

Comparison theorem between the equivariant de Rham cohomology of a G -manifold M and the ordinary cohomology of the homotopic quotient M_G

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1. Description of the problem. Let G be a compact (not necessarily connected) Lie group with Lie algebra \mathfrak{g} . We compare, for a given G -manifold M , the ordinary cohomology, with coefficients in the field of real numbers \mathbb{R} , of the homotopic quotient

$$M_G := \mathbb{E}G \times_G M, \quad (1)$$

with the cohomology of the complex of G -equivariant differential forms

$$\Omega_G(M) := ((S(\mathfrak{g}) \otimes \Omega(M))^G, d_{\mathfrak{g}}). \quad (2)$$

We would like to emphasize that as (1) and (2) are functorial on the category $G\text{-Man}$ of G -manifolds and equivariant differentiable maps, what we are aiming for is to compare the two contravariant functors

$$G\text{-Man} \ni M \begin{array}{l} \xrightarrow{\text{wavy}} H(M_G; \mathbb{R}) \\ \xrightarrow{\text{wavy}} H_G(M) := H(\Omega_G(M)) \end{array} \quad (3)$$

We will do this by constructing a specific isomorphism of graded \mathbb{R} -algebras

$$\Phi_M : H(M_G; \mathbb{R}) \simeq H_G(M), \quad (4)$$

which will be functorial for $M \in G\text{-Man}$. In the particular case where $M := \{\bullet\}$, we get an isomorphism of graded \mathbb{R} -algebras

$$\Phi_{\{\bullet\}} : H(\mathbb{B}G; \mathbb{R}) \simeq S(\mathfrak{g})^G. \quad (5)$$

The functors in (3) will therefore have values in the category of $S(\mathfrak{g})^G$ -graded algebras. In other words, we will get commutative diagrams of homomorphisms of graded \mathbb{R} -algebras

$$G\text{-Man} \ni M \begin{array}{ccc} \xrightarrow{\text{wavy}} H(\mathbb{B}G; \mathbb{R}) & \longrightarrow & H(M_G; \mathbb{R}) \\ \text{wavy} \searrow & \Phi_{\{\bullet\}} \downarrow \simeq & \oplus \quad \Phi_M \downarrow \simeq \\ \xrightarrow{\text{wavy}} S(\mathfrak{g})^G & \longrightarrow & H_G(M) \end{array} \quad (6)$$

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2. Constructing Φ_M for free actions. Let $G\text{-Man}_f$ denote the full subcategory of $G\text{-Man}$ whose objects are the G -manifolds M on which G acts freely. The quotient space M/G then has a unique structure of manifold such that the orbit map $\nu : M \rightarrow M/G$ is a locally trivial fibration of manifolds of fiber G .

In that case, the projection onto the second coordinate

$$\xi_M : M_G := \mathbb{E}G \times_G M \rightarrow M/G, \quad [b, m] \mapsto [m],$$

is a locally trivial fibration with fibers homeomorphic to $\mathbb{E}G$. The map ξ_M is thus a homotopy equivalence, and a natural transformation of functors

$$G\text{-Man}_f \ni (M \xrightarrow{\phi} N) \begin{array}{ccc} \xrightarrow{\quad} M_G & \xrightarrow{\phi_G} & N_G \\ \xi_M \downarrow \sim & & \xi_N \downarrow \sim \\ \xrightarrow{\quad} M/G & \xrightarrow{\bar{\phi}} & N/G \end{array} \quad (7)$$

This is saying that there exists a de Rham model for the algebra $H(M_G; \mathbb{R})$, in this case the de Rham complex $(\Omega(M/G), d)$.

