

INVARIANCE OF CYCLIC HOMOLOGY  
UNDER DERIVED EQUIVALENCE<sup>1</sup>

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**Abstract.** We show that two algebras (projective over a commutative ground ring  $k$ ) which are derived equivalent [23] share their cyclic homology. In particular, iterated tilting [9] [1] preserves cyclic homology. This completes results of Rickard's [23] and Happel's [7]. It also extends well known results on preservation of cyclic homology under Morita equivalence [21], [13], [22].

**1. Hochschild homology and Cyclic homology**

**1.1 Definitions.** We follow [21], [19], [20]. Let  $k$  be a commutative ring and  $A$  a  $k$ -algebra (associative with 1). The *Hochschild complex*  $H(A)$  associated with  $A$  has the components

$$C_n = A^{\otimes(n+1)} \quad (\text{where } \otimes = \otimes_k)$$

in degrees  $n \geq 0$  and vanishing components in degrees  $n < 0$ . It is endowed with the differential

$$b := \sum_{i=0}^n (-1)^i d_i,$$

where  $d_i : C_n \rightarrow C_{n-1}$  is defined by

$$d_i(a_0 \otimes a_1 \otimes \dots \otimes a_n) = \begin{cases} a_0 \otimes \dots \otimes a_i a_{i+1} \otimes \dots \otimes a_n & \text{if } i < n \\ a_n a_0 \otimes \dots \otimes a_{n-1} & \text{if } i = n. \end{cases}$$

By definition, *Hochschild homology of  $A$*  is  $\mathrm{HH}_n A = \mathrm{H}_n H(A)$ ,  $n \in \mathbf{Z}$ . If  $A$  is projective over  $k$ , these groups admit the intrinsic interpretation

$$\mathrm{HH}_n A \xrightarrow{\sim} \mathrm{Tor}_n^{A^e}(A, A), \quad \text{where } A^e = A \otimes A^{\mathrm{op}}.$$

To define *cyclic homology*, one introduces the operator

$$t : C_n \rightarrow C_n, \quad t(a_0 \otimes \dots \otimes a_n) = (-1)^n a_n \otimes a_1 \otimes \dots \otimes a_{n-1}.$$

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Then the differential  $b : C_n \rightarrow C_{n-1}$  induces a map in the coinvariants

$$\bar{b} : C_n/(1-t) \rightarrow C_{n-1}/(1-t),$$

and, if  $k$  contains  $\mathbf{Q}$ , cyclic homology may be defined as the homology of the complex of coinvariants. If  $k$  is arbitrary, cyclic homology of  $A$  is the homology of the simple complex associated with the following double complex (the horizontal differential commutes with the vertical differential)

$$\begin{array}{ccccccc} \downarrow & & \downarrow & & \downarrow & & \downarrow \\ A^{\otimes 3} & \xleftarrow{1-t} & A^{\otimes 3} & \xleftarrow{N} & A^{\otimes 3} & \xleftarrow{1-t} & A^{\otimes 3} & \xleftarrow{N} \\ b \downarrow & & b' \downarrow & & b \downarrow & & b' \downarrow & \\ A^{\otimes 2} & \xleftarrow{1-t} & A^{\otimes 2} & \xleftarrow{N} & A^{\otimes 2} & \xleftarrow{1-t} & A^{\otimes 2} & \xleftarrow{N} \\ b \downarrow & & b' \downarrow & & b \downarrow & & b' \downarrow & \\ A & \xleftarrow{1-t} & A & \xleftarrow{N} & A & \xleftarrow{1-t} & A & \xleftarrow{N} \end{array}$$

where  $b' = \sum_{i=1}^{n-1} (-1)^i d_i$  and  $N = 1 + t + t^2 + \dots + t^n$ . *There is no intrinsic interpretation of cyclic homology analogous to that of Hochschild homology.*

**Remark.** As the referee pointed out, in the notations of [12], one has the formula

$$\mathrm{HC}_* M = \mathrm{Tor}_*^{\Lambda}(k, M)$$

due to C. Kassel [12] for every mixed complex  $M$ . In particular, if  $M(A)$  is the mixed complex associated with an algebra  $A$ , we find a formula bearing some resemblance to the intrinsic interpretation of Hochschild homology. However, this formula only gives an intrinsic interpretation of  $\mathrm{HC}_* A$  in terms of  $M(A)$  and one is then lead to ask for an intrinsic interpretation of the functor assigning  $M(A)$  to  $A$ .

