

AN OPEN ADELIC IMAGE THEOREM FOR MOTIVIC REPRESENTATIONS OVER FUNCTION FIELDS

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ABSTRACT. Let \mathbb{F} be a field and k a function field of positive transcendence degree over \mathbb{F} . Let S be a smooth, separated, geometrically connected scheme of finite type over k . If \mathbb{F} is quasi-finite or algebraically closed we show that for motivic representations of the étale fundamental group $\pi_1(S)$ of S , ℓ -Galois-generic points are Galois-generic. This is a geometric variant of a previous result of the author for representations of $\pi_1(S)$ on the adelic Tate module of an abelian scheme $A \rightarrow S$ when the base field k is finitely generated of characteristic 0. The procyclicity of the absolute Galois group of a quasi-finite field allows to reduce the assertion for \mathbb{F} finite to the assertion for \mathbb{F} algebraically closed. The assertion for \mathbb{F} algebraically closed can then be deduced, using basically the same arguments as in the case of abelian schemes, from maximality results for the image of $\pi_1(S)$ inside the group of \mathbb{Z}_ℓ -points of its Zariski-closure.

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1. Introduction

Let k be a field of characteristic $p \geq 0$, S a smooth, separated, geometrically connected scheme of finite type over k with generic point η and $X \rightarrow S$ a smooth, proper morphism. For every $s \in S$, fix a geometric point \bar{s} over s and an étale path from \bar{s} to $\bar{\eta}$. For a prime $\ell \neq p$, via the canonical isomorphism (smooth-proper base change) $H^*(X_{\bar{s}}, \mathbb{Z}/\ell^n) \simeq H^*(X_{\bar{\eta}}, \mathbb{Z}/\ell^n)$, the Galois representation by transport of structure of $\pi_1(s, \bar{s})$ on $H^*(X_{\bar{s}}, \mathbb{Z}/\ell^n)$ identifies with the restriction of the representation of $\pi_1(S, \bar{\eta})$ on $H^*(X_{\bar{\eta}}, \mathbb{Z}/\ell^n)$ via the functorial morphism $\sigma_s : \pi_1(s, \bar{s}) \rightarrow \pi_1(S, \bar{s}) \xrightarrow{\sim} \pi_1(S, \bar{\eta})$. So, from now on, we omit base-points in our notation for étale fundamental groups and write

$$H_{\ell^\infty} := H^*(X_{\bar{\eta}}, \mathbb{Z}_\ell)/\text{torsion}, \quad V_{\ell^\infty} := H_{\ell^\infty} \otimes \mathbb{Q}_\ell.$$

Let

$$\rho_{\ell^\infty} : \pi_1(S) \rightarrow \text{GL}(H_{\ell^\infty}), \quad \rho_\infty = \prod_{\ell \neq p} \rho_{\ell^\infty} : \pi_1(S) \rightarrow \prod_{\ell \neq p} \text{GL}(H_{\ell^\infty}) =: \text{GL}(H_\infty)$$

denote the resulting representations and set $\Pi_\ell := \text{im}(\rho_\ell)$, $? = \infty, \ell^\infty$. For $s \in S$, also set $\rho_{\ell^\infty, s} := \rho_{\ell^\infty} \circ \sigma_s$ and $\Pi_{\ell^\infty, s} := \text{im}(\rho_{\ell^\infty, s})$, $? = \infty, \ell^\infty$.

Following the terminology of [CK16], we say that $s \in S$ is ℓ -Galois-generic (with respect to ρ_∞) if $\Pi_{\ell^\infty, s}$ is open in Π_{ℓ^∞} and that $s \in S$ is Galois-generic (with respect

to ρ_∞) if $\Pi_{\infty,s}$ is open in Π_∞ .

Given a prime ℓ , we say that a field \mathbb{F} is ℓ -non Lie semisimple if for every quotient $\pi_1(\mathbb{F}) \twoheadrightarrow \Gamma_\ell$ with Γ_ℓ a ℓ -adic Lie group, none of the non-zero Lie sub algebra of $\text{Lie}(\Gamma_\ell)$ is semisimple. Typical examples are algebraically closed fields and quasi-finite fields (in particular, finite fields), which are ℓ -non Lie semisimple for every prime ℓ , or p -adic fields, which are ℓ -non Lie semisimple for every prime $\ell \neq p$.