In this situation, the diagram (6) splits naturally in two isomorphisms of \mathbb{R} -algebras

$$G\text{-Man} \ni M \begin{array}{ccc} \xrightarrow{\quad} H(M_G; \mathbb{R}) & & \\ (\xi_M^*)^{-1} \downarrow \simeq & & \\ H_{\text{dR}}(M/G) & \xrightarrow{\Phi_M} & \\ \Phi'_M \downarrow \simeq & & \\ \xrightarrow{\quad} H_G(M) & \leftarrow & \end{array} \quad (8)$$

where Φ'_M is the isomorphism defined by Cartan in his Brussels lectures, and whose construction is functorial on the category $G\text{-Man}_f$.

3. Constructing Φ_M for general actions

3.1. The spaces M_G are generally not of finite cohomological dimension, as the example of the classifying space $\mathbb{B}G$ already shows. On the other hand, if the action of G on M is not free, the topological quotient space M/G is no longer necessarily a manifold. So it appears that Cartan's method, which depends on the existence of some kind of differential model for the ordinary cohomology of M_G , becomes useless for comparing $H(M_G; \mathbb{R})$ and $H_G(M)$.

3.2. The universal G -bundle $\mathbb{E}G$, is the topological inductive limit of an increasing sequence (a tower) of G -manifolds

$$\mathbb{E}G(0) \subset \mathbb{E}G(1) \subset \mathbb{E}G(2) \subset \dots \subset \mathbb{E}G \quad (9)$$

where $\mathbb{E}G(n)$ is compact and n -connected, i.e. such that

$$\Pi_q(\mathbb{E}G(n)) = 0, \quad \forall q \leq n. \quad (10)$$

The family of restriction homomorphisms of algebras

$$\begin{array}{c}
 H(\mathbb{E}G; \mathbb{R}) \\
 \swarrow \quad \searrow \quad \searrow \\
 \cdots \rightarrow H(\mathbb{E}G(n+1); \mathbb{R}) \rightarrow H(\mathbb{E}G(n); \mathbb{R}) \rightarrow H(\mathbb{E}G(n-1); \mathbb{R}) \rightarrow \cdots
 \end{array}$$

is then a projective family and induces, as such, an algebra homomorphism:

$$H(\mathbb{E}G; \mathbb{R}) \rightarrow \varprojlim H(\mathbb{E}G(n); \mathbb{R}), \quad (11)$$

which will be shown to be an isomorphism (cf. 5.1-(a)).

3.3. If X is a G -space, the increasing sequence (9) can be used to realize X_G as the topological inductive limit of the tower of spaces

$$X_G(*) := (X_G(0) \subset X_G(1) \subset X_G(2) \subset \cdots \subset X_G(\infty) := X_G) \quad (12)$$

where

$$X_G(n) := \mathbb{E}G(n) \times_G X.$$

– The correspondence $X \rightsquigarrow X_G(*)$ is functorial relative to $X \in G\text{-Man}$.

– Furthermore, if X is a manifold, then the $X_G(n)$'s will also be so, since G is compact and acts freely on $\mathbb{E}G(n) \times X$, which is also a manifold.

The tower (12) induces, as in section 3.2, the projective system of restriction homomorphisms of algebras

$$\begin{array}{c}
 H(X_G; \mathbb{R}) \\
 \swarrow \quad \searrow \quad \searrow \\
 \cdots \rightarrow H(X_G(n+1); \mathbb{R}) \rightarrow H(X_G(n); \mathbb{R}) \rightarrow H(X_G(n-1); \mathbb{R}) \rightarrow \cdots
 \end{array}$$

and, therefore, the algebra homomorphism:

$$\boxed{H(X_G; \mathbb{R}) \rightarrow \varprojlim H(X_G(n); \mathbb{R})} \quad (13)$$

which will also be shown to be an isomorphism (cf. 5.1-(b)).

3.4. Let M be a G -manifold. For each $n \in \mathbb{N}$, the projection of G -manifolds

$$p_n : \mathbb{E}G(n) \times M \rightarrow M, \quad (x, m) \mapsto m, \quad (14)$$

is equivariant and induces an homomorphism of equivariant cohomologies

$$\boxed{p_n^* : H_G(M) \rightarrow H_G(\mathbb{E}G(n) \times M) = H_{\text{dR}}(M_G)} \quad (15)$$

where the equality at the right-hand is, once again, justified by Cartan's lectures.