### 1.2 Properties.

- 1) We have  $\mathrm{HC}_0 A = \mathrm{HH}_0 A = A/[A, A]$ .
- 2) Cyclic homology and Hochschild homology are linked by Connes' long exact sequence

$$\mathrm{HH}_n \xrightarrow{I} \mathrm{HC}_n \xrightarrow{S} \mathrm{HC}_{n-2} \xrightarrow{B} \mathrm{HH}_{n-1}.$$

In particular, a morphism of algebras  $A \rightarrow B$  inducing an isomorphism in Hochschild homology also induces an isomorphism in cyclic homology.

- 3) Cyclic homology and Hochschild homology are Morita invariants [21], [13], [22].
- 4) If  $A$  and  $B$  are  $k$ -algebras and  $M$  is an  $A$ - $B$ -bimodule, then we have a canonical isomorphism

$$\mathrm{HC}_* \left( \begin{array}{cc} A & M \\ 0 & B \end{array} \right) \xrightarrow{\sim} \mathrm{HC}_*(A) \oplus \mathrm{HC}_*(B)$$

and similarly for Hochschild homology [11].

### 1.3 Examples.

- 1) We have an isomorphism of graded  $k$ -modules  $\mathrm{HC}_*(k) \xrightarrow{\sim} k[u]$ , where  $u$  is of degree 2.

- 2) If  $Q$  is a directed quiver and  $I \subset kQ$  an admissible ideal, then we have an isomorphism

$$\mathrm{HC}_*(kQ/I) \xrightarrow{\sim} \bigoplus_{x \in Q_0} k[u],$$

where  $Q_0$  is the set of vertices of  $Q$  and  $k[u]$  is defined as in example 1). This statement follows from property 4) by induction [3].

- 3) We have

$$\mathrm{HC}_n(k[\varepsilon]/(\varepsilon^2)) \xrightarrow{\sim} \mathrm{HC}_n(k) \oplus \bigoplus_{p=0}^n \mathrm{H}_{n-p}(\mathbf{Z}/(p+1), k).$$

- 4) More generally, C. Cibils has computed cyclic and Hochschild homology of all basic finite-dimensional algebras with vanishing radical square over a field [4].
- 5) D. Burghlea has computed cyclic homology of group algebras in terms of classifying spaces [2].

We refer to [20] and the references therein for numerous further examples.

## 2. Derived equivalences and cyclic homology

**2.1 Derived equivalences.** Let  $k$  be a commutative ring and let  $A$  and  $B$  be two  $k$ -algebras. Denote by  $\mathcal{D}A$  the derived category of  $\mathrm{Mod} A$ , the category of (right)  $A$ -modules, and by  $\mathcal{D}^b(\mathrm{Mod} A)$  the bounded derived category. Thus the objects of  $\mathcal{D}A$  are all complexes of  $A$ -modules whereas the objects of  $\mathcal{D}^b(\mathrm{Mod} A)$  are all bounded complexes. Denote by  $\mathrm{per} A$  the full subcategory formed by complexes quasi-isomorphic to *perfect* complexes, i.e. bounded complexes of finitely generated projective  $A$ -modules. Suppose that  $B$  is flat as a  $k$ -module.

