)$ and $J^2 = -1$) such that ω is J -invariant and $\omega(\cdot, J\cdot) > 0$. The almost complex structure J induces a splitting

$$TX \otimes_{\mathbb{R}} \mathbb{C} = T^{(1,0)}X \oplus T^{(0,1)}X,$$

where $T^{(1,0)}X$ and $T^{(0,1)}X$ are the eigenbundles of J corresponding to the eigenvalues $\sqrt{-1}$ and $-\sqrt{-1}$, respectively.

Let $g^{TX}(\cdot, \cdot) := \omega(\cdot, J\cdot)$ be the Riemannian metric on TX induced by ω and J . The Riemannian volume form dv_X of (X, g^{TX}) has the form $dv_X = \omega^n/n!$. We denote by $L^p := L^{\otimes p}$ the tensor powers of L for $p \in \mathbb{N}$ and by

$$h^{L^p} := (h^L)^{\otimes p}, \quad h^{L^p \otimes E} = h^{L^p} \otimes h^E,$$

the induced Hermitian metrics on L^p and $L^p \otimes E$, respectively. The L^2 -Hermitian product on the space $\mathcal{C}^\infty(X, L^p \otimes E)$ of smooth sections of $L^p \otimes E$ on X is given by

$$\langle s_1, s_2 \rangle = \int_X \langle s_1(x), s_2(x) \rangle_{h^{L^p \otimes E}} dv_X(x). \tag{1.2}$$

Let ∇^{TX} be the Levi-Civita connection on (X, g^{TX}) , and let $\nabla^{L^p \otimes E}$ be the connection on $L^p \otimes E$ induced by ∇^L and ∇^E . Let $\{e_k\}$ be a local orthonormal frame of (TX, g^{TX}) . The Bochner Laplacian acting on $\mathcal{C}^\infty(X, L^p \otimes E)$ is given by

$$\Delta^{L^p \otimes E} = - \sum_k [(\nabla_{e_k}^{L^p \otimes E})^2 - \nabla_{\nabla_{e_k}^{TX} e_k}^{L^p \otimes E}]. \tag{1.3}$$

Let $\Phi \in \mathcal{C}^\infty(X, \text{End}(E))$ be Hermitian (i.e., self-adjoint with respect to h^E). The renormalized Bochner Laplacian is defined by

$$\Delta_{p,\Phi} = \Delta^{L^p \otimes E} - 2\pi n p + \Phi. \tag{1.4}$$

By [7] and [13, Corollary 1.2], there exists $C_L > 0$ independent of p such that

$$\text{Spec}(\Delta_{p,\Phi}) \subset [-C_L, C_L] \cup [4\pi p - C_L, +\infty), \tag{1.5}$$

where $\text{Spec}(A)$ denotes the spectrum of the operator A . Since $\Delta_{p,\Phi}$ is an elliptic operator on a compact manifold, it has discrete spectrum and its eigensections are smooth. Let

$$\mathcal{H}_p := \bigoplus_{\lambda \in [-C_L, C_L]} \text{Ker}(\Delta_{p,\Phi} - \lambda) \subset \mathcal{C}^\infty(X, L^p \otimes E) \tag{1.6}$$

be the direct sum of eigenspaces of $\Delta_{p,\Phi}$ corresponding to the eigenvalues lying in $[-C_L, C_L]$. In mathematical physics terms, the operator $\Delta_{p,\Phi}$ is a semiclassical Schrödinger operator and the space \mathcal{H}_p is the space of its bound states as $p \rightarrow \infty$. By [14, Theorem 8.3.1],

$$\dim \mathcal{H}_p = \int_X \text{Td}(T^{(1,0)}X) \text{ch}(L^p \otimes E), \tag{1.7}$$

where $\text{Td}(\cdot)$ and $\text{ch}(\cdot)$ denote the Todd class and the Chern character of the corresponding complex vector bundle. The formula (1.7) agrees with the Riemann-Roch-Hirzebruch theorem and Kodaira vanishing

theorem in the Kähler case. The space \mathcal{H}_p proves to be an appropriate replacement for the space of holomorphic sections $H^0(X, L^p \otimes E)$ from the Kähler case.

Let $P_{\mathcal{H}_p}$ be the orthogonal projection from $\mathcal{C}^\infty(X, L^p \otimes E)$ onto \mathcal{H}_p . The kernel $P_{\mathcal{H}_p}(x, x')$ of $P_{\mathcal{H}_p}$ with respect to $dv_X(x')$ is called a generalized Bergman kernel [15]. Note that

$$P_{\mathcal{H}_p}(x, x') \in (L^p \otimes E)_x \otimes (L^p \otimes E)_{x'}^*.$$

Set

$$\text{Vol}(X, dv_X) = \int_X dv_X.$$

Following Donaldson [5, Section 4], we set

$$K_p(x, x') = |P_{\mathcal{H}_p}(x, x')|^2, \quad R_p := (\dim \mathcal{H}_p) / \text{Vol}(X, dv_X). \tag{1.8}$$

Let K_p and Q_{K_p} be the integral operators associated to K_p which is defined by for $f \in \mathcal{C}^\infty(X)$,

$$(K_p f)(x) = \int_X K_p(x, y) f(y) dv_X(y), \quad Q_{K_p} = \frac{1}{R_p} K_p f. \tag{1.9}$$

The operator Q_{K_p} has been studied by Donaldson [5], Liu and Ma [6, Appendix; 10], and Ma and Marinescu [16, Section 6] in the case of Kähler manifolds.

