

THE FUNDAMENTAL THEOREM OF WEIL II FOR CURVES WITH ULTRAPRODUCT COEFFICIENTS

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This is a report on [C18].

Let k_0 be a finite field of characteristic $p > 0$ with geometric Frobenius F_0 . Fix an algebraic closure k of k_0 . In this note a variety over k_0 always means a reduced scheme separated and of finite type over k_0 . For a variety X_0 over k_0 , write $X := X_0 \times_{k_0} k$.

Given a field K of characteristic 0, an embedding $\iota : K \hookrightarrow \mathbb{C}$ and $q \in \mathbb{R}_{>1}$, one defines the ι -weights (with respect to q) of an automorphism F of a finite-dimensional K -vector space V to be the $w \in \mathbb{R}$ such that $|\iota\alpha| = q^{\frac{w}{2}}$ for α describing the set of eigenvalues of F acting on $V \otimes \overline{K}$.

Given a prime $\ell (\neq p)$, we always denote by Q_ℓ a finite extension of \mathbb{Q}_ℓ and by Z_ℓ , λ_ℓ and F_ℓ the corresponding ring of integers, uniformizer and residue field .

0.1. Fix an infinite set of primes \mathcal{L} not containing p . For a map $\underline{n} : \mathcal{L} \rightarrow \mathbb{Z}_{\geq 1}$, $\ell \rightarrow n_\ell$, set $\underline{F}_n := \prod_{\ell \in \mathcal{L}} \mathbb{F}_{\ell^{n_\ell}}$, $\underline{F} := \prod_{\ell \in \mathcal{L}} \overline{\mathbb{F}}_\ell = \varinjlim \underline{F}_n$. Given a (non principal)¹ ultrafilter \mathcal{U} on \mathcal{L} , let $\underline{F}_n \rightarrow \underline{F}_{n,\mathcal{U}}$ and $\underline{F} \rightarrow F_{\mathcal{U}} = \varinjlim \underline{F}_{n,\mathcal{U}}$ denote the corresponding ultraproducts. One has the following parallelism

	$\overline{\mathbb{Q}}_\ell$	$F_{\mathcal{U}}$
torsion coefficients	$Z_\ell / \lambda_\ell^{n_\ell}, n_\ell \geq 1$	$\mathbb{F}_{\ell^{n_\ell}}, \underline{n} : \mathcal{L} \rightarrow \mathbb{Z}_{\geq 1}$
\varprojlim (to char 0 ring)	Z_ℓ	\underline{F}_n
localization (exact) (to char 0 field)	$Z_\ell \hookrightarrow Q_\ell$	$\underline{F}_n \twoheadrightarrow F_{n,\mathcal{U}}$
\varinjlim (to alg. closed char 0 field $\simeq \mathbb{C}$)	$Q_\ell \hookrightarrow \overline{\mathbb{Q}}_\ell$	$F_{n,\mathcal{U}} \hookrightarrow F_{\mathcal{U}}$

Recall also that the kernel of $\underline{F}_n \rightarrow \prod_{\mathcal{U}} \underline{F}_{n,\mathcal{U}}$ is the ideal of elements with finite support. This translates to the general principal that a property which, for every ultrafilter \mathcal{U} , holds over a set $S \in \mathcal{U}$ actually holds for all but finitely many $\ell \in \mathcal{L}$.

0.2. Let X_0 be a smooth and geometrically connected variety over k_0 . For $\underline{n} : \mathcal{L} \rightarrow \mathbb{Z}_{\geq 1}$, let $S_{lcc,\underline{n}}(X_0)$ denote the abelian (not full!) subcategory of étale torsion sheaves whose objects $\underline{\mathcal{F}}$ are direct products of locally constant constructible (lcc for short) sheaves \mathcal{F}_ℓ of $\mathbb{F}_{\ell^{n_\ell}}$ -modules and let $S_{lcc}(X_0) := \varinjlim S_{lcc,\underline{n}}(X_0)$. For every ultrafilter \mathcal{U} on \mathcal{L} let $S_{\mathcal{U}}^t(X_0) \subset S_{lcc}(X_0)$ denote the full subcategory of *almost \mathcal{U} -tame* sheaves that is of those $\underline{\mathcal{F}}$ such that (1) $\underline{\mathcal{F}}_{\overline{x},\mathcal{U}} := \underline{\mathcal{F}}_{\overline{x}} \otimes F_{\mathcal{U}}$ has finite $F_{\mathcal{U}}$ -rank and (2) there exists a connected étale cover $X'_0 \rightarrow X_0$ for which the set of primes $\ell \in \mathcal{L}$ such that $\mathcal{F}_\ell|_{X'_0}$ is curve-tame is in \mathcal{U} . Here, ‘curve-tame’ means that for every smooth curve C over k and morphism $C \rightarrow X$, $\mathcal{F}_\ell|_C$ is tamely ramified in the usual sense. $S_{\mathcal{U}}^t(X_0)$ is an abelian category admitting internal Hom, \otimes , stable under arbitrary pull-back and finite direct image and $S_{\mathcal{U}}^t(X_0) \otimes F_{\mathcal{U}}$ is Tannakian with fiber functor $\underline{\mathcal{F}} \rightarrow \underline{\mathcal{F}}_{\overline{x},\mathcal{U}}$. In contrast $S_{\mathcal{U}}^t(X_0)$ is not stable under higher direct image by smooth-proper morphisms.

