

# POINTWISE INTERSECTION PRODUCTS

BRUNO KAHN

This is a development of [2, Rem. 2.3 b)].

**Lemma 1.** *Let  $k$  be a field, and*

$$\begin{array}{ccc} S' & \xrightarrow{f'} & T' \\ g' \downarrow & & g \downarrow \\ S & \xrightarrow{f} & T \end{array}$$

*be a Cartesian square of  $k$ -schemes, where  $g$  is proper and  $f, f'$  are l.c.i. morphisms of same codimension. Then*

$$f^! g_* = g'_*(f')^!$$

*as homomorphisms from  $CH_*(T')$  to  $CH_*(S)$ .*

*Proof.* This follows from [1, Th. 6.6 (c)]. □

Suppose  $k$  perfect; let  $B$  be a smooth connected separated  $k$ -scheme of finite type with generic point  $\eta = \text{Spec } K$ , and let  $f : \mathcal{X} \rightarrow B$  be a dominant projective morphism, with  $\mathcal{X}$  smooth; let  $f' : X \rightarrow \eta$  be the generic fibre of  $f$ . We assume  $X$  irreducible of dimension  $d$ , and  $f'$  smooth.

Let  $CH_{\text{num}}^i(X)$  denote the subgroup of  $CH^i(X)$  formed of cycles numerically equivalent to 0; write  $j$  for the inclusion  $X \hookrightarrow \mathcal{X}$ .

**Lemma 2.** *For  $\alpha \in CH^i(\mathcal{X})$ , the following are equivalent:*

- (1)  $j^* \alpha \in CH_{\text{num}}^i(X)$ ;
- (2) for any  $\beta \in CH^{d-i}(\mathcal{X})$ ,  $f_*(\alpha \cdot \beta) = 0$ .

*Proof.* (2)  $\Rightarrow$  (1) because of the surjectivity of  $j^*$  and the formula

$$(1) \quad j^* f_*(\alpha \cdot \beta) = f'_* j^*(\alpha \cdot \beta) = f'_*(j^* \alpha \cdot j^* \beta)$$

[1, Prop. 1.7 and 8.3 (a)] where  $j : \eta \hookrightarrow B$  is the inclusion, and (1)  $\Rightarrow$  (2) because of (1) and the injectivity of  $j^* : CH^0(B) \rightarrow CH^0(\eta)$ . □

---

*Date:* June 11, 2023.

*2010 Mathematics Subject Classification.* 14C17, 14E15.

*Key words and phrases.* Intersection theory, alteration theory, category theory.

Let  $r \geq 0$  and  $b \in B^{(r)}$ ; write  $Z = \overline{\{b\}}$ . Recall the cap-product [1, p. 131]

$$\begin{aligned} \cdot_\iota : CH^i(\mathcal{X}) \times CH_l(\mathcal{X}_Z) &\rightarrow CH_{l-i}(\mathcal{X}_Z) \\ (\alpha, \beta) &\mapsto \gamma_\iota^!(\beta \times \alpha) \end{aligned}$$

where  $\iota$  is the closed immersion  $\mathcal{X}_Z \hookrightarrow \mathcal{X}$ . We record two useful formulas:

$$(2) \quad \alpha \cdot \iota_* \beta = \iota_*(\alpha \cdot_\iota \beta) \in CH_{l-i}(\mathcal{X})$$

which follows from Lemma 1 applied to the Cartesian diagram

$$\begin{array}{ccc} \mathcal{X}_Z & \xrightarrow{\gamma_\iota} & \mathcal{X}_Z \times \mathcal{X} \\ \downarrow \iota & & \downarrow \iota \times 1 \\ \mathcal{X} & \xrightarrow{\Delta_{\mathcal{X}}} & \mathcal{X} \times \mathcal{X} \end{array}$$

of regular embeddings of codimension  $d + \delta$ . Hence

$$(3) \quad f_*(\alpha \cdot \iota_* \beta) = f_* \iota_*(\alpha \cdot_\iota \beta) = \iota'_*(f_Z)_*(\alpha \cdot_\iota \beta),$$

where  $\iota'$  is the closed immersion  $Z \hookrightarrow B$ .