The main result of this paper is as follows. For Kähler manifolds it was obtained by Liu and Ma [6, Appendix; 10].

Theorem 1.1. *For any integer $m \geq 0$, there exists a constant $C > 0$ such that for any $f \in \mathcal{C}^\infty(X)$,*

$$\|Q_{K_p}(f) - f\|_{\mathcal{C}^m(X)} \leq \frac{C}{p} \|f\|_{\mathcal{C}^{m+2}(X)}. \tag{1.10}$$

Moreover, (1.10) is uniform in the following sense. Consider Q_{K_p} as a function of the parameters $g^{TX}, h^L, \nabla^L, h^E, \nabla^E$ and Φ , i.e.,

$$Q_{K_p} = Q_{K_p}(g^{TX}, h^L, \nabla^L, h^E, \nabla^E, \Phi).$$

Let \mathcal{M} be a subset of the infinite dimensional manifold \mathcal{D} of all compatible tuples $g^{TX}, h^L, \nabla^L, h^E, \nabla^E$ and Φ . Assume that

(i) the covariant derivatives in the direction X of order $\ell \leq 2n + m + 6$ of elements of \mathcal{M} form a set of tensors on $X \times \mathcal{M}$ which is bounded in the \mathcal{C}^0 -norm calculated in the direction of \mathcal{M} ;

(ii) the projection of \mathcal{M} on the space of Riemannian metrics is bounded below in the \mathcal{C}^0 -norm.

Then there exists $C = C_m(\mathcal{M})$ such that (1.10) holds for all tuples of parameters from \mathcal{M} . Moreover, the \mathcal{C}^m -norm in (1.10) can be taken on $X \times \mathcal{M}$.

The organization of this paper is as follows. In Section 2, we establish the asymptotic expansion of the generalized Bergman kernel which extends [14, Subsection 8.3]. In Section 3, we prove Theorem 1.1.

2 Asymptotic expansion of the generalized Bergman kernel

In this section, we assume that g^{TX} is an arbitrary J -invariant Riemannian metric on X . Let $\Delta^{L^p \otimes E}$ be the Bochner Laplacian acting on $\mathcal{C}^\infty(X, L^p \otimes E)$ associated with g^{TX} and $\nabla^{L^p \otimes E}$. Let $\Phi \in \mathcal{C}^\infty(X, \text{End}(E))$ be Hermitian.

Let dv_X be the Riemannian volume form on (X, g^{TX}) . Now the Hermitian product on $\mathcal{C}^\infty(X, L^p \otimes E)$ is induced by h^L, h^E and dv_X .

We identify the two form R^L with the Hermitian matrix $\dot{R}^L \in \text{End}(T^{(1,0)}X)$ such that for $W, Y \in T^{(1,0)}X$,

$$R^L(W, \bar{Y}) = \langle \dot{R}^L W, \bar{Y} \rangle. \tag{2.1}$$

Set

$$\tau = \text{Tr} |_{T^{(1,0)}X} \dot{R}^L, \quad \mu_0 = \inf_{u \in T_x^{(1,0)}X, x \in X} R_x^L(u, \bar{u})/|u|_{g^{TX}}^2 > 0. \tag{2.2}$$

Note that if $g^{TX} = \omega(\cdot, J\cdot)$, then $\tau = 2\pi n$ and $\mu_0 = 2\pi$.

Then the renormalized Bochner Laplacian is defined as

$$\Delta_{p,\Phi} = \Delta^{L^p \otimes E} - \tau p + \Phi. \tag{2.3}$$

By the same references as those in Section 1, there exists $C_L > 0$ independent of p such that

$$\text{Spec}(\Delta_{p,\Phi}) \subset [-C_L, C_L] \cup [2\mu_0 p - C_L, +\infty). \tag{2.4}$$

Thus \mathcal{H}_p in (1.6) is still well-defined and (1.7) holds.

Let $P_{\mathcal{H}_p}(x, x')$ be the smooth kernel of the orthogonal projection $P_{\mathcal{H}_p}$ from $\mathcal{C}^\infty(X, L^p \otimes E)$ onto \mathcal{H}_p with respect to $dv_X(x')$. In this section, we study the asymptotics of $P_{\mathcal{H}_p}(x, x')$ as $p \rightarrow \infty$.

Let a^X be the injectivity radius of (X, g^{TX}) . We fix $\varepsilon \in (0, a^X/4)$. Let $d(x, y)$ denote the Riemannian distance from x to y on (X, g^{TX}) . By [14, Proposition 8.3.5] and the argument after [14, Proposition 8.3.5], we get for any $l, m \in \mathbb{N}$ and $0 < \theta < 1$, there exists $C > 0$ such that

$$|P_{\mathcal{H}_p}(x, x')|_{\mathcal{C}^m(X \times X)} \leq Cp^{-l}, \quad \text{if } d(x, x') > \varepsilon p^{-\frac{\theta}{2}}. \tag{2.5}$$

Now we still need to understand the asymptotics of $P_{\mathcal{H}_p}(x, x')$ for $d(x, x') \leq \varepsilon p^{-\frac{\theta}{2}}$.

