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**Problem set 4:** Beltrami differentials and quasiconformal maps

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**Exercise 1 (Beltrami differentials).**

- (a) Let  $S$ ,  $X_1$  and  $X_2$  be Riemann surfaces and let

$$S \xrightarrow{f} X_1 \xrightarrow{g} X_2$$

be orientation preserving diffeomorphisms. Prove that:

$$\mu_g \circ f = \left( \frac{\partial f}{\partial z} / \overline{\left( \frac{\partial f}{\partial z} \right)} \right) \cdot \frac{\mu_{g \circ f} - \mu_f}{1 - \overline{\mu}_f \cdot \mu_{g \circ f}}.$$

Solution: We will write  $f_z := \partial f / \partial z$  and  $f_{\bar{z}} := \partial f / \partial \bar{z}$  in order to make the equations slightly shorter. The reader should however note that in general  $\overline{f_z}$  does not equal  $\overline{f_z}$  (but rather  $\overline{f_{\bar{z}}}$ ). That is, attention should be paid to where the bar ends.

We compute, using the chain rule:

$$\mu_{g \circ f} = \frac{(g \circ f)_{\bar{z}}}{(g \circ f)_z} = \frac{(g_z \circ f) \cdot f_{\bar{z}} + (g_{\bar{z}} \circ f) \cdot \overline{f_z}}{(g_z \circ f) \cdot f_z + (g_{\bar{z}} \circ f) \cdot \overline{f_z}}$$

So

$$\begin{aligned} \frac{f_z}{\overline{f_z}} \cdot \frac{\mu_{g \circ f} - \mu_f}{1 - \overline{\mu}_f \cdot \mu_{g \circ f}} &= \frac{f_z}{\overline{f_z}} \cdot \frac{(g_z \circ f) \cdot f_{\bar{z}} + (g_{\bar{z}} \circ f) \cdot \overline{f_z} - \frac{f_{\bar{z}}}{f_z} \cdot (g_z \circ f) \cdot f_z - \frac{f_{\bar{z}}}{f_z} \cdot (g_{\bar{z}} \circ f) \cdot \overline{f_z}}{(g_z \circ f) \cdot f_z + (g_{\bar{z}} \circ f) \cdot \overline{f_z} - \frac{f_{\bar{z}}}{f_z} \cdot (g_z \circ f) \cdot f_z - \frac{f_{\bar{z}}}{f_z} \cdot (g_{\bar{z}} \circ f) \cdot \overline{f_z}} \\ &= \frac{(g_{\bar{z}} \circ f) \cdot (|f_z|^2 - |f_{\bar{z}}|^2)}{(g_z \circ f) \cdot (|f_z|^2 - |f_{\bar{z}}|^2)} = \frac{(g_{\bar{z}} \circ f)}{(g_z \circ f)} = \mu_g \circ f. \end{aligned}$$

where we have used that  $\overline{f_{\bar{z}}} = \overline{f_z}$  and  $\overline{f_z} = \overline{f_{\bar{z}}}$ .

- (b) Prove the following lemma about compositions of quasiconformal maps: Suppose  $X$ ,  $Y$  and  $Z$  are Riemann surfaces and  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  are orientation preserving diffeomorphisms. Then the following holds:

- (1) We have that

$$K_f \geq 1$$

with equality if and only if  $f$  is a biholomorphism.

- (2) We have that

$$K_{g \circ f} \leq K_g \cdot K_f.$$

- (3) Finally,

$$K_{f^{-1}} = K_f.$$

*Hint for (2):* Since  $K_f(z)$  depends only on the Jacobian matrix  $J_f(z)$  of  $f$  at  $z$ , this is a linear algebra question.

Solution: Recall that

$$K_f = \sup_{z \in X} K_f(z), \quad \text{where } K_f(z) = \frac{1 + |\mu_f(z)|}{1 - |\mu_f(z)|}.$$

We have seen that  $\mu_f(z) = 0$  if and only if  $f$  is holomorphic at  $z$ . So  $K_f(z) \geq 1$  with equality if and only if  $f$  is holomorphic at  $z$ . So this proves the inequality and also that in the equality case,  $f$  is holomorphic at all  $z \in X$ . Because  $f$  is invertible (and invertible holomorphic functions have holomorphic inverses),  $f$  is biholomorphic. This proves (1).

For (2), we use the hint. Write  $A$  for the Jacobian matrix of  $f$  and  $B$  for that of  $g$ , both with respect to some holomorphic coordinates on  $X$ ,  $Y$  and  $Z$ .  $K_f$  can be computed as the ratio (major axis)/(minor axis) of the ellipse

$$\|A^{-1} \cdot z\| = 1$$

thinking of  $z$  as a real 2-dimensional vector  $\begin{pmatrix} x \\ y \end{pmatrix}$ . Using the standard inner product  $\langle \cdot, \cdot \rangle$  the equation for the ellipse is equivalent to

$$\langle (A^{-1})^t \cdot A^{-1}z, z \rangle = 1$$

wher  $(A^{-1})^t$  denotes the transpose of  $A^{-1}$ . The matrix  $(A^{-1})^t \cdot A^{-1}$  is positive definite, so it has two orthogonal eigendirections (corresponding to the axes of the ellipse) and the ratio

$$K_f(z) = \frac{\text{major axis}}{\text{minor axis}} = \sqrt{\frac{\lambda_A^+}{\lambda_A^-}}$$

where  $\lambda_A^+$  denotes the maximal eigenvalue of  $(A^{-1})^t \cdot A^{-1}$  and  $\lambda_A^-$  denotes the minimal eigenvalue of  $(A^{-1})^t \cdot A^{-1}$ . Note that

$$(\lambda_A^+)^{1/2} = \|A^{-1}\|_\infty \quad \text{and} \quad (\lambda_A^-)^{-1/2} = \|A\|_\infty$$

(the latter holds because the top eigenvalue of  $A \cdot A^t$  is the inverse of the bottom eigenvalue of  $(A \cdot A^t)^{-1} = (A^{-1})^t \cdot A^{-1}$ . So

$$K_f(z) = \|A^{-1}\|_\infty \cdot \|A\|_\infty, \quad K_g(f(z)) = \|B^{-1}\|_\infty \cdot \|B\|_\infty$$

and

$$K_{g \circ f}(z) = \|B^{-1}A^{-1}\|_\infty \cdot \|AB\|_\infty.$$

This means that submultiplicativity of operator norms of matrices implies the inequality we're after.

