

INVARIANCE OF CYCLIC HOMOLOGY
UNDER DERIVED EQUIVALENCE¹

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Abstract. We show that two algebras (projective over a commutative ground ring k) which are derived equivalent [23] share their cyclic homology. In particular, iterated tilting [9] [1] preserves cyclic homology. This completes results of Rickard's [23] and Happel's [7]. It also extends well known results on preservation of cyclic homology under Morita equivalence [21], [13], [22].

1. Hochschild homology and Cyclic homology

1.1 Definitions. We follow [21], [19], [20]. Let k be a commutative ring and A a k -algebra (associative with 1). The *Hochschild complex* $H(A)$ associated with A has the components

$$C_n = A^{\otimes(n+1)} \quad (\text{where } \otimes = \otimes_k)$$

in degrees $n \geq 0$ and vanishing components in degrees $n < 0$. It is endowed with the differential

$$b := \sum_{i=0}^n (-1)^i d_i,$$

where $d_i : C_n \rightarrow C_{n-1}$ is defined by

$$d_i(a_0 \otimes a_1 \otimes \dots \otimes a_n) = \begin{cases} a_0 \otimes \dots \otimes a_i a_{i+1} \otimes \dots \otimes a_n & \text{if } i < n \\ a_n a_0 \otimes \dots \otimes a_{n-1} & \text{if } i = n. \end{cases}$$

By definition, *Hochschild homology of A* is $\mathrm{HH}_n A = \mathrm{H}_n H(A)$, $n \in \mathbf{Z}$. If A is projective over k , these groups admit the intrinsic interpretation

$$\mathrm{HH}_n A \xrightarrow{\sim} \mathrm{Tor}_n^{A^e}(A, A), \quad \text{where } A^e = A \otimes A^{\mathrm{op}}.$$

To define *cyclic homology*, one introduces the operator

$$t : C_n \rightarrow C_n, \quad t(a_0 \otimes \dots \otimes a_n) = (-1)^n a_n \otimes a_1 \otimes \dots \otimes a_{n-1}.$$

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Then the differential $b : C_n \rightarrow C_{n-1}$ induces a map in the coinvariants

$$\bar{b} : C_n/(1-t) \rightarrow C_{n-1}/(1-t),$$

and, if k contains \mathbf{Q} , cyclic homology may be defined as the homology of the complex of coinvariants. If k is arbitrary, cyclic homology of A is the homology of the simple complex associated with the following double complex (the horizontal differential commutes with the vertical differential)

$$\begin{array}{ccccccc} \downarrow & & \downarrow & & \downarrow & & \downarrow \\ A^{\otimes 3} & \xleftarrow{1-t} & A^{\otimes 3} & \xleftarrow{N} & A^{\otimes 3} & \xleftarrow{1-t} & A^{\otimes 3} \xleftarrow{N} \\ b \downarrow & & b' \downarrow & & b \downarrow & & b' \downarrow \\ A^{\otimes 2} & \xleftarrow{1-t} & A^{\otimes 2} & \xleftarrow{N} & A^{\otimes 2} & \xleftarrow{1-t} & A^{\otimes 2} \xleftarrow{N} \\ b \downarrow & & b' \downarrow & & b \downarrow & & b' \downarrow \\ A & \xleftarrow{1-t} & A & \xleftarrow{N} & A & \xleftarrow{1-t} & A \xleftarrow{N} \end{array}$$

where $b' = \sum_{i=1}^{n-1} (-1)^i d_i$ and $N = 1 + t + t^2 + \dots + t^n$. *There is no intrinsic interpretation of cyclic homology analogous to that of Hochschild homology.*

Remark. As the referee pointed out, in the notations of [12], one has the formula

$$\mathrm{HC}_* M = \mathrm{Tor}_*^{\Lambda}(k, M)$$

due to C. Kassel [12] for every mixed complex M . In particular, if $M(A)$ is the mixed complex associated with an algebra A , we find a formula bearing some resemblance to the intrinsic interpretation of Hochschild homology. However, this formula only gives an intrinsic interpretation of $\mathrm{HC}_* A$ in terms of $M(A)$ and one is then lead to ask for an intrinsic interpretation of the functor assigning $M(A)$ to A .