We recall first the procedure of [15, Subsection 1.2] and [14, Subsection 8.3].

Denote by $B^X(x, \varepsilon)$ and $B^{T_x X}(0, \varepsilon)$ the open balls in X and $T_x X$ with center x and radius ε , respectively. We identify $B^{T_x X}(0, \varepsilon)$ with $B^X(x, \varepsilon)$ by using the exponential map of (X, g^{TX}) .

We fix $x_0 \in X$. For $Z \in B^{T_{x_0} X}(0, \varepsilon)$, we identify L_Z, E_Z and $(L^p \otimes E)_Z$ to L_{x_0}, E_{x_0} and $(L^p \otimes E)_{x_0}$ by parallel transport with respect to the connections ∇^L, ∇^E and $\nabla^{L^p \otimes E}$ along the curve

$$\gamma_Z : [0, 1] \ni u \rightarrow \exp_{x_0}^X(uZ).$$

Then under our identification, $P_{\mathcal{H}_p}(Z, Z')$ is a function on $Z, Z' \in T_{x_0} X, |Z|, |Z'| < \varepsilon$. We denote it by $P_{\mathcal{H}_p, x_0}(Z, Z')$. Let $\pi : TX \times_X TX \rightarrow X$ be the natural projection from the fiberwise product of TX on X . Then we can view $P_{\mathcal{H}_p, x_0}(Z, Z')$ as a smooth function over $TX \times_X TX$ by identifying a section

$$s \in \mathcal{C}^\infty(TX \times_X TX, \pi^*(\text{End}(E)))$$

with the family $(s_x)_{x \in X}$, where $s_x = s|_{\pi^{-1}(x)}$.

Let $\{e_i\}_i$ be an oriented orthonormal basis of $T_{x_0} X$, and let $\{e^i\}_i$ be its dual basis. For $\varepsilon > 0$ small enough, we extend the geometric objects from $B^{T_{x_0} X}(0, \varepsilon)$ to $\mathbb{R}^{2n} \simeq T_{x_0} X$ where the identification is given by

$$(Z_1, \dots, Z_{2n}) \in \mathbb{R}^{2n} \mapsto \sum_i Z_i e_i \in T_{x_0} X, \tag{2.6}$$

such that $\Delta_{p,\Phi}$ is the restriction of a renormalized Bochner-Laplacian on \mathbb{R}^{2n} associated with an Hermitian line bundle with positive curvature. In this way, we replace X by \mathbb{R}^{2n} .

At first, we denote by L_0 and E_0 the trivial bundles with fiber L_{x_0} and E_{x_0} on $X_0 = \mathbb{R}^{2n}$. We still denote by ∇^L, ∇^E and h^L , etc. the connections and metrics on L_0 and E_0 on $B^{T_{x_0} X}(0, 4\varepsilon)$ induced by the above identification. Then h^L and h^E are identified to the constant metrics $h^{L_0} = h^{L_{x_0}}$ and $h^{E_0} = h^{E_{x_0}}$.

Let $\rho : \mathbb{R} \rightarrow [0, 1]$ be a smooth even function such that

$$\rho(v) = 1 \quad \text{if } |v| < 2, \quad \rho(v) = 0 \quad \text{if } |v| > 4. \tag{2.7}$$

Let $\varphi_\varepsilon : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ be the map defined by $\varphi_\varepsilon(Z) = \rho(|Z|/\varepsilon)Z$. Then $\Phi_0 = \Phi \circ \varphi_\varepsilon$ is a smooth function on X_0 . Let $g^{TX_0}(Z) = g^{TX}(\varphi_\varepsilon(Z))$ be the metric on X_0 . Set $\nabla^{E_0} = \varphi_\varepsilon^* \nabla^E$. Then ∇^{E_0} is the extension

of ∇^E on $B^{T_{x_0}X}(0, \varepsilon)$. Denote by $\mathcal{R} = \sum_i Z_i e_i = Z$ the radial vector field on \mathbb{R}^{2n} . We define the Hermitian connection ∇^{L_0} on (L^0, h^{L_0}) by

$$\nabla^{L_0}|_Z = \varphi_\varepsilon^* \nabla^L + \frac{1}{2}(1 - \rho^2(|Z|/\varepsilon))R_{x_0}^L(\mathcal{R}, \cdot). \tag{2.8}$$