Putting together the family of the projections (14), we get the inductive system

$$\begin{array}{ccccccc}
 \mathbb{E}G(n-1) \times M & \subset & \mathbb{E}G(n) \times M & \subset & \mathbb{E}G(n+1) \times M & \subset & \cdots \\
 & & \searrow^{p_{n+1}} & & \searrow^{p_n} & & \searrow^{p_{n+1}} \\
 & & & & & & M
 \end{array}$$

which induces, by (15), the projective system of algebra homomorphisms

$$\begin{array}{c}
 H_G(M) \\
 \swarrow \quad \searrow \quad \searrow \\
 \quad \quad \quad p_{n+1}^* \quad \quad p_n^* \quad \quad p_{n+1}^* \\
 \quad \quad \quad \searrow \quad \searrow \quad \searrow \\
 \cdots \rightarrow H_{\text{dR}}(M_G(n+1)) \rightarrow H_{\text{dR}}(M_G(n)) \rightarrow H_{\text{dR}}(M_G(n-1)) \rightarrow \cdots
 \end{array}$$

and, hence, the algebra homomorphism:

$$\boxed{H_G(M) \rightarrow \varprojlim H_{\text{dR}}(M_G(n))} \quad (16)$$

which, as before, will be shown to be an isomorphism (*cf.* 5.1-(c)).

3.5. To compare $H(M_G; \mathbb{R})$ and $H_G(M)$, we put together (13) and (16) thanks to the identification $H(M(n); \mathbb{R}) \cong H_{\text{dR}}(M(n))$ given by the de Rham comparison theorem. We thus have three functorial isomorphisms for $M \in G\text{-Man}$

$$H(M_G; \mathbb{R}) \xrightarrow{\simeq} \varprojlim H(M_G(n); \mathbb{R}) \cong \varprojlim H_{\text{dR}}(M_G(n)) \xleftarrow{\simeq} H_G(M),$$

the composition of which defines the announced algebra isomorphism

$$\boxed{\Phi_M : H(M_G; \mathbb{R}) \xrightarrow{\simeq} H_G(M)}$$

functorial relative to $M \in G\text{-Man}$.

4. A technical result. The fact that (11), (13) and (16) are isomorphisms, will be consequence of one and the same property that we now establish.

4.1. Theorem. *Let $\varphi : C \rightarrow D$ be a morphism of regular filtered graded complexes whose corresponding spectral sequences pages $\mathbb{E}(C)_2$ and $\mathbb{E}(D)_2$ are in the first quadrant, i.e. are such that $\mathbb{E}(C)_2^{p,q} = \mathbb{E}(D)_2^{p,q} = 0$, if $p < 0$ or $q < 0$. Assume that, for some fixed $n \in \mathbb{N}$, the induced homomorphisms*

$$\mathbb{E}(\varphi)_2^{p,q} : \mathbb{E}(C)_2^{p,q} \rightarrow \mathbb{E}(D)_2^{p,q}$$

are isomorphisms for all $q \leq n$. Then, the induced morphisms in cohomology

$$H^i(\varphi) : H^i(C) \rightarrow H^i(D)$$

are isomorphisms for all $i \leq n$.

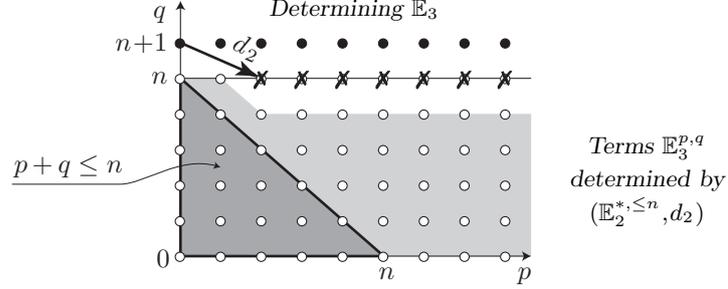
Proof. In the page (\mathbb{E}_2, d_2) , one has $d_2(\mathbb{E}_2^{p-2, q+1}) \subset \mathbb{E}_2^{p, q}$, which implies that the determination of $\mathbb{E}_3^{p, q}$ is based on the knowledge of the sub-complex $(\mathbb{E}_2^{*, \leq n}, d_2)$ only, except if

