

We give a proof of Talagrand's fundamental result on Gaussian processes^(a), proof that the reader should agree to call *simple-minded*, if (s)he masters what was known by 1975 on Gaussian processes, especially Fernique's theorem on stationary processes^(b). Understanding the definition of $\gamma_2(T)$ ^(c) is also required. Let $(X_t)_{t \in T}$ be a centered Gaussian process; the result to be proved is that

$$\gamma_2(T) \leq K E \sup\{X_t : t \in T\}$$

for some universal constant K . We may assume that T is a finite or countable^(d) set (with at least two points), and identify T with a subset of $L_2(\Omega, P)$ by $t \leftrightarrow X_t$; we then let $\text{rco}(T)$ be the set of convex combinations with rational coefficients of the X_t , $t \in T$. Let d denote the L_2 -metric and $B(x, r) = \{y \in L_2(\Omega, P) : d(y, x) < r\}$; identifying also $s \leftrightarrow X_s$ for $s \in \text{rco}(T)$, letting $W(x, r) = B(x, r) \cap \text{rco}(T)$, we set for $x \in T$ and $r > 0$

$$\varphi(x, r) = E \sup\{X_s : s \in \text{rco}(T), d(x, s) < r\} = E \sup\{X_s - X_x : s \in W(x, r)\}$$

(notice^(e) that $EX_x = 0$). For fixed x , the function $r \rightarrow \varphi(x, r)$ is clearly non-decreasing; if $D > 0$ denotes the diameter of T and $x \in T$, then

$$\varphi(x, r) \leq \varphi(x, D) = E \sup\{X_s : s \in T\} =: V_0$$

which we assume finite. For every r fixed, $x \rightarrow \varphi(x, r)$ is continuous^(f) on T : convexity implies that $\varphi(y, \lambda r) \geq \lambda \varphi(y, r)$ if $y \in T$ and λ rational < 1 ; if $\varepsilon < r$ and $d(y, x) < \varepsilon$, the ball $B(y, r - \varepsilon)$ is contained in $B(x, r)$, thus $(1 - \varepsilon/r) \varphi(y, r) \leq \varphi(y, r - \varepsilon) \leq \varphi(x, r)$. This yields that $|\varphi(x, r) - \varphi(y, r)| \leq r^{-1} V_0 d(x, y)$ for all $x, y \in T$.

If $x_1, \dots, x_N \in T$ and $d(x_i, x_j) \geq \delta > 4\rho$ for all $i \neq j$, then it follows from the Fernique-Slepian comparison lemma that

$$(FS) \quad E \sup\{X_s : s \in \bigcup_{1 \leq i \leq N} W(x_i, \rho)\} \geq c(\delta - 4\rho) \sqrt{\log_2 N} + \inf_{1 \leq i \leq N} \varphi(x_i, \rho)$$

with $c = (2\pi)^{-1/2} > 1/3$ ^(g). This is proved by comparing (X_t) to the process defined on $T' = \bigcup_{1 \leq i \leq N} W(x_i, \rho)$ by $Y_t = X_t - X_{x_i} + (\delta - 4\rho) g_i / \sqrt{2}$ when $t \in W(x_i, \rho)$, where g_1, \dots, g_N are independent standard Gaussian r.v., independent from the process (X_t) .

We may assume without changing the supremum $\sup_t X_t$ that T has no isolated point^(h). We set $\theta = 256/255$ and introduce a tree \mathcal{T} with the following seven properties.

properties of the tree

1.— each node R of the tree \mathcal{T} is a non-empty open subset of T ⁽ⁱ⁾; to each node R we assign a *center* $x \in R$, a *radius* r such that $R \subset B(x, r)$, the *control ball* $B(x, \theta r)$ and the *value* $v(R) = \varphi(x, \theta r)$;

2.— each node R is the union of a finite family of *homogeneous zones*^(j), which we call *R-zones*; each *R-zone* $Z \subset R$ is the union of a certain number N_Z of sons of R , that we call *Z-sons* of R ; for each *Z-son* R' of R , we call N_Z the *multiplicity* of R' , and the *weight* w' of R' is^(k) the smallest integer $k \geq 0$ such that $\log_2 N_Z \leq 2^{k-1}$;