Let R^{L_0} denote the curvature of ∇^{L_0} and $\{e_i\}_i$ be an orthonormal frame of (TX_0, g^{TX_0}) . Let J_0 be an almost complex structure on X_0 compatible with g^{TX_0} and $\frac{\sqrt{-1}}{2\pi}R^{L_0}$ such that $J_0 = J$ on $B^{T_{x_0}X}(0, 2\varepsilon)$ and $J_0 = J_{x_0}$ outside $B^{T_{x_0}X}(0, 4\varepsilon)$. Set (see (2.2))

$$\tau_0 = \frac{\sqrt{-1}}{2} \sum_i R^{L_0}(e_i, J_0 e_i). \tag{2.9}$$

Let

$$\Delta_{p, \Phi_0}^{X_0} = \Delta^{L_0^p \otimes E_0} - p\tau_0 + \Phi_0$$

be the renormalized Bochner-Laplacian on X_0 associated to the above data as in (1.4). By [15, (1.23)], there exists $C_{L_0} > 0$ such that

$$\text{Spec}(\Delta_{p, \Phi_0}^{X_0}) \subset [-C_{L_0}, C_{L_0}] \cup [\mu_0 p - C_{L_0}, +\infty). \tag{2.10}$$

Let S_L be a unit vector of L_0 . Using S_L and the above discussion, we get an isometry $L_0^p \simeq \mathbb{C}$. Let P_{0, \mathcal{H}_p} be the spectral projection of $\Delta_{p, \Phi_0}^{X_0}$ from $\mathcal{C}^\infty(X_0, L_0^p \otimes E_0) \simeq \mathcal{C}^\infty(X_0, E_0)$ corresponding to the interval $[-C_{L_0}, C_{L_0}]$, and let $P_{0, \mathcal{H}_p}(x, x')$ be the smooth kernel of P_{0, \mathcal{H}_p} with respect to the volume form $dv_{X_0}(x')$. By [15, Proposition 1.3] (for $q = 0$ therein), for any $l, m \in \mathbb{N}$, there exists $C_{l, m} > 0$ such that for $x, x' \in B^{T_{x_0}X}(0, \varepsilon)$, we have

$$|(P_{0, \mathcal{H}_p} - P_{\mathcal{H}_p})(x, x')|_{\mathcal{C}^m(X \times X)} \leq C_{l, m} p^{-l}, \tag{2.11}$$

where the \mathcal{C}^m -norm is induced by $\nabla^{TX}, \nabla^L, \nabla^E, h^L, h^E$ and g^{TX} .

Let dv_{TX} be the Riemannian volume form on $(T_{x_0}X, g^{T_{x_0}X})$. Let $\kappa(Z)$ be the smooth positive function defined by the equation

$$dv_{X_0}(Z) = \kappa(Z)dv_{TX}(Z), \tag{2.12}$$

with $\kappa(0) = 1$. Denote by ∇_U the ordinary differentiation operation on $T_{x_0}X$ in the direction U . Denote by $t = \frac{1}{\sqrt{p}}$. For $s \in \mathcal{C}^\infty(\mathbb{R}^{2n}, E_0)$ and $Z \in \mathbb{R}^{2n}$, set

$$\begin{aligned} (S_t s)(Z) &= s(Z/t), \quad \nabla_t = tS_t^{-1} \kappa^{\frac{1}{2}} \nabla^{L_0} \kappa^{-\frac{1}{2}} S_t, \\ \mathcal{L}_t &= S_t^{-1} \kappa^{\frac{1}{2}} t^2 \Delta_{p, \Phi_0}^{X_0} \kappa^{-\frac{1}{2}} S_t. \end{aligned} \tag{2.13}$$

It follows from (2.10) and (2.13) that for t small enough (see [15, (1.43)]),

$$\text{Spec}(\mathcal{L}_t) \subset [-C_{L_0} t^2, C_{L_0} t^2] \cup \left[\frac{1}{2} \mu_0, +\infty \right). \tag{2.14}$$

Let δ be the counterclockwise oriented circle in \mathbb{C} of center 0 radius $\frac{1}{4} \mu_0$. By (2.14), there exists $t_0 > 0$ such that the resolvent $(\lambda - \mathcal{L}_t)^{-1}$ exists for $\lambda \in \delta$ and $t \in (0, t_0]$.

We denote by $\langle \cdot, \cdot \rangle_{0, L^2}$ and $\|\cdot\|_{0, L^2}$ the scalar product and the L^2 -norm on $\mathcal{C}^\infty(X_0, E_0)$ induced by g^{TX_0} as in (1.2). For $s \in C^\infty(X_0, E_0)$, set

$$\begin{aligned} \|s\|_{t, 0}^2 &= \|s\|_0^2 = \int_{\mathbb{R}^{2n}} |s(Z)|_{h^{E_0}}^2 dv_{TX}(Z), \\ \|s\|_{t, m}^2 &= \sum_{l=1}^m \sum_{i_1, \dots, i_l=1}^{2n} \|\nabla_{t, e_{i_1}} \cdots \nabla_{t, e_{i_l}} s\|_{t, 0}^2. \end{aligned} \tag{2.15}$$

We denote by $\langle \cdot, \cdot \rangle$ the inner product on $C^\infty(X_0, E_0)$ corresponding to $\| \cdot \|_{t,0}$. Let H_t^m be the Sobolev space of order m with norm $\| \cdot \|_{t,m}$. Let H_t^{-1} be the Sobolev space of order -1 and let $\| \cdot \|_{t,-1}$ be the norm on H_t^{-1} defined by

$$\|s\|_{t,-1} = \sup_{0 \neq s' \in H_t^1} |\langle s, s' \rangle_{t,0}| / \|s'\|_{t,1}.$$

If $A \in \mathcal{L}(H^m, H^{m'})$, then we denote by $\|A\|_t^{m,m'}$ the norm of A with respect to the norms $\| \cdot \|_{t,m}$ and $\| \cdot \|_{t,m'}$.