Take  $l = \delta + i - r$ . Composing with  $(f_Z)_*$ , we get a pairing

$$CH^i(\mathcal{X}) \times CH_{\delta+i-r}(\mathcal{X}_Z) \rightarrow CH_{\delta-r}(Z) = CH^0(Z) = \mathbf{Z}.$$

Removing proper closed subsets from  $Z$  and passing to the limit, we see that this pairing factors through a pairing

$$(4) \quad \langle \cdot, \cdot \rangle_b : CH^i(\mathcal{X}) \times CH_{i-r}(\mathcal{X}_b) \rightarrow \mathbf{Z}.$$

(Note the drop on dimension of cycles from  $CH_{\delta+i-r}(\mathcal{X}_Z)$  to  $CH_{i-r}(\mathcal{X}_b)$ ; compare with the relative dimensions of [1, §20.1].)

**Lemma 3.** *If  $\mathcal{X}_b$  is smooth over  $k(b)$ , then*

$$\langle \alpha, \beta \rangle_b = \langle \iota^* \alpha, \beta \rangle_{\mathcal{X}_b}$$

where  $\langle \cdot, \cdot \rangle_{\mathcal{X}_b}$  is the intersection product on  $\mathcal{X}_b$ .

*Proof.* Up to removing a proper closed subset from  $Z$ , we may assume that  $\mathcal{X}_Z$  itself is smooth. Then this is clear since  $\alpha \cdot_\iota \beta = \iota^* \alpha \cdot \beta$ , as follows from [1, Th. 6.4] applied to the Cartesian square

$$\begin{array}{ccc} \mathcal{X}_Z & \xrightarrow{\delta} & \mathcal{X}_Z \times_k \mathcal{X}_Z \\ \parallel \downarrow & & \downarrow 1 \times \iota \\ \mathcal{X}_Z & \xrightarrow{\gamma_\iota} & \mathcal{X}_Z \times \mathcal{X}. \end{array}$$

□

Let  $b' \in Z^{(1)} \subset B^{(r+1)}$  be a specialisation of  $b$ ; we define a specialisation homomorphism

$$\sigma_{b \rightarrow b'} : CH_*(\mathcal{X}_b) \rightarrow CH_{*-1}(\mathcal{X}_{b'})$$

as follows (compare [1, Ex. 1.2.3]). Let  $R$  be the integral closure of  $\mathcal{O}_{Z,b'}$  in  $k(b)$ ,  $\pi : \text{Spec } R \rightarrow \text{Spec } \mathcal{O}_{Z,b'}$  the projection and write the cycle  $\pi^*(b')$  as  $\sum n_i b'_i$  where the  $b'_i$  are closed points and  $n_i > 0$ . For each  $i$ , let  $\mathcal{X}_{b'_i}$  be the pull-back of  $\mathcal{X}_{b'}$ , and let  $\varphi_i : \mathcal{X}_{b'_i} \rightarrow \mathcal{X}_{b'}$  be the projection. Then

$$\sigma_{b \rightarrow b'}(x) = \sum_i n_i (\varphi_i)_* \sigma_{b \rightarrow b'_i}(x)$$

where  $\sigma_{b \rightarrow b'_i}$  is the specialisation homomorphism of [1, §20.3]. We set

$$e_{b \rightarrow b'} = \sum_i n_i.$$

**Proposition 4.** *For  $(x, y) \in CH^i(\mathcal{X}) \times CH_{i-r}(\mathcal{X}_b)$ , one has*

$$e_{b \rightarrow b'} \langle x, y \rangle_b = \langle x, \text{sp}_{b \rightarrow b'}(y) \rangle_{b'}.$$

*Proof.* Let  $\lambda_i : b'_i \hookrightarrow \text{Spec } R$  be the closed immersion. By definition  $\text{sp}_{b \rightarrow b'}(y) = \lambda_i^! \tilde{y}$  where  $\tilde{y}$  is a lift of  $y$  in  $CH_*(\mathcal{X}_{\text{Spec } R})$ . Thus:

$$(5) \quad \langle x, \text{sp}_{b \rightarrow b'}(y) \rangle_{b'} = \sum_i n_i \langle x, (\varphi_i)_* \lambda_i^! \tilde{y} \rangle_{b'}.$$

