

# STABLE MAPS, Q-OPERATORS AND CATEGORY $\mathcal{O}$

DAVID HERNANDEZ

ABSTRACT. Motivated by Maulik-Okounkov stable maps associated to quiver varieties, we define and construct algebraic stable maps on tensor products of representations in the category  $\mathcal{O}$  of the Borel subalgebra of an arbitrary untwisted quantum affine algebra. Our representation-theoretical construction is based on the study of the action of Cartan-Drinfeld subalgebras. We prove the algebraic stable maps are invertible and depend rationally on the spectral parameter. As an application, we obtain new  $R$ -matrices in the category  $\mathcal{O}$  and we establish that a large family of simple modules, including the prefundamental representations associated to  $Q$ -operators, generically commute as representations of the Cartan-Drinfeld subalgebra. We also establish categorified  $QQ^*$ -systems in terms of the  $R$ -matrices we construct.

## CONTENTS

1. Introduction	1
2. Background on quantum affine algebras	6
3. Algebraic stable maps	12
4. $R$ -matrices and finite-dimensional representations	18
5. $R$ -matrices in the category $\mathcal{O}$	22
6. Further directions	30
References	31

## 1. INTRODUCTION

Let  $q \in \mathbb{C}^*$  which is not a root of unity and let  $\mathcal{U}_q(\mathfrak{g})$  be an untwisted quantum affine algebra. The category  $\mathcal{C}$  of finite-dimensional representations of  $\mathcal{U}_q(\mathfrak{g})$  has been studied from various geometric, algebraic and combinatorial points of view. One crucial property of the category  $\mathcal{C}$ , which goes back to Drinfeld, is to admit generic braidings, that is there is an isomorphism

$$V \otimes W \simeq W \otimes V$$

for generic simple modules in  $\mathcal{C}$ . Such isomorphisms are called  $R$ -matrices and satisfy the Yang-Baxter equation. Moreover the tensor product  $V \otimes W$  is generically simple. These results follows from the existence of the universal  $R$ -matrix of  $\mathcal{U}_q(\mathfrak{g})$ .

Maulik and Okounkov [MO] proposed a striking new point of view on these structures by introducing the notion of stable envelopes and stable maps. These authors have presented a very general construction such maps

$$\text{Stab}_{\mathfrak{e}} : K_T(X^A) \rightarrow K_T(X)$$

based<sup>1</sup> on remarkable Lagrangian correspondences in  $X \times X^A$  defined from the action of a pair of tori  $A \subset T$  on a symplectic variety  $X$  (the action of  $A$  is supposed to preserve the symplectic form). Here  $K_T$  denotes the equivariant  $K$ -theory with respect to  $T$  and  $X^A$  the fixed point locus for the  $A$ -action. The Lagrangian sub-varieties, the stable envelopes, are built by successive approximations from the closure of a natural preimage of a diagonal subvariety. This holds in great generality including symplectic resolutions.

The construction of stable maps depends on some additional data, in particular on a cone  $\mathfrak{C} \subset \text{Lie}(A)$ , the chamber, which is a connected component in the Lie algebra of  $A$  of the complementary of an hyperplan arrangement. The choice of  $\mathfrak{C}$  leads to the definition of attracting directions in the normal direction to  $X^A$  and determines the support of the stable envelope. The stable map  $\text{Stab}_{\mathfrak{C}}$  satisfies a certain triangularity property with respect to  $\prec_{\mathfrak{C}}$ . This "topological" triangularity is a crucial property of stable maps.

For a choice of two chambers  $\mathfrak{C}$  and  $\mathfrak{C}'$ , the construction gives two maps :

$$\begin{array}{ccc}
 & K_T(X) & \\
 \text{Stab}_{\mathfrak{C}} \nearrow & & \nwarrow \text{Stab}_{\mathfrak{C}'} \\
 K_T(X^A) & \dashrightarrow \mathcal{R}_{\mathfrak{C}', \mathfrak{C}} & \dashrightarrow K_T(X^A)
 \end{array}
 .$$

Up to localization, the map  $\text{Stab}_{\mathfrak{C}'}$  is invertible and we get a geometric  $R$ -matrix

$$R_{\mathfrak{C}', \mathfrak{C}} = (\text{Stab}_{\mathfrak{C}'})^{-1} \circ \text{Stab}_{\mathfrak{C}} \in \text{End}(K_T(X^A))$$

which might be seen as a wall-crossing from the chamber  $\mathfrak{C}$  to  $\mathfrak{C}'$ . It gives rise in particular to  $R$ -matrices which are already known, but the techniques which are used go much further.

The theory of stable envelopes plays an important role in geometric representation theory as well as in enumerative geometry and has various incarnations in various areas of mathematics. Nakajima varieties are particularly important examples. Indeed in a series of seminal papers Nakajima has constructed, in the equivariant  $K$ -theory of these varieties, certain representations of quantum affine algebras  $\mathcal{U}_q(\mathfrak{g})$  for  $\mathfrak{g}$  simply-laced (see [N1, N2]). Moreover, the geometric study of the coproduct [VV, N3] leads to the construction of tensor products of certain finite-dimensional representations. Stable envelopes give a geometric construction of  $R$ -matrices for tensor products of fundamental representations in the category  $\mathcal{C}$  of finite-dimensional representations of  $\mathcal{U}_q(\mathfrak{g})$  [MO, OS].

This leads to the question of extending the construction of stable maps to non-simply laced quantum affine algebras as well as to representations which are not necessarily finite-dimensional, for instance in the category  $\mathcal{O}$ . However no geometric model is known at the moment for these situations. More generally, we may ask for a purely representation-theoretical or algebraic characterization of stable maps.

<sup>1</sup>For the moment, only the cohomological version of the work of Maulik-Okounkov is public yet. For  $K$ -theoretic stable map there are several important differences with cohomological versions, in particular they depend on a new parameter, the slope, see [OS].

Let us recall that Jimbo and the first author introduced [HJ] the category  $\mathcal{O}$  of representations of a Borel subalgebra  $\mathcal{U}_q(\mathfrak{b})$  of  $\mathcal{U}_q(\mathfrak{g})$ . Finite-dimensional representations of  $\mathcal{U}_q(\mathfrak{g})$  are objects in this category as well as the infinite-dimensional prefundamental representations of  $\mathcal{U}_q(\mathfrak{b})$  constructed<sup>2</sup> in [HJ]. They are obtained as asymptotic limits of Kirillov-Reshetikhin modules, which form a family of simple finite-dimensional representations of  $\mathcal{U}_q(\mathfrak{g})$ . These prefundamental representations, denoted by  $L_{i,a}^+$  and  $L_{i,a}^-$ , are simple  $\mathcal{U}_q(\mathfrak{b})$ -modules parametrized by a complex number  $a \in \mathbb{C}^*$  and  $1 \leq i \leq n$ , where  $n$  is the rank of the underlying finite-dimensional simple Lie algebra. The category  $\mathcal{O}$  and the prefundamental representations were used by Frenkel and the first author [FH] to prove a conjecture of Frenkel-Reshetikhin [FR] on the spectra of quantum integrable systems, generalizing the existence of Baxter's polynomials to describe these spectra beyond the case of the  $XXZ$ -model. The prefundamental representations play a crucial role for these works as the corresponding transfer-matrices are the Baxter's  $Q$ -operators.

Our present paper has a second main motivation : the study of tensor products of  $\ell$ -weight vectors of representations of quantum affine algebras. The  $\ell$ -weight vectors are pseudo eigenvectors for the action of the Cartan-Drinfeld subalgebra  $\mathcal{U}_q(\mathfrak{h})^+ \subset \mathcal{U}_q(\mathfrak{g})$ . The study of this action is strongly related to Frenkel-Reshetikhin  $q$ -character theory [FR]. Note that the action of the Cartan-Drinfeld subalgebra  $\mathcal{U}_q(\mathfrak{h})^+$  can naturally be deformed to the action of the Baxter algebra (see [FH, Proposition 5.5] for instance). It is well known that elements of the Cartan-Drinfeld subalgebra  $\mathcal{U}_q(\mathfrak{h})^+$  do not behave well with respect to the coproduct, that is why the study of tensor product of  $\ell$ -weight vectors is technically involved. A tensor product of  $\ell$ -weight vectors is not necessarily an  $\ell$ -weight vector, and this is a source of many technical developments. This can be observed for example in the tensor product of two 2-dimensional representations of  $\mathcal{U}_q(\hat{\mathfrak{sl}}_2)$ , see Example 2.17.

However, thanks to a remarkable properties of the coproduct on Cartan-Drinfeld elements (see [D1] and Theorem 2.15 below), certain  $\ell$ -weight vectors in the tensor product can be decomposed into sums of pure tensor of  $\ell$ -weight vectors [H2] (see Theorem 2.16 below) for which a triangularity condition appears. This algebraic triangularity might be seen as an analog of the topological triangularity discussed above for stable maps.

In the present paper we propose to define algebraic stable map directly from  $\ell$ -weight vectors. This representation-theoretical point of view allows to give a definition for the non simply-laced types as well as for the category  $\mathcal{O}$ . It also give a practical way to handle the algebraic stable maps (we compute several examples).

The idea is the following : let  $V, W$  in the category  $\mathcal{O}$ . For  $v \in V, w \in W$   $\ell$ -weight vectors, we prove that  $v \otimes w$  can be canonically perturbed to produce an  $\ell$ -weight vector

$$S_{V,W}(v \otimes w) \in V \otimes W.$$

The different terms added to  $v \otimes w$  in order to obtain the new  $\ell$ -weight vector  $S_{V,W}(v \otimes w)$  in  $V \otimes W$  might be seen as algebraic analogs of the successive approximations in the construction of the stable envelopes mentioned above. Moreover, a key point is that

---

<sup>2</sup>Such prefundamental representations were first constructed explicitly for  $\mathfrak{g} = \hat{\mathfrak{sl}}_2$  by Bazhanov-Lukyanov-Zamolodchikov, for  $\hat{\mathfrak{sl}}_3$  by Bazhanov-Hibberd-Khoroshkin and for  $\hat{\mathfrak{sl}}_n$  with  $i = 1$  by Kojima.

our construction respects a triangularity property for a certain partial ordering on the cartesian square of the integral weight lattice (Equation 3.11), by analogy to the topological triangularity discussed above.

We establish this defines a linear morphism

$$S_{V,W} : V \otimes W \rightarrow V \otimes W.$$

In certain cases for which the Maulik-Okounkov stable maps can be computed [OS], it can be checked that they coincide with  $S_{V,W}$  (up to a renormalization by a diagonal operator). This is expected to be true in general.

It is well known that a representation  $V$  of  $\mathcal{U}_q(\mathfrak{g})$  can be deformed by adding a spectral parameter  $u$ . We get a representation  $V(u)$  and the algebraic stable maps deform accordingly

$$S_{V,W}(u) : V(u) \otimes W \rightarrow V(u) \otimes W.$$

We establish the algebraic stable maps are invertible and depend rationally on the spectral parameter  $u$ .

We have reminded above that the category  $\mathcal{C}$  of finite-dimensional representations of  $\mathcal{U}_q(\mathfrak{g})$  has generic braidings as the universal  $R$ -matrix can be specialized to give a meromorphic braiding, the  $R$ -matrix

$$\mathcal{R}_{V,W}(u) : V(u) \otimes W \rightarrow W \otimes V(u),$$

for  $V, W$  simple finite-dimensional modules. For a generic complex number  $u$  (which does not belong to a finite set), we get an isomorphism.

But for the category  $\mathcal{O}$ , not only the universal  $R$ -matrix can not be specialized on a general tensor product of simple representations (as only one Borel subalgebra act on these representations in general), but also there are simple representations  $V, W$  so that  $V(a) \otimes W$  is non simple for any  $a \in \mathbb{C}^*$ . Although its Grothendieck is commutative [HJ], the category  $\mathcal{O}$  is not generically braided [BJMST]: in the  $sl_2$ -case, for any  $a, b \in \mathbb{C}^*$ ,  $L_{1,a}^+ \otimes L_{1,b}^-$  is not isomorphic to  $L_{1,b}^- \otimes L_{1,a}^+$ . Hence, the  $R$ -matrices  $\mathcal{R}_{V,W}(u)$  do not exist for arbitrary simple representations in the category  $\mathcal{O}$ .

But the algebraic stable maps  $S_{V,W}(u)$  do exist and the construction in the present paper produces maps of the form

$$\mathcal{R}_{V,W}^\alpha(u) = S_{W,V}(u)(\tau \circ \alpha(u))(S_{V,W}(u))^{-1} : V(u) \otimes W \rightarrow W \otimes V(u)$$

where  $\tau$  is the twist (and  $\alpha(u)$  is a certain renormalization operator).

As an application of the results and constructions in this paper, we establish that generic tensor products of a large family of simple representations  $V, W$  in the category  $\mathcal{O}$  commute as representations of the Cartan-Drinfeld subalgebra  $\mathcal{U}_q(\mathfrak{h})^+$  :

$$\mathcal{R}_{V,W}^1(u) : V(u) \otimes W \simeq_{\mathcal{U}_q(\mathfrak{h})^+} W \otimes V(u).$$

As far the author knows, this is a new representation-theoretical result, even in the case of prefundamental representations (this is not a direct consequence of the commutativity of Grothendieck ring).

We also obtain that the Cartan-Drinfeld factor of the universal  $R$ -matrix acts rationally on a tensor product of finite-dimensional representation, up to a scalar multiple (this is a well-known result for the whole universal  $R$ -matrix).

The category  $\mathcal{O}$  has a remarkable monoidal subcategory  $\mathcal{O}^-$  generated by finite-dimensional representations and negative prefundamental representations constructed in [HL] (a dual category  $\mathcal{O}^+$  is also constructed in [HL]; see also [FJMM]). It is known [FH] that prefundamental representations in the category  $\mathcal{O}^-$  commute :

$$L_{i,a}^-(u) \otimes L_{j,b}^- \simeq L_{j,b}^- \otimes L_{i,a}^-(u)$$

as this tensor product is simple.

As a consequence of the result of this paper we prove the category  $\mathcal{O}^-$  admits generic braidings : for  $V, W$  simple modules in  $\mathcal{O}^-$ , there is  $\alpha$  such that  $\mathcal{R}_{V,W}^\alpha(u)$  is an isomorphism of representations. By specialization, it gives non-zero morphisms

$$\mathcal{R}_{V,W} : V \otimes W \rightarrow W \otimes V$$

which are not invertible in general. This leads to categorification of remarkable relations which hold in the Grothendieck ring of the category, such as the  $QQ^*$ -systems (which appear as cluster mutations and are closely related to Bethe Ansatz equations).