Let $\mathcal{P}_{0,t}$ be the orthogonal projection from $(\mathcal{C}^\infty(X_0, E_0), \| \cdot \|_0)$ onto the space of the direct sum of eigenspaces of \mathcal{L}_t corresponding to the eigenvalues lying in $[-C_{L_0}t^2, C_{L_0}t^2]$. Let $\mathcal{P}_{0,t}(Z, Z') = \mathcal{P}_{0,t,x_0}(Z, Z')$ (with $Z, Z' \in X_0$) be the smooth kernel of $\mathcal{P}_{0,t}$ with respect to $dv_{TX}(Z')$. Denote by $\mathcal{C}^m(X)$ the \mathcal{C}^m -norm for the parameter $x_0 \in X$. By [14, (4.2.9)], we have the following extension of [15, Theorem 1.10] (for $q = 0$).

Claim. For any $r, m', m \in \mathbb{N}$, there exists $C > 0$ such that for $t \in (0, t_0]$ and $Z, Z' \in T_{x_0}X$,

$$\sup_{|\alpha|+|\alpha'| \leq m'} \left| \frac{\partial^{|\alpha|+|\alpha'|}}{\partial Z^\alpha \partial Z'^{\alpha'}} \frac{\partial^r}{\partial t^r} \mathcal{P}_{0,t}(Z, Z') \right|_{\mathcal{C}^m(X)} \leq C(1 + |Z| + |Z'|)^{M_{r,m',m}} \tag{2.16}$$

with

$$M_{r,m',m} = 2n + 2 + 2r + m' + 2m. \tag{2.17}$$

We will sketch the proof of the claim. The readers are referred to [2], [14, Chapter 4] and [15, Section 1] for more details. In fact, by (2.14), for any $k \in \mathbb{N}^*$ (see [15, (1.55)]),

$$\mathcal{P}_{0,t} = \frac{1}{2\pi\sqrt{-1}} \int_\delta \lambda^{k-1} (\lambda - \mathcal{L}_t)^{-k} d\lambda. \tag{2.18}$$

For $m \in \mathbb{N}$, let \mathcal{Q}^m be the set of operators $\{\nabla_{t,e_{i_1}} \cdots \nabla_{t,e_{i_j}}\}_{j \leq m}$. By [15, (1.58)],

$$\|Q\mathcal{P}_{0,t}Q'\|_t^{0,0} \leq C_m, \quad \text{for } Q, Q' \in \mathcal{Q}^m. \tag{2.19}$$

Let $\| \cdot \|_m$ be the usual Sobolev norm on $C^\infty(\mathbb{R}^n, E_0)$ induced by h^{E_0} and the volume form $dv_{TX}(Z)$. By [14, (4.2.9)], there exists $C > 0$ such that for $s \in C^\infty(X_0, E_0)$ with $\text{supp}(s) \subset B^{T_{x_0}X}(0, q)$, $m \geq 0$,

$$\frac{1}{C}(1 + q)^{-m} \|s\|_{t,m} \leq \|s\|_m \leq C(1 + q)^m \|s\|_{t,m}. \tag{2.20}$$

Now (2.19) and (2.20) together with Sobolev inequalities imply that for $Q, Q' \in \mathcal{Q}^m$,

$$\sup_{|Z|, |Z'| \leq q} |Q_Z Q'_{Z'} \mathcal{P}_{0,t}(Z, Z')| \leq C(1 + q)^{2n+2}. \tag{2.21}$$

Combining [15, (1.35)] and (2.21) yields (2.16) for $r = m' = 0$. To obtain (2.16) for $r \geq 1$ and $m' = 0$, note that by (2.18),

$$\frac{\partial^r}{\partial t^r} \mathcal{P}_{0,t} = \frac{1}{2\pi\sqrt{-1}} \int_\delta \lambda^{k-1} \frac{\partial^r}{\partial t^r} (\lambda - \mathcal{L}_t)^{-k} d\lambda. \tag{2.22}$$

For $k, r \in \mathbb{N}^*$, let

$$I_{k,r} = \left\{ (\mathbf{k}, \mathbf{r}) = (k_i, r_i) \mid \sum_{i=0}^j k_i = k + j, \sum_{i=1}^j r_i = r, k_i + r_i \in \mathbb{N}^* \right\}. \tag{2.23}$$

Then there exist $a_r^k \in \mathbb{R}$ such that

$$A_r^k(\lambda, t) = (\lambda - \mathcal{L}_t)^{-k_0} \frac{\partial^{r_1} \mathcal{L}_t}{\partial t^{r_1}} (\lambda - \mathcal{L}_t)^{-k_1} \cdots \frac{\partial^{r_j} \mathcal{L}_t}{\partial t^{r_j}} (\lambda - \mathcal{L}_t)^{-k_j},$$

$$\frac{\partial^r}{\partial t^r}(\lambda - \mathcal{L}_t)^{-k} = \sum_{(\mathbf{k}, \mathbf{r}) \in I_{k,r}} a_{\mathbf{r}}^{\mathbf{k}} A_{\mathbf{r}}^{\mathbf{k}}(\lambda, t). \tag{2.24}$$

We can now carry on nearly word by word the corresponding part of the proof of [15, Theorem 1.10] to finish the proof of (2.16). We finish the proof of the claim.