1.2 Properties.

- 1) We have $\mathrm{HC}_0 A = \mathrm{HH}_0 A = A/[A, A]$.
- 2) Cyclic homology and Hochschild homology are linked by Connes' long exact sequence

$$\mathrm{HH}_n \xrightarrow{I} \mathrm{HC}_n \xrightarrow{S} \mathrm{HC}_{n-2} \xrightarrow{B} \mathrm{HH}_{n-1}.$$

In particular, a morphism of algebras $A \rightarrow B$ inducing an isomorphism in Hochschild homology also induces an isomorphism in cyclic homology.

- 3) Cyclic homology and Hochschild homology are Morita invariants [21], [13], [22].
- 4) If A and B are k -algebras and M is an A - B -bimodule, then we have a canonical isomorphism

$$\mathrm{HC}_* \left(\begin{array}{cc} A & M \\ 0 & B \end{array} \right) \xrightarrow{\sim} \mathrm{HC}_*(A) \oplus \mathrm{HC}_*(B)$$

and similarly for Hochschild homology [11].

1.3 Examples.

- 1) We have an isomorphism of graded k -modules $\mathrm{HC}_*(k) \xrightarrow{\sim} k[u]$, where u is of degree 2.

- 2) If Q is a directed quiver and $I \subset kQ$ an admissible ideal, then we have an isomorphism

$$\mathrm{HC}_*(kQ/I) \xrightarrow{\sim} \bigoplus_{x \in Q_0} k[u],$$

where Q_0 is the set of vertices of Q and $k[u]$ is defined as in example 1). This statement follows from property 4) by induction [3].

- 3) We have

$$\mathrm{HC}_n(k[\varepsilon]/(\varepsilon^2)) \xrightarrow{\sim} \mathrm{HC}_n(k) \oplus \bigoplus_{p=0}^n \mathrm{H}_{n-p}(\mathbf{Z}/(p+1), k).$$

- 4) More generally, C. Cibils has computed cyclic and Hochschild homology of all basic finite-dimensional algebras with vanishing radical square over a field [4].
- 5) D. Burghilea has computed cyclic homology of group algebras in terms of classifying spaces [2].

We refer to [20] and the references therein for numerous further examples.

2. Derived equivalences and cyclic homology

2.1 Derived equivalences. Let k be a commutative ring and let A and B be two k -algebras. Denote by $\mathcal{D}A$ the derived category of $\mathrm{Mod} A$, the category of (right) A -modules, and by $\mathcal{D}^b(\mathrm{Mod} A)$ the bounded derived category. Thus the objects of $\mathcal{D}A$ are all complexes of A -modules whereas the objects of $\mathcal{D}^b(\mathrm{Mod} A)$ are all bounded complexes. Denote by $\mathrm{per} A$ the full subcategory formed by complexes quasi-isomorphic to *perfect* complexes, i.e. bounded complexes of finitely generated projective A -modules. Suppose that B is flat as a k -module.

Theorem. *The following statements are equivalent*

- (i) *There is a complex of A - B -bimodules such that the total derived functor ${}^{\mathbf{L}}\otimes_A X : \mathcal{D}A \rightarrow \mathcal{D}B$ is an equivalence.*
- (ii) *There is a triangle equivalence $\mathcal{D}A \xrightarrow{\sim} \mathcal{D}B$.*
- (iii) *There is a triangle equivalence $\mathcal{D}^b(\mathrm{Mod} A) \rightarrow \mathcal{D}^b(\mathrm{Mod} B)$.*
- (iv) *There is a triangle equivalence $\mathrm{per} A \xrightarrow{\sim} \mathrm{per} B$.*
- (v) *There is a complex $T \in \mathcal{D}B$ such that*
 - a) *T lies in $\mathrm{per} B$, and*
 - b) *we have $\mathrm{Hom}_{\mathcal{D}B}(T, T) \cong A$ and $\mathrm{Hom}_{\mathcal{D}B}(T, T[n]) = 0$ for all $n \neq 0$, and*
 - c) *$\mathrm{per} B$ equals the smallest strictly full triangulated subcategory of $\mathcal{D}B$ containing T and closed under forming direct summands.*

This theorem is due to J. Rickard [23], [24] (cf. also [14], [15], [16]). If B is right coherent, then the statements of the theorem are equivalent to

- (vi) *There is a triangle equivalence $\mathcal{D}^b(\mathrm{mod} A) \rightarrow \mathcal{D}^b(\mathrm{mod} B)$,*

where $\text{mod } A$ denotes the category of finitely presented A -modules. A complex T satisfying condition v) is called a *tilting complex* (note that we only assume here that T is *quasi-isomorphic* to a perfect complex; T is not assumed to be perfect). A complex of bimodules X satisfying condition i) is called a *bimodule tilting complex*. If X is a bimodule tilting complex then its restriction to B is a tilting complex. Derived equivalences between finite-dimensional algebras arise from tilting theory [9], [1], [8]. Each tilting module in the sense of [1] may be viewed as a bimodule tilting complex.