Assume now that k is the function field of a smooth, separated, geometrically connected scheme of finite type and dimension ≥ 1 over a field \mathbb{F} . The main result of this note is

Theorem 1.1. *Assume \mathbb{F} is ℓ -non Lie semisimple. For a closed point $s \in S$, the following are equivalent.*

- (1.1.1) $s \in S$ is ℓ -Galois-generic;
- (1.1.2) $s \in S$ is Galois-generic .

In particular, when \mathbb{F} is finite, this proves the abundance of closed Galois-generic points. More precisely, we have

Corollary 1.2. *Assume \mathbb{F} is finite. Then*

- (1.2.1) *There exists an integer $d \geq 1$ such that there are infinitely many (ℓ -)Galois-generic closed points $s \in S$ with $[k(s) : k] \leq d$.*
- (1.2.2) *Assume furthermore that S is a curve. Then all but finitely many $s \in S(k)$ are (ℓ -)Galois-generic.*

Proof. Assertion (1.2.1) follows from [S89, §10.6] while assertion (1.2.2) follows from [A17, Thm. 1.3 (3)], since motivic representations are GLP. \square

Theorem 1.1 is a geometric variant of a previous result of the author for representations of $\pi_1(S)$ on the adelic Tate module of an abelian scheme $A \rightarrow S$ when the base field k is finitely generated of characteristic 0. The ' ℓ -non Lie semisimple' property allows to reduce Theorem 1.1 for \mathbb{F} ℓ -non Lie semisimple to Theorem 1.1 for \mathbb{F} algebraically closed (Lemma 2.2.3). Theorem 1.1 for \mathbb{F} algebraically closed can then be deduced, following the guidelines of [C15], from maximality results for Π_{ℓ^∞} inside the group of \mathbb{Z}_ℓ -points of its Zariski-closure in $\text{GL}_{\mathbb{H}_{\ell^\infty}}$. For $p = 0$, the maximality result is the same as the one used in [C15]; it relies on a group-theoretical result of Nori ([N87]). For $p > 0$, the maximality result is due to Hui, Tamagawa and the author ([CHT17]).

It is reasonable to expect that Theorem 1.1 holds for k a number field (hence, by Hilbert's irreducibility theorem, for any finitely generated field of characteristic 0). This should follow from variants with \mathbb{F}_ℓ -coefficients of the Grothendieck-Serre-Tate conjectures.

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should extend to quasi-finite fields. This yields the author to observe that her proof was working, more generally, for ℓ -non Lie semisimple fields.

2. Proof.

The implication (1.1.2) \Rightarrow (1.1.1) is straightforward. We prove the converse implication. Fix a closed point $s \in S$. Without loss of generality, we may assume $s \in S(k)$.

2.1. Notation. Fix a smooth, separated, geometrically connected scheme U over \mathbb{F} with generic point ζ such that there exists a model

$$\mathcal{X} \longrightarrow \mathcal{S} \begin{array}{c} \xrightarrow{s_U} \\ \longleftarrow \end{array} U \longrightarrow \mathbb{F}$$

of

$$X \longrightarrow S \begin{array}{c} \xrightarrow{s} \\ \longleftarrow \end{array} k \longrightarrow \mathbb{F}$$

in the sense that we have a cartesian diagram

$$\begin{array}{ccccccc} \mathcal{X} & \longrightarrow & \mathcal{S} & \begin{array}{c} \xrightarrow{s_U} \\ \longleftarrow \end{array} & U & \longrightarrow & \mathbb{F} \\ \uparrow & & \uparrow & & \uparrow \zeta & & \parallel \\ X & \longrightarrow & S & \longrightarrow & k = k(\zeta) & \longrightarrow & \mathbb{F} \\ & & & & \begin{array}{c} \longleftarrow \\ \xrightarrow{s} \end{array} & & \end{array}$$

with $\mathcal{X} \rightarrow \mathcal{S}$ smooth, proper and $\mathcal{S} \rightarrow U$ smooth, separated, geometrically connected of finite type. In particular, the action of $\pi_1(\mathcal{S})$, $\pi_1(s)$ on H_ℓ^∞ factor respectively through $\pi_1(\mathcal{S}) \rightarrow \pi_1(S)$ and $\pi_1(s) \rightarrow \pi_1(U)$ so that