Set (see [14, (4.1.65)])

$$\begin{aligned} \mathcal{F}_r &= \frac{1}{2\pi\sqrt{-1}r!} \int_{\delta} \lambda^{k-1} \sum_{(\mathbf{k}, \mathbf{r}) \in I_{k,r}} a_{\mathbf{r}}^{\mathbf{k}} A_{\mathbf{r}}^{\mathbf{k}}(\lambda, 0) d\lambda, \\ \mathcal{F}_{r,t} &= \frac{1}{r!} \frac{\partial^r}{\partial t^r} \mathcal{P}_{0,t} - \mathcal{F}_r. \end{aligned} \tag{2.25}$$

Let $\mathcal{F}_r(Z, Z')$ ($Z, Z' \in T_{x_0}X$) be the smooth kernel of \mathcal{F} with respect to $dv_{TX}(Z')$. Then $\mathcal{F}_r(Z, Z') \in \mathcal{C}^\infty(TX \times_X TX, \pi^* \text{End}(E))$. By the proof of (2.16), we observe that \mathcal{F}_r verifies the similar inequalities to (2.16), i.e., to replace the factor $\frac{\partial^r}{\partial t^r} \mathcal{P}_{0,r}$ in (2.16) by \mathcal{F}_r . Using this observation, (2.16) and (2.25), we obtain the extension of [15, Theorem 1.12]. There exists $C > 0$ such that for $t \in (0, t_0]$ and $Z, Z' \in T_{x_0}X$,

$$|\mathcal{F}_{r,t}(Z, Z')| \leq Ct^{1/(2n+1)}(1 + |Z| + |Z'|)^{2n+2}. \tag{2.26}$$

By (2.25) and (2.26), we have (see [15, (1.78)])

$$\frac{1}{r!} \frac{\partial^r}{\partial t^r} \mathcal{P}_{0,t} \Big|_{t=0} = \mathcal{F}_r. \tag{2.27}$$

By (2.16), (2.27) and the Taylor expansion

$$G(t) - \sum_{r=0}^k \frac{1}{r!} \frac{\partial^r G}{\partial t^r}(0)t^r = \frac{1}{k!} \int_0^t (t-s)^k \frac{\partial^{k+1} G}{\partial s^{k+1}}(s) ds, \tag{2.28}$$

we obtain the extension of [15, Theorem 1.13]. For any $k, m, m' \in \mathbb{N}$, there exists $C > 0$ such that for $t \in (0, t_0]$, $Z, Z' \in T_{x_0}X$ and for $|\alpha| + |\alpha'| \leq m'$,

$$\left| \frac{\partial^{|\alpha|+|\alpha'|}}{\partial Z^\alpha \partial Z'^{\alpha'}} \left(\mathcal{P}_{0,t} - \sum_{r=0}^k \mathcal{F}_r t^r \right) (Z, Z') \right|_{\mathcal{C}^m(X)} \leq Ct^{k+1} (1 + |Z| + |Z'|)^{M_{k+1, m', m}}. \tag{2.29}$$

By (2.12) and (2.13), for $Z, Z' \in \mathbb{R}^{2n}$ (see [15, (1.112)]),

$$P_{0, \mathcal{H}_p}(Z, Z') = t^{-2n} \kappa^{-\frac{1}{2}}(Z) \mathcal{P}_{0,t}(Z/t, Z'/t) \kappa^{-\frac{1}{2}}(Z'). \tag{2.30}$$

Combining (2.11), (2.29) and (2.30), we obtain

$$\begin{aligned} & \left| \frac{\partial^{|\alpha|+|\alpha'|}}{\partial Z^\alpha \partial Z'^{\alpha'}} \left(\frac{1}{p^n} P_{\mathcal{H}_p, x_0}(Z, Z') - \sum_{r=0}^k \mathcal{F}_r(\sqrt{p}Z, \sqrt{p}Z') \kappa^{-\frac{1}{2}}(Z) \kappa^{-\frac{1}{2}}(Z') p^{-\frac{r}{2}} \right) \right|_{\mathcal{C}^m(X)} \\ & \leq Cp^{-\frac{k-m'+1}{2}} (1 + \sqrt{p}|Z| + \sqrt{p}|Z'|)^{M_{k+1, m', m}}. \end{aligned} \tag{2.31}$$

Now we fix k_0, m' and m . Take

$$k = k_0 + m' + 2 \quad \text{and} \quad \theta = 1/(2M_{k+1, m', m}). \tag{2.32}$$