Property (3) follows from the fact that  $K_f(z) = \|A^{-1}\|_\infty \cdot \|A\|_\infty$ .

**Exercise 2 (Grötsch's theorem)** Suppose that  $R_1 = [0, a] \times [0, 1]$  and  $R_2 = [0, K \cdot a] \times [0, 1]$  are two rectangles in the plane, where  $a > 0$  and  $K \geq 1$ . The goal of this exercise is to prove:

**Theorem (Grötsch's theorem)** Suppose  $R_1$  and  $R_2$  are as above and  $f : R_1 \rightarrow R_2$  is a homeomorphism that is smooth and orientation preserving away from a finite number of points. Then

$$K_f \geq K$$

with equality if and only if  $f$  is the affine map

$$(x, y) \in R_1 \mapsto (K \cdot x, y) \in R_2.$$

(a) Writing  $K_f(x, y)$  for the quasiconformal dilatation of  $f$  at  $(x, y) \in R_1$ , prove that

$$\left| \frac{\partial f}{\partial x}(x, y) \right|^2 \leq K_f(x, y) \cdot \det(J_f(x, y)), \quad (1)$$

where  $J_f(x, y)$  denotes the Jacobian matrix of  $f$  at  $(x, y) \in R_1$ .

Solution: Writing

$$M = \sup \left\{ |df_{(x,y)}(v)| ; v \in T_{(x,y)}^1 R_1 \right\}$$

and

$$m = \inf \left\{ |df_{(x,y)}(v)| ; v \in T_{(x,y)}^1 R_1 \right\},$$

We have  $K_f(x, y) = M/m$ ,  $\det(J_f(x, y)) = M \cdot m$  and  $|\frac{\partial f}{\partial x}(x, y)|^2 \leq M^2$ , which proves the claim.

(b) Prove that:

$$\int_{R_1} \left| \frac{\partial f}{\partial x}(x, y) \right| dx dy \geq K \cdot \text{area}(R_1) \quad (2)$$

Solution: This is the observation that for almost all  $y \in [0, 1]$ ,  $\int_0^a |\frac{\partial f}{\partial x}(x, y)| dx \geq K \cdot a$ , by the substitution rule. Integrating with respect to  $y$  gives the desired inequality.

(c) Use the inequalities above to show that

$$(K \cdot \text{area}(R_1))^2 \leq K \cdot \text{area}(R_1) \cdot K_f \cdot \text{area}(R_1).$$

Thus yielding that  $K_f \geq K$ .

Solution: We have

$$\begin{aligned}
(K \cdot \text{area}(R_1))^2 &\stackrel{(2)}{\leq} \left( \int_{R_1} \left| \frac{\partial f}{\partial x}(x, y) \right| dx dy \right)^2 \\
&\stackrel{(1)}{\leq} \left( \int_{R_1} \sqrt{K_f(x, y)} \cdot \sqrt{\det(J_f(x, y))} dx dy \right)^2 \\
&\stackrel{\text{Cauchy-Schwarz}}{\leq} \int_{R_1} K_f(x, y) dx dy \cdot \int_{R_1} \det(J_f(x, y)) dx dy \\
&\leq \text{area}(R_2) \cdot K_f \cdot \text{area}(R_1) \\
&= K \cdot \text{area}(R_1) \cdot K_f \cdot \text{area}(R_1).
\end{aligned}$$

- (d) We have seen during the course that the affine map realizes equality. Prove that this is the only such map.

Solution: We observe that

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial z} + \frac{\partial f}{\partial \bar{z}}$$

and recall from the course that

$$K_f(z) = \frac{\left| \frac{\partial f}{\partial z} \right| + \left| \frac{\partial f}{\partial \bar{z}} \right|}{\left| \frac{\partial f}{\partial z} \right| - \left| \frac{\partial f}{\partial \bar{z}} \right|} \quad \text{and} \quad \det(J_f(z)) = \left| \frac{\partial f}{\partial z} \right|^2 - \left| \frac{\partial f}{\partial \bar{z}} \right|^2.$$

So

$$\sqrt{K_f(z) \cdot \det(J_f(z))} = \left| \frac{\partial f}{\partial z} \right| + \left| \frac{\partial f}{\partial \bar{z}} \right|.$$

This means that equality in the first inequality above means that

$$\left| \frac{\partial f}{\partial z} + \frac{\partial f}{\partial \bar{z}} \right| = \left| \frac{\partial f}{\partial z} \right| + \left| \frac{\partial f}{\partial \bar{z}} \right|$$

almost everywhere and thus that the argument of  $\partial f/\partial z$  and  $\partial f/\partial \bar{z}$  is the same almost everywhere.

Equality in the last inequality means that  $K_f(x, y)$  is constant almost everywhere and equal to  $K$ . Combining the above, we see that  $\mu_f$  coincides with the Beltrami coefficient of the affine map  $f_0$ . The result of Exercise 1(a), applied to  $f \circ f_0^{-1}$  now gives that this map is conformal. An argument similar to that of the proof of Proposition 7.1.9 from the course now allows us to conclude.