$$p - 2 \geq 0 \quad \text{and} \quad q + 1 > n, \quad (*)$$

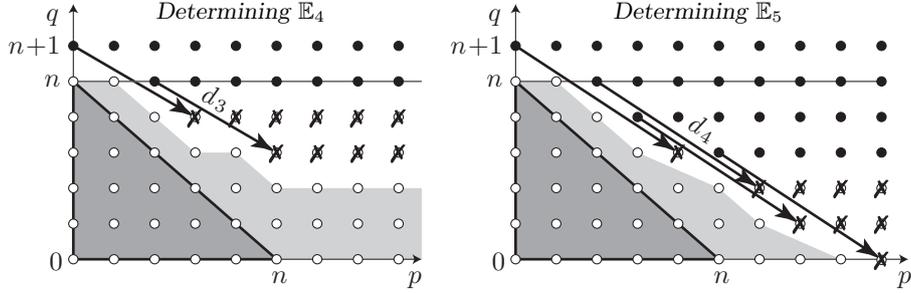
since in that case it may happen that $d_2(\mathbb{E}_2^{p-2, q+1}) \neq 0$, while $\mathbb{E}_2^{p-2, q+1} \not\subset \mathbb{E}_2^{*, \leq n}$. Notice also that if the conditions (*) are satisfied, then $p + q > n + 1$.

In the pictures below, the symbol ‘ \circ ’ indicates the terms $\mathbb{E}_{r+1}^{p, q}$ determined only by the sub-complex $(\mathbb{E}_2^{*, \leq n}, d_2)$, while a ‘ \bullet ’ indicates those not entirely deter-

mined by $(\mathbb{E}_2^{p,\leq n}, d_2)$, and 'x' indicates that $\mathbb{E}_r^{p,q}$ is determined by $(\mathbb{E}_2^{p,\leq n}, d_2)$ but that $\mathbb{E}_{r+1}^{p,q}$ is not so.



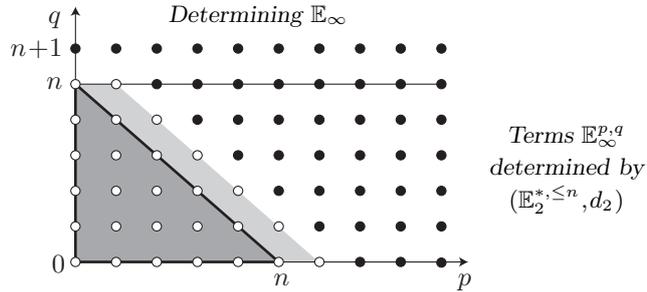
The same analysis for the terms $\mathbb{E}_4^{p,q}$ and $\mathbb{E}_5^{p,q}$ gives the pictures



Since the image of

$$d_r : \mathbb{E}_r^{0,n+1} \rightarrow \mathbb{E}_r \quad (\diamond)$$

is contained in the term $\mathbb{E}_r^{r,n+1-r+1}$, which is of total degree $n+2$, we understand that any term in $\mathbb{E}_\infty^{\geq 0, \geq 0}$ of total degree $n+2$ may depend on $\mathbb{E}_2^{0,n+1}$. On the other hand, none of the arrows (\diamond) affect the terms $\mathbb{E}_r^{p,q}$ with $p+q \leq n$ so that, at the end, we can easily justify the following picture showing terms $\mathbb{E}_r^{p,q}$ depending only on the sub-complex $(\mathbb{E}_2^{*,\leq n}, d_2)$ for all $r \geq 2$, hence for $r = \infty$.