0.3. The finiteness condition (1) allows to define Frobenius weights. Given an isomorphism $\iota : F_{\mathcal{U}} \xrightarrow{\sim} \mathbb{C}$, the (\mathcal{U}, ι) -weights of $\underline{\mathcal{F}}$ at $x_0 \in |X_0|$ are the ι -weights with respect to $|k(x_0)|$ of F_{x_0} acting on $\underline{\mathcal{F}}_{x_0,\mathcal{U}}$. If for every $x_0 \in |X_0|$ the (\mathcal{U}, ι) -weights of $\underline{\mathcal{F}}$ at x_0 are all equal to $w \in \mathbb{R}$ one says that $\underline{\mathcal{F}}$ is (\mathcal{U}, ι) -pure of weight w .

0.4. The tameness condition (2) ensure that the $F_{\mathcal{U}}$ -vector spaces $H_{c,\mathcal{U}}^i(X, \underline{\mathcal{F}}) := (\prod_{\ell \in \mathcal{L}} H_c^i(X, \mathcal{F}_\ell)) \otimes F_{\mathcal{U}}$, $? = c, \emptyset$, $i \geq 0$ are finite-dimensional, which is enough to get the cohomological interpretation of attached L-functions:

$$\prod_{x_0 \in |X_0|} \det(1 - TF_{x_0}|_{\underline{\mathcal{F}}_{x_0,\mathcal{U}}})^{-1} = \prod_{i \geq 0} \det(1 - TF_0|_{H_{c,\mathcal{U}}^i(X, \underline{\mathcal{F}})})^{(-1)^{i+1}}.$$

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¹In this note, an ultrafilter always means a non principal ultrafilter.

Condition (2) also ensures that the global and local unipotent monodromy theorems hold and that the canonical functor $S_{\mathcal{U}}^t(X_0) \rightarrow S_{\mathcal{U}}^t(X_0) \otimes F_{\mathcal{U}}$ is essentially surjective².

0.5. With these tools in hands, one can adjust Deligne's proof of [D80, Thm. (3.2.1)] to $F_{\mathcal{U}}$ -coefficients. Fix an ultrafilter \mathcal{U} on \mathcal{L} and an isomorphism $\iota : F_{\mathcal{U}} \xrightarrow{\sim} \mathbb{C}$. Let X_0 be a smooth curve over k_0 and $\underline{\mathcal{F}} \in S_{\mathcal{U}}^t(X_0)$.

Theorem. *If $\underline{\mathcal{F}}$ is (\mathcal{U}, ι) -pure of weight w then, for every $i \geq 0$, $H_{c, \mathcal{U}}^i(X, \underline{\mathcal{F}})$ has ι -weights $\leq w + i$. Equivalently, $H_{\mathcal{U}}^i(X, \underline{\mathcal{F}})$ has ι -weights $\geq w + i$.*

0.6. Combined with geometric method (Bertini, Lefschetz pencils), Theorem 0.5 is enough for most applications. Let X_0 be a smooth, geometrically connected variety and let $\underline{\mathcal{F}} \in S_{\mathcal{U}}^t(X_0)$ be (\mathcal{U}, ι) -pure of weight w .

- (**Purity**) Assume furthermore X_0 is proper over k_0 . Then for every $i \geq 0$, $H^i(X, \underline{\mathcal{F}})$ is (\mathcal{U}, ι) -pure of weights $w + i$.
- (**Geometric semisimplicity**) $\pi_1(X)$ acts semisimply on $\underline{\mathcal{F}}_{x, \mathcal{U}}$ (equivalently, the set of primes $\ell \in \mathcal{L}$ such that $\mathcal{F}_{\ell}|_X$ is semisimple is in \mathcal{U}).
- (**Cebotarev**) Let $\underline{\mathcal{F}}, \underline{\mathcal{F}}' \in S_{\mathcal{U}}^t(X_0)$ be (\mathcal{U}, ι) -pure. Assume that for every closed point $x_0 \in |X_0|$, $\text{tr}(F_{x_0}, \underline{\mathcal{F}}_{x, \mathcal{U}}) = \text{tr}(F_{x_0}, \underline{\mathcal{F}}'_{x, \mathcal{U}})$. Then the set of primes $\ell \in \mathcal{L}$ such that $\mathcal{F}_{\ell}^{ss} \simeq \mathcal{F}'_{\ell}^{ss}$ is in \mathcal{U} .