3.— the root R_0 of \mathcal{T} is the set T itself; its center $x_0 \in T$ is arbitrary and the radius is $r_0 = D$, the diameter of T ; the root R_0 is declared to be of multiplicity $N_0 = 1$ and weight $w_0 = 0$; all sons of R_0 have the same radius $r_1 = r_0/16$;

4.— let $R \in \mathcal{T}$, let w be the weight of R and r its radius, let R' be a son of R with weight w' and radius r' ; then⁽¹⁾

$$\mathbf{4a:} \quad r' \leq r/16; \quad \mathbf{4b:} \quad w' > w; \quad \mathbf{4c:} \quad (r' = r/16) \text{ or } (w' = w + 1);$$

5.— if w is the weight of $R \in \mathcal{T}$, the number of R -zones is $< 2^{2^w}$;

6.— let $R \in \mathcal{T}$ and let Z be a R -zone; all Z -sons have the same radius r_Z ; if R', R'' are two distinct Z -sons of R and x', x'' their centers, then $d(x', x'') \geq r_Z/2$;

7.— let $R \in \mathcal{T}$, w its weight and r its radius; if Z is a R -zone, then^(m)

$$\sup_{y \in Z} \varphi(y, r/255) - \inf_{y \in Z} \varphi(y, r/255) \leq 2^{-w} V_0.$$

We can now tell the impatient reader what the sets (T_p) of ^(c) are going to be: $T_0 = \{x_0\}$ and T_p for $p > 0$ will be the family of centers of all elements in \mathcal{T} with weight $< p$.

constructing the tree

Let $R \in \mathcal{T}$ have weight $w > 0$ and radius r ; set $A_w = 2^{2^w} - 1$, and for $j = 1, \dots, A_w$ let

$$Z_j = \{y \in R : |\varphi(y, r/255) - (j - 1/2) A_w^{-1} V_0| < 2^{-w-1} V_0\}.$$

The R -zones are the non-empty Z_j 's. These open sets cover R because $A_w > 2^w$ (condition **2**, first part), their number is $< 2^{2^w}$, giving thus **5**, and they satisfy condition **7**. When $w = 0$, we define a unique zone $Z_1 = T = R_0$, and the conditions **5**, **7** are clear.

Next, we cover each R -zone Z with a family of Z -sons of R , as asked by **2**. We describe a filling process for Z , constructing by induction finite subsets (C_α) of Z for even indices α , and radii $(\rho_{\alpha+1})$ for odd indices: we start with $C_0 = \emptyset$ and $\rho_1 = r/16$; we choose an arbitrary point z_2 in Z and define $C_2 = \{z_2\}$. Suppose C_α is already defined; if the balls $B(z, \rho_{\alpha-1})$ centered at all $z \in C_\alpha$ do not cover Z , we keep $\rho_{\alpha+1} = \rho_{\alpha-1}$; if not, let $\rho = \rho_{\alpha-1}$ and⁽ⁿ⁾ replace ρ by $\rho/2$, as many times as necessary, until the balls $B(z, \rho)$ centered at the $z \in C_\alpha$ do not cover Z ; this will eventually happen since Z is infinite, as non-empty open subset of T ; then set $\rho_{\alpha+1} = \rho$, choose a point $z_{\alpha+2} \in Z$ such that the distance of $z_{\alpha+2}$ to C_α is $\geq \rho_{\alpha+1}$ and set $C_{\alpha+2} = C_\alpha \cup \{z_{\alpha+2}\}$.

We make a stop when $\rho_{\alpha+1} < \rho_1 = r/16$ for the first time. Then, the balls $B(z, r/16)$ centered at all $z \in C_\alpha$ cover Z ; if the cardinality of C_α is $\geq 2^{2^w}$, we introduce the family of Z -sons $Z \cap B(z, r/16)$, $z \in C_\alpha$; they have center z and radius $r_Z = r/16$. Since the multiplicity is $\geq 2^{2^w}$, the weight w_Z is $\geq w + 1$; this fits^(o) with condition **4**.