It then follows that if $\mathbb{E}(\varphi)_2^{*,\leq n}$ is an isomorphism, the induced homomorphisms

$$\mathbb{E}(\varphi)_r^{p,q} : \mathbb{E}(C)_\infty^{p,q} \rightarrow \mathbb{E}(D)_\infty^{p,q},$$

are isomorphisms for all $p+q \leq n$, hence the theorem. \square

5. Application of Theorem 4.1. We now prove the statements in 3.5.

5.1. Theorem

a) Given $n \in \mathbb{N}$, the restriction homomorphism

$$H^q(\mathbb{E}G; \mathbb{R}) \rightarrow H^q(\mathbb{E}G(n); \mathbb{R})$$

is an isomorphism for $q \leq n$. In particular, the algebra homomorphism (11)

$$H(\mathbb{E}G; \mathbb{R}) \rightarrow \varprojlim H(\mathbb{E}G(n); \mathbb{R})$$

is an isomorphism.

b) Given $n \in \mathbb{N}$, and a G -space X , the restriction homomorphism

$$H^q(X_G; \mathbb{R}) \rightarrow H^q(X_G(n); \mathbb{R})$$

is an isomorphism for $q \leq n$. In particular, the algebra homomorphism (13)

$$H(X_G; \mathbb{R}) \rightarrow \varprojlim H(X_G(n); \mathbb{R})$$

is an isomorphism.

c) Given $n \in \mathbb{N}$, and a G -manifold M , the restriction homomorphism (15)

$$H_G^q(M) \rightarrow H_{\text{dR}}^q(M_G(n))$$

is an isomorphism for $q \leq n$. In particular, the algebra homomorphism (16)

$$H_G(M) \rightarrow \varprojlim H_{\text{dR}}(M_G(n))$$

is an isomorphism.

Proof. (a) We can assume the group G embedded in the orthogonal group $O(k)$ for some $k \in \mathbb{N}$. We then set

$$\mathbb{E}G(n) := V(k, k+n+1), \quad (\forall k \geq 1)(\forall n \geq 1), \quad (\dagger)$$

where $V(\ell, m)$ denotes the Stiefel manifold of ℓ -tuples $(\vec{v}_1, \dots, \vec{v}_\ell)$ of orthonormal vectors in \mathbb{R}^m , which is easily seen to be compact and $(m-\ell-1)$ -connected¹.

The manifold $\mathbb{E}G(n)$ is therefore compact and n -connected, and verifies, by Hurewicz theorems,

$$H^q(\mathbb{E}G(n); \mathbb{R}) = 0, \quad \forall q \leq n,$$

One easily sees that $\mathbb{E}G(n) \sim O(k+n+1)/(\mathbb{1}_k \times O(n+1))$ and that $O(k)$ acts freely at the right of $\mathbb{E}G(n)$. In particular, the space $\mathbb{E}G := \bigcup_n \mathbb{E}G(n)$ meets the requirements for a universal principal bundle for $O(k)$, hence for G .

(b) Since the diagonal action of G on $\mathbb{E}G(n) \times X$ is free, the ideas in section 2 apply. We can therefore replace $X_G(n) \leftrightarrow (\mathbb{E}G(n) \times X)_G$ and consider the natural fibration $\pi : \mathbb{E}G \times_G (\mathbb{E}G(n) \times X) \rightarrow \mathbb{B}G$ and the corresponding Leray-Serre spectral sequence

$$\mathbb{E}(X_G(n))_2^{p,q} = H^p(\mathbb{B}G; \mathbb{R}) \otimes H^q(\mathbb{E}G(n) \times X) \Rightarrow H^{p+q}(X_G(n))$$

which is contravariant functorial for $(\mathbb{E}G(n) \times X) \in G\text{-Top}_f$. In particular, we get a morphism of spectral sequences

$$\mathbb{E}(\varphi)_2^{p,q} : \mathbb{E}(X_G(n))_2^{p,q} \rightarrow \mathbb{E}(X_G)_2^{p,q}$$