2.1.1 the groups $\Pi_?, \Pi_{?,s} \subset \mathrm{GL}(H_?)$, $? = \infty, \ell^\infty$ identify with the images of the motivic representations attached to the smooth proper morphisms $\mathcal{X} \rightarrow \mathcal{S}$ and $\mathcal{X} \times_{\mathcal{S}, s_U} U \rightarrow U$ respectively. We write, again,

$$\rho_? : \pi_1(\mathcal{S}) \rightarrow \mathrm{GL}(H_?), \quad \rho_{?,s} : \pi_1(U) \rightarrow \mathrm{GL}(H_{?,s}), \quad ? = \infty, \ell^\infty$$

for the corresponding representations and set

$$\tilde{\Pi}_? := \rho_?(\pi_1(\mathcal{S}_{\overline{\mathbb{F}}})) , \quad \tilde{\Pi}_{?,s} := \rho_{?,s}(\pi_1(U_{\overline{\mathbb{F}}})) , \quad ? = \infty, \ell^\infty .$$

2.2. We first reduce the assertion for \mathbb{F} ℓ -non Lie semisimpl to the assertion for \mathbb{F} algebraically closed.

The introduction of the property ‘ ℓ -non Lie semisimple’ comes from

2.2.1. Fact: *The following equivalent assertions hold:*

- (2.2.1.1) $\text{Lie}(\tilde{\Pi}_{\ell^\infty})$ and $\text{Lie}(\tilde{\Pi}_{\ell^\infty, s})$ are semisimple Lie algebras;
- (2.2.1.2) The Zariski closure of $\tilde{\Pi}_{\ell^\infty}$ and $\tilde{\Pi}_{\ell^\infty, s}$ in $\text{GL}_{\mathbb{H}_{\ell^\infty}}$ are semisimple algebraic groups.

Proof. Recall 2.1.1 and 2.2.3. Then (2.2.1.2) follows from comparison between étale and singular cohomologies and [D71, Prop. (4.2.5), Thm. (4.2.6)] if $p = 0$ and from [D80, Cor. 3.4.13, Cor. 1.3.9] if $p > 0$. The equivalence of (2.2.1.1) and (2.2.1.2) follows from the general fact that if $\Pi \subset \text{GL}_r(\mathbb{Q}_\ell)$ is a compact ℓ -adic Lie group whose Zariski closure $G \subset \text{GL}_{r, \mathbb{Q}_\ell}$ is semi simple then Π is open in $G(\mathbb{Q}_\ell)$; this boils down to the fact that a semi simple Lie algebra over \mathbb{Q}_ℓ is algebraic - see e.g. [S66, §1, Cor.]. \square

2.2.2. We begin with an elementary observation (a partial snake lemma in the category of profinite groups). Consider a commutative diagram of profinite groups with exact lines

$$\begin{array}{ccccccccc}
 1 & \longrightarrow & \tilde{\Pi} & \longrightarrow & \Pi & \longrightarrow & \Gamma & \longrightarrow & 1 \\
 & & \uparrow & & \uparrow & & \uparrow & & \\
 1 & \longrightarrow & \tilde{\Pi}' & \longrightarrow & \Pi' & \longrightarrow & \Gamma' & \longrightarrow & 1
 \end{array}$$

Assume the two left-hand vertical arrows are injective and the right-hand vertical arrow is surjective. Then the canonical map $\tilde{\Pi}/\tilde{\Pi}' \rightarrow \Pi/\Pi'$ is surjective and its fibers are isomorphic to $\tilde{\Pi} \cap \Pi'/\tilde{\Pi}'$. In particular,

- (2.2.2.1) $\tilde{\Pi}' \subset \tilde{\Pi}$ is open $\Rightarrow \Pi' \subset \Pi$ is open.
- (2.2.2.2) $\Pi' \subset \Pi$ is open and $\tilde{\Pi} \cap \Pi'/\tilde{\Pi}'$ is finite $\Rightarrow \tilde{\Pi}' \subset \tilde{\Pi}$ is open.

2.2.3. Lemma:

- (2.2.3.1) $\tilde{\Pi}_{\infty, s} \subset \tilde{\Pi}_{\infty}$ is open $\Rightarrow \Pi_{\infty, s} \subset \Pi_{\infty}$ is open.
- (2.2.3.2) Fix a prime $\ell \neq p$ and assume \mathbb{F} is ℓ -non Lie semisimple. Then $\Pi_{\ell^\infty, s} \subset \Pi_{\ell^\infty}$ is open $\Rightarrow \tilde{\Pi}_{\ell^\infty, s} \subset \tilde{\Pi}_{\ell^\infty}$ is open.