Otherwise^(p), we go on, until the cardinality of C_α is equal to 2^{2^w} . We know here that $\rho = \rho_{\alpha-1} < \rho_1 = r/16$, so a change of ρ as occurred since the start; let β be the last index such that $\rho_{\beta-1} = 2\rho$. Then the balls $B(z, 2\rho)$ centered at all $z \in C_\beta \subset C_\alpha$ already cover Z ; we introduce the family of Z -sons $Z \cap B(z, 2\rho)$, $z \in C_\alpha$; they have center z and radius $r_Z = 2\rho$, and distinct points in C_α have distance $\geq \rho$ (condition **6**). Here the weight w_Z is exactly $w + 1$, and $r_Z = \rho_{\beta-1} \leq \rho_1 = r/16$; again, this agrees with **4**.

When applying the filling process to the unique R_0 -zone equal to $Z = T$, we start with $\rho_1 = r/16 = r_0/16 = D/16$ and the process will certainly define two successive points z_2, z_4 with distance $\geq \rho_1$; since $w = 0$ and $2^{2^w} = 2$ in this case, the filling process ends at the first stop, and we get $r_1 = r_Z = r_0/16$, as required by condition **3**.

Let $R \in \mathcal{T}$ have radius r , multiplicity N , weight w and center x ; let Z be a R -zone, containing a number N_Z of Z -sons and let C_Z be the family of their centers. We first assume that $r_Z = r/16$, and we apply inequality (FS) to the family of balls $B(x', r/255)$ in $\text{rco}(T)$, $x' \in C_Z$: by condition **6**, the distance between centers is $\geq \delta := r_Z/2$, and setting $\rho = r/255 = 16r_Z/255$, we have $\delta - 4\rho = r_Z/2 - 64r_Z/255 > 0$; also,

$$\bigcup_{x' \in C_Z} B(x', r/255) \cap \text{rco}(T) = \bigcup_{x' \in C_Z} W(x', \rho) \subset B(x, \theta r)$$

(the control ball for R), because $C_Z \subset R \subset B(x, r)$ and $r + r/255 = \theta r$; by (FS),

$$v(R) = \varphi(x, \theta r) \geq c(1/2 - 64/255) r_Z \sqrt{\log_2 N_Z} + \inf_{x' \in C_Z} \varphi(x', r/255).$$

If R'' is a son of a Z -son R' , its radius r'' is $\leq r/256$, by applying the condition **4a** twice. If x'' is the center of R'' , the control ball $B(x'', \theta r'')$ for R'' is contained in $B(x'', \theta r/256) = B(x'', r/255)$; since $x'' \in Z$, condition **7** implies that

$$v(R'') = \varphi(x'', \theta r'') \leq \varphi(x'', r/255) \leq \inf_{x' \in C_Z} \varphi(x', r/255) + 2^{-w} V_0$$

and thus, introducing $\kappa = c(1/2 - 64/255) > c/5$, we get

$$v(R) - v(R'') \geq \kappa r_Z \sqrt{\log_2 N_Z} - 2^{-w} V_0.$$

Assume next that $r_Z < r/16$; then $w_Z = w+1$ by **4c** and $R \neq R_0$ by **3**, hence $w > w_0 = 0$; now $\log_2 N_Z \leq 2^{w_Z-1} = 4 \cdot 2^{w-2} \leq 4 \log_2 N$ (by definition of a weight $w > 0$), and

$$(SP) \quad r_Z \sqrt{\log_2 N_Z} < (r/16) (2 \sqrt{\log_2 N}) = 2^{-3} r \sqrt{\log_2 N}.$$

Thus, in all cases we have between a node R and a son R'' of a Z -son of R

$$v(R) - v(R'') + 2^{-3} \kappa r \sqrt{\log_2 N} + 2^{-w} V_0 \geq \kappa r_Z \sqrt{\log_2 N_Z}.$$

Given $t \in T$, we define $(R_j)_{j \geq 0} \subset \mathcal{T}$ as follows: R_0 is the root, R_{j+1} is a son of R_j containing t ; let r_j be the radius, w_j the weight and N_j the multiplicity of R_j . We have just seen that

$$v(R_j) - v(R_{j+2}) + 2^{-3} \kappa r_j \sqrt{\log_2 N_j} + 2^{-w_j} V_0 \geq \kappa r_{j+1} \sqrt{\log_2 N_{j+1}}$$

for every $j \geq 0$. Summing separately on even and odd integers, using $r_0 \sqrt{\log_2 N_0} = 0$ (because $N_0 = 1$) and the fact that the weights (w_j) are distinct integers ≥ 0 , we get

$$2V_0 + 2^{-3} \kappa \sum_{j>0} r_j \sqrt{\log_2 N_j} + 2V_0 \geq \kappa \sum_{j>0} r_j \sqrt{\log_2 N_j}$$