**Theorem.** *The following statements are equivalent*

- (i) *There is a complex of  $A$ - $B$ -bimodules such that the total derived functor  ${}^{\mathbf{L}}\otimes_A X : \mathcal{D}A \rightarrow \mathcal{D}B$  is an equivalence.*
- (ii) *There is a triangle equivalence  $\mathcal{D}A \xrightarrow{\sim} \mathcal{D}B$ .*
- (iii) *There is a triangle equivalence  $\mathcal{D}^b(\mathrm{Mod} A) \rightarrow \mathcal{D}^b(\mathrm{Mod} B)$ .*
- (iv) *There is a triangle equivalence  $\mathrm{per} A \xrightarrow{\sim} \mathrm{per} B$ .*
- (v) *There is a complex  $T \in \mathcal{D}B$  such that*
  - a)  *$T$  lies in  $\mathrm{per} B$ , and*
  - b) *we have  $\mathrm{Hom}_{\mathcal{D}B}(T, T) \cong A$  and  $\mathrm{Hom}_{\mathcal{D}B}(T, T[n]) = 0$  for all  $n \neq 0$ , and*
  - c)  *$\mathrm{per} B$  equals the smallest strictly full triangulated subcategory of  $\mathcal{D}B$  containing  $T$  and closed under forming direct summands.*

This theorem is due to J. Rickard [23], [24] (cf. also [14], [15], [16]). If  $B$  is right coherent, then the statements of the theorem are equivalent to

- (vi) *There is a triangle equivalence  $\mathcal{D}^b(\mathrm{mod} A) \rightarrow \mathcal{D}^b(\mathrm{mod} B)$ ,*

where  $\text{mod } A$  denotes the category of finitely presented  $A$ -modules. A complex  $T$  satisfying condition  $v$ ) is called a *tilting complex* (note that we only assume here that  $T$  is *quasi-isomorphic* to a perfect complex;  $T$  is not assumed to be perfect). A complex of bimodules  $X$  satisfying condition  $i$ ) is called a *bimodule tilting complex*. If  $X$  is a bimodule tilting complex then its restriction to  $B$  is a tilting complex. Derived equivalences between finite-dimensional algebras arise from tilting theory [9], [1], [8]. Each tilting module in the sense of [1] may be viewed as a bimodule tilting complex.

**2.2 Invariance of Hochschild homology.** Let  $k$  be a commutative ring and  $A$  and  $B$  two  $k$ -algebras which are projective over  $k$ . Suppose that there is a complex of  $A$ - $B$ -bimodules  $X$  such that the functor

$$? \otimes_A^{\mathbf{L}} X : \mathcal{D}A \rightarrow \mathcal{D}B$$

is an equivalence, i.e.  $X$  is a bimodule tilting complex. The following theorem is due to D. Happel [7] and J. Rickard [24].

**Theorem.** *There is an isomorphism  $\text{HH}_* A \cong \text{HH}_* B$ .*

**Sketch of proof.** It is proved in [24] that there is a  $B$ - $A$ -bimodule tilting complex  $Y$  and isomorphisms

$$X \otimes_B^{\mathbf{L}} Y \xrightarrow{\sim} A \text{ in } \mathcal{D}(A \otimes A^{\text{op}}) \quad \text{and} \quad Y \otimes_A^{\mathbf{L}} X \xrightarrow{\sim} B \text{ in } \mathcal{D}(B \otimes B^{\text{op}}).$$

Now using [24] again we have the following chain of isomorphisms in  $\mathcal{D}k$

$$A \otimes_{A^e}^{\mathbf{L}} A \xrightarrow{\sim} (X \otimes_B^{\mathbf{L}} Y) \otimes_{A^e}^{\mathbf{L}} (X \otimes_B^{\mathbf{L}} Y) \xrightarrow{\sim} (Y \otimes_A^{\mathbf{L}} X) \otimes_{B^e}^{\mathbf{L}} (Y \otimes_A^{\mathbf{L}} X) \xrightarrow{\sim} B \otimes_{B^e}^{\mathbf{L}} B.$$

The claim follows since we have

$$\text{HH}_n A \xrightarrow{\sim} \text{Tor}_n^{A^e}(A, A) \xrightarrow{\sim} \text{H}_n(A \otimes_{A^e}^{\mathbf{L}} A)$$

and similarly for  $B$ .

**2.3 Invariance of Cyclic homology.** Keep the hypotheses of (2.2). The absence of an intrinsic interpretation of cyclic homology makes the following theorem harder to prove than theorem (2.2).