0.7. **Integral models in E -RCS.** Let X_0 be a smooth variety. Sheaves $\underline{\mathcal{F}}$ in $S_{\mathcal{U}}^t(X_0)$ naturally arise when taking Z_{ℓ} -models and reducing modulo λ_{ℓ} in pure E -RCS of lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaves.

0.7.1. **E -RCS.** Given a number field E , an E -RCS of lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaves on X_0 is a system $\mathcal{F}_{\ell^{\infty}}$, $\ell \in \mathcal{L}$ of lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaves on X_0 such that for every $x_0 \in |X_0|$ the characteristic polynomial of F_{x_0} acting on $\mathcal{F}_{\ell^{\infty}, x}$ is in $E[T]$ and independent of ℓ . If for every $x_0 \in |X_0|$ and isomorphism $\iota : \overline{\mathbb{Q}}_{\ell} \xrightarrow{\sim} \mathbb{C}$, the ι -weights with respect to $|k(x_0)|$ of F_{x_0} acting on $\mathcal{F}_{\ell^{\infty}, x}$ are all equal to w one says that $\mathcal{F}_{\ell^{\infty}}$ is pure of weight w .

By definition, a lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaf $\mathcal{F}_{\ell^{\infty}}$ on X_0 is obtained as $\mathcal{F}_{\ell^{\infty}} = \mathcal{H}_{\ell^{\infty}} \otimes \overline{\mathbb{Q}}_{\ell}$ for some lcc sheaf of Z_{ℓ} -modules $\mathcal{H}_{\ell^{\infty}}$. Call such an $\mathcal{H}_{\ell^{\infty}}$ a Z_{ℓ} -model for $\mathcal{F}_{\ell^{\infty}}$ and write $\mathcal{H}_{\ell} := \mathcal{H}_{\ell^{\infty}} \otimes F_{\ell}$ for its reduction modulo λ_{ℓ} . Given an E -RCS $\mathcal{F}_{\ell^{\infty}}$, $\ell \in \mathcal{L}$ and a choice of Z_{ℓ} -models $\mathcal{H}_{\ell^{\infty}}$, $\ell \in \mathcal{L}$, write $\underline{\mathcal{H}} = (\mathcal{H}_{\ell}) \in S_{lcc}(X_0)$. Then for every ultrafilter \mathcal{U} on \mathcal{L} , $\underline{\mathcal{H}}$ is in $S_{\mathcal{U}}^t(X_0)$ and for every closed point $x_0 \in |X_0|$, the characteristic polynomials of F_{x_0} acting on $\underline{\mathcal{F}}_{x, \mathcal{U}}$ and $\mathcal{F}_{\ell^{\infty}, x}$ coincide.

0.7.2. **Arbitrary coefficients.** E -RCS provide the right setting to define 'arbitrary coefficients' in the category of lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaves. More precisely, consider the following isomorphism classes

- (G) irreducible lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaf of rank r with finite determinant on X_0 ;
- (RCS) irreducible E -RCS of lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaves pure of weight 0.

If X_0 is a curve

- (A) cuspidal automorphic representations of $\text{GL}_r(\mathbb{A})$, unramified on X_0 and whose central character is of finite order (where \mathbb{A} denotes the adèle ring of $k(X_0)$)

Then

- (1) Up to semisimplification, twist and isomorphism every lcc $\overline{\mathbb{Q}}_{\ell}$ -sheaf on X_0 is a direct sum of objects in (G).
- (2) There is a (necessarily unique) 1-1 correspondance $(G) \longleftrightarrow (RCS)$ and, if X_0 is a smooth curve, there is also a (necessarily unique) 1-1 correspondance $(A) \longleftrightarrow (G)$. Both correspondances are characterized by the fact that the local factors of the involved objects coincide.