Note that our results give partial informations on possible varieties for a geometric realization of prefundamental representations<sup>3</sup>. We hope it will give some additional practical tools to handle the corresponding geometric structures. Other possible further developments of the results of our paper are discussed in the last section, in particular on the polynomiality of Cartan-Drinfeld elements, generalized Schur-Weyl dualities in the sense of Kang-Kashiwara-Kim and natural bases of standard modules.

In this paper we establish various properties of the algebraic stable maps we consider. These properties are at the origin of the present work and discussions with A. Okounkov were crucial for its development (see in particular Remark 4.6).

This paper is organized as follows. In Section 2 we give reminders on quantum affine algebras, their finite-dimensional representations and the category  $\mathcal{O}$  for its Borel subalgebra. In Section 3 we explain the definition and the construction of algebraic stable maps on tensor products of modules in the category  $\mathcal{O}$  (Definition 3.5). We prove they define linear isomorphisms (Proposition 3.6) and we establish the rationality in the spectral parameter (Theorem 3.9). We give explicit examples for finite and infinite dimensional representations (subsection 3.3). In Section 4, we establish the compatibility of algebraic stable map with the Drinfeld coproduct for the action of Cartan-Drinfeld subalgebras (Proposition 3.6). In the case of finite-dimensional representation, the algebraic stable maps are related to factors of the universal  $R$ -matrix (Proposition 4.4) and in certain remarkable cases to Maulik-Okounkov stable maps. In Section 5 the applications to the construction of  $R$ -matrices in the category  $\mathcal{O}$  (Theorem 5.13) and categorifications of remarkable relations (Theorem 5.16) are established. In Section 6 we discuss various possible further developments.

**Acknowledgment :** The author is very grateful to Andrei Okounkov for discussions from which the idea of this paper emerged. The author is supported by the European Research Council under the European Union's Framework Programme H2020 with ERC Grant Agreement number 647353 Qaffine.

---

<sup>3</sup>In type  $A$ , relations between  $Q$ -operators and quantum  $K$ -theory is discussed in [PSZ] in the context of the theory of stable envelopes.

## 2. BACKGROUND ON QUANTUM AFFINE ALGEBRAS

In this section we collect some definitions and results on quantum affine algebras and their representations. We refer the reader to [CP1] for a canonical introduction. We also discuss representations of the Borel subalgebra of a quantum affine algebra, see [HJ, FH] for more details. In particular we remind the corresponding category  $\mathcal{O}$  and the category of finite-dimensional representations. They have been studied from many points geometric, algebraic, combinatorial point of views in connections to various fields, see [MO, KKKO, GTL, Kas, O] for recent developments and [H3] for a recent review.

All vector spaces, algebras and tensor products are defined over  $\mathbb{C}$ .

**2.1. Quantum affine algebras and Borel algebras.** Let  $C = (C_{i,j})_{0 \leq i,j \leq n}$  be an indecomposable Cartan matrix of untwisted affine type. We denote by  $\mathfrak{g}$  the Kac-Moody Lie algebra associated with  $C$ . Set  $I = \{1, \dots, n\}$ , and denote by  $\bar{\mathfrak{g}}$  the finite-dimensional simple Lie algebra associated with the Cartan matrix  $(C_{i,j})_{i,j \in I}$ . Let

$$\{\alpha_i\}_{i \in I}, \{\alpha_i^\vee\}_{i \in I}, \{\omega_i\}_{i \in I}, \{\omega_i^\vee\}_{i \in I},$$

and  $\bar{\mathfrak{h}}$  be the simple roots, the simple coroots, the fundamental weights, the fundamental coweights, and the Cartan subalgebra of  $\bar{\mathfrak{g}}$ , respectively. We will use

$$Q = \bigoplus_{i \in I} \mathbb{Z}\alpha_i, \quad Q^+ = \bigoplus_{i \in I} \mathbb{Z}_{\geq 0}\alpha_i, \quad P = \bigoplus_{i \in I} \mathbb{Z}\omega_i.$$

Let  $D = \text{diag}(d_0 \dots, d_n)$  be the unique diagonal matrix such that  $B = DC$  is symmetric and the  $d_i$ 's are relatively prime positive integers. We will also use  $P_{\mathbb{Q}} = P \otimes \mathbb{Q}$  with its partial ordering defined by

$$\omega \leq \omega' \text{ if and only if } \omega' - \omega \in Q^+.$$

We use the numbering of the Dynkin diagram as in [Kac]. Let  $a_0, \dots, a_n$  stand for the labels as in [Kac, pp.55-56]. We have  $a_0 = 1$  and we set

$$\alpha_0 = -(a_1\alpha_1 + a_2\alpha_2 + \dots + a_n\alpha_n).$$

We fix a non-zero complex number  $q$  which is not a root of unity and we set  $q_i = q^{d_i}$ . We also set  $h \in \mathbb{C}$  such that  $q = e^h$ , so that  $q^r$  is well-defined for any  $r \in \mathbb{Q}$ .

We will use the standard symbols for  $z$  an indeterminate or a non-zero complex number which is not a root of unity :

$$[m]_z = \frac{z^m - z^{-m}}{z - z^{-1}}, \quad [m]_z! = \prod_{j=1}^m [j]_z, \quad \begin{bmatrix} s \\ r \end{bmatrix}_z = \frac{[s]_z!}{[r]_z! [s-r]_z!}.$$

The quantum loop algebra  $\mathcal{U}_q(\mathfrak{g})$  is the  $\mathbb{C}$ -algebra defined by generators  $e_i, f_i, k_i^{\pm 1}$  ( $0 \leq i \leq n$ ) and the following relations for  $0 \leq i, j \leq n$ .

$$\begin{aligned} k_i k_j &= k_j k_i, & k_0^{a_0} k_1^{a_1} \cdots k_n^{a_n} &= 1, & k_i e_j k_i^{-1} &= q_i^{C_{i,j}} e_j, & k_i f_j k_i^{-1} &= q_i^{-C_{i,j}} f_j, \\ [e_i, f_j] &= \delta_{i,j} \frac{k_i - k_i^{-1}}{q_i - q_i^{-1}}, \\ \sum_{r=0}^{1-C_{i,j}} (-1)^r e_i^{(1-C_{i,j}-r)} e_j e_i^{(r)} &= 0 \quad (i \neq j), & \sum_{r=0}^{1-C_{i,j}} (-1)^r f_i^{(1-C_{i,j}-r)} f_j f_i^{(r)} &= 0 \quad (i \neq j). \end{aligned}$$

Here we use the standard notations  $x_i^{(r)} = x_i^r / [r]_{q_i}!$  ( $x_i = e_i, f_i$ ). The algebra  $\mathcal{U}_q(\mathfrak{g})$  has a Hopf algebra structure satisfying for  $0 \leq i \leq n$ ,

$$\Delta(e_i) = e_i \otimes 1 + k_i \otimes e_i, \quad \Delta(f_i) = f_i \otimes k_i^{-1} + 1 \otimes f_i, \quad \Delta(k_i) = k_i \otimes k_i.$$

The algebra  $\mathcal{U}_q(\mathfrak{g})$  can also be presented in terms of the Drinfeld generators [Dr, Be]

$$x_{i,r}^{\pm} \quad (i \in I, r \in \mathbb{Z}), \quad \phi_{i,\pm m}^{\pm} \quad (i \in I, m \geq 0), \quad k_i^{\pm 1} \quad (i \in I).$$

We will use the generating series ( $i \in I$ ):

$$\phi_i^{\pm}(z) = \sum_{m \geq 0} \phi_{i,\pm m}^{\pm} z^{\pm m} = k_i^{\pm 1} \exp \left( \pm (q_i - q_i^{-1}) \sum_{m > 0} h_{i,\pm m} z^{\pm m} \right),$$

and we set  $\phi_{i,\pm m}^{\pm} = 0$  for  $m < 0, i \in I$ .

These elements  $\phi_{i,\pm m}^{\pm}$  are called Cartan-Drinfeld generators. They generate a subalgebra  $\mathcal{U}_q(\mathfrak{h})$  of  $\mathcal{U}_q(\mathfrak{g})$ . Let  $\mathcal{U}_q(\mathfrak{h})^{\pm}$  be the subalgebra of  $\mathcal{U}_q(\mathfrak{h})$  generated by the  $k_i, k_i^{-1}$  and the  $h_{i,\pm r}$  ( $i \in I, r > 0$ ).

**Definition 2.1.** *The subalgebras  $\mathcal{U}_q(\mathfrak{h})$  and  $\mathcal{U}_q(\mathfrak{h})^{\pm}$  are called Cartan-Drinfeld subalgebras.*

These algebras are commutative and will play a crucial role in this paper.

The algebra  $\mathcal{U}_q(\mathfrak{g})$  has a  $\mathbb{Z}$ -grading defined by  $\deg(e_i) = \deg(f_i) = \deg(k_i^{\pm 1}) = 0$  for  $i \in I$  and  $\deg(e_0) = -\deg(f_0) = 1$ . It satisfies  $\deg(x_{i,m}^{\pm}) = \deg(\phi_{i,m}^{\pm}) = m$  for  $i \in I, m \in \mathbb{Z}$ . For  $a \in \mathbb{C}^{\times}$ , there is a corresponding algebra automorphism

$$\tau_a : \mathcal{U}_q(\mathfrak{g}) \rightarrow \mathcal{U}_q(\mathfrak{g})$$

so that an element  $g$  of degree  $m \in \mathbb{Z}$  satisfies  $\tau_a(g) = a^m g$ . The twist of a representation  $W$  by  $\tau_a$  is denoted by  $W(a)$ .

We have also an automorphism  $\tau_u$  of the algebra

$$\mathcal{U}_{q,u}(\mathfrak{g}) = \mathcal{U}_q(\mathfrak{g}) \otimes \mathbb{C}(u)$$

defined as  $\tau_a$  with  $a$  replaced by the formal variable  $u$ . A representation  $W$  of  $\mathcal{U}_q(\mathfrak{g})$  gives rise to a twisted representation  $W(u)$  of  $\mathcal{U}_{q,u}(\mathfrak{g})$  (see [H3] for detailed references).

**Definition 2.2.** *The Borel algebra  $\mathcal{U}_q(\mathfrak{b})$  is the subalgebra of  $\mathcal{U}_q(\mathfrak{g})$  generated by  $e_i$  and  $k_i^{\pm 1}$  with  $0 \leq i \leq n$ .*

The Borel algebra is a Hopf subalgebra of  $\mathcal{U}_q(\mathfrak{g})$  and contains the Drinfeld generators  $x_{i,m}^+$ ,  $x_{i,r}^-$ ,  $k_i^{\pm 1}$ ,  $\phi_{i,r}^+$  where  $i \in I$ ,  $m \geq 0$  and  $r > 0$ . When  $\mathfrak{g} = \mathfrak{sl}_2$ , these elements generate  $\mathcal{U}_q(\mathfrak{b})$ .

The Borel algebra  $\mathcal{U}_q(\mathfrak{b})$  contains the Cartan-Drinfeld subalgebra  $\mathcal{U}_q(\mathfrak{h})^+$ .

Denote  $\mathfrak{t} \subset \mathcal{U}_q(\mathfrak{b})$  the subalgebra generated by  $\{k_i^{\pm 1}\}_{i \in I}$ . Set  $\mathfrak{t}^\times = (\mathbb{C}^\times)^I$ , and endow it with a group structure by pointwise multiplication. Consider the group morphism

$$- : P_{\mathbb{Q}} \longrightarrow \mathfrak{t}^\times \text{ by setting } \overline{\omega}_i(j) = q_i^{\delta_{i,j}}.$$

We use the standard partial ordering on  $\mathfrak{t}^\times$ :

$$(2.1) \quad \omega \leq \omega' \quad \text{if } \omega\omega'^{-1} \text{ is a product of } \{\overline{\alpha}_i^{-1}\}_{i \in I}.$$

**2.2. Category  $\mathcal{O}$  for representations of Borel algebras.** For a  $\mathcal{U}_q(\mathfrak{b})$ -module  $V$  and  $\omega \in \mathfrak{t}^\times$ , we set

$$(2.2) \quad V_\omega = \{v \in V \mid k_i v = \omega(i)v \ (\forall i \in I)\},$$

and call it the weight space of weight  $\omega$ .

We say that  $V$  is Cartan-diagonalizable if  $V = \bigoplus_{\omega \in \mathfrak{t}^\times} V_\omega$ .

For any  $i \in I$ ,  $r \in \mathbb{Z}$  we have

$$\phi_{i,r}^\pm(V_\omega) \subset V_\omega \text{ and } x_{i,r}^\pm(V_\omega) \subset V_{\omega\overline{\alpha}_i^{\pm 1}}.$$

**Definition 2.3.** A series  $\Psi = (\Psi_{i,m})_{i \in I, m \geq 0}$  of complex numbers such that  $\Psi_{i,0} \neq 0$  for all  $i \in I$  is called an  $\ell$ -weight.

We denote by  $\mathfrak{t}_\ell^\times$  the group of  $\ell$ -weights.

For such an  $\ell$ -weight, identifying  $(\Psi_{i,m})_{m \geq 0}$  with its generating series, we shall write

$$\Psi = (\Psi_i(z))_{i \in I}, \quad \Psi_i(z) = \sum_{m \geq 0} \Psi_{i,m} z^m.$$

We have a surjective morphism of groups  $\varpi : \mathfrak{t}_\ell^\times \rightarrow P_{\mathbb{Q}}$  given by  $\Psi_i(0) = q_i^{\varpi(\Psi)(\alpha_i^\vee)}$ . In particular, we have a factorization of each  $\ell$ -weight

$$(2.3) \quad \Psi = \varpi(\Psi) \tilde{\Psi}$$

as a product of its constant part  $\varpi(\Psi)$  by its normalized part  $\tilde{\Psi}$ , so that the normalized part has a trivial constant part.

**Definition 2.4.** A  $\mathcal{U}_q(\mathfrak{b})$ -module  $V$  is said to be of highest  $\ell$ -weight  $\Psi \in \mathfrak{t}_\ell^\times$  if there is  $v \in V$  such that  $V = \mathcal{U}_q(\mathfrak{b}).v$  and the following hold:

$$e_i v = 0 \quad (i \in I), \quad \phi_{i,m}^+ v = \Psi_{i,m} v \quad (i \in I, m \geq 0).$$

The  $\ell$ -weight  $\Psi \in \mathfrak{t}_\ell^\times$  is uniquely determined by  $V$  and is called the highest  $\ell$ -weight of  $V$ . The vector  $v$  is said to be a highest  $\ell$ -weight vector of  $V$ .

**Proposition 2.5.** For any  $\Psi \in \mathfrak{t}_\ell^\times$ , there exists a simple highest  $\ell$ -weight module  $L(\Psi)$  of highest  $\ell$ -weight  $\Psi$ . This module is unique up to isomorphism.