**Theorem.** *There is an isomorphism  $\text{HC}_* X : \text{HC}_* A \xrightarrow{\sim} \text{HC}_* B$ .*

**Remarks.** The morphism  $\text{HC}_* X$  is functorial in the following sense: Consider the full subcategory  $\text{rep}(A, B)$  of the derived category of  $A$ - $B$ -bimodules formed by the bimodule complexes  $X$  which when restricted to  $B$  become quasi-isomorphic to perfect complexes (compare with [13]). One can show [17] that each such complex  $X$  gives rise to a morphism in cyclic homology

$$\text{HC}_*(X) : \text{HC}_*(A) \rightarrow \text{HC}_*(B).$$

This morphism is functorial in the sense that if we view  $A$  as an  $A$ - $A$ -bimodule complex, then  $\text{HC}_*(A) = \mathbf{1}$  and if  $Y \in \text{rep}(B, C)$  then  $\text{HC}_*(X \otimes_B^{\mathbf{L}} Y) = \text{HC}_*(Y) \circ \text{HC}_*(X)$ .

Moreover, we show that  $\text{HC}_*(X)$  only depends on the class of  $X$  in the Grothendieck group of the triangulated category  $\text{rep}(A, B)$ . These Grothendieck groups are naturally viewed as the morphism spaces of a category whose objects are all algebras.

A *K-theoretic equivalence* is an isomorphism of this category. Thus, cyclic homology is invariant under *K-theoretic equivalence*. For example, a finite-dimensional algebra over an algebraically closed field is *K-theoretically equivalent* to its largest semi-simple quotient (cf. [17]). Thus, if  $k$  is an algebraically closed field, the cyclic homology of a finite-dimensional algebra  $A$  of finite global dimension only depends on the number of isomorphism classes of simple  $A$ -modules. This yields the ‘no loops conjecture’ in the algebraically closed case, which was first proved by H. Lenzing [18]. We refer to K. Igusa’s article [10] for a proof under more general hypotheses.

**Proof.** Consider the object  $T = A \otimes_A^{\mathbf{L}} X$  of  $\mathcal{D}B$ . By section (2.1), it is quasi-isomorphic to a perfect complex over  $B$ . In particular,  $X$  lies in  $\mathcal{D}^-(A^{\text{op}} \otimes B)$ . So there is a right bounded complex of projective  $A^{\text{op}} \otimes B$ -modules  $P$  and a quasi-isomorphism  $P \rightarrow X$  over  $A^{\text{op}} \otimes B$ . Since  $A$  is projective over  $k$ , the components of  $P$  are projective over  $B$ . Thus, for any  $n \in \mathbf{Z}$ , the canonical map

$$\text{Hom}_{\mathcal{H}B}(P, P[n]) \rightarrow \text{Hom}_{\mathcal{D}B}(P, P[n])$$

is bijective. By the faithfulness of  $?\otimes_A^{\mathbf{L}} X$ , we have isomorphisms

$$\begin{aligned} 0 &= \text{Hom}_{\mathcal{H}B}(P, P[n]), \quad \text{for } n \neq 0 \\ A &\xrightarrow{\sim} \text{Hom}_{\mathcal{H}B}(P, P). \end{aligned} \tag{1}$$

Now consider the endomorphism complex  $C = \mathcal{H}om_B(P, P)$ . Recall that  $C$  is a complex of  $k$ -modules whose  $n$ th component is formed by homogeneous maps of degree  $n$

$$f : \bigoplus_{p \in \mathbf{Z}} P^p \rightarrow \bigoplus_{q \in \mathbf{Z}} P^q$$

of  $\mathbf{Z}$ -graded  $B$ -modules. It is endowed with the differential defined by

$$df = d \circ f - (-1)^n f \circ d$$

where  $f \in C^n$ . The composition of graded maps makes  $C$  into a differential graded  $k$ -algebra in the sense of [16]. We claim that  $C$  is flat as a DG  $k$ -module, i.e. that  $C \otimes N$  is acyclic for each acyclic DG  $k$ -module  $N$ . Indeed,  $P$  is homotopy equivalent to a finite complex of finitely generated projective  $B$ -modules  $P'$ . Since  $\mathcal{H}om_B(?, ?)$  induces a functor