(notice that $v(R_1) \leq v(R_0) = V_0$) and thus, using again the definition of weights (**a**)

$$(M) \quad 5V_0 \geq 32V_0/7 \geq \kappa \sum_{j>0} r_j \sqrt{\log_2 N_j} \geq 2^{-1} \kappa \sum_{j>0} r_j 2^{w_j/2}.$$

bounding $\gamma_2(T)$

Let $T_0 = \{x_0\}$ and for $k > 0$, let $T_k \subset T$ be the set of all centers of nodes in \mathcal{T} with weight $< k$. Let us check the cardinality condition of **(c)**; we see that $T_1 = T_0$, hence $|T_1| = 1$. For $k > 0$, every element of $T_{k+1} \setminus T_k$ has weight k , a father R with weight $j < k$ and center $x \in T_k$; we cut R into homogeneous zones, whose number is $< 2^{2^j} \leq 2^{2^{k-1}}$ by **5**. Next, every R -zone Z with weight k was cut into at most $2^{2^{k-1}}$ pieces; thus, every element x in T_k generates at most $2^{2^{k-1} + 2^{k-1}}$ elements of T_{k+1} (including x itself), and we get $|T_{k+1}| \leq 2^{2^k} |T_k|$. By induction, $|T_k| < 2^{2^k}$ for every $k > 0$, and $|T_0| < 2^{2^0}$ also.

We go on **(r)**, with the same $t \in T$ and family $(R_j)_{j \geq 0}$ as before. We want to bound

$$\gamma(t) := \sum_{p \geq 0} 2^{p/2} d(t, T_p).$$

We have $D = r_0 = 16 r_1$ by **3**, hence for $p = 0$,

$$d(t, T_0) = d(t, x_0) \leq D = r_0 < 16 r_1 2^{w_1/2}.$$

Let j be ≥ 0 , let x_j be the center of R_j and suppose that $p > w_j$; then $x_j \in T_p$ and

$$d(t, T_p) \leq d(t, x_j) < r_j$$

since $t \in R_j$ and since $R_j \subset B(x_j, r_j)$ by **1**. It follows that

$$(G) \quad s_j := \sum_{w_j < p \leq w_{j+1}} 2^{p/2} d(t, T_p) \leq r_j \sum_{w_j < p \leq w_{j+1}} 2^{p/2} < 4 r_j 2^{w_{j+1}/2}$$

because $\sum_{i \geq 0} 2^{-i/2} = 2 + \sqrt{2} < 4$. If $w_{j+1} - w_j > 1$, we know that $r_j = 16 r_{j+1}$ by **4c** and in the opposite case, we have $w_{j+1} = w_j + 1$ by **4b**, giving always

$$r_j 2^{w_{j+1}/2} \leq 16 r_{j+1} 2^{w_{j+1}/2} + \sqrt{2} r_j 2^{w_j/2}.$$

Summing in $j \geq 0$ we get

$$\sum_{j \geq 0} s_j = \sum_{p \geq 1} 2^{p/2} d(t, T_p) < 4 \left(16 \sum_{j \geq 0} r_{j+1} 2^{w_{j+1}/2} + 2 \sum_{j > 0} r_j 2^{w_j/2} + 2 r_0 \right).$$

We obtain a control for $\gamma(t) = d(t, T_0) + \sum_{p \geq 1} 2^{p/2} d(t, T_p)$,

$$\gamma(t) \leq 16 r_1 2^{w_1/2} + 72 \sum_{j > 0} r_j 2^{w_j/2} + 8 r_0 < 216 \sum_{j > 0} r_j 2^{w_j/2},$$

since $r_0 < 16 r_1 2^{w_1/2}$. Finally, using inequality **(M)** we have **(s)**

$$\gamma_2(T) \leq 2160 \kappa^{-1} V_0 \leq 10800 c^{-1} V_0 \leq 32400 V_0.$$

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- (a) M. Talagrand, *The generic chaining*, Springer Verlag, 2005
 —————, *Majorizing measures without measures*, *Annals of Probability* **29** (2001), 411–417
 —————, *Majorizing measures: the generic chaining*, *Annals of Probability* **24** (1996), 1049–1103
 —————, *A simple proof of the majorizing measure theorem*, *Geometric and Functional Analysis* **2** (1992), 119–125
 —————, *Regularity of Gaussian processes*, *Acta Math.* **159** (1987), 99–149.