While (1) is formal, (2) when X_0 is a curve follows from the Langlands correspondance for GL_r [L02]; the higher dimensional case of (2) reduces to the case of curves by geometric arguments [Dr12]. To sum it up, one has the following parallelism

	(G)	E -RCS	Z_{ℓ} -models	reduction
constant coefficients	$\overline{\mathbb{Q}}_{\ell}$	$\mathbb{Q}_{\ell}, \ell \in \mathcal{L}$	$\mathbb{Z}_{\ell}, \ell \in \mathcal{L}$	$\mathbb{F}_{\ell}, \ell \in \mathcal{L}$
arbitrary coefficients	$\mathcal{F}_{\ell^{\infty}}$	$\mathcal{F}_{\ell^{\infty}}, \ell \in \mathcal{L}$	$\mathcal{H}_{\ell^{\infty}}, \ell \in \mathcal{L}$	$\mathcal{H}_{\ell}, \ell \in \mathcal{L}$

Note that in the case of arbitrary coefficients, there is *a priori* no canonical choice for the Z_{ℓ} -models hence for their reduction. But see 0.7.3 (5) below.

²The delicate point is to show that subobjects lift; this requires the fact that the tame étale fundamental group in the sense of Kerz-Schmidt is topologically finitely generated.

0.7.3. The following summarizes the main applications of Theorem 0.5 to E -RCS. Let $\mathcal{F}_{\ell^\infty}$, $\ell \in \mathcal{L}$ be a pure E -RCS of lcc $\overline{\mathbb{Q}}_\ell$ -sheaves on X_0 . There exists a prime ℓ_0 such that for $\ell \geq \ell_0$ and every system of integral models $\mathcal{H}_{\ell^\infty}$, $\ell \in \mathcal{L}$ the following holds.

- (1) $\mathcal{H}_\ell|_X$ is semisimple on X ;
- (2) $\mathcal{H}_{\ell^\infty, \eta}^{\pi_1(X)} \otimes_{Z_\ell} F_\ell = \mathcal{H}_{\ell, \eta}^{\pi_1(X)}$ (equivalently, $H^1(X, \mathcal{H}_{\ell^\infty})$ is torsion-free);
- (3) The Zariski-closure of $\pi_1(X)$ acting on $\mathcal{H}_{\ell^\infty, \eta}$ is a semisimple group-scheme over Z_ℓ ;
- (4) $\mathcal{F}_{\ell^\infty}|_X$ is irreducible (resp. $\mathcal{F}_{\ell^\infty}$ is semisimple, resp. irreducible) (if and) only if $\mathcal{H}_\ell \otimes \overline{\mathbb{F}}_\ell|_X$ is irreducible (resp. \mathcal{H}_ℓ is semisimple, resp. $\mathcal{H}_\ell \otimes \overline{\mathbb{F}}_\ell$ is irreducible).
- (5) (Resp. if $\mathcal{F}_{\ell^\infty}$ is semisimple for $\ell \gg 0$) for any two Z_ℓ -models $\mathcal{H}_{\ell^\infty}$, $\mathcal{H}'_{\ell^\infty}$ of $\mathcal{F}_{\ell^\infty}$, $\mathcal{H}_{\ell^\infty}|_X \simeq \mathcal{H}'_{\ell^\infty}|_X$ (resp. $\mathcal{H}_{\ell^\infty} \simeq \mathcal{H}'_{\ell^\infty}$).
- (6) For every Z_ℓ -model $\mathcal{H}_{\ell^\infty}$ of $\mathcal{F}_{\ell^\infty}$, $H^i(X, \mathcal{H}_{\ell^\infty})$ is torsion-free, $i \geq 0$.

(1), (2), (3) (resp. (6)) (reprove and) extend the main results of [CHT17a] (resp. of Gabber's torsion-freeness theorem [G83]) to arbitrary coefficients. The fact that ℓ_0 can be taken independently of the choice of system of Z_ℓ -models and the asymptotic unicity of such in (5) are formal output of the definition of ultraproducts. (5) show in particular that the correspondance (G) \longleftrightarrow (RCS) automatically extends at the level of systems of integral models modulo 'asymptotic' isomorphisms and that for every ultrafilter \mathcal{U} on \mathcal{L} the sheaf $\underline{\mathcal{F}} := \underline{\mathcal{H}} \in S_{\mathcal{U}}^t(X_0)$ is well-defined independently of the choice of the system of Z_ℓ -models. When X_0 is a smooth curve, this combined with (5) and ?? this provides a unique and well-defined injective map (A) \longrightarrow (G) satisfying the expected local compatibility conditions of a Langlands correspondance for GL_r with $F_{\mathcal{U}}$ -coefficients.

0.8. Questions.

- (1) Simplify the proof of Theorem 0.5 following Laumon's strategy [Lau87].
- (2) Prove the Langlands correspondance for GL_r with $F_{\mathcal{U}}$ -coefficients (namely that the injective map (A) \longrightarrow (G) is surjective);
- (3) Define a good notion of constructibility (see [O17]) so that one can develop a systematic formalism of ultraproduct coefficients paralleling the one of $\overline{\mathbb{Q}}_\ell$ -coefficients (and in particular, get a relative theory of Frobenius weights).

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