$$(\mathcal{H}B)^{\text{op}} \times (\mathcal{H}B) \rightarrow \mathcal{H}k,$$

this implies that  $C$  is homotopy equivalent to  $\mathcal{H}om_B(P', P')$ . In turn,  $\mathcal{H}om_B(P', P')$  is obtained from  $\mathcal{H}om_B(B, B) = B$  by forming shifts, mapping cones and direct summands. Since  $B$  is projective over  $k$ , we conclude that  $B \otimes N$  and hence  $C \otimes N$  are acyclic whenever  $N$  is acyclic.

Let us now consider the Hochschild complex  $H(C)$  associated with  $C$ . It is the simple complex associated with the double complex whose  $n$ -th column is  $C \otimes C^{\otimes n}$  (vanishing columns for  $n < 0$ ) and whose horizontal differential is given by

$$\begin{aligned} d(c_0 \otimes c_1 \otimes \dots \otimes c_n) &= \sum_{i=0}^{n-1} (-1)^i c_0 \otimes \dots \otimes c_{i-1} \otimes c_i c_{i+1} \otimes c_{i+2} \otimes \dots \otimes c_n \\ &\quad + (-1)^n c_n c_0 \otimes c_1 \otimes \dots \otimes c_{n-1}. \end{aligned}$$

The column filtration of the double complex is complete and its subquotients are shifted copies of the complexes  $C \otimes C^{\otimes n}$ . Now consider the map

$$\lambda : A \rightarrow C = \mathcal{H}om_B(P, P)$$

given by the left action of  $A$  on the components of  $P$ . It is well known (and easy to check) that we have canonical isomorphisms

$$\mathcal{H}om_{\mathcal{H}B}(P, P[n]) \xrightarrow{\sim} H^n C.$$

The formulae (1) therefore imply that  $\lambda$  is a quasi-isomorphism. Since  $A \otimes ?$  and  $C \otimes ?$  both preserve acyclicity, it follows that  $\lambda$  induces quasi-isomorphisms in all tensor powers  $A \otimes A^{\otimes n} \rightarrow C \otimes C^{\otimes n}$  and hence a quasi-isomorphism between the Hochschild complexes associated with  $A$  and  $C$ . Now the cyclic complexes admit complete filtrations whose subquotients are homotopy equivalent to shifted copies of the Hochschild complexes. Thus  $\lambda$  also induces isomorphisms in the cyclic complexes.

We will now compare  $C$  to  $B$ . Consider the morphisms of DG algebras

$$\mathcal{H}om_B(P, P) \xrightarrow{\alpha} \mathcal{H}om_B(P \oplus Q, P \oplus Q) \xleftarrow{\beta} \mathcal{H}om_B(B, B) = B$$

given by

$$\alpha(f) = \begin{bmatrix} 0 & 0 \\ 0 & f \end{bmatrix}, \quad \beta(b) = \begin{bmatrix} b & 0 \\ 0 & 0 \end{bmatrix}.$$

We will show that  $\alpha$  and  $\beta$  induce isomorphisms in Hochschild homology (and hence in cyclic homology). Now as a complex of  $B$ -modules,  $P$  is homotopy equivalent to a tilting complex. So  $B$  and  $P$  may be obtained from one another by forming shifts, mapping cones and direct summands. Our claim is therefore a consequence of the following

**Lemma.** *Let  $P$  and  $Q$  be complexes of  $B$ -modules which are homotopy equivalent to perfect complexes. Suppose that  $Q$  may be obtained from  $P$  by forming shifts, mapping cones and direct summands. Then the canonical map*

$$\mathcal{H}om_B(P, P) \rightarrow \mathcal{H}om_B(P \oplus Q, P \oplus Q), \quad f \mapsto \begin{bmatrix} f & 0 \\ 0 & 0 \end{bmatrix}$$