¹Indeed, for $\ell \leq m+1$, the map $p_\ell : V(\ell, m+1) \rightarrow \mathbb{S}^m$, $(\vec{v}_1, \dots, \vec{v}_\ell) \mapsto \vec{v}_\ell$, is a locally trivial fibration of fiber $V(\ell-1, m)$, and an inductive argument on $\ell \in \llbracket 1, m \rrbracket$, immediately shows that $\Pi_q(V(\ell, m)) = 0$, for all q such that $q + \ell < m$.

which is an isomorphism for all $q \leq n$ since, in that case, by Künneth,

$$\begin{aligned} H^q(\mathbb{E}G(n) \times X; \mathbb{R}) &= \bigoplus_{a+b=q} H^a(\mathbb{E}G(n); \mathbb{R}) \otimes H^b(X; \mathbb{R}) \\ &= \bigoplus_{a+b=q} H^a(\mathbb{E}G; \mathbb{R}) \otimes H^b(X; \mathbb{R}) = H^q(\mathbb{E}G \times X; \mathbb{R}). \end{aligned}$$

where $a \leq n$. We can therefore apply theorem 4.1, and (b) follows.

(c) Here, the important fact is that $\mathbb{E}G(n) \times M$ is a manifold, in which case we can use Cartan's identification $H_{\text{dR}}(M_G(n)) = H_G(\mathbb{E}G(n) \times M)$ and the corresponding spectral sequence for equivariant cohomology (see 6):

$$\mathbb{E}(\mathbb{E}G(n) \times M)_2^{p,q} := H_G^p \otimes H^q(\Omega(\mathbb{E}G(n) \times M))$$

where $H_G^{2m} := S^m(\mathfrak{g})^G$ and $H_G^{2m+1} = 0$, for all $m \in \mathbb{N}$.

These constructions are contravariant functorial over G -Man, and for the equivariant projection $p_n : \mathbb{E}G(n) \times M \rightarrow M$, $(x, m) \mapsto m$, give a morphism of spectral sequences for equivariant cohomology

$$\mathbb{E}(p_n^*)_2^{p,q} : \mathbb{E}(M)_2^{p,q} \rightarrow \mathbb{E}(\mathbb{E}G(n) \times M)_2^{p,q},$$

which is an isomorphism for all $q \leq n$, since the pullbacks

$$p_n^* : H^q(M) \rightarrow H^q(\Omega(\mathbb{E}G(n) \times M))$$

are so. We can therefore apply theorem 4.1 and state that the restriction homomorphisms $H_G(M)^q \rightarrow H_{\text{dR}}^q(M(n))$ (15) are isomorphisms for all $q \leq n$, which ends the proof of (c). \square

6. On the spectral sequence for equivariant cohomology. The complex of equivariant differential forms of a G -manifold M is the complex

$$\Omega_G(M) := ((S(\mathfrak{g}) \otimes \Omega(M))^G, d_{\mathfrak{g}}), \quad (*)$$

where, if $\{e_j\}$ is a basis of \mathfrak{g} of dual basis and $\{e^j\}$, the equivariant differential $d_{\mathfrak{g}}$ is given by the expression

$$d_{\mathfrak{g}}(P \otimes \omega) = P \otimes d\omega + \sum_j P e^j \otimes \iota(e_j)(\omega).$$

Denote by $S^a(\mathfrak{g})$ the polynomial functions on \mathfrak{g} of degree a , and define the *principal degree* of $S^a(\mathfrak{g}) \otimes \Omega^b(M)$ by $2a$, and its *total degree* by $2a + b$.

In order to be able to apply theorem 4.1 *without reindexing terms*, we choose to filter the complex $(*)$ by principal degrees in \mathbb{N} , and not just in $2\mathbb{N}$.

We therefore set, for all $j \in \mathbb{N}$,

$$\Omega_G(M)_{\geq j} = S^{\geq \frac{j}{2}} \otimes \Omega(M). \quad (\dagger)$$

(In particular, $\Omega_G(M)_{\geq j} = \Omega_G(M)_{\geq j+1}$, if j is odd.)