(b) X. Fernique, *Régularité des trajectoires des fonctions aléatoires gaussiennes*, *Lecture Notes in Math.* **480** (1975), 1–96; see chapter 8 (and chapter 7).

(c) The value $\gamma_2(T)$ is the infimum of

$$\sup_{t \in T} \left(\sum_{p \geq 0} 2^{p/2} d(t, T_p) \right)$$

over all sequences $(T_p)_{p \geq 0}$ of finite subsets of T such that $|T_p| \leq 2^{2^p}$ for all $p \geq 0$.

(d) It is usual, when dealing with a process that has a continuous parameter set T , to restrict first to a dense countable subset and prove some sort of continuity, before being able to define reasonable *trajectories* $t \in T \rightarrow X_t(\omega)$ for almost every $\omega \in \Omega$; this gives one possibility for defining $\sup_{t \in T} X_t$; another one is to use the *essential supremum*, which is also defined through countable subsets of T .

(e) Without all this identifying-blabla, we could just define $\varphi(x, r)$ directly as

$$E \left(\sup \left\{ Y : Y = \sum_i c_i X_{s_i}, s_i \in T, c_i \geq 0, \sum_i c_i = 1, c_i \in \mathbb{Q}, \|Y - X_x\|_2 < r \right\} \right).$$

(f) We are going quite a bit out of our way, in order to get this continuity; it allows to define *open* subsets of T . Together with the assumption that T has no isolated point, this ensures that the tree \mathcal{T} constructed in the sequel has only infinite branches, because all non-empty open subsets of T are then infinite. We could forget about $\text{rco}(T)$, continuity and open sets, but that would force us to admit possible singleton nodes, and to provide a special treatment for them: see EZ^cGC V2.x for a development of this approach.

(g) It is known that $E \sup_{1 \leq i \leq N} g_i \geq (\ln N)^{1/2} / (\pi \ln 2)^{1/2} = \pi^{-1/2} (\log_2 N)^{1/2}$, when the (g_i) are independent standard Gaussian; see in Fernique’s book *Fonctions aléatoires gaussiennes, vecteurs aléatoires gaussiens*, Centre de Recherches Mathématiques, 1997, page 27, inequality (1.7.1); this inequality is sharp, and the given proof uses Ehrhard’s results from 1983 (*Symétrisation dans l’espace de Gauss*, *Math. Scand.* **53**, 281–301), but the weaker inequality with $c/2$ uses only classical computations. Ehrhard’s paper is freely available on the web, thanks to the Göttinger DigitalisierungsZentrum, at

<http://www-gdz.sub.uni-goettingen.de/cgi-bin/digbib.cgi?PPN35397434X>

(h) Fix a point $x_0 \in T$ and draw all (rational) segments from the points of T to this x_0 . This larger set T has no isolated point, and it gives the same $\sup X_t$.

(i) This is not strictly correct, as we give no guarantee that the same set R will not appear at two places that we *consider different* in the tree. To be more correct, we should

introduce an abstract tree, with nodes *labeled by* subsets R of T . We won't do it here; I tried in EZ^cGC V2.1 but didn't like the result.

(j) We call them homogeneous because the function $\varphi(\cdot, \rho)$ is almost constant on them, for a suitable value of ρ (condition **7**). The fact that $\varphi(\cdot, \rho)$ is constant is the crucial point in Fernique's proof; we are trying to re-create this situation, to some extent. There is a clear limit to what we can do: we could stabilize several functions $\varphi(\cdot, \rho_i)$ instead of one, as we did in EZ^cGC V1.1, but certainly only a *finite number* of functions. Somehow we are trying to foresee in **7** that the *radius* will be smaller, two or more steps later (down the tree), namely less than one quarter of the radius r_Z at the *next* step, in order to be able to apply (*FS*). When we stabilize, we of course don't know yet the next radius r_Z . If this new radius r_Z happens to be less than the smallest ρ_i in our preparation, our forecasting work will be useless, see Note (**P**) and the middle of Note (¹) about (*SP*).