The submodule of  $L(\Psi) \otimes L(\Psi')$  generated by a tensor product of highest  $\ell$ -weight vectors is of highest  $\ell$ -weight  $\Psi\Psi'$ . Hence  $L(\Psi\Psi')$  is a subquotient of  $L(\Psi) \otimes L(\Psi')$ .

**Definition 2.6.** [HJ] For  $i \in I$  and  $a \in \mathbb{C}^\times$ , let

$$(2.4) \quad L_{i,a}^\pm = L(\Psi_{i,a}) \quad \text{where} \quad (\Psi_{i,a})_j(z) = \begin{cases} (1 - za)^{\pm 1} & (j = i), \\ 1 & (j \neq i). \end{cases}$$

The representation  $L_{i,a}^+$  (resp.  $L_{i,a}^-$ ) is called a positive (resp. negative) prefundamental representation in the category  $\mathcal{O}$ .

**Definition 2.7.** [HJ] For  $\omega \in \mathfrak{t}^\times$ , let

$$[\omega] = L(\Psi_\omega) \quad \text{where} \quad (\Psi_\omega)_i(z) = \omega(i) \quad (i \in I).$$

For  $\lambda \in P$ , we will use the notation  $[\lambda]$  for the representation  $[\bar{\lambda}]$ . For  $\lambda \in \mathfrak{t}^\times$ , we set

$$D(\lambda) = \{\omega \in \mathfrak{t}^\times \mid \omega \leq \lambda\}.$$

The following category  $\mathcal{O}$  is introduced in [HJ], mimicking the definition for classical Kac-Moody algebra, but using the weight space decomposition for the underlying finite-type Lie algebra.

**Definition 2.8.** A  $\mathcal{U}_q(\mathfrak{b})$ -module  $V$  is said to be in category  $\mathcal{O}$  if:

- i)  $V$  is Cartan-diagonalizable,
- ii) for all  $\omega \in \mathfrak{t}^\times$  we have  $\dim(V_\omega) < \infty$ ,
- iii) there exist a finite number of elements  $\lambda_1, \dots, \lambda_s \in \mathfrak{t}^\times$  such that the weights of  $V$  are in  $\bigcup_{j=1, \dots, s} D(\lambda_j)$ .

The category  $\mathcal{O}$  is a monoidal category.

Let  $\Psi \in \mathfrak{r}$  be the subgroup of  $\mathfrak{t}_\ell^\times$  consisting of  $\Psi = (\Psi_i(z))_{i \in I}$  such that  $\Psi_i(z)$  is rational for any  $i \in I$ .

**Theorem 2.9.** [HJ] Let  $\Psi \in \mathfrak{t}_\ell^\times$ . The simple module  $L(\Psi)$  is in the category  $\mathcal{O}$  if and only if  $\Psi \in \mathfrak{r}$ .

Let  $\mathcal{E}$  be the additive group of maps  $c : P_{\mathbb{Q}} \rightarrow \mathbb{Z}$  whose support  $\{\omega \in P_{\mathbb{Q}}, c(\omega) \neq 0\}$  is contained in a finite union of sets of the form  $D(\mu)$ .

For  $V$  in the category  $\mathcal{O}$  we define the character of  $V$  to be an element of  $\mathcal{E}$

$$(2.5) \quad \chi(V) = \sum_{\omega \in \mathfrak{t}^\times} \dim(V_\omega)[\omega],$$

where for  $\omega \in P_{\mathbb{Q}}$ , we have set  $[\omega] = \delta_{\omega, \cdot} \in \mathcal{E}$ .

As for the category  $\mathcal{O}$  of a classical Kac-Moody algebra, the multiplicity of a simple module in a module of our category  $\mathcal{O}$  is well-defined (see [Kac, Section 9.6]) and we have the corresponding Grothendieck ring  $K_0(\mathcal{O})$  (see [HL, Section 3.2]). Its elements are the formal sums

$$\chi = \sum_{\Psi \in \mathfrak{r}} \lambda_\Psi [L(\Psi)]$$

where the  $\lambda_\Psi \in \mathbb{Z}$  are set so that  $\sum_{\Psi \in \mathfrak{r}, \omega \in P_{\mathbb{Q}}} |\lambda_\Psi| \dim((L(\Psi))_\omega)[\omega]$  is in  $\mathcal{E}$ .

**2.3. Finite-dimensional representations.** For  $i \in I$  and  $a \in \mathbb{C}^*$ , consider

$$Y_{i,a} = \overline{\omega}_i \Psi_{i,aq_i}^{-1} \Psi_{i,aq_i^{-1}}.$$

If  $M$  is a product of such  $\ell$ -weight, then  $L(M)$  is finite-dimensional. Moreover, the action of  $\mathcal{U}_q(\mathfrak{b})$  can be uniquely extended to an action of the full quantum affine algebra  $\mathcal{U}_q(\mathfrak{g})$ , and any simple object in the category  $\mathcal{C}$  of (type 1) finite-dimensional representations of  $\mathcal{U}_q(\mathfrak{g})$  is of this form. By [CP1] and [FH, Remark 3.11], for  $L$  a finite-dimensional module in the category  $\mathcal{O}$ , there is  $M$  as above and  $\omega \in \mathfrak{t}^\times$  such that

$$L \simeq L(M) \otimes [\omega].$$

**Example 2.10.** For  $i \in I$ ,  $a \in \mathbb{C}^\times$  and  $k \geq 0$ , we have the Kirillov–Reshetikhin (KR) module

$$(2.6) \quad W_{k,a}^{(i)} = L(Y_{i,a} Y_{i,aq_i^2} \cdots Y_{i,aq_i^{2(k-1)}}).$$

The representations  $V_i(a) = L(Y_{i,a})$  are called fundamental representations.

**2.4.  $\ell$ -weight spaces.** For a  $\mathcal{U}_q(\mathfrak{b})$ -module  $V$  and  $\Psi \in \mathfrak{t}_\ell^*$ , the linear subspace

$$(2.7) \quad V_\Psi = \{v \in V \mid \exists p \geq 0, \forall i \in I, \forall m \geq 0, (\phi_{i,m}^+ - \Psi_{i,m})^p v = 0\}$$

is called the  $\ell$ -weight space of  $V$  of  $\ell$ -weight  $\Psi$ .

The study of these  $\ell$ -weight spaces is one of the motivations for the  $q$ -character theory [FR].

A representation in the category  $\mathcal{O}$  is the direct sum of its  $\ell$ -weight spaces. Moreover we have the following.

**Theorem 2.11.** [HJ] For  $V$  in category  $\mathcal{O}$ ,  $V_\Psi \neq 0$  implies  $\Psi \in \mathfrak{r}$ .

**Example 2.12.** (i) The fundamental representation  $V_1(a)$  of  $\mathcal{U}_q(\hat{sl}_2)$  is 2-dimensional and has  $\ell$ -weight spaces attached respectively to  $Y_{1,a}$  and  $Y_{1,aq^2}^{-1}$ .

(ii) It is proved in [HJ, FH] that for  $i \in I$  and  $a \in \mathbb{C}^*$ , the  $\ell$ -weights of  $L_{i,a}^+$  are all of the form  $\Psi_{i,a}[\omega]$  where  $\omega \in Q$ . In the  $sl_2$ -case, all  $\ell$ -weight spaces are of dimension 1 and the  $\ell$ -weights are the  $\Psi_{1,a-2r\omega_1}$ ,  $r \geq 0$ .

Let  $\mathcal{E}_\ell$  be the additive group of maps  $c : \mathfrak{r} \rightarrow \mathbb{Z}$  such that

$$\varpi(\{\Psi \in \mathfrak{r} \mid c(\Psi) \neq 0\})$$

is contained in a finite union of sets of the form  $D(\mu)$ , and such that for every  $\omega \in P_{\mathbb{Q}}$ , the set of  $\Psi \in \mathfrak{r}$  satisfying  $c(\Psi) \neq 0$  and  $\varpi(\Psi) = \omega$  is finite. The map  $\varpi$  is naturally extended to a surjective homomorphism

$$\varpi : \mathcal{E}_\ell \rightarrow \mathcal{E}.$$

For  $\Psi \in \mathfrak{r}$ , we define  $[\Psi] = \delta_{\Psi, \cdot} \in \mathcal{E}_\ell$ .

For  $V$  in the category  $\mathcal{O}$ , we define [FR, HJ] the  $q$ -character of  $V$  as

$$\chi_q(V) = \sum_{\Psi \in \mathfrak{r}} \dim(V_\Psi) [\Psi] \in \mathcal{E}_\ell.$$

Following [FR], we will use for  $i \in I$ ,  $a \in \mathbb{C}^*$  the  $\ell$ -weight  $A_{i,a}$  which is set to be

$$Y_{i,aa_i^{-1}}Y_{i,aa_i} \left( \prod_{\{j \in I | C_{j,i} = -1\}} Y_{j,a} \prod_{\{j \in I | C_{j,i} = -2\}} Y_{j,aa^{-1}}Y_{j,aa} \prod_{\{j \in I | C_{j,i} = -3\}} Y_{j,aa^{-2}}Y_{j,a}Y_{j,aa^2} \right)^{-1}.$$

**Example 2.13.** In the case  $\mathfrak{g} = \widehat{\mathfrak{sl}}_2$ , we have:

$$\chi_q(L_{1,a}^+) = [(1-za)] \sum_{r \geq 0} [-2r\omega_1], \quad \chi_q(L_{1,a}^-) = \left[ \frac{1}{(1-za)} \right] \sum_{r \geq 0} A_{1,a}^{-1} A_{1,aa^{-2}}^{-1} \cdots A_{1,aa^{-2(r-1)}}^{-1}.$$

Recall the factorization of  $\ell$ -weights (2.3). We will use the following.

**Proposition 2.14.** *Let  $L(\Psi)$  finite-dimensional and  $\Psi'$  be an  $\ell$ -weight of  $L(\Psi)$ . Then its constant part  $\varpi(\Psi')$  is uniquely determined by its normalized part  $\widetilde{\Psi}'$ .*

Note that this statement is not satisfied in general, for example it is not satisfied by positive prefundamental representations.

*Proof.* The  $\ell$ -weights of  $L(\Psi)$  are of the form [FR, FM] :

$$(2.8) \quad \Psi' = \Psi A_{i_1, a_1}^{-1} \cdots A_{i_N, a_N}^{-1}$$

where the  $i_1, \dots, i_N \in I$  and  $a_1, \dots, a_N \in \mathbb{C}^*$ . In particular

$$\begin{aligned} \widetilde{\Psi}' &= \widetilde{A_{i_1, a_1}^{-1}} \cdots \widetilde{A_{i_N, a_N}^{-1}}, \\ \varpi \Psi' &= \overline{-\alpha_{i_1} \cdots -\alpha_{i_N}}. \end{aligned}$$

But the  $\widetilde{A_{i,a}}$  are free in the multiplicative group of  $\ell$ -weights, so  $\varpi \Psi'$  is uniquely determined from  $\widetilde{\Psi}'$ .  $\square$

The algebra  $\mathcal{U}_q(\mathfrak{g})$  has a natural  $Q$ -grading defined by

$$\deg \left( x_{i,m}^\pm \right) = \pm \alpha_i, \quad \deg \left( h_{i,r} \right) = \deg \left( k_i^\pm \right) = \deg \left( c^{\pm 1/2} \right) = 0.$$

Let  $\widetilde{\mathcal{U}}_q^+(\mathfrak{g})$  (resp.  $\widetilde{\mathcal{U}}_q^-(\mathfrak{g})$ ) be the subalgebra of  $\mathcal{U}_q(\mathfrak{g})$  consisting of elements of positive (resp. negative)  $Q$ -degree. These subalgebras should not be confused with the subalgebras  $\mathcal{U}_q^\pm(\mathfrak{g})$  previously defined in terms of Drinfeld generators. Let

$$X^+ = \sum_{j \in I, m \in \mathbb{Z}} \mathbb{C} x_{j,m}^+ \subset \widetilde{\mathcal{U}}_q^+(\mathfrak{g}).$$

**Theorem 2.15.** [D1] *Let  $i \in I$ ,  $r > 0$ ,  $m \in \mathbb{Z}$ . We have*

$$(2.9) \quad \Delta \left( h_{i,r} \right) \in h_{i,r} \otimes 1 + 1 \otimes h_{i,r} + \widetilde{\mathcal{U}}_q^-(\mathfrak{g}) \otimes \widetilde{\mathcal{U}}_q^+(\mathfrak{g}),$$

$$(2.10) \quad \Delta \left( x_{i,m}^+ \right) \in x_{i,m}^+ \otimes 1 + \mathcal{U}_q(\mathfrak{g}) \otimes (\mathcal{U}_q(\mathfrak{g})X^+).$$

By definition, the  $q$ -character and the decomposition in  $\ell$ -weight spaces of a representation in the category  $\mathcal{O}$  is determined by the action of  $\mathcal{U}_q(\mathfrak{h})^+$  [FR]. Therefore one can define the  $q$ -character  $\chi_q(W)$  of a  $\mathcal{U}_q(\mathfrak{h})^+$ -submodule  $W$  of an object in the category  $\mathcal{O}$ .

The following result describes a condition on the  $\ell$ -weight of a linear combination of pure tensor products of weight vectors. It was originally proved in [H2] for finite-dimensional representations in the category  $\mathcal{C}$ , but the proof is the same for general representations in the category  $\mathcal{O}$ .

**Theorem 2.16.** [H2] *Let  $V_1, V_2$  representations in the category  $\mathcal{O}$  and consider an  $\ell$ -weight vector*

$$w = \left( \sum_{\alpha} w_{\alpha} \otimes v_{\alpha} \right) + \left( \sum_{\beta} w'_{\beta} \otimes v'_{\beta} \right) \in V_1 \otimes V_2$$

satisfying the following conditions.

(i) *The  $v_{\alpha}$  are  $\ell$ -weight vectors of weight  $\omega_{\alpha}$  and the  $v'_{\beta}$  are weight vectors of weight  $\omega_{\beta}$ .*

(ii) *For any  $\beta$ , there is an  $\alpha$  satisfying  $\omega_{\beta} > \omega_{\alpha}$ .*

(iii) *For  $\omega \in \{\omega_{\alpha}\}_{\alpha}$ , we have  $\sum_{\{\alpha|\omega_{\alpha}=\omega\}} w_{\alpha} \otimes v_{\alpha} \neq 0$ .*

*Then the  $\ell$ -weight of  $w$  is the product of the  $\ell$ -weight of one of the  $v_{\alpha}$  by an  $\ell$ -weight of  $V_1$ .*

This result is one of the motivations for the constructions in this paper. It can be seen as an algebraic analog of the topological triangularity for  $K$ -theoretic stable map, as discussed in the introduction. It is also crucial for the results in [H4] about modules of highest  $\ell$ -weight.