We need to spread this situation. Consider the Cartesian diagrams

$$\begin{array}{ccc} \tilde{Z}' & \xrightarrow{\lambda} & \tilde{Z} & & \mathcal{X}_{\tilde{Z}'} & \longrightarrow & \mathcal{X}_{\tilde{Z}} \\ \varphi \downarrow & & \psi' \downarrow & & \downarrow & & \psi \downarrow \\ Z' & \longrightarrow & Z & & \mathcal{X}_{Z'} & \longrightarrow & \mathcal{X}_Z \end{array}$$

where  $Z' = \overline{\{b'\}}$  and  $\tilde{Z}$  is the normalisation of  $Z$  in  $Z - Z'$  (the second is the pull-back of the first under the projection  $f_Z : \mathcal{X}_Z \rightarrow Z$ ). Up to removing a large enough proper closed subset from  $Z'$ , we may and do assume that  $\tilde{Z}$  is regular (hence smooth over  $k$ ) and that  $\tilde{Z}' = \coprod_i Z'_i$  where each  $Z'_i$  is irreducible with generic point  $b'_i$ . Then  $\mathcal{X}_{\tilde{Z}'}$  splits accordingly. We keep the notations  $\varphi_i : Z'_i \rightarrow Z'$ ,  $\lambda_i : Z'_i \hookrightarrow \tilde{Z}$  in this spread context.

We can lift  $\tilde{y}$  further to  $z \in CH_*(\mathcal{X}_{\tilde{Z}})$ . First, the diagram of Cartesian squares

$$\begin{array}{ccccc} \tilde{Z} & \xleftarrow{f_{\tilde{Z}}} & \mathcal{X}_{\tilde{Z}} & \xrightarrow{\gamma_{\tilde{z}}} & \mathcal{X}_{\tilde{Z}} \times \mathcal{X} \\ \psi' \downarrow & & \psi \downarrow & & \psi \times 1 \downarrow \\ Z & \xleftarrow{f_Z} & \mathcal{X}_Z & \xrightarrow{\gamma_z} & \mathcal{X}_Z \times \mathcal{X}, \end{array}$$

where  $\tilde{z}$  is the composition  $\mathcal{X}_{\tilde{Z}} \xrightarrow{\psi} \mathcal{X}_Z \xrightarrow{\iota} \mathcal{X}$ , yields

$$\begin{aligned} \langle x, y \rangle_b &= (f_Z)_* \gamma_{\tilde{z}}^!(\psi_* z \times x) = (f_Z)_* \gamma_{\tilde{z}}^!(\psi \times 1)_*(z \times x) \\ &\stackrel{(a)}{=} (f_Z)_* \psi_* \gamma_{\tilde{z}}^!(z \times x) = \psi'_*(f_{\tilde{Z}})_* \gamma_{\tilde{z}}^!(z \times x) \stackrel{(b)}{=} (f_{\tilde{Z}})_* \gamma_{\tilde{z}}^!(z \times x) \end{aligned}$$

where (a) follows from Lemma 1 and (b) uses the isomorphism  $\psi_* : CH^0(\tilde{Z}) \xrightarrow{\sim} CH^0(Z) = \mathbf{Z}$ .

Then we note that  $\varphi_* \lambda^! : \mathbf{Z} = CH^0(\tilde{Z}) \rightarrow CH^0(Z) = \mathbf{Z}$  is multiplication by  $e_{b \rightarrow b'}$ , hence

$$\begin{aligned} e_{b \rightarrow b'}(f_{\tilde{Z}})_* \gamma_{\tilde{z}}^!(z \times x) &= \varphi_* \lambda^!(f_{\tilde{Z}})_* \gamma_{\tilde{z}}^!(z \times x) \stackrel{(a)}{=} \varphi_*(f_{\tilde{Z}'})_* \lambda^! \gamma_{\tilde{z}}^!(z \times x) \\ &\stackrel{(b)}{=} \varphi_*(f_{\tilde{Z}'})_* \gamma_{\tilde{z}}^! \lambda^!(z \times x) \stackrel{(c)}{=} \varphi_*(f_{\tilde{Z}'})_* \gamma_{\tilde{z}'}^! \lambda^!(z \times x) \\ &= \varphi_*(f_{\tilde{Z}'})_* \gamma_{\tilde{z}'}^!(\lambda^! z \times x). \end{aligned}$$