Then for $|\alpha| + |\alpha'| \leq m'$ and $|Z|, |Z'| < p^{-\frac{1}{2} + \theta}$, we have

$$\begin{aligned} & \left| \frac{\partial^{|\alpha|+|\alpha'|}}{\partial Z^\alpha \partial Z'^{\alpha'}} \left(\frac{1}{p^n} P_{\mathcal{H}_p, x_0}(Z, Z') - \sum_{r=0}^k \mathcal{F}_r(\sqrt{p}Z, \sqrt{p}Z') \kappa^{-\frac{1}{2}}(Z) \kappa^{-\frac{1}{2}}(Z') p^{-\frac{r}{2}} \right) \right|_{\mathcal{C}^m(X)} \\ & \leq Cp^{-\frac{k_0}{2} - 1}. \end{aligned} \tag{2.33}$$

To sum up, we have finished the proof of the following result.

Theorem 2.1. For any $k_0, m', m \in \mathbb{N}$, there exists $C > 0$ such that for $|\alpha| + |\alpha'| \leq m'$ and $|Z|, |Z'| < p^{-\frac{1}{2} + \theta}$ with

$$\theta = \frac{1}{2(2n + 8 + 2k_0 + 3m' + 2m)}, \tag{2.34}$$

we have

$$\left| \frac{\partial^{|\alpha| + |\alpha'|}}{\partial Z^\alpha \partial Z'^{\alpha'}} \left(\frac{1}{p^n} P_{\mathcal{H}_{p,x_0}}(Z, Z') - \sum_{r=0}^k \mathcal{F}_r(\sqrt{p}Z, \sqrt{p}Z') \kappa^{-\frac{1}{2}}(Z) \kappa^{-\frac{1}{2}}(Z') p^{-\frac{r}{2}} \right) \right|_{\mathcal{C}^m(X)} \leq Cp^{-\frac{k_0}{2} - 1}, \tag{2.35}$$

where $k = k_0 + m' + 2$.

We choose $\{w_j\}_{j=1}^n$ an orthonormal basis of $T_{x_0}^{(1,0)}X$ such that

$$\dot{R}_{x_0}^L = \text{diag}(a_1, \dots, a_n) \in \text{End}(T_{x_0}^{(1,0)}X). \tag{2.36}$$

Then $e_{2j-1} = \frac{1}{\sqrt{2}}(w_j + \bar{w}_j)$ and $e_{2j} = \frac{\sqrt{-1}}{\sqrt{2}}(w_j - \bar{w}_j)$, $j = 1, \dots, n$, form an orthonormal basis of $T_{x_0}X$. We use the coordinates on $T_{x_0}X \simeq \mathbb{R}^{2n}$ induced by $\{e_i\}$ as in (2.6) and in what follows we also introduce the complex coordinates $z = (z_1, \dots, z_n)$ on $\mathbb{C}^n \simeq \mathbb{R}^{2n}$. Set

$$\mathcal{P}(Z, Z') = \prod_{j=1}^n \frac{a_j}{2\pi} \exp \left[-\frac{1}{4} \sum_{j=1}^n a_j (|z_j|^2 + |z'_j|^2 - 2z_j \bar{z}'_j) \right]. \tag{2.37}$$

By [15, Theorem 1.18], there exist $J_r(Z, Z')$ polynomials in Z and Z' with the same parity as r and degree $\leq 3r$ such that

$$\mathcal{F}_r(Z, Z') = J_r(Z, Z') \mathcal{P}(Z, Z'), \quad J_0(Z, Z') = 1. \tag{2.38}$$

3 Proof of Theorem 1.1

Now $g^{TX}(\cdot, \cdot) := \omega(\cdot, J\cdot)$, thus $a_j = 2\pi$ in (2.37).

Recall that the classical heat kernel on \mathbb{C}^n is $e^{-u\Delta}(Z, Z') = (4\pi u)^{-n} e^{-\frac{1}{4u}|Z-Z'|^2}$. Then

$$|\mathcal{P}(Z, Z')|^2 = e^{-\pi|Z-Z'|^2} = e^{-\frac{\Delta}{4\pi}}(Z, Z'). \tag{3.1}$$

Note that $|P_{\mathcal{H}_{p,x_0}}(Z, Z')|^2 = P_{\mathcal{H}_{p,x_0}}(Z, Z') \overline{P_{\mathcal{H}_{p,x_0}}(Z, Z')}$. By (1.8), (2.35), (2.38) and (3.1), there exist polynomials $J'_r(Z, Z')$ in Z and Z' such that for $|Z|, |Z'| < p^{-\frac{1}{2} + \theta}$ with θ in (2.34),

$$\left| \frac{1}{p^{2n}} K_{p,x_0}(Z, Z') - \left(1 + \sum_{r=1}^k p^{-\frac{r}{2}} J'_r(\sqrt{p}Z, \sqrt{p}Z') \right) e^{-\pi p|Z-Z'|^2} \right|_{\mathcal{C}^m(X)} \leq Cp^{-\frac{k_0}{2} - 1}, \tag{3.2}$$