**Example 2.17.** *Let  $a, b \in \mathbb{C}^*$  and  $V_1(a) \otimes V_1(b)$  a tensor product of fundamental representations of  $\mathcal{U}_q(\hat{sl}_2)$ . We denote by  $v_a^{\pm}$  (resp.  $v_b^{\pm}$ ) a natural basis of  $\ell$ -weight vectors of  $V_1(a)$  (resp.  $V_1(b)$ ). Then  $v_a^- \otimes v_b^+$  and  $(b-a)(v_a^+ \otimes v_b^-) + a(q-q^{-1})(v_a^- \otimes v_b^+)$  are  $\ell$ -weight vectors of respective  $\ell$ -weights  $Y_{1,aq^2}^{-1} Y_{1,b}$ ,  $Y_{1,a} Y_{1,bq^2}^{-1}$ , but not  $v_a^+ \otimes v_b^-$ . See [H2, Example 3.3] for details.*

### 3. ALGEBRAIC STABLE MAPS

In this section we define and construct algebraic stable maps on tensor products of modules in the category  $\mathcal{O}$  (Definition 3.5). We prove they define linear isomorphisms (Proposition 3.6). We introduce the deformations of algebraic stable maps and we establish the rationality in the spectral parameter (Theorem 3.9). Then we give various examples in Subsection 3.3.

The main motivations for the constructions in this section are the stable maps and the triangularity of  $\ell$ -weight vectors in Theorem 2.16 (see the Introduction).

**3.1. Definition and construction.** Motivated by Theorem 2.16, let us consider the following partial ordering on  $P_{\mathbb{Q}} \times P_{\mathbb{Q}}$  which will be crucial in the following :

$$(3.11) \quad (\omega_1, \omega_2) \succeq (\omega'_1, \omega'_2) \text{ if and only if } (\omega_1 + \omega_2 = \omega'_1 + \omega'_2 \text{ and } \omega_1 \preceq \omega'_1).$$

Obviously, this is equivalent to  $(\omega_1 + \omega_2 = \omega'_1 + \omega'_2 \text{ and } \omega_2 \succeq \omega'_2)$ .

Let  $V$  and  $W$  representations in the category  $\mathcal{O}$ .

For  $v \in V$ ,  $w \in W$   $\ell$ -weight vectors of respective  $\ell$ -weights  $\Psi$ ,  $\Psi'$  and corresponding weights  $\omega_1$ ,  $\omega_2$ , let us denote

$$(v \otimes w)_{\prec} = \sum_{(\varpi(\Psi), \varpi(\Psi')) \succ (\omega_1, \omega_2)} V_{\omega_1} \otimes W_{\omega_2},$$

$$(v \otimes w)_{\preceq} = v \otimes w + (v \otimes w)_{\prec}.$$

**Proposition 3.1.** *There is an  $\ell$ -weight vector of  $V \otimes W$  in  $(v \otimes w)_{\preceq}$ . The  $\ell$ -weight of such an  $\ell$ -weight vector is  $\Psi\Psi'$ .*

*Proof.* Let  $M$  be the  $\mathcal{U}_q(\mathfrak{h})^+$ -submodule of  $V \otimes W$  generated by  $(v \otimes w)_{\prec}$ . By the coproduct approximation formula (2.9), we have the  $\mathcal{U}_q(\mathfrak{h})^+$ -submodules

$$(v \otimes w)_{\prec} \subset M = (v \otimes w)_{\prec} + \mathcal{U}_q(\mathfrak{h})^+ \cdot (v \otimes w) \subset V \otimes W.$$

Then

$$\chi_q(M) = \chi_q((v \otimes w)_{\prec}) + \chi_q(M/(v \otimes w)_{\prec}).$$

By coproduct formulas (2.9) again, all weight vectors in  $M/(v \otimes w)_{\prec}$  have the same  $\ell$ -weight  $\Psi\Psi'$ , and so one has

$$\chi_q(M/(v \otimes w)_{\prec}) = \dim(M/(v \otimes w)_{\prec})[\Psi\Psi'].$$

This implies

$$M = (v \otimes w)_{\prec} \oplus M'$$

where  $M' \subset M_{\Psi\Psi'}$  is a space of  $\ell$ -weight vectors. Consider the component in  $M'$  of the decomposition of  $v \otimes w$  in this direct sum. It satisfies the properties in the statement.  $\square$

**Example 3.2.** *In Example 2.17 above, if  $a \neq b$  we have the  $\ell$ -weight vector*

$$(v_a^+ \otimes v_b^-) + \frac{a(q - q^{-1})}{b - a} (v_a^- \otimes v_b^+) \in (v_a^+ \otimes v_b^-)_{\prec}.$$

**Remark 3.3.** *In general the  $\ell$ -weight vector is not unique, even if  $V$  and  $W$  are simple. For example, in the  $sl_2$ -case, consider the tensor square  $V^{\otimes 2}$  of a 2-dimensional fundamental representation. Then the weight space  $(V^{\otimes 2})_0$  is an  $\ell$ -weight space. For  $v \in V_{-\omega}$ ,  $w \in V_{\omega}$  non zero, then*

$$v \otimes w + V_{\omega} \otimes V_{-\omega}$$

*is an affine subspace of dimension 1 contained in an  $\ell$ -weight space.*

Let us go back to the general case of  $V$ ,  $W$  in the category  $\mathcal{O}$ . Now we introduce a specific  $\ell$ -weight vector associated to  $v \otimes w$ .

As any object in the category  $\mathcal{O}$ , the representation  $V \otimes W$  can be decomposed into a direct sum  $\ell$ -weight spaces. We have a corresponding projection

$$\pi = \pi_{\Psi\Psi'} : V \otimes W \rightarrow (V \otimes W)_{\Psi\Psi'}$$

of  $V \otimes W$  on the  $\ell$ -weight space associated to  $\Psi\Psi'$ .

**Proposition 3.4.** *The  $\ell$ -weight vector  $\pi(v \otimes w)$  is non-zero and*

$$\pi(v \otimes w) \in (v \otimes w)_{\preceq}.$$

*Proof.* This is a direct consequence of the proof of Proposition 3.1 as the projection  $\pi(v \otimes w)$  is the  $\ell$ -weight vector constructed there in which is non-zero :

$$\pi((v \otimes w)_{\prec}) \subset (v \otimes w)_{\prec},$$

and

$$\pi(v \otimes w) \in v \otimes w + (v \otimes w)_{\prec} \subset M \setminus (v \otimes w)_{\prec}.$$

Hence the result.  $\square$

**Definition 3.5.** We define the algebraic stable map

$$S_{V,W} : V \otimes W \rightarrow V \otimes W$$

by

$$S_{V,W} = \pi_{\Psi\Psi'} \text{ on } (V)_{\Psi} \otimes (V')_{\Psi'}.$$

**Proposition 3.6.**  $S_{V,W}$  is a linear isomorphism.

*Proof.* Let us decompose  $V \otimes W$  as a direct of tensor products of weight spaces of  $V$  and  $W$ . Then the partial ordering  $\prec$  on  $P_{\mathbb{Q}} \times P_{\mathbb{Q}}$  induces a filtration on  $V \otimes W$  by  $\mathcal{U}_q(\mathfrak{h})^+$ -submodules. It follows from Proposition 3.4 that  $S_{V,W}$  is compatible with the filtration and that it induces the identity on the corresponding graded space. This implies the injectivity. We get the result as the weight spaces of  $V \otimes W$  are finite dimensional and stable by  $S_{V,W}$ .  $\square$

**3.2. Deformations.** In the following, it will be useful to consider deformations of algebraic stable maps which depend on a spectral parameter  $u$ .

As the subalgebra  $\mathcal{U}_{q,u}(\mathfrak{h}) = \mathcal{U}_q(\mathfrak{h}) \otimes \mathbb{C}(u) \subset \mathcal{U}_{q,u}(\mathfrak{g})$  is stable by the automorphism  $\tau_u$  considered in Section 2.1, for a formal parameter  $u$  and  $V$  a representation in the category  $\mathcal{O}$ , we have the deformed  $\mathcal{U}_{q,u}(\mathfrak{h})$ -module  $V(u)$  as above. The representation  $V(u)$  has also a decomposition into a direct of  $\ell$ -weight spaces, and the  $\ell$ -weights are formal power series with coefficients in  $\mathbb{C}[u]$ .

**Remark 3.7.** The same proof as in Proposition 3.1 gives an analog  $\ell$ -weight vector in the tensor product  $V(u) \otimes W$ , that is when  $V$  is replaced by the deformed module  $V(u)$ . Its  $\ell$ -weight is  $\Psi(u)\Psi'$  where  $\Psi(u)$  is defined as the  $\ell$ -weight

$$\Psi(u) : z \mapsto \Psi(zu).$$

**Proposition 3.8.** Let  $V, W$  simple modules in the category  $\mathcal{O}$  such that  $V$  or  $W$  is finite-dimensional. Then the  $\ell$ -weight vector of  $\ell$ -weight  $\Psi(u)\Psi'$  in  $(v \otimes w)_{\preceq} \subset V(u) \otimes W$  is unique.

*Proof.* Suppose for example that  $V$  is finite-dimensional. Then it follows from Proposition 2.14 that  $(v \otimes w)_{\prec}$  does not contain any  $\ell$ -weight vector of  $\ell$ -weight  $\Psi(u)\Psi'$ . The uniqueness follows. This is analog when  $W$  is finite-dimensional as

$$(V(u) \otimes W)(u^{-1}) \simeq V \otimes W(u^{-1}).$$

$\square$

By Remark 3.7, for formal parameters  $u, v$ , the map  $S_{V,W}$  can be deformed by replacing the representations  $V, W$  respectively by  $V(u)$  and  $W(v)$ . We get the deformed algebraic stable map

$$S_{V,W}(u, v) : V(u) \otimes W(v) \rightarrow V(u) \otimes W(v).$$

**Theorem 3.9.**  *$S_{V,W}(u, v)$  depends only on the quotient  $u/v$  and is rational in this parameter.*

In the following, it will just be denoted by  $S_{V,W}(u/v)$ .

*Proof.* The first point follows from the elementary observation :

$$V(u) \otimes W(v) \simeq (V(u/v) \otimes W(1))(v^{-1}).$$

Then an  $\ell$ -weight vector in  $V(u/v) \otimes W(1)$  is still an  $\ell$ -weight vector when the action is twisted by  $v^{-1}$ .

So for the second point, we can suppose  $v = 1$ . Let us consider a non zero weight space

$$(V(u) \otimes W)_\lambda.$$

As it is finite-dimensional, there is a finite  $N > 0$  such that the  $\ell$ -weight vectors in  $(V(u) \otimes W)_\lambda$  are uniquely determined by the action of the  $h_{i,m}$ ,  $i \in I$ ,  $0 < m \leq N$ . By the definition of the coproduct, for an element  $x \in \mathcal{U}_q(\mathfrak{b})$  of degree  $m \geq 0$ ,  $\Delta(x)$  is a sum of pure tensors  $a \otimes b$  with  $a, b$  of degree  $\leq m$ . So the  $h_{i,m}$  with  $0 < m \leq N$  act on  $V(u) \otimes W$  as polynomials in  $u$  of degree lower than  $M$ . Besides, consider a basis of  $V(u) \otimes W$  of pure tensor of  $\ell$ -weight vectors. Then there is a partial ordering on such a basis induced from  $\preceq$ . Indeed, for  $v, v', w, w'$   $\ell$ -weight vectors of respective weights  $\omega_1, \omega'_1, \omega_2, \omega'_2$ , we set

$$v \otimes w \preceq v' \otimes w' \text{ if } (\omega_1, \omega_2) \preceq (\omega'_1, \omega'_2).$$

We can re-order the basis of  $\ell$ -weight vectors so that it is compatible with  $\preceq$ . Then the action of the  $h_{i,m}$  gives triangular matrices in such a basis thanks to the coproduct formula (2.9). So the operators  $h_{i,m}$  are pseudo-diagonalizable on  $V(u) \otimes W$  over the field  $\mathbb{C}(u)$ . Hence the projection on the corresponding generalized eigenspaces are rational.  $\square$

**3.3. Examples.** We consider various explicit examples of the maps constructed in the previous sections. Our examples contain some infinite-dimensional representations.

**Example 3.10.** *Let  $\mathfrak{g} = \hat{\mathfrak{sl}}_2$  and for  $k$  and consider the evaluation representation*

$$W_k = L(Y_{1,q^{-1}} Y_{1,q^{-3}} \cdots Y_{1,q^{1-2k}}),$$

see [HJ, Section 4.1]. *We can choose a basis of  $\ell$ -weight vectors  $v_0, \dots, v_k$  of  $W_k$  so that  $E_1.v_i = v_{i-1}$  for  $i \geq 1$ . Then we have*

$$E_0.v_i = q^{2-k}[i+1]_q[k-i]_q v_{i+1} \text{ and } h_1.v_i = (q^{2-2i} + q^{-2i} - q^{-2k} - q^2)(q - q^{-1})^{-1} v_i.$$

*Hence the action of  $h_1 = q^{-2}E_1E_0 - E_0E_1$  is sufficient to determine the  $\ell$ -weight vectors. Then one has in  $W_k(u) \otimes W_l$  :*

$$\begin{aligned} & h_1.(v_i \otimes v_j) \\ &= q^{-2}E_1(E_0v_i \otimes v_j + q^{2i-k}v_i \otimes E_0v_j) - E_0(E_1v_i \otimes v_j + q^{k-2i}v_i \otimes E_1v_j) \end{aligned}$$

$$\begin{aligned}
&= (h_1.v_i \otimes v_j) + (v_i \otimes h_1.v_j) \\
&+ q^{-4+k-2i} E_0 v_i \otimes E_1 v_j + q^{-2+2i-k} E_1 v_i \otimes E_0 v_j - q^{2i-k-2} E_1 v_i \otimes E_0 v_j - q^{k-2i} E_0 v_i \otimes E_1 v_j \\
&= (h_1.v_i \otimes v_j) + (v_i \otimes h_1.v_j) + (q^{-4} - 1)q^{k-2i}(E_0.v_i \otimes v_{j-1}) \\
&= P_{i,j}^{k,l}(u)(v_i \otimes v_j) + u\alpha_{i,k}(q + q^{-1})(v_{i+1} \otimes v_{j-1}),
\end{aligned}$$

where  $\alpha_{i,k} = (q^{-1} - q)q^{-2i}[i+1]_q[k-i]_q$  and the

$$P_{i,j}^{k,l}(u) = ((q^2 + 1)q^{-2j} - q^2 - q^{-2l} + u((q^2 + 1)q^{-2i} - q^2 - q^{-2k}))(q - q^{-1})^{-1}.$$

are the eigenvalues of  $h_1$  on the tensor product. Then we get an  $\ell$ -weight vector of the form

$$v_i \otimes v_j + \frac{u\alpha_{i,k}(q + q^{-1})v_{i+1} \otimes v_{j-1}}{P_{i,j}^{k,l}(u) - P_{i+1,j-1}^{k,l}(u)} + \frac{u^2\alpha_{i,k}\alpha_{i+1,k}(q + q^{-1})^2 v_{i+2} \otimes v_{j-2}}{(P_{i,j}^{k,l}(u, v) - P_{i+1,j-1}^{k,l}(u))(P_{i,j}^{k,l}(u, v) - P_{i+2,j-2}^{k,l}(u))} + \dots$$

So by substituting  $v_i \in W_k(u)$  by  $v'_i = (\alpha_{0,k}\alpha_{1,k}\dots\alpha_{i-1,k})v_i$ , we get the following :

$$S_{W_k, W_l}(u).(v'_i \otimes v_j) = v'_i \otimes v_j + \sum_{0 < \lambda \leq \text{Min}(j, k-i)} \frac{u^\lambda v'_{i+\lambda} \otimes v_{j-\lambda}}{[\lambda]_q!(uq^{-2i} - q^{2(1-j)}) \dots (uq^{1-2i-\lambda} - q^{1-2j+\lambda})}.$$

In particular, for  $k = l = 1$ ,  $v'_1 = (q^{-1} - q)v_1$  and we get :

$$S_{W_1, W_1}(u).(v_1 \otimes v_0) = v_1 \otimes v_0, \quad S_{W_1, W_1}(u).(v_0 \otimes v_1) = v_0 \otimes v_1 + \frac{u(q - q^{-1})}{1 - u} v_1 \otimes v_0.$$

This matches the  $\ell$ -weight vectors computed in Example 2.17.