2.2 Invariance of Hochschild homology. Let k be a commutative ring and A and B two k -algebras which are projective over k . Suppose that there is a complex of A - B -bimodules X such that the functor

$$? \otimes_A^{\mathbf{L}} X : \mathcal{D}A \rightarrow \mathcal{D}B$$

is an equivalence, i.e. X is a bimodule tilting complex. The following theorem is due to D. Happel [7] and J. Rickard [24].

Theorem. *There is an isomorphism $\text{HH}_* A \cong \text{HH}_* B$.*

Sketch of proof. It is proved in [24] that there is a B - A -bimodule tilting complex Y and isomorphisms

$$X \otimes_B^{\mathbf{L}} Y \xrightarrow{\sim} A \text{ in } \mathcal{D}(A \otimes A^{\text{op}}) \quad \text{and} \quad Y \otimes_A^{\mathbf{L}} X \xrightarrow{\sim} B \text{ in } \mathcal{D}(B \otimes B^{\text{op}}).$$

Now using [24] again we have the following chain of isomorphisms in $\mathcal{D}k$

$$A \otimes_{A^e}^{\mathbf{L}} A \xrightarrow{\sim} (X \otimes_B^{\mathbf{L}} Y) \otimes_{A^e}^{\mathbf{L}} (X \otimes_B^{\mathbf{L}} Y) \xrightarrow{\sim} (Y \otimes_A^{\mathbf{L}} X) \otimes_{B^e}^{\mathbf{L}} (Y \otimes_A^{\mathbf{L}} X) \xrightarrow{\sim} B \otimes_{B^e}^{\mathbf{L}} B.$$

The claim follows since we have

$$\text{HH}_n A \xrightarrow{\sim} \text{Tor}_n^{A^e}(A, A) \xrightarrow{\sim} \text{H}_n(A \otimes_{A^e}^{\mathbf{L}} A)$$

and similarly for B .

2.3 Invariance of Cyclic homology. Keep the hypotheses of (2.2). The absence of an intrinsic interpretation of cyclic homology makes the following theorem harder to prove than theorem (2.2).

Theorem. *There is an isomorphism $\text{HC}_* X : \text{HC}_* A \xrightarrow{\sim} \text{HC}_* B$.*

Remarks. The morphism $\text{HC}_* X$ is functorial in the following sense: Consider the full subcategory $\text{rep}(A, B)$ of the derived category of A - B -bimodules formed by the bimodule complexes X which when restricted to B become quasi-isomorphic to perfect complexes (compare with [13]). One can show [17] that each such complex X gives rise to a morphism in cyclic homology

$$\text{HC}_*(X) : \text{HC}_*(A) \rightarrow \text{HC}_*(B).$$

This morphism is functorial in the sense that if we view A as an A - A -bimodule complex, then $\text{HC}_*(A) = \mathbf{1}$ and if $Y \in \text{rep}(B, C)$ then $\text{HC}_*(X \otimes_B^{\mathbf{L}} Y) = \text{HC}_*(Y) \circ \text{HC}_*(X)$.

Moreover, we show that $\text{HC}_*(X)$ only depends on the class of X in the Grothendieck group of the triangulated category $\text{rep}(A, B)$. These Grothendieck groups are naturally viewed as the morphism spaces of a category whose objects are all algebras.

A *K-theoretic equivalence* is an isomorphism of this category. Thus, cyclic homology is invariant under *K-theoretic equivalence*. For example, a finite-dimensional algebra over an algebraically closed field is *K-theoretically equivalent* to its largest semi-simple quotient (cf. [17]). Thus, if k is an algebraically closed field, the cyclic homology of a finite-dimensional algebra A of finite global dimension only depends on the number of isomorphism classes of simple A -modules. This yields the ‘no loops conjecture’ in the algebraically closed case, which was first proved by H. Lenzing [18]. We refer to K. Igusa’s article [10] for a proof under more general hypotheses.