with

$$J'_1(0, Z') = (J_1 + \bar{J}_1)(0, Z'). \tag{3.3}$$

For a function $f \in \mathcal{C}^\infty(X)$, we denote by $f_{x_0}(Z)$ the function f in normal coordinates Z around a point $x_0 \in X$. We have thus a family (f_{x_0}) of functions indexed by the parameter $x_0 \in X$. Combining (1.8), (2.5) with θ in (2.34), and (3.2), we obtain

$$\begin{aligned} & \left| \frac{1}{p^n} K_p f - p^n \int_{|Z'| \leq \varepsilon p^{-\theta/2}} \left(1 + \sum_{r=1}^k p^{-\frac{r}{2}} J'_r(0, \sqrt{p}Z') \right) e^{-\pi p|Z'|^2} f_{x_0}(Z') dv_X(Z') \right|_{\mathcal{C}^m(X)} \\ & \leq Cp^{-\frac{k_0}{2} - 1} |f|_{\mathcal{C}^m(X)}. \end{aligned} \tag{3.4}$$

By using Taylor expansion of $f_{x_0}(Z')$ at 0, we obtain

$$\begin{aligned} \left| p^n \int_{|Z'| \leq \varepsilon p^{-\theta/2}} J'_r(0, \sqrt{p}Z') e^{-\pi p|Z'|^2} f_{x_0}(Z') dv_X(Z') \right|_{\mathcal{C}^m(X)} &\leq C|f|_{\mathcal{C}^m(X)}, \\ \left| p^n \int_{|Z'| \leq \varepsilon p^{-\theta/2}} e^{-\pi p|Z'|^2} f_{x_0}(Z') dv_X(Z') - f(x_0) \right|_{\mathcal{C}^m(X)} &\leq \frac{C}{p} |f|_{\mathcal{C}^{m+2}(X)}. \end{aligned} \tag{3.5}$$

Finally, by [15, Theorem 1.18] and [15, (1.97), (1.98) and (1.111)], we obtain

$$\begin{aligned} &\int_{Z' \in \mathbb{C}^n} \overline{J_1}(0, Z') |\mathcal{P}|^2(0, Z') dZ' \\ &= \int_{Z' \in \mathbb{C}^n} \mathcal{P}(0, Z') J_1(Z', 0) \mathcal{P}(Z', 0) dZ' \\ &= (\mathcal{P} J_1 \mathcal{P})(0, 0) = 0. \end{aligned} \tag{3.6}$$

Combining Taylor expansion of $f_{x_0}(Z')$ at 0, and (3.6) yields

$$\left| p^n \int_{|Z'| \leq \varepsilon p^{-\theta/2}} p^{-1/2} J'_1(0, \sqrt{p}Z') e^{-\pi p|Z'|^2} f_{x_0}(Z') dv_X(Z') \right|_{\mathcal{C}^m(X)} \leq \frac{C}{p} |f|_{\mathcal{C}^{m+2}(X)}. \tag{3.7}$$

Combining (3.4) for $k_0 = 0$, (3.5) and (3.7) yields

$$\left| \frac{1}{p^n} K_p f - f \right|_{\mathcal{C}^m(X)} \leq \frac{C}{p} |f|_{\mathcal{C}^{m+2}(X)}. \tag{3.8}$$

Then the desired \mathcal{C}^m -estimate (1.10) follows from (1.9) and (3.8). The proof of the uniformity assertion from Theorem 1.1 is modeled on [14, Subsection 4.1.7] and [15, Subsection 1.5]. First, we notice that in the proof of (2.16), we only use the derivatives of the coefficients of \mathcal{L}_t with order $\leq 2n + m + m' + r + 2$. Thus, by (2.28), the constants in (2.16) and (2.26) ((2.29) and (2.31), respectively) are bounded, if with respect to a fixed metric g_0^{TX} , the $\mathcal{C}^{2n+m+m'+r+3}$ ($\mathcal{C}^{2n+m+m'+k+4}$, respectively)-norms on X of the data $g^{TX}, h^L, \nabla^L, h^E, \nabla^E$ and Φ are bounded and g^{TX} is bounded below. Note $k = k_0 + m' + 2$ in (2.35). Then the constants in (2.35) ((3.2), (3.4) and (3.8), respectively) are bounded if with respect to a fixed metric g_0^{TX} , the $\mathcal{C}^{2n+m+2m'+k_0+6}$ (\mathcal{C}^{2n+m+k_0+6} , \mathcal{C}^{2n+m+k_0+6} and \mathcal{C}^{2n+m+6} , respectively)-norm on X of the data $g^{TX}, h^L, \nabla^L, h^E, \nabla^E$ and Φ are bounded and g^{TX} is bounded below. Moreover, taking derivatives with respect to the parameters we obtain a similar equation to (2.22) (see [15, (1.65)]). Thus the \mathcal{C}^m -norm in (3.8) can also include the parameters of the \mathcal{C}^m -norm if the \mathcal{C}^m -norms (with respect to the parameter $x_0 \in X$) of derivatives of the above data with order $\leq 2n + 6$ are bounded. Thus we can take C in (1.10) independent of g^{TX} . The proof of Theorem 1.1 is completed.

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