**Remark 3.11.** In the example above, one can observe the following :  $S_{W_k, W_l}(u)$  is regular at  $u = 0$  and at  $u = \infty$ , and  $S_{W_k, W_l}(0) = \text{Id}$ . This is not true in general as the examples below will show.

**Example 3.12.** Let  $\mathfrak{g} = \hat{\mathfrak{sl}}_2$  and  $k \geq 0$ . We can choose a basis of  $\ell$ -weight vectors  $w_0, w_1 \dots$  of the prefundamental representation  $L_1^-$  so that  $E_1.w_i = w_{i-1}$  for  $i \geq 1$ , see [HJ, Section 4.1]. Then

$$h_1.w_i = \frac{q^{2-2i} + q^{-2i} - q^2}{q - q^{-1}} w_i.$$

Then as above one has :

$$S_{W_k, L_1^-}(u).(v'_i \otimes w_j) = v'_i \otimes w_j + \sum_{0 < \lambda \leq \text{Min}(j, k-i)} \frac{u^\lambda v'_{i+\lambda} \otimes w_{j-\lambda}}{[\lambda]_q!(uq^{-2i} - q^{2(1-j)}) \dots (uq^{1-2i-\lambda} - q^{1-2j+\lambda})}$$

$$S_{L_1^-, W_l}(u).(w'_i \otimes v_j) = w'_i \otimes v_j + \sum_{0 < \lambda \leq j} \frac{u^\lambda w'_{i+\lambda} \otimes v_{j-\lambda}}{[\lambda]_q!(uq^{-2i} - q^{2(1-j)}) \dots (uq^{1-2i-\lambda} - q^{1-2j+\lambda})}$$

where  $w'_i = q^{-3i(i-1)/2}(-1)^i[i]_q! w_i$ .



**Example 3.13.** Let  $\mathfrak{g} = \hat{sl}_2$  and  $k \geq 0$ . We can choose a basis of  $\ell$ -weight vectors  $w_0, w_1 \cdots$  of the prefundamental representation  $L_1^+$  so that  $E_1.z_i = z_{i-1}$  for  $i \geq 1$ , see [HJ, Section 7.1]. Then we have  $E_0.z_i = -q^{i+2} \frac{[i+1]_q}{q-q^{-1}} z_{i+1}$ ,  $h_1.z_i = (q^{-1} - q)^{-1} z_i$  and

$$S_{W_k, L_1^+}(u).(v'_i \otimes z_j) = \sum_{0 \leq \lambda \leq \min(j, k-i)} \frac{q^{2i\lambda + \frac{\lambda(\lambda-1)}{2}}}{[\lambda]_q!} v'_{i+\lambda} \otimes z_{j-\lambda},$$

$$S_{L_1^+, W_1}(u).(z'_i \otimes v_j) = \sum_{0 \leq \lambda \leq j} \frac{q^{(2j-i)\lambda - \lambda(\lambda+1)}}{[\lambda]_q!} (-u)^\lambda z'_{i+\lambda} \otimes v_{j-\lambda},$$

where  $z'_i = [i]_q! z_i$ .

**Example 3.14.** Let  $\mathfrak{g} = \hat{sl}_2$ .

$$S_{L_1^-, L_1^+}(u).(w'_i \otimes z_j) = \sum_{0 \leq \lambda \leq j} \frac{q^{2i\lambda + \frac{\lambda(\lambda-1)}{2}}}{[\lambda]_q!} w'_{i+\lambda} \otimes z_{j-\lambda}$$

$$S_{L_1^+, L_1^-}(u).(z'_i \otimes w_j) = \sum_{0 \leq \lambda \leq j} \frac{q^{(2j-i)\lambda - \lambda(\lambda+1)}}{[\lambda]_q!} (-u)^\lambda z'_{i+\lambda} \otimes w_{j-\lambda},$$

$$S_{L_1^-, L_1^-}(u).(w'_i \otimes w_j) = w'_i \otimes w_j + \sum_{0 < \lambda \leq j} \frac{u^\lambda w'_{i+\lambda} \otimes w_{j-\lambda}}{[\lambda]_q! (uq^{-2i} - q^{2(1-j)}) \cdots (uq^{1-2i-\lambda} - q^{1-2j+\lambda})}$$

$S_{L_1^+, L_1^+}(u) = Id$  as each weight space of  $L_1^+(u) \otimes L_1^+(v)$  is an  $\ell$ -weight space.

**3.4. Normalized stable map.** Note that  $S_{V,W}(u)$  may have poles and does not necessarily converges to  $S_{V,W}$  when  $u \rightarrow 1$ . However, it is possible to define a renormalized limit. Indeed, there is unique  $N \in \mathbb{Z}$  such that the limit

$$\lim_{u \rightarrow 1} (u-1)^N S_{V,W}(u)$$

exists and is non zero. It is denoted

$$S_{V,W}^{norm} : V \otimes W \rightarrow V \otimes W.$$

**Remark 3.15.** The normalized  $S_{V,W}^{norm}$  is not equal to  $S_{V,W}$  in general.

**Example 3.16.** Let  $\mathfrak{g} = \hat{sl}_2$ . We use the notations of Example 3.10. For  $k = l = 1$ , we get :

$$S_{W_1, W_1}^{norm}.(v_1 \otimes v_0) = v_1 \otimes v_0, \quad S_{W_1, W_1}^{norm}.(v_0 \otimes v_1) = (q^{-1} - q)v_1 \otimes v_0.$$

We observe that  $S_{W_1, W_1}^{norm}$  is not invertible in opposition to  $S_{W_1, W_1}$  which is the identity (see Proposition 3.6).

4.  $R$ -MATRICES AND FINITE-DIMENSIONAL REPRESENTATIONS

In the case of finite-dimensional representations, the algebraic stable maps are related to multiplications by factor of the universal  $R$ -matrix (Proposition 4.4), by analogy to the original stable maps. Note that this is not true in general for the category  $\mathcal{O}$  as the action of the universal  $R$ -matrix is not always well-defined.

The properties established in this section are at the origin of the present work and discussions with A. Okounkov were crucial for its development (see in particular Remark 4.6).

**4.1. Reminders on  $R$ -matrices.** Let  $W$  and  $W'$  be simple finite-dimensional representations of  $\mathcal{U}_q(\mathfrak{g})$ .

For  $a \in \mathbb{C}^*$  generic (that is in the complement of a finite set of  $\mathbb{C}^*$ ), we have an isomorphism of  $\mathcal{U}_q(\mathfrak{g})$ -modules

$$\mathcal{R}_{W,W'}(a) : W \otimes W'(a) \rightarrow W'(a) \otimes W.$$

Considering  $a$  as a variable  $u$ , we get a rational map in  $u$

$$\mathcal{R}_{W,W'}(u) : (W \otimes W') \otimes \mathbb{C}(z) \rightarrow (W' \otimes W) \otimes \mathbb{C}(u).$$

This map is normalized so that for  $v \in W$ ,  $v' \in W'$  highest weight vectors, we have

$$(\mathcal{R}_{W,W'}(u))(v \otimes v') = v' \otimes v.$$

Note that  $\mathcal{R}_{W,W'}(u)$  defines an isomorphism of representations of  $\mathcal{U}_{q,z}(\mathfrak{g})$ . As in section 3.4, we may consider the first term in the development in  $u - 1$ , and we get a non zero morphism

$$\mathcal{R}_{W,W'} : W \otimes W' \rightarrow W' \otimes W$$

which is not necessary invertible (see [H3] for references).

By original results of Drinfeld, it is well-known that these intertwiners come from the universal  $R$ -matrix

$$\mathcal{R}(z) \in (\mathcal{U}_q(\mathfrak{g}) \hat{\otimes} \mathcal{U}_q(\mathfrak{g}))[[z]]$$

which is a solution of the Yang-Baxter equation (here  $\hat{\otimes}$  is a slightly completed tensor product).

The universal  $R$ -matrix has a factorization [KT, D1]

$$\mathcal{R}(z) = \mathcal{R}^+(z) \mathcal{R}^0(z) \mathcal{R}^-(z) \mathcal{R}^\infty,$$

where  $\mathcal{R}^\pm(z) \in \mathcal{U}_q(\mathfrak{b})^\pm \hat{\otimes} \mathcal{U}_q(\mathfrak{b}^-)^\mp[[z]]$ ,

$$\mathcal{R}^0(z) = \exp \left( - \sum_{m>0, i, j \in I} \frac{(q_i - q_i^{-1})(q_j - q_j^{-1}) m \tilde{B}_{i,j}(q^m)}{(q - q^{-1})[m]_q} z^m h_{i,m} \otimes h_{j,-m} \right),$$

and  $\mathcal{R}^\infty = q^{-t_\infty}$  where  $t_\infty \in \mathfrak{h} \otimes \mathfrak{h}$  is the canonical element (for the standard invariant symmetric bilinear form as in [D1]), that is, if we denote formally  $q = e^h$ , then

$$\mathcal{R}^\infty = e^{-ht_\infty}.$$

Hence if  $k_i \cdot x = q^{(\lambda, \alpha_i)} x$  and  $k_i \cdot y = q^{(\mu, \alpha_i)} y$ , then  $\mathcal{R}^\infty \cdot (x \otimes y) = q^{-(\lambda, \mu)} x \otimes y$ .

The following is a direct consequence of well-known results. The algebras  $\tilde{\mathcal{U}}_q^\pm(\mathfrak{g})$  are defined in section 2.4.

**Proposition 4.1.** *We have*

$$\mathcal{R}^+(z) \in 1 + (\tilde{\mathcal{U}}_q^-(\mathfrak{g}) \otimes \tilde{\mathcal{U}}_q^+(\mathfrak{g}))[[z]].$$

*Proof.* Let us recall that for a variable  $x$ , the  $q$ -exponential in  $x$  is a formal power series  $\exp_{q^p}(x) = \sum_{r \geq 0} \frac{x^r}{[r]_{q^p}!}$  where  $p \in \mathbb{Z}$  and  $[r]_v! = \prod_{1 \leq s \leq r} \frac{v^{2s}-1}{v^2-1} = v^{\frac{r(r-1)}{2}} [r]_v!$  for  $r \geq 0$ .

Let us also remind [Be, D1] that we have the root vectors  $E_\alpha \in \mathcal{U}_q(\mathfrak{b})$ ,  $F_\alpha \in \mathcal{U}_q(\mathfrak{b}^-)$  for

$$\alpha \in \Phi_+^{Re} = \Phi_0^+ \sqcup \{\beta + m\delta \mid m > 0, \beta \in \Phi_0\}.$$

Here  $\Phi_0$  (resp.  $\Phi_0^+$ ) is the set of roots (resp. positive roots) of  $\mathfrak{g}$  and  $\delta$  is the standard imaginary root of  $\mathfrak{g}$ .

$\mathcal{R}^+$  (resp.  $\mathcal{R}^-$ ) is a product of  $q$ -exponentials of a scalar multiple of a tensor product of root vectors  $z^m E_{\alpha+m\delta} \otimes F_{\alpha+m\delta}$  with  $m \geq 0$ ,  $\alpha \in \Phi_0^+$  (resp. with  $m > 0$ ,  $\alpha \in \Phi_0^-$ ). The result follows.  $\square$

**Example 4.2.** *In the case  $\mathfrak{g} = \hat{\mathfrak{sl}}_2$ , we have an explicit description in terms of Drinfeld generators :*

$$\begin{aligned} \mathcal{R}^+(z) &= \prod_{m \geq 0}^{\rightarrow} \exp_q \left( (q^{-1} - q) z^m x_{1,m}^+ \otimes x_{1,-m}^- \right), \\ \mathcal{R}^-(z) &= \prod_{m > 0}^{\leftarrow} \exp_{q^{-1}} \left( (q^{-1} - q) z^m k_1^{-1} x_{1,m}^- \otimes x_{1,-m}^+ k_1 \right), \\ \mathcal{R}^0(z) &= \exp \left( -(q - q^{-1}) \sum_{m > 0} z^m \frac{m}{[m]_q (q^m + q^{-m})} h_{1,m} \otimes h_{1,-m} \right). \end{aligned}$$

**Remark 4.3.** *In the formula<sup>4</sup> given in [FH, Example 7.1], the products defining  $\mathcal{R}^+(z)$  and  $\mathcal{R}^-(z)$  are not ordered as the ordering has no importance for the examples considered in that paper (indeed on the representation  $R_{1,1}$  there, the  $x_m^+$  and  $x_{m+1}^-$  act by 0 for  $m > 0$ ). In general we have to use the ordering given above, which is obtained from the convex ordering on affine roots*

$$\alpha < \alpha + \delta < \alpha + 2\delta < \dots < \delta < 2\delta < \dots < -\alpha + 2\delta < -\alpha + \delta.$$

For  $\tau$  the twist we have

$$\tau \circ \Delta = \mathcal{R}(u) \Delta \mathcal{R}^{-1}(u)$$

and so the specializations of  $\tau \circ \mathcal{R}(z)$  give morphisms of  $\mathcal{U}_q(\mathfrak{g})$ -modules.