*induces isomorphisms in Hochschild homology and cyclic homology.*

**Proof.** Put  $C = \mathcal{H}om_B(P, P)$  and  $D = \mathcal{H}om_B(P \oplus Q, P \oplus Q)$  and let

$$e = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \in D.$$

The Hochschild resolution  $M$  associated with  $D$  is the total complex associated with the double complex whose  $n$ -th column is  $D \otimes D^{\otimes n} \otimes D$  (vanishing columns for  $n < 0$ ) and whose horizontal differential is given by

$$d(x_0 \otimes x_1 \otimes \dots \otimes x_{n+1}) = \sum_{i=0}^n (-1)^i x_0 \otimes \dots \otimes x_{i-1} \otimes x_i x_{i+1} \otimes x_{i+2} \otimes \dots \otimes x_{n+1}.$$

We view it as a differential graded  $D$ - $D$ -bimodule, respectively as a DG module over  $D^e = D \otimes D^{\text{op}}$ , in the sense of [16]. It is easy to check that  $M \otimes_{D^e} D$  is isomorphic to the Hochschild complex associated with  $D$ . Consider the DG submodule  $M' \subset M$  given by the total complex of the double complex whose  $n$ -th column is  $D e \otimes C^{\otimes n} \otimes e D$  (vanishing columns for  $n < 0$ ). Clearly  $M'$  is a  $D^e$ -submodule of  $M$ . It is easy to check that  $M' \otimes_{D^e} D$  is isomorphic to the Hochschild complex  $H(C)$  and that the inclusion  $M' \subset M$  induces the same morphism  $H(C) \rightarrow H(D)$  as the map

$C \rightarrow D$ . To prove that  $H(C) \rightarrow H(D)$  is an homotopy equivalence, we will prove that  $M' \subset M$  is an homotopy equivalence of differential graded  $D^e$ -modules. Now both,  $M'$  and  $M$  are filtered by the column filtration with subquotients of the form  $D \otimes L \otimes D$ , where  $L$  is homotopy equivalent to a complex of projective  $k$ -modules. Moreover, the column filtrations split when considered as filtrations of graded  $D^e$ -modules. Thus  $M$  and  $M'$  have property (P) as  $D^e$ -modules in the sense of [16]. To prove that the inclusion  $M' \subset M$  is an homotopy equivalence, it is therefore enough to prove that it is a quasi-isomorphism. Since the augmentation  $\varepsilon : M \rightarrow D$  is a quasi-isomorphism (indeed, it is a homotopy equivalence of DG right  $D$ -modules), it is enough to show that the restriction of  $\varepsilon$  to  $M'$  induces a quasi-isomorphism  $M' \rightarrow D$ . For this we introduce the complex  $R(X, Y)$ , where  $X$  and  $Y$  are arbitrary complexes of  $B$ -modules. The complex  $R(X, Y)$  is the total complex of the double complex whose  $n$ -th column is

$$\mathcal{H}om_B(P, Y) \otimes C^{\otimes n} \otimes \mathcal{H}om_B(X, P)$$

for  $n \geq 0$  and whose column of index  $-1$  is  $\mathcal{H}om_B(X, Y)$ . The other columns vanish. The differential is defined in analogy with that of the Hochschild resolution in degrees  $> 0$  and via the augmentation in degree 0. Then  $R(P \oplus Q, P \oplus Q)$  identifies with the mapping cone over  $\varepsilon : M' \rightarrow D$  and we have to prove that  $R(P \oplus Q, P \oplus Q)$  is acyclic. On the other hand,  $R(P, P)$  is null-homotopic (it is the mapping cone over the augmentation of the Hochschild resolution for  $C$ ). Now if we view  $R$  as a triangle functor

$$(\mathcal{H}B)^{\text{op}} \times (\mathcal{H}B) \rightarrow \mathcal{H}k,$$

then this means that  $(P, P)$  is in the kernel of  $R$ . By the hypothesis on  $Q$ , we see that the objects  $(P, P \oplus Q)$  and  $(P \oplus Q, P \oplus Q)$  belong to the kernel as well. This means that  $R(P \oplus Q, P \oplus Q)$  is null-homotopic (as a DG  $k$ -module) and hence acyclic.

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