Endowed with the filtration (\dagger) , the complex $(\Omega_G(M), d_{\mathfrak{g}})$ is a regular filtered graded complex. We can then apply the general theory of spectral sequences and construct a spectral sequence $(\mathbb{E}(\Omega_G(M))_r, d_r)$, where

$$\mathbb{E}(\Omega_G(M))_2^{p,q} = S^{p/2}(\mathfrak{g})^G \otimes \Omega^q(M),$$

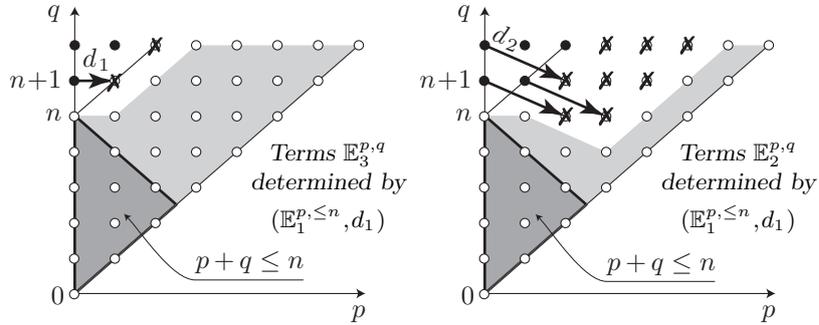
($S^{p/2}(\mathfrak{g}) = 0$, for p odd) where $d_2 : \mathbb{E}(\Omega_G(M))_2^{p,q} \rightarrow \mathbb{E}(\Omega_G(M))_2^{p+2, q-1}$.

For $r \geq 2$, we then have, as usual, $d_r(\mathbb{E}(\Omega_G(M))_r^{p,q}) \subset \mathbb{E}(\Omega_G(M))_r^{p+r, q-r+1}$, and the spectral sequence converges to the graded $S(\mathfrak{g})^G$ -module associated with the filtered module $H_G(M)$, by the decreasing successive images

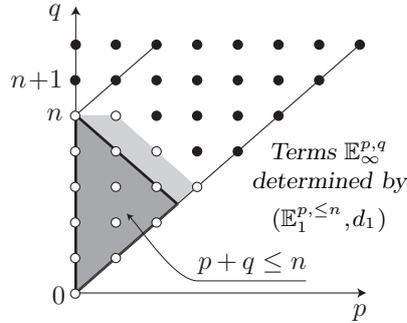
$$H_G(M)_{\geq k} := \text{im}(H(\Omega_G(M)_{\geq j}) \rightarrow H_G(M)).$$

We have
$$\mathbb{E}(\Omega_G(M))_{\infty}^p = \frac{H_G(M)_{\geq p}}{H_G(M)_{\geq p+1}}.$$

6.1. Comment on a different spectral sequence. In appendix A.9 of ⁽²⁾, the complex $\Omega_G(M)$ is put under the form of a bi-complex, which is quite nice, but then one has to prove the analog of theorem 4.1 which gives better bounds for the proposition A.10 in *loc.cit.*. The proof will then be the same, but the pictures must be replaced by the followings



where we see (again) that the terms $\mathbb{E}_r^{p,q}$ with $p+q \leq n$ are determined solely by the sub-complex $(\mathbb{E}_1^{p, \leq n}, d_1)$, as in the proof of 4.1.



These details, which are not difficult to understand, are missing in the proposition A.10. In its proof, it is only observed that under d_r , the superscript q lowers to $q-r+1$, without considering that at the same time the superscript p raises to $p+r$ leaving enough room to preserve the $\mathbb{E}^{p,q}$'s such that $p+q \leq n$.

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²Forthcoming book of Loring Tu, *Introductory Lectures on Equivariant Cohomology*, in Annals of Mathematics Studies, Princeton University Press.