**4.2. Relations to known constructions.** The main motivation for this work in the theory of stable envelopes by Maulik-Okounkov [MO] (see the Introduction). In the case of finite-dimensional fundamental representations  $V, W$  of a simply-laced quantum affine algebra, it provides the geometric construction of maps

$$\text{Stab}_{V,W}^\pm(z) : V(z) \otimes W \rightarrow V(z) \otimes W,$$

the chambers being defined from the quotient of spectral parameters (see Example 4.8). These maps depend on additional parameters (see the Introduction), and it is expected,

<sup>4</sup>The sign in the  $q$ -exponential for  $\mathcal{R}^-(z)$  in [FH, Example 7.1] has to be changed as above. Then,  $q - q^{-1}$  should be  $q^{-1} - q$  in [FH, Example 7.8] (for  $L_V^-(z)$  and  $t_V(z, u)$ ) and in [FH, Section 5.7] (for  $\mathcal{L}_V^-(z)$ ). In the first line for the image of the transfer-matrix,  $-(q - q^{-1})^2$  should be  $(q - q^{-1})^2$ .

and proved in certain cases [OS, Section 2.3.3], that some choice of these parameters,  $\text{Stab}_{V,W}^{\pm}(z)$  is obtained from  $\mathcal{R}^{\pm}(z)$  multiplied by a factor in  $(\mathcal{U}_q(\mathfrak{h})^+ \otimes \mathcal{U}_q(\mathfrak{h})^-)[[z]]$ .

By analogy, we have the following for  $V, W$  in the category  $\mathcal{O}$  such that  $W$  is simple finite-dimensional (not necessarily fundamental). In particular, when the statement above is established, the algebraic stable map  $S_{V,W}(u)$  in this paper is the Maulik-Okounkov stable map  $\tau \text{Stab}_{W,V}^+ \tau$ , up to a factor which is a tensor product of Cartan-Drinfeld elements.

**Proposition 4.4.** *The multiplication by  $\mathcal{R}^+(u)$  (resp. by  $\mathcal{R}^-(u)$ ) on  $V(u) \otimes W$  corresponds to the action of*

$$\tau \circ S_{W,V}(u^{-1}) \circ \tau \text{ (resp. } \mathcal{R}^{\infty}(S_{V,W}(u))^{-1}(\mathcal{R}^{\infty})^{-1}\text{)}.$$

**Remark 4.5.** *The construction of  $R$ -matrices from the Cartan-Drinfeld subalgebra might be seen as a reminiscent of the vertex algebra representations of quantum affine algebras [FJ].*

*Proof.* Let us explain it for  $\mathcal{R}^+(u)$  (this is analog for  $\mathcal{R}^-(u)$ ).  $\mathcal{R}^+(u)$  is a product of  $q$ -exponentials in the form described in section 4.1. Hence for  $v \in V, w \in W$   $\ell$ -weight vectors, we get

$$(\tau \circ \mathcal{R}^+(u) \circ \tau).(w \otimes v) \in (w \otimes v)_{\leq}.$$

Moreover it is known [KT, End of Section 5] that  $\mathcal{R}^+(u)$  defines a morphism of  $\mathcal{U}_q(\mathfrak{h})^+$ -modules as above

$$\tau \circ \mathcal{R}^+(u) : V(u) \otimes_d W \rightarrow W \otimes V(u).$$

Indeed, the Drinfeld coproduct is obtained from the usual coproduct by conjugation by  $\mathcal{R}^+(u)$  [KT, Proposition 5.1].

Consequently

$$(\tau \circ \mathcal{R}^+(u) \circ \tau).(w \otimes v)$$

is an  $\ell$ -weight vector. Hence, by the uniqueness in Proposition 3.8, this implies

$$S_{W,V}(u^{-1}) = \tau \circ \mathcal{R}^+(u) \circ \tau.$$

□

**Remark 4.6.** (i) *The compatibility of  $\mathcal{R}^{\pm}(u)$  with the actions of  $\mathcal{U}_q(\mathfrak{h})^+$  discussed in [KT] was pointed out by A. Okounkov to the author as an answer to his question about the seeming compatibility between stable maps and  $\ell$ -weight vectors (see Example 2.17).*

(ii) *Note that the statement of Proposition 4.4 does not make sense in general in the category  $\mathcal{O}$  as  $\mathcal{R}^+(z)$  can not be applied to  $V \otimes W$  for general representations  $V, W$  in this category  $\mathcal{O}$ .*

(iii) *For  $\mathfrak{g} = \hat{\mathfrak{sl}}_2$ , in the case of a prefundamental representation  $V$  and a Kirillov-Reshetikhin module  $W$ , a construction is discussed in [PSZ] in terms of equivariant  $K$ -theory.*

(iv)  *$\mathcal{R}^{\infty}$  commutes with the twist  $\tau$ .*

It is well known the full  $R$ -matrix  $\mathcal{R}(u)$  has a rational action up to a scalar. We deduce the following Corollary from our constructions.

**Corollary 4.7.** *Let  $V, W$  be finite-dimensional representations of  $U_q(\mathfrak{g})$ . Then  $\mathcal{R}^+(u)$  and  $\mathcal{R}^-(u)$  define rational operators on  $V(u) \otimes W$ . The factor  $\mathcal{R}^0(u)$  defines a rational operator up to a scalar factor.*

*Proof.* The rationality of  $\mathcal{R}^\pm(u)$  follow from the rationality of the algebraic stable maps and from Proposition 4.4. As the universal  $R$ -matrix defines a rational operator up to a scalar factor, we get the result for  $\mathcal{R}^0(u)$ .  $\square$

**Example 4.8.** *Let  $\mathfrak{g} = \hat{sl}_2$ ,  $V \simeq W \simeq W_1$  fundamental representation. Then the action of  $\mathcal{R}^+(u)$  (resp. of  $\mathcal{R}^-(u)$ ) reduces to the action of*

$$1 + \sum_{m \geq 0} (q^{-1} - q) u^m x_m^+ \otimes x_{-m}^- \quad (\text{resp. of } 1 + \sum_{m > 0} u^m (q^{-1} - q) k^{-1} x_m^- \otimes x_{-m}^+ k).$$

*In particular, in the basis  $(v_0 \otimes v_1, v_1 \otimes v_0)$ , we have*

$$\mathcal{R}^+(u) = \begin{pmatrix} 1 & \frac{q^{-1}-q}{1-u} \\ 0 & 1 \end{pmatrix} = \tau \circ S_{W,V}(u^{-1}) \circ \tau,$$

$$\mathcal{R}^-(u) = \begin{pmatrix} 1 & 0 \\ \frac{u(q^{-1}-q)}{1-u} & 1 \end{pmatrix} = (S_{V,W}(u))^{-1}.$$

*Note that the action of  $\mathcal{R}^\infty$  on the zero weight space of  $V(u) \otimes W$  is the multiplication by  $q^{(\omega, \omega)} = q^{\frac{1}{2}}$ .*

*We recover the computations in [OS, Section 7.1.7] in the case of  $X = T^*\mathbb{P}^1$  the cotangent bundle of  $\mathbb{P}^1$  with the natural action of  $T = A \times \mathbb{C}^*$  where  $A = \mathbb{C}^*$ . Indeed, the action of  $A$  is induced from the action on  $\mathbb{C}^2$  and given by characters denoted by  $u_1, u_2$ . The additional factor  $\mathbb{C}^*$  has a non-trivial action on the fibers of  $T^*\mathbb{P}^1$  given by the character  $h$ . The fixed points in  $X$  are*

$$X^A = \{p_0 = [1 : 0], p_1 = [0 : 1]\}.$$

*There are 2 chambers  $\mathcal{C}_\pm = \{x \in \mathbb{R} \mid \pm u(x) > 0\} \subset \mathfrak{a}_{\mathbb{R}} = \mathbb{R}$  where  $u = u_1/u_2$ . Then*

$$\{p_0\} \succ_{\mathcal{C}_-} \{p_1\} \text{ et } \{p_1\} \succ_{\mathcal{C}_+} \{p_0\}.$$

*We have the basis  $[p_0], [p_1]$  of  $K_T(X^A)$  over  $\mathbb{C}(u, h^{\frac{1}{2}})$ . Using the inclusion  $X^A \subset X$  and the corresponding injection  $i : K_T(X) \hookrightarrow K_T(X^A)$ , we have a corresponding basis in  $K_T(X)$ . In these basis, for a choice of a slope (see footnote 1 and [OS, Section 7.1.5] for details), we get the matrices in the basis of fixed points  $([p_1], [p_0])$  (here we set  $q = h^{-\frac{1}{2}}$ ) :*

$$\text{Stab}_{\mathcal{C}_+} = \begin{pmatrix} q^{-1}(u - q^2) & 0 \\ u(q^{-1} - q) & 1 - u \end{pmatrix} = \begin{pmatrix} q^{-1}(u - q^2) & 0 \\ 0 & 1 - u \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \frac{u(q^{-1}-q)}{1-u} & 1 \end{pmatrix},$$

$$\text{Stab}_{\mathcal{C}_-} = \begin{pmatrix} u - 1 & q^{-1} - q \\ 0 & q(q^{-2} - u) \end{pmatrix} = \begin{pmatrix} u - 1 & 0 \\ 0 & q(q^{-2} - u) \end{pmatrix} \begin{pmatrix} 1 & \frac{q^{-1}-q}{u-1} \\ 0 & 1 \end{pmatrix}.$$

*The triangularity property can clearly be observed here. The diagonal factors correspond to the renormalization of  $\ell$ -weight vectors (see below).*

**Example 4.9.** *Let us consider the tensor product  $L_1^+ \otimes W_k$  with the notations of the previous examples. Then the action on  $L_1^+(u) \otimes W_k$  of  $\mathcal{R}^+(u)$  (resp.  $(\mathcal{R}^-(u))^{-1}$ ) reduces to*

$$\exp_q \left( (q^{-1} - q)x_{1,0}^+ \otimes x_{1,0}^- \right) \quad (\text{resp. } \exp_{q^{-1}} \left( (q - q^{-1})uk_1^{-1}x_{1,1}^- \otimes x_{1,-1}^+ k_1 \right)).$$

So we get

$$\mathcal{R}^+(u).(z_j \otimes v'_i) = \sum_{\lambda \geq 0} \frac{(q^{-1} - q)^\lambda (x_0^+)^\lambda z_j \otimes (x_0^-)^\lambda v'_i}{q^{\frac{\lambda(\lambda-1)}{2}} [\lambda]_q!} = \sum_{0 \leq \lambda \leq \text{Min}(j, k-i)} \frac{q^{2i\lambda + \frac{\lambda(\lambda-1)}{2}}}{[\lambda]_q!} z_{j-\lambda} \otimes v'_{i+\lambda}$$

which matches the formula for  $\tau S_{W_k, L_1^+}(u^{-1})\tau$  in Example (3.13). The formula is obtained from

$$(x_0^+)^\lambda . z_j = z_{j-\lambda} \quad \text{and} \quad (x_0^-)^\lambda . v'_i = q^{2i\lambda + \lambda(\lambda-1)} (q^{-1} - q)^{-\lambda} v'_{i+\lambda}$$

$$\text{as } (x_0^-)^\lambda . v_i = ([i+1]_q \cdots [i+\lambda]_q) ([k-i]_q \cdots [k-(i+\lambda-1)]_q) v_{i+\lambda}.$$

Note that we have  $e_0 = k^{-1}x_{1,1}^-$  and  $f_0 = x_{1,-1}^+ k$  and

$$f_0^\lambda . v_j = q^{\lambda(k-2)} v_{j-\lambda} \quad \text{and} \quad e_0^\lambda z'_i = q^{\lambda(i+1) + \frac{\lambda(\lambda+1)}{2}} (q^{-1} - q)^{-\lambda} z'_{i+\lambda}.$$

As  $\mathcal{R}^\infty.(z'_i \otimes v_j) = q^{i(k-2j)} z'_i \otimes v_j$  and  $(\mathcal{R}^\infty)^{-1} z'_{i+\lambda} \otimes v_{j-\lambda} = q^{(i+\lambda)(2j-2\lambda-k)} z'_{i+\lambda} \otimes v_{j-\lambda}$ , we get also

$$\begin{aligned} (\mathcal{R}^\infty)^{-1} (\mathcal{R}^-(u))^{-1} \mathcal{R}^\infty.(z'_i \otimes v_j) &= \sum_{0 \leq \lambda \leq j} \frac{u^\lambda (q - q^{-1})^\lambda (e_0)^\lambda z'_i \otimes (f_0)^\lambda v_j}{q^{-\frac{\lambda(\lambda-1)}{2}} [\lambda]_q!} \\ &= \sum_{0 \leq \lambda \leq j} \frac{(-u)^\lambda q^{\lambda(2j-i) - \lambda(1+\lambda)} z'_{i+\lambda} \otimes v_{j-\lambda}}{[\lambda]_q!}. \end{aligned}$$

This matches the formula for  $S_{L_1^+, W_k}(u)$  in Example 3.13.

## 5. R-MATRICES IN THE CATEGORY $\mathcal{O}$

In this section we give application of the results of the first sections in this paper to the construction of new  $R$ -matrices in the category  $\mathcal{O}$  (Theorem 5.13) and to categorifications of remarkable relations (Theorem 5.16), the  $QQ^*$ -systems in the Grothendieck ring  $K_0(\mathcal{O})$ .

**5.1. Braiding in the category  $\mathcal{O}$ .** We have reminded above that the category  $\mathcal{C}$  of finite-dimensional representations admits generic braidings. The commutativity of the Grothendieck ring of the category  $\mathcal{O}$  could indicate the category  $\mathcal{O}$  has the same property. However it is not the case. Moreover tensor products of simple modules are not generically simple in the category  $\mathcal{O}$ .

**Example 5.1.** For  $\mathfrak{g} = \hat{sl}_2$ , let us study the tensor product of prefundamental representations  $L_a^+ \otimes L_b^-$ . This representation is never simple. Indeed its character is

$(\sum_{r \geq 0} [-r\alpha])^2$  but the character of the simple representation of the same highest  $\ell$ -weight is of the form  $\sum_{0 \leq r \leq R} [-r\alpha]$  where  $R \in \mathbb{N} \cup +\infty$  (it can be realized as an evaluation representation of a simple  $\mathcal{U}_q(\mathfrak{sl}_2)$ -module). Hence  $L_1^+(z) \otimes L_1^-$  is not generically simple.