Proof. Consider the object $T = A \otimes_A^{\mathbf{L}} X$ of $\mathcal{D}B$. By section (2.1), it is quasi-isomorphic to a perfect complex over B . In particular, X lies in $\mathcal{D}^-(A^{\text{op}} \otimes B)$. So there is a right bounded complex of projective $A^{\text{op}} \otimes B$ -modules P and a quasi-isomorphism $P \rightarrow X$ over $A^{\text{op}} \otimes B$. Since A is projective over k , the components of P are projective over B . Thus, for any $n \in \mathbf{Z}$, the canonical map

$$\text{Hom}_{\mathcal{H}B}(P, P[n]) \rightarrow \text{Hom}_{\mathcal{D}B}(P, P[n])$$

is bijective. By the faithfulness of $?\otimes_A^{\mathbf{L}} X$, we have isomorphisms

$$\begin{aligned} 0 &= \text{Hom}_{\mathcal{H}B}(P, P[n]), \quad \text{for } n \neq 0 \\ A &\xrightarrow{\sim} \text{Hom}_{\mathcal{H}B}(P, P). \end{aligned} \tag{1}$$

Now consider the endomorphism complex $C = \mathcal{H}om_B(P, P)$. Recall that C is a complex of k -modules whose n th component is formed by homogeneous maps of degree n

$$f : \bigoplus_{p \in \mathbf{Z}} P^p \rightarrow \bigoplus_{q \in \mathbf{Z}} P^q$$

of \mathbf{Z} -graded B -modules. It is endowed with the differential defined by

$$df = d \circ f - (-1)^n f \circ d$$

where $f \in C^n$. The composition of graded maps makes C into a differential graded k -algebra in the sense of [16]. We claim that C is flat as a DG k -module, i.e. that $C \otimes N$ is acyclic for each acyclic DG k -module N . Indeed, P is homotopy equivalent to a finite complex of finitely generated projective B -modules P' . Since $\mathcal{H}om_B(?, ?)$ induces a functor

$$(\mathcal{H}B)^{\text{op}} \times (\mathcal{H}B) \rightarrow \mathcal{H}k,$$

this implies that C is homotopy equivalent to $\mathcal{H}om_B(P', P')$. In turn, $\mathcal{H}om_B(P', P')$ is obtained from $\mathcal{H}om_B(B, B) = B$ by forming shifts, mapping cones and direct summands. Since B is projective over k , we conclude that $B \otimes N$ and hence $C \otimes N$ are acyclic whenever N is acyclic.

Let us now consider the Hochschild complex $H(C)$ associated with C . It is the simple complex associated with the double complex whose n -th column is $C \otimes C^{\otimes n}$ (vanishing columns for $n < 0$) and whose horizontal differential is given by

$$\begin{aligned} d(c_0 \otimes c_1 \otimes \dots \otimes c_n) &= \sum_{i=0}^{n-1} (-1)^i c_0 \otimes \dots \otimes c_{i-1} \otimes c_i c_{i+1} \otimes c_{i+2} \otimes \dots \otimes c_n \\ &\quad + (-1)^n c_n c_0 \otimes c_1 \otimes \dots \otimes c_{n-1}. \end{aligned}$$

The column filtration of the double complex is complete and its subquotients are shifted copies of the complexes $C \otimes C^{\otimes n}$. Now consider the map

$$\lambda : A \rightarrow C = \mathcal{H}om_B(P, P)$$

given by the left action of A on the components of P . It is well known (and easy to check) that we have canonical isomorphisms

$$\mathcal{H}om_{\mathcal{H}B}(P, P[n]) \xrightarrow{\sim} H^n C.$$

The formulae (1) therefore imply that λ is a quasi-isomorphism. Since $A \otimes ?$ and $C \otimes ?$ both preserve acyclicity, it follows that λ induces quasi-isomorphisms in all tensor powers $A \otimes A^{\otimes n} \rightarrow C \otimes C^{\otimes n}$ and hence a quasi-isomorphism between the Hochschild complexes associated with A and C . Now the cyclic complexes admit complete filtrations whose subquotients are homotopy equivalent to shifted copies of the Hochschild complexes. Thus λ also induces isomorphisms in the cyclic complexes.