(k) The weight shouldn't be an important parameter of the problem, as it is essentially equal to the iterated logarithm of the multiplicity; its relevance comes from the particular way in which $\gamma_2(T)$ is defined. We use several times the following obvious fact: when $w_Z > 0$, we have $2^{w_Z-2} < \log_2 N_Z \leq 2^{w_Z-1}$.

(¹) Fernique's proof goes from r to $r/4$, when passing to a "son". Here, following Talagrand, we use (*FS*) and we need more room; hence we shall go from r to $r' = r/16$, or even $r' < r/16$ but in this latter case we shall necessarily have $w' = w + 1$. The important case is when $r' = r/16$; the other case $r' < r/16$, $w' = w + 1$ will be treated as a small perturbation, see equation (*SP*). One can also observe that the general term in the series $\sum r_j \sqrt{\log_2 N_j}$ (that appears later in the proof) may behave like $j^{-\alpha}$, $\alpha > 1$, thus the quotient of two successive terms $r \sqrt{\log_2 N}$ may tend to 1, and in this case the weight will typically have jumps of size $8 = \log_2 256$, when passing to a son!

(m) In the stationary case, $\varphi(x, \rho) = \psi(\rho)$ does not depend upon the point $x \in T$, hence condition **7** is void; furthermore, each node R is itself homogeneous: we may have a unique R -zone Z for every R (or better, not mention zones at all), and condition **5** disappears. Finally, the value of R is a function of r alone, $v(R) = \psi(\theta r)$, r being the radius of R . However, if we specialize to the stationary case the proof that follows, we don't get the usual Fernique's proof, that does not use inequality (*FS*): using (*FS*) is due to Talagrand.

(n) We could instead replace ρ by $\lambda\rho$, for some fixed $\lambda < 1$, close to 1; that would improve condition **6** to $d(x', x'') \geq \lambda r_Z$, and would allow to replace $r/16$ in **4** by $r/8$ for example, giving a better final constant K .

(o) Here, it is possible for the number of points in C_α to be much larger than 2^{2^w} , and thus w_Z can be larger than $w + 1$, see the end of Note (¹). If we think about the sets (T_p), this means that we may skip several steps in the definition of these sets: starting from the center x of R , that belongs to T_{w+1} , we jump directly to defining points in T_{w_Z+1} , namely the points in C_α . We don't introduce points in the sets T_p between (other than the points already in T_{w+1} , namely the only point x for the whole region R): this gap will be taken into account in the equation (*G*) later, where we will group the terms $2^{p/2}d(t, T_p)$ for $w < p \leq w_Z$. All we can do there is to use the radius r of R for bounding $d(t, T_p)$ when $p \geq w + 1$, and $\sqrt{\log_2 N_Z}$ for estimating $2^{p/2}$ when $p \leq w_Z$.

(P) Let us say that a zone Z is *rich* when the filling process ends at the first stop, and *poor* otherwise. We are not going to use the homogeneity of the poor zones; actually,

we could decide to put them together to form a unique exceptional zone, that is not to be treated as the rich zones are. Also, it would be more satisfactory at the conceptual level to state condition **7** for rich zones only, but this would take more paper space.

(**q**) We use several times the following obvious fact: let N be a multiplicity and w the associated weight; if $w > 0$, we have $2^{w-2} < \log_2 N \leq 2^{w-1}$.

(**r**) From this point on, all we do is translating to the $\gamma_2(T)$ -language the information contained in the main inequality (M). This is routine matter, that can be given as (rather soft) punishment to the student you don't like.

(**s**) In this version we hope to have gained some simplicity in the argument, but we ruined the constants, compared to the preceding version EZ^cGC V1.71. In EZ^cGC V1n.x, implementing the improvement suggested in Note (**n**) and a few more, we get $K < 645$, probably still far from the optimum.

(**t**) I love silly jokes, and I don't intend to publish this "work" that doesn't contain much novelty. These lines are copied and adapted from a popular TeX-related free software. I first called my "software" EZGC, but I found out that there was already on the web a software named ezGC!

things to do

— I should stop making new versions. I should rather rebuild the whole thing completely. But thinking is much too hard, compared to rewriting...

acknowledgement

I thank all the friends who didn't read this Note and couldn't therefore make any negative comment yet.