In addition, tensor product do not generically commute.

**Example 5.2.** For  $\mathfrak{g} = \hat{\mathfrak{sl}}_2$ ,  $L_1^+(z) \otimes L_1^-$  is not isomorphic to  $L_1^- \otimes L_1^+(z)$ . This is proved in [BJMST]. For completeness let us an argument. Let  $a, b \in \mathbb{C}^*$  and consider a basis  $(w_i)_{i \geq 0}$  (resp.  $(z_i)_{i \geq 0}$ ) of  $L_b^-$  (resp.  $L_a^+$ ) as above. Then the kernel of the action of  $e_1$  on  $(L_a^+ \otimes L_b^-)_{-\alpha}$  (resp. on  $(L_b^- \otimes L_a^+)_{-\alpha}$ ) is generated by  $u = z_1 \otimes w_0 - z_0 \otimes w_1$  (resp.  $v = w_1 \otimes z_0 - w_0 \otimes z_1$ ). As  $h_{1,1} = q^{-2}e_1e_0 - e_0e_1$ , we get that  $v$  is eigenvector of  $h_{1,1}$  of eigenvalue  $\frac{a-b}{q-q^{-1}}$  which is 0 if and only if  $a = b$ . However

$$h_{1,1}.u = \frac{1}{q-q^{-1}}(z_0 \otimes w_1(b(q^2 - 1 - q^{-2}) + a) + z_1 \otimes w_0(a(-q^2 - 1 + q^{-2}) + b)).$$

And so  $u$  is an eigenvector if and only  $b(q^2 - 1 - q^{-2}) + a + (a(-q^2 - 1 + q^{-2}) + b) = 0$ , that is  $a = b$ . And in this case, the eigenvalue is  $-a(q + q^{-1})$  which is non zero.  $v$  generates a submodule of  $L_b^- \otimes L_a^+$  of highest weight  $-\alpha$ . But  $L_a^+ \otimes L_b^-$  has no such submodule if  $a \neq b$ . In the case  $a = b$ , it has such a submodule, but not isomorphic to the first one. We have proved that  $L_a^+ \otimes L_b^-$  is never isomorphic to  $L_b^- \otimes L_a^+$ .

However that there are many examples of braidings in the category  $\mathcal{O}$ , which are not necessarily invertible.

**Example 5.3.** We continue the last example. One can prove there is a non zero morphism, unique up to a scalar multiple

$$L_1^-(z) \otimes L_1^+ \rightarrow L_1^+ \otimes L_1^-(z).$$

It is not surjective and its image is simple. Indeed it is proved in [BJMST] that  $L_1^- \otimes L_1^+(z)$  is generated by  $w_0 \otimes z_0$ , but the submodule of  $L_1^+(z) \otimes L_1^-$  generated by  $z_0 \otimes w_0$  is simple and proper. This also implies that zero is the only morphism

$$L_1^- \otimes L_1^+(z) \rightarrow L_1^+(z) \otimes L_1^-.$$

Additional braiding involving infinite-dimensional representations exist in the category  $\mathcal{O}$ . For instance we have the following.

**Theorem 5.4.** [FH] Any tensor product of positive (resp. negative) prefundamental representations  $L_{i,a}^+$  (resp.  $L_{i,a}^-$ ) is simple.

As a direct consequence, for each  $i, j \in I$ , there are isomorphisms

$$\begin{aligned} L_{i,1}^+(z) \otimes L_{j,1}^+ &\simeq L_{j,1}^+ \otimes L_{i,1}^+(z), \\ L_{i,1}^-(z) \otimes L_{j,1}^- &\simeq L_{j,1}^- \otimes L_{i,1}^-(z). \end{aligned}$$

Note also that the universal  $R$ -matrix  $\mathcal{R}(z)$  can be generically specialized on a tensor product  $V \otimes W$  of a simple finite-dimensional representation  $V$  by a representation  $W$  in the category  $\mathcal{O}$ . This leads to non zero morphisms  $\mathcal{R}_{V,W}(z) : V(z) \otimes W \rightarrow W \otimes V(z)$  as in the case of finite-dimensional representations. Although  $\mathcal{R}(z)$  can not be specialized

directly on  $W \otimes V(z)$ , we can apply  $(\mathcal{R}(z))^{-1} \circ P$  on  $W \otimes V(z)$  to get the inverse. So we get an isomorphism. We can also consider as above the associated specialization  $\mathcal{R}_{V,W}$  which is not invertible in general.

**Example 5.5.** For  $\mathfrak{g} = \hat{sl}_2$  we may consider the case of  $V = L(Y_{1,aq})$  fundamental representation of dimension 2 and  $W = L_{1,a}^-$  prefundamental representation. Then  $\mathcal{R}_{V,W}$  has simple image and kernel isomorphic respectively to  $L_{1,aq^{-2}}^- \otimes [\omega_1]$  and  $L_{1,aq^2}^- \otimes [-\omega_1]$ . This leads to an exact sequence

$$0 \rightarrow L_{1,aq^2}^- \otimes [-\omega_1] \rightarrow L(Y_{1,aq}) \otimes L_{1,a}^- \rightarrow L_{1,aq^{-2}}^- \otimes [\omega_1] \rightarrow 0$$

which is a categorification of the Baxter's QT-relation in  $K_0(\mathcal{O})$  (see [FH, Remark 4.10]) :

$$[L(Y_{1,aq})][L_{1,a}^-] = [L_{1,aq^{-2}}^-][\omega_1] + [L_{1,aq^2}^-][-\omega_1].$$

For general types, there are various generalization of the Baxter's QT-relation, such as the generalized Baxter's relations [FR, FH] or the  $QQ^*$ -systems considered in [HL, Section 6.1.3, Example 7.8] from the point of view of cluster algebras (they are obtained as cluster mutation relations, see [L] for a general point of view). They involve the simple representation  $W = L_{i,q^r}^-$  and the simple representation  $L_{i,a}^* = L(Y_{i,aq_i} \prod_{j, C_{j,i} < 0} \Psi_{j,aq_j}^{C_{j,i}})$  which is not finite-dimensional (except in the  $sl_2$ -case). The relation reads

$$(5.12) \quad [L_{i,a}^*][L_{i,a}^-] = [\omega_i] \prod_{j, C_{j,i} \neq 0} \left[ L_{j,aq_j}^-^{C_{j,i}} \right] + [\omega_i - \alpha_i] \prod_{j, B_{j,i} \neq 0} \left[ L_{j,aq_j}^{-C_{j,i}} \right].$$

Note that the  $QQ^*$ -systems are important not only from the cluster algebras point of view, but they also lead to the Bethe Ansatz equations [FJMM].

This a motivation to construct  $R$ -matrices in a more general situation (see Section 5.5 below). The relevant framework seems to be the monoidal subcategory  $\mathcal{O}^-$  of the category  $\mathcal{O}$  defined in [HL].

**Definition 5.6.** [HL] *The category  $\mathcal{O}^-$  is the full subcategory of representations in the category  $\mathcal{O}$  whose image in  $K_0(\mathcal{O})$  are in the subring generated by finite-dimensional representations and the prefundamental representations  $L_{i,a}^-$ ,  $i \in I$ ,  $a \in \mathbb{C}^*$ .*

The generalized Baxter's relations as well as the  $QQ^*$ -systems hold in the Grothendieck ring  $K_0(\mathcal{O}^-)$ . Moreover this ring has nice properties in the context of cluster algebras (see [HL, Bi]).

**5.2.  $R$ -matrices by stable maps.** We would like to know how to construct braidings when the universal  $R$ -matrix can not be directly specialized. To attack this problem, mimicking the approach of Maulik-Okounkov, the algebraic stable maps give a natural path.

Let  $V, W$  be simple representations in the category  $\mathcal{O}$ . Then the space  $V \otimes W$  has a structure of  $\mathcal{U}_q(\mathfrak{h}^+)$ -module from the Hopf-algebra structure of  $\mathcal{U}_q(\mathfrak{b})$ . But it has also



another  $\mathcal{U}_q(\mathfrak{h}^+)$ -module structure obtained from the Drinfeld coproduct<sup>5</sup>

$$\Delta_d : \mathcal{U}_q(\mathfrak{h}^+) \rightarrow \mathcal{U}_q(\mathfrak{h}^+) \otimes \mathcal{U}_q(\mathfrak{h}^+)$$

defined by

$$(5.13) \quad \Delta_d(h_{i,r}) = h_{i,r} \otimes 1 + 1 \otimes h_{i,r} , \Delta_d(k_i) = k_i \otimes k_i.$$

Let us denote by  $V \otimes_d W$  the corresponding  $\mathcal{U}_q(\mathfrak{h}^+)$ -module. Similarly, we can define a representation  $V(u) \otimes_d W$ .

**Remark 5.7.** *Recall the filtration of  $V \otimes W$  by  $\mathcal{U}_q(\mathfrak{h})^+$ -submodules associated to the partial ordering  $\preceq$  in the proof of Proposition 3.6. Then the  $\mathcal{U}_q(\mathfrak{h})^+$ -module  $V \otimes_d W$  is isomorphic to the graded module associated to this filtration.*

Let  $\alpha(u)$  be an automorphism of the  $\mathcal{U}_q(\mathfrak{h})^+$ -module  $V(u) \otimes_d W$  and consider the composition

$$\begin{array}{ccc} V(u) \otimes W & \xrightarrow{I_{V,W}^\alpha(u)} & W \otimes V(u) \\ S_{V,W}^{-1}(u) \downarrow & & \uparrow S_{W,V}(u^{-1}) \\ V(u) \otimes_d W & \xrightarrow{\tau \circ \alpha(u)} & W \otimes_d V(u) \end{array} ,$$

where  $\tau$  is the twist. We get a linear isomorphism

$$I_{V,W}^\alpha(u) : V(u) \otimes W \rightarrow W \otimes V(u).$$

These are candidates for  $R$ -matrices in the category  $\mathcal{O}$ , but we have to make good choices for  $\alpha(u)$ .

To illustrate this, consider  $V, W$  simple finite-dimensional representations of the full quantum affine algebra  $\mathcal{U}_q(\mathfrak{g})$ . Recall that by Corollary 4.7, the action of  $\mathcal{R}^0(u)$  on  $V(u) \otimes W$  is rational up to a scalar factor which is the eigenvalue of the tensor product of highest weight vectors. We will work with the rational part that we denote by  $\overline{\mathcal{R}}^0(u)$  (it depends on  $V$  and  $W$ , there is a slight abuse of notation). We get a diagram

$$\begin{array}{ccc} V(u) \otimes W & \xrightarrow{I_{V,W}^\alpha(u)} & W \otimes V(u) \\ \mathcal{R}^-(u) \downarrow & & \uparrow \tau \circ \mathcal{R}^+(u) \circ \tau \\ V(u) \otimes \overline{W} & \xrightarrow{\tau \circ \overline{\mathcal{R}}^0(u) \mathcal{R}^\infty} & W \otimes V(u) \end{array} .$$

The composition is equal up to a scalar to

$$S_{W,V}(u^{-1}) \tau \overline{\mathcal{R}}_{V,W}^0(u) \mathcal{R}^\infty S_{V,W}^{-1}(u) = \tau \mathcal{R}^+(u) \tau \overline{\mathcal{R}}^0(u) \mathcal{R}^-(u) \mathcal{R}^\infty.$$

It coincides with the action of  $\tau \mathcal{R}(u)$  up to a scalar and so it is an isomorphism of  $\mathcal{U}_q(\mathfrak{g})$ -modules.

<sup>5</sup>In simply-laced case, a geometric approach to the Drinfeld coproduct is proposed in [VV].

**Example 5.8.** We continue Example 4.8. In the same basis, the matrix of  $\mathcal{R}^0(u)$  is

$$\begin{aligned} & \begin{pmatrix} \exp\left(\sum_{m>0} \frac{u^m(q^m - q^{-m})q^{-2m}}{m(q^m + q^{-m})}\right) & 0 \\ 0 & \exp\left(\sum_{m>0} \frac{u^m(q^m - q^{-m})q^{2m}}{m(q^m + q^{-m})}\right) \end{pmatrix} \\ &= \exp\left(\sum_{m>0} \frac{u^m(q^m - q^{-m})q^{2m}}{m(q^m + q^{-m})}\right) \begin{pmatrix} \frac{(1-ug^2)(1-ug^{-2})}{(1-u)^2} & 0 \\ 0 & 1 \end{pmatrix}. \end{aligned}$$

So for the  $R$ -matrix  $\mathcal{R}(u) = \mathcal{R}^+(u)\mathcal{R}^0(u)\mathcal{R}^-(u)\mathcal{R}^\infty$  we recover the well-known matrix up to a scalar factor :

$$\begin{pmatrix} \frac{q^{-1}(u-1)}{u-q^{-2}} & \frac{1-q^{-2}}{u-q^{-2}} \\ \frac{u(1-q^{-2})}{u-q^{-2}} & \frac{q^{-1}(u-1)}{u-q^{-2}} \end{pmatrix}.$$

**5.3. Morphism for the Cartan-Drinfeld subalgebra.** Consider  $V$  and  $W$  simple modules in the category  $\mathcal{O}$ . Recall the category  $\mathcal{O}^-$  in Definition 5.6.

The statement of Proposition 2.14 is also true for simple representations in the category  $\mathcal{O}^-$ . Indeed, the proof relies on the property (2.8) of  $\ell$ -weights of simple finite-dimensional representations which is also satisfied for simple representations in the category  $\mathcal{O}^-$  [HL, Section 7.2]. Consequently, the statement of Proposition 3.8 (uniqueness of  $\ell$ -weight vectors) is also satisfied.

**Proposition 5.9.** *Suppose that one of the simple representations  $V$  or  $W$  is finite-dimensional, or more generally in the category  $\mathcal{O}^-$ . Then*

$$S_{V,W}(u) : V(u) \otimes_d W \rightarrow V(u) \otimes W$$

is an isomorphism of  $\mathcal{U}_q(\mathfrak{h}^+)$ -modules.