Here (a) follows as usual from Lemma 1, (b) follows from [1, Th. 6.4] applied to the diagram of Cartesian squares

$$\begin{array}{ccc} \mathcal{X}_{\tilde{Z}'} & \longrightarrow & \mathcal{X}_{\tilde{Z}} \\ \gamma_{\tilde{z}'} \downarrow & & \gamma_{\tilde{z}} \downarrow \\ \mathcal{X}_{\tilde{Z}'} \times \mathcal{X} & \longrightarrow & \mathcal{X}_{\tilde{Z}} \times \mathcal{X} \\ \downarrow & & \downarrow \\ \tilde{Z}' & \xrightarrow{\lambda} & \tilde{Z}, \end{array}$$

and (c) from [1, Th. 6.2 (c)] applied to the same diagram. Now, using the diagram

$$\begin{array}{ccccc} \tilde{Z}' & \xleftarrow{f_{\tilde{Z}'}} & \mathcal{X}_{\tilde{Z}'} & \xrightarrow{\gamma_{\tilde{z}'}} & \mathcal{X}_{\tilde{Z}'} \times \mathcal{X} \\ \varphi \downarrow & & \Phi \downarrow & & \Phi \times 1 \downarrow \\ Z' & \xleftarrow{f_{Z'}} & \mathcal{X}_{Z'} & \xrightarrow{\gamma_{z'}} & \mathcal{X}_{Z'} \times \mathcal{X} \end{array}$$

we get

$$\begin{aligned} \varphi_*(f_{\bar{Z}'})*\gamma_{i'}^!(\lambda^!z \times x) &= (f_{\bar{Z}})*\Phi_*\gamma_{i'}^!(\lambda^!z \times x) \stackrel{(a)}{=} (f_{\bar{Z}})*\gamma_{i'}^!(\Phi_*\lambda^!z \times x) \\ &= \langle x, \mathrm{sp}_{b \rightarrow b'}(y) \rangle_{b'} \end{aligned}$$

(see (5)), where (a) uses Lemma 1 again.  $\square$

**Definition 5.** Let  $\alpha \in CH^i(\mathcal{X})$  and  $b \in B^{(r)}$ . We write  $\alpha \equiv_b 0$  if  $\langle \alpha, \beta \rangle_b = 0$  for all  $\beta \in CH_{i-r}(\mathcal{X}_b)$ .

**Lemma 6.** Let  $\eta$  be the generic point of  $B$ . Then  $\alpha \equiv_\eta 0 \iff j^*\alpha \in CH_{\mathrm{num}}^i(X)$ .

*Proof.* This follows from Lemma 2.  $\square$

We have the following corollary to Proposition 4:

**Corollary 7.** Let  $b'$  be a specialisation of  $b$ . Then  $\alpha \equiv_{b'} 0 \Rightarrow \alpha \equiv_b 0$ .  $\square$

*Proof.* By catenarity, we reduce to the case where  $\mathrm{codim}_B(b') = \mathrm{codim}_B(b) + 1$ .  $\square$

## REFERENCES

- [1] W. Fulton Intersection theory, Springer, 1984.
- [2] B. Kahn *Refined height pairing* (with an appendix by Qing Liu), to appear in Alg & Number theory.

CNRS, SORBONNE UNIVERSITÉ AND UNIVERSITÉ PARIS CITÉ, IMJ-PRG,  
CASE 247, 4 PLACE JUSSIEU, 75252 PARIS CEDEX 05, FRANCE  
*Email address:* `bruno.kahn@imj-prg.fr`