Proof. Since $s \in S(k)$, for $? = \infty, \ell^\infty$ the canonical morphism $\Pi_{?, s}/\tilde{\Pi}_{?, s} \rightarrow \Pi_?/\tilde{\Pi}_?$ is surjective and the short exact sequences of profinite groups

$$\begin{array}{ccccccccc}
 1 & \longrightarrow & \tilde{\Pi}_? & \longrightarrow & \Pi_? & \longrightarrow & \Pi_?/\tilde{\Pi}_? & \longrightarrow & 1 \\
 & & \uparrow & & \uparrow & & \uparrow & & \\
 1 & \longrightarrow & \tilde{\Pi}_{?, s} & \longrightarrow & \Pi_{?, s} & \longrightarrow & \Pi_{?, s}/\tilde{\Pi}_{?, s} & \longrightarrow & 1
 \end{array}$$

is of the form considered in 2.2.2. So (2.2.3.1) follows from (2.2.2.1) while (2.2.3.2) would follow from (2.2.2.2) provided $\tilde{\Pi}_{\ell^\infty} \cap \Pi_{\ell^\infty, s}/\tilde{\Pi}_{\ell^\infty, s}$ is finite. This is where we use the assumption that \mathbb{F} is ℓ -non Lie semisimple. Indeed, we have

$$\tilde{\Pi}_{\ell^\infty} \cap \Pi_{\ell^\infty, s} \twoheadrightarrow \tilde{\Pi}_{\ell^\infty} \cap \Pi_{\ell^\infty, s}/\tilde{\Pi}_{\ell^\infty, s} \hookrightarrow \Pi_{\ell^\infty, s}/\tilde{\Pi}_{\ell^\infty, s} \leftarrow \pi_1(\mathbb{F}).$$

By Fact 2.2.1, the Lie algebra of $\tilde{\Pi}_{\ell^\infty} \cap \Pi_{\ell^\infty, s} / \tilde{\Pi}_{\ell^\infty, s}$ is semi simple, being a quotient of $\text{Lie}(\tilde{\Pi}_{\ell^\infty} \cap \Pi_{\ell^\infty, s}) = \text{Lie}(\tilde{\Pi}_{\ell^\infty})$. But this forces it to be 0, since \mathbb{F} is ℓ -non Lie semisimple by assumption. \square

Fix a prime $\ell \neq p$, assume \mathbb{F} is ℓ -non Lie semisimple and $s \in S(k)$ is ℓ -Galois-generic. From (2.2.3.2), $\tilde{\Pi}_{\ell^\infty, s} \subset \tilde{\Pi}_{\ell^\infty}$ is open. If Theorem 1.1 holds for \mathbb{F} algebraically closed, this would imply $\tilde{\Pi}_{\infty, s} \subset \tilde{\Pi}_\infty$ is open hence, from (2.2.3.1), $\Pi_{\infty, s} \subset \Pi_\infty$ is open. This observation reduces Theorem 1.1 for \mathbb{F} ℓ -non Lie semisimple to Theorem 1.1 for \mathbb{F} algebraically closed.

2.2.3 So, from now on, we assume \mathbb{F} is *algebraically closed* hence

$$\tilde{\Pi}_? = \Pi_?, \tilde{\Pi}_{?, s} = \Pi_{?, s}, ? = \infty, \ell^\infty, s \in S.$$

2.3. Fix a prime $\ell_0 \neq p$ and assume $s \in S(k)$ is ℓ_0 -Galois-generic. We want to show $s \in S(k)$ is Galois-generic.

For every prime $\ell \neq p$ and profinite group Γ appearing as a subquotient of $\text{GL}(\mathbb{H}_{\ell^\infty})$, let $\Gamma^+ \subset \Gamma$ denote the (normal) subgroup of Γ generated by its ℓ -Sylow subgroups. Let $\mathfrak{G}_{\ell^\infty}, \mathfrak{G}_{\ell^\infty, s}$ denote respectively the Zariski-closure of $\Pi_{\ell^\infty}, \Pi_{\ell^\infty, s}$ in $\text{GL}_{\mathbb{H}_{\ell^\infty}}$. Write G_{ℓ^∞} and $G_{\ell^\infty, s}$ for the generic fibers of $\mathfrak{G}_{\ell^\infty}, \mathfrak{G}_{\ell^\infty, s}$.