We will now compare C to B . Consider the morphisms of DG algebras

$$\mathcal{H}om_B(P, P) \xrightarrow{\alpha} \mathcal{H}om_B(P \oplus Q, P \oplus Q) \xleftarrow{\beta} \mathcal{H}om_B(B, B) = B$$

given by

$$\alpha(f) = \begin{bmatrix} 0 & 0 \\ 0 & f \end{bmatrix}, \quad \beta(b) = \begin{bmatrix} b & 0 \\ 0 & 0 \end{bmatrix}.$$

We will show that α and β induce isomorphisms in Hochschild homology (and hence in cyclic homology). Now as a complex of B -modules, P is homotopy equivalent to a tilting complex. So B and P may be obtained from one another by forming shifts, mapping cones and direct summands. Our claim is therefore a consequence of the following

Lemma. *Let P and Q be complexes of B -modules which are homotopy equivalent to perfect complexes. Suppose that Q may be obtained from P by forming shifts, mapping cones and direct summands. Then the canonical map*

$$\mathcal{H}om_B(P, P) \rightarrow \mathcal{H}om_B(P \oplus Q, P \oplus Q), \quad f \mapsto \begin{bmatrix} f & 0 \\ 0 & 0 \end{bmatrix}$$

induces isomorphisms in Hochschild homology and cyclic homology.

Proof. Put $C = \mathcal{H}om_B(P, P)$ and $D = \mathcal{H}om_B(P \oplus Q, P \oplus Q)$ and let

$$e = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \in D.$$

The Hochschild resolution M associated with D is the total complex associated with the double complex whose n -th column is $D \otimes D^{\otimes n} \otimes D$ (vanishing columns for $n < 0$) and whose horizontal differential is given by

$$d(x_0 \otimes x_1 \otimes \dots \otimes x_{n+1}) = \sum_{i=0}^n (-1)^i x_0 \otimes \dots \otimes x_{i-1} \otimes x_i x_{i+1} \otimes x_{i+2} \otimes \dots \otimes x_{n+1}.$$

We view it as a differential graded D - D -bimodule, respectively as a DG module over $D^e = D \otimes D^{\text{op}}$, in the sense of [16]. It is easy to check that $M \otimes_{D^e} D$ is isomorphic to the Hochschild complex associated with D . Consider the DG submodule $M' \subset M$ given by the total complex of the double complex whose n -th column is $D e \otimes C^{\otimes n} \otimes e D$ (vanishing columns for $n < 0$). Clearly M' is a D^e -submodule of M . It is easy to check that $M' \otimes_{D^e} D$ is isomorphic to the Hochschild complex $H(C)$ and that the inclusion $M' \subset M$ induces the same morphism $H(C) \rightarrow H(D)$ as the map

$C \rightarrow D$. To prove that $H(C) \rightarrow H(D)$ is an homotopy equivalence, we will prove that $M' \subset M$ is an homotopy equivalence of differential graded D^e -modules. Now both, M' and M are filtered by the column filtration with subquotients of the form $D \otimes L \otimes D$, where L is homotopy equivalent to a complex of projective k -modules. Moreover, the column filtrations split when considered as filtrations of graded D^e -modules. Thus M and M' have property (P) as D^e -modules in the sense of [16]. To prove that the inclusion $M' \subset M$ is an homotopy equivalence, it is therefore enough to prove that it is a quasi-isomorphism. Since the augmentation $\varepsilon : M \rightarrow D$ is a quasi-isomorphism (indeed, it is a homotopy equivalence of DG right D -modules), it is enough to show that the restriction of ε to M' induces a quasi-isomorphism $M' \rightarrow D$. For this we introduce the complex $R(X, Y)$, where X and Y are arbitrary complexes of B -modules. The complex $R(X, Y)$ is the total complex of the double complex whose n -th column is

$$\mathcal{H}om_B(P, Y) \otimes C^{\otimes n} \otimes \mathcal{H}om_B(X, P)$$

for $n \geq 0$ and whose column of index -1 is $\mathcal{H}om_B(X, Y)$. The other columns vanish. The differential is defined in analogy with that of the Hochschild resolution in degrees > 0 and via the augmentation in degree 0. Then $R(P \oplus Q, P \oplus Q)$ identifies with the mapping cone over $\varepsilon : M' \rightarrow D$ and we have to prove that $R(P \oplus Q, P \oplus Q)$ is acyclic. On the other hand, $R(P, P)$ is null-homotopic (it is the mapping cone over the augmentation of the Hochschild resolution for C). Now if we view R as a triangle functor

$$(\mathcal{H}B)^{\text{op}} \times (\mathcal{H}B) \rightarrow \mathcal{H}k,$$

then this means that (P, P) is in the kernel of R . By the hypothesis on Q , we see that the objects $(P, P \oplus Q)$ and $(P \oplus Q, P \oplus Q)$ belong to the kernel as well. This means that $R(P \oplus Q, P \oplus Q)$ is null-homotopic (as a DG k -module) and hence acyclic.

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