2.3.1. Fact: *The dimensions of $G_{\ell^\infty}, G_{\ell^\infty, s}$ are independent of $\ell (\neq p)$.*

Proof. This follows from comparison between étale and singular cohomologies if $p = 0$ and from [LaP95, Thm. 2.4] if $p > 0$. More precisely, [LaP95, Thm. 2.4] implies that, if $Y \rightarrow C$ is a smooth proper morphism with C a smooth, separated, geometrically connected curve over the algebraic closure \mathbb{F} of \mathbb{F}_p then the dimension of the Zariski closure of the image of

$$\pi_1(C) \rightarrow \text{GL}(\mathbb{H}^*(Y_{\bar{\mathbb{F}}}, \mathbb{Q}_\ell))$$

is independant of ℓ . To apply this to the setting of (2.1.1), we need the generalization of [LaP95, Thm. 2.4] for C of arbitrary dimension. This can be deduced from the case of curves by Jouanolou's version of Bertini's theorem [Jou83, Thm. 6.10, 2), 3)] and the smooth proper base change theorem. We refer to the Claim in the proof of [CT13, Prop. 3.2] for details. \square

Also, to prove Theorem 1.1, we may freely replace U and S by connected étale covers. In particular,

2.3.2. Fact: *We may assume the following holds.*

- (2.3.2.1) $\Pi_{\ell^\infty} = \Pi_{\ell^\infty}^+, \Pi_{\ell^\infty, s} = \Pi_{\ell^\infty, s}^+$ for $\ell \gg 0$;
- (2.3.2.2) $\Pi_\infty = \prod_{\ell \neq p} \Pi_{\ell^\infty}, \Pi_{\infty, s} = \prod_{\ell \neq p} \Pi_{\ell^\infty, s}$;
- (2.3.2.3) $G_{\ell^\infty}, G_{\ell^\infty, s}$ are connected for every prime $\ell \neq p$;
- (2.3.2.4) $\Pi_{\ell^\infty} = \mathfrak{G}_{\ell^\infty}(\mathbb{Z}_\ell)^+, \Pi_{\ell^\infty, s} = \mathfrak{G}_{\ell^\infty, s}(\mathbb{Z}_\ell)^+$ for $\ell \gg 0$;

Proof. Recall 2.1.1 and 2.2.3. Then (2.3.2.1) follows from [CT13, Thm. 1.1] while (2.3.2.2) is [CT13, Cor. 4.6]. (2.3.2.3) follows from comparison between étale and singular cohomologies if $p = 0$ and from [LaP95, Prop. 2.2] if $p > 0$. For (2.3.2.4), assume first $p = 0$ (see [C15, §2.3] for details). Let $\Pi_\ell \subset \mathfrak{G}_{\ell^\infty}(\mathbb{F}_\ell)$ denote the image of Π_{ℓ^∞} via the reduction-modulo- ℓ morphism $\mathfrak{G}_{\ell^\infty}(\mathbb{Z}_\ell) \rightarrow \mathfrak{G}_{\ell^\infty}(\mathbb{F}_\ell)$. Then, from [N87, Thm. 5.1], $\Pi_\ell = \Pi_\ell^+ = \mathfrak{G}_{\ell^\infty}(\mathbb{F}_\ell)^+$ for $\ell \gg 0$. This forces $\Pi_{\ell^\infty} = \mathfrak{G}_{\ell^\infty}(\mathbb{Z}_\ell)^+$ since, by [C15, Fact 2.3, Lemma 2.4], $\mathfrak{G}_{\ell^\infty}(\mathbb{Z}_\ell)^+ \rightarrow \mathfrak{G}_{\ell^\infty}(\mathbb{F}_\ell)^+$ is Frattini for $\ell \gg 0$. Eventually, (2.3.2.4) for $p > 0$ is [CHT17, Thm. 7.3.2]. \square

2.4. We can now conclude the proof. From (2.3.2.2), it is enough to show that

- (2.4.1) $\Pi_{\ell^\infty, s} \subset \Pi_{\ell^\infty}$ is open for every prime $\ell \neq p$;
- (2.4.2) $\Pi_{\ell^\infty, s} = \Pi_{\ell^\infty}$ for $\ell \gg 0$.

Since $s \in S(k)$ is ℓ_0 -Galois-generic, (2.3.2.3) for ℓ_0 ensures $G_{\ell_0^\infty, s} = G_{\ell_0^\infty}$. As $G_{\ell^\infty, s}$ is always a subgroup of G_{ℓ^∞} , Fact 2.3.1 and (2.3.2.3) also ensure $G_{\ell^\infty, s} = G_{\ell^\infty}$ hence $\mathfrak{G}_{\ell^\infty, s} = \mathfrak{G}_{\ell^\infty}$ for every prime $\ell \neq p$. Then (2.4.1) follows from (2.2.1.1) while (2.4.2) follows from (2.3.2.4).

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