*Proof.* Let  $v \otimes w \in V_{\Psi} \otimes W_{\Psi'}$ . Then

$$v \otimes w \in V(u) \otimes_d W$$

and

$$S_{V,W}(u)(v \otimes w) \in V(u) \otimes W$$

are  $\ell$ -weight vector of  $\ell$ -weight  $\Psi(u)\Psi'$ . Let  $\gamma_{i,m}$  be the corresponding pseudo-eigenvalue of  $h_{i,m}$ . It suffices to prove that the minimal  $r > 0$  such that  $(h_{i,m} - \gamma_{i,m})^r = 0$  is the same for both  $\ell$ -weight vectors. Clearly, it follows from the coproduct formula (2.9) that if

$$(h_{i,m} - \gamma_{i,m})^r \cdot S_{V,W}(u)(v \otimes w) = 0$$

in  $V(u) \otimes W$ , then

$$(h_{i,m} - \gamma_{i,m})^r \cdot (v \otimes w) = 0$$

in  $V(u) \otimes_d W$ . Conversely, suppose that this last relation holds in  $V(u) \otimes_d W$ . This implies that

$$(h_{i,m} - \gamma_{i,m})^r \cdot S_{V,W}(u)(v \otimes w) \in (v \otimes w)_{\prec} \subset V(u) \otimes W$$

with the notations above. But

$$((v \otimes w)_{\prec})_{\Psi(u)\Psi'} = \{0\}$$

from the hypothesis as in the proof of Proposition 3.8. Hence the result.  $\square$

It implies that  $S_{V,W}^{norm}$  is a non-zero morphism of  $\mathcal{U}_q(\mathfrak{h}^+)$ -modules.

As another consequence,  $I_{V,W}^\alpha(u)$  is an isomorphism of  $\mathcal{U}_q(\mathfrak{h})^+$ -modules if  $V$  or  $W$  is in the category  $\mathcal{O}^-$ , for any  $\alpha$  as in the previous section. In particular, for  $\alpha = \text{Id}$  and  $I_{V,W}(u) = I_{V,W}^{\text{Id}}(u)$ , we get the following.

**Theorem 5.10.** *Suppose one of the simple representations  $V$  or  $W$  is in the category  $\mathcal{O}^-$ . We get an isomorphism of  $\mathcal{U}_q(\mathfrak{h}^+)$ -modules*

$$(5.14) \quad \begin{array}{ccc} V(u) \otimes W & \xrightarrow{I_{V,W}(u)} & W \otimes V(u) \\ S_{V,W}^{-1}(u) \downarrow & & \uparrow S_{W,V}(u^{-1}) \\ V(u) \otimes_d W & \xrightarrow{\tau} & W \otimes_d V(u) \end{array} .$$

**Remark 5.11.** *As discussed above, the map  $I_{V,W}(u)$  may have poles.*

**Example 5.12.** *Although they are not isomorphic as  $\mathcal{U}_q(\mathfrak{b})$ -modules (see Example 5.2),  $L_{i,1}(z)^+ \otimes L_{j,1}^-$  and  $L_{j,1}^- \otimes L_{i,1}(z)^+$  are isomorphic as  $\mathcal{U}_q(\mathfrak{h})^+$ -modules.*

**5.4. Braiding in the category  $\mathcal{O}^-$ .** The following result is one of the main applications of the constructions in this paper.

**Theorem 5.13.** *For  $V$  and  $W$  simple representations in the category  $\mathcal{O}^-$ , there is  $\alpha(u)$  automorphism of the  $\mathcal{U}_q(\mathfrak{h})^+$ -module  $V(u) \otimes_d W$  so that*

$$I_{V,W}^\alpha(u) : V(u) \otimes W \rightarrow W \otimes V(u)$$

*is an isomorphism of  $\mathcal{U}_q(\mathfrak{b})$ -modules.*

*Proof.* Let us recall each simple module  $L(\Psi)$  in the category  $\mathcal{O}^-$ , there is a sequence of finite-dimensional modules constructed in [HL, Section 7.2] whose  $q$ -characters converge to  $\chi_q(L(\Psi))$ , up to a normalization, see [HL, Theorem 7.1] (in the case when  $L(\Psi)$  is a prefundamental representation, it is a sequence of Kirillov-Reshetikhin modules considered in [HJ, Section 4.1]). Consider the sequences  $V_k = L(N_k)$ ,  $W_k = L(M_k)$  associated respectively to  $V$ ,  $W$ . Then for  $l \geq k$  we get as in [HJ, Section 4.2] an injective linear morphism

$$F_{l,k} : W_k \rightarrow W_l.$$

It is obtained as the composition of the surjective morphism

$$W_k \otimes L(M_l M_k^{-1}) \rightarrow W_l$$

by the embedding

$$W_k \rightarrow W_k \otimes v_{l-k}$$

where  $v_{l-k}$  is a fixed highest weight vector of  $L(M_l M_k^{-1})$ . As established in [HJ],  $F_{l,k}$  satisfies for any  $j \in I$  :

$$\phi_j^+(z) \circ F_{l,k} = (M_l M_k^{-1})(\phi_j^+(z)) \times (F_{l,k} \circ \phi^+(z)),$$

where we remind that the scalar  $(M_l M_k^{-1})(\phi_j^+(z)) \in \mathbb{C}((z))$  is the eigenvalue of  $\phi_j^+(z)$  on an  $\ell$ -weight vector of monomial  $M_l M_k^{-1}$ . We get

$$\mathcal{R}^0(z) \circ (\text{Id} \otimes F_{l,k}) = (\mathcal{R}^0(z)(1 \otimes M_l M_k^{-1})) \times (\text{Id} \otimes F_{l,k}) \circ \mathcal{R}^0(z)$$

where the scalar

$$(\mathcal{R}^0(z)(1 \otimes M_l M_k^{-1})) \in \mathbb{C}((z))$$

is the eigenvalue of  $\mathcal{R}^0(z)$  on a tensor of  $\ell$ -weight vectors of  $\ell$ -weights associated to 1 and  $M_l M_k^{-1}$ . Recall that  $\overline{\mathcal{R}}^0(z)$  is the rational part of  $\mathcal{R}^0(z)$  obtained in Corollary 4.7. We get

$$\overline{\mathcal{R}}^0(z) \circ (\text{Id} \otimes F_{l,k}) = (\text{Id} \otimes F_{l,k}) \circ \overline{\mathcal{R}}^0(z)$$

This implies that the action of  $\overline{\mathcal{R}}^0(u)$  is stationary on  $V \otimes W_k$  when  $k \rightarrow \infty$ , so we get a well-defined limit  $\alpha(u)$  which is an operator on  $V(u) \otimes W$ .

Then we have a candidate for the  $R$ -matrix : the map  $I_{V,W}^\alpha(u)$ . We have to prove this map is a morphism of representation. Note that the universal  $R$ -matrix can be specialized on  $V \otimes W_l$  for each  $l \geq 0$ . Hence if  $W$  is replaced by  $W_l$ , we have a morphism. Our strategy is to prove this compatibility with the module structure is preserved at the limit  $l \rightarrow +\infty$ . It suffices to prove that  $S_{V,W_l}(u)$  and  $S_{V_k,W}(u)$  "do not depend" on  $k$  and  $l$  large enough. This can be already observed in examples in the  $sl_2$ -cases in section 3.3.

Precisely, we have an inductive system

$$G_{l,k} : V_k \otimes W_k \rightarrow V_l \otimes W_l, \quad l \geq k,$$

obtained as in [HJ, Section 4.2] as discussed above. As for  $F_{l,k}$  above, these maps commute with  $\phi_j^+(z)$  up to a scalar multiple, this is enough to characterize the algebraic stable maps which are constructed from the action of the Cartan-Drinfeld subalgebra. So on a given vector  $v \in V_k \otimes W_k$ , and for  $l \leq m$  large enough,

$$(G_{m,l} \cdot (S_{V_l, W_l}(u)) \cdot G_{l,k}) \cdot v = (S_{V_m, W_m}(u) \cdot (G_{m,l} G_{l,k})) \cdot v = (S_{V_m, W_m}(u) \cdot G_{m,k}) \cdot v.$$

This implies the result.  $\square$

**Remark 5.14.** *A priori, it is not clear how to take directly the limit of the action of the  $R$ -matrix without using algebraic stable maps. Moreover there are counter-examples when the representations are not in the category  $\mathcal{O}^-$  : the fact that  $\mathcal{R}^0(u)$  converges does not imply that we get a morphism. For example in the  $sl_2$ -case consider the limit when  $k \rightarrow +\infty$  of algebraic stable maps on  $L_1^+(u) \otimes W_k$  where  $W_k$  converges to  $L_1^-(u)$ . We can use*

$$\mathcal{R}^0(u) = \exp \left( -(q - q^{-1}) \sum_{m>0} u^m \frac{m}{[m]_q (q^m + q^{-m})} h_{1,m} \otimes h_{1,-m} \right).$$

Each operator  $h_{1,m}$  has a scalar action  $\frac{\text{Id}}{m(q^{-1}-q)}$  on  $L_1^+$ . Hence we get the operator

$$\text{Id} \otimes \exp \left( \sum_{m>0} \frac{u^m}{[m]_q (q^m + q^{-m})} h_{1,-m} \right).$$

The space  $W_k$  has a basis  $(v_j)_{0 \leq j \leq m}$  of eigenvectors of  $\phi^-(z)$  with eigenvalue

$$q^{2j-k} \frac{(1 - q^{2k} z^{-1})(1 - q^{-2} z^{-1})}{(1 - q^{2j-2} z^{-1})(1 - q^{2j} z^{-1})}.$$

and so the eigenvalue of  $(q^{-1} - q)h_{1,-m}$  on  $v_j$  is  $q^{2(j-1)m} + q^{2jm} - q^{2km} - q^{-2m}$ . Then  $L_1^+(u) \otimes v_j$  is an eigenspace of  $\mathcal{R}^0(u)$  with eigenvalue

$$\exp \left( \sum_{m>0} \frac{u^m}{q^{2m} + q^{-2m}} (-q^{2(j-1)m} - q^{2jm} + q^{2km} + q^{-2m}) \right).$$

So if we set

$$\alpha(k) = \exp \left( \sum_{m>0} \frac{u^m}{q^{2m} - q^{-2m}} q^{2km} \right),$$

the action of the operator  $\alpha(k)\mathcal{R}_0(u)$  does not depend on  $k$ . This gives a well-defined automorphism of the  $\mathcal{U}_q(\mathfrak{h})^+$ -module  $L_1^+(u) \otimes_d L_1^-$ .

**Remark 5.15.** It should also be possible to derive from [HL, Theorem 7.6] that a tensor product of simple representations in the category  $\mathcal{O}^-$  is generically simple. Our result gives in addition a construction of corresponding braidings as well as a factorization of these braidings using algebraic stable maps.

We will denote the  $R$ -matrix we have constructed by  $I_{V,W}^\alpha(u) = \mathcal{R}_{V,W}(u)$ . As above, we can consider the first term in the development in  $u - 1$  (see also Section 3.4). We get a non-zero morphism in the category  $\mathcal{O}$  :

$$\mathcal{R}_{V,W} : V \otimes W \rightarrow W \otimes V$$

which is not invertible in general.

**5.5. Example : braidings and  $QQ^*$ -systems.** We have seen in Example 5.5 that in the  $sl_2$ -case the Baxter's QT-relation can be categorified using a normalized  $R$ -matrix. As an application of the above result, we obtain also categorified versions of the  $QQ^*$ -systems for general types (see section 5.1 and Equation (5.12)).

**Theorem 5.16.** *The specialized  $R$ -matrix*

$$\mathcal{R}_{L_{i,a}^*, L_{i,a}^-} : L_{i,a}^*(u) \otimes L_{i,a}^- \rightarrow L_{i,a}^- \otimes L_{i,a}^*(u)$$

is non invertible and gives a non-splitted exact sequence

$$0 \rightarrow [\omega_i - \alpha_i] \bigotimes_{j, B_{j,i} \neq 0} L_{j, aq_j}^- \xrightarrow{-C_{j,i}} L_{i,a}^* \otimes L_{i,a}^- \rightarrow [\omega_i] \bigotimes_{j, C_{j,i} \neq 0} L_{j, aq_j}^- \rightarrow 0$$

which categorifies the  $QQ^*$ -system (5.12).

*Proof.* From the  $QQ^*$ -system and Theorem 5.4, the tensor product  $L_{i,a}^* \otimes L_{i,a}^-$  is of length 2. Hence the image of the specialized braiding  $\mathcal{R}_{L_{i,a}^*, L_{i,a}^-}$  is simple or isomorphic to  $L_{i,a} \otimes L_{i,a}^*$ .

Let  $\Psi$  be the highest  $\ell$ -weight of  $L_{i,a}^* \otimes L_{i,a}^-$ . We will also discuss the following  $\ell$ -weights :

$$\Psi' = \Psi A_{i,a}^{-1}, \quad \Psi'' = \Psi A_{i, aq_i^2}^{-1}, \quad \Psi''' = \Psi' A_{i,a}^{-1},$$

where the  $A_{i,a}$  are defined as in Section 2.4. By the analysis in [HL, Section 6.1.3, 7.2], these are  $\ell$ -weights of  $L_{i,a}^* \otimes L_{i,a}^-$  of corresponding  $\ell$ -weight spaces of dimension 1. The

two simple constituents of the tensor product are  $L(\Psi)$  and  $L(\Psi')$ . The  $\ell$ -weights  $\Psi''$  and  $\Psi'''$  are  $\ell$ -weights of  $L(\Psi)$  only.

Consider the representation  $L_{i,a}^- \otimes L_{i,a}^*$ . Let  $w_{i,a}$  be an highest weight vector of  $L_{i,a}^*$  and  $v'_{i,a}$  a weight vector of  $L_{i,a}^-$  of weight  $-\alpha_i$ . From Theorem 2.16, we get

$$S_{L_{i,a}^-, L_{i,a}^*}^{norm} (v'_{i,a} \otimes w_{i,a}) = v'_{i,a} \otimes w_{i,a}$$

which generates the  $\ell$ -weight space associated to  $\Psi'$ . But

$$x_{i,0}^+ \cdot (v'_{i,a} \otimes w_{i,a}) = (x_{i,0}^+ \cdot v'_{i,a}) \otimes w_{i,a} \neq 0.$$

Hence, such a vector is not of highest  $\ell$ -weight. This implies that  $L_{i,a}^- \otimes L_{i,a}^*$  is cocyclic, that is the submodule generated by a tensor product of highest weight vectors is simple.

Consider now the representation  $L_{i,a}^* \otimes L_{i,a}^-$ . Let  $v_{i,a}$  be a highest weight vector of  $L_{i,a}^-$  and  $w'_{i,a}$  a weight vector of  $L_{i,a}^*$  of weight  $-\alpha_i$ . As above,

$$S_{L_{i,a}^*, L_{i,a}^-}^{norm} (w'_{i,a} \otimes v_{i,a}) = w'_{i,a} \otimes v_{i,a},$$

$$S_{L_{i,a}^*, L_{i,a}^-}^{norm} (v'_{i,a} \otimes v'_{i,a}) = w'_{i,a} \otimes v'_{i,a},$$

which generate the  $\ell$ -weight spaces associated respectively to  $\Psi''$  and  $\Psi'''$  (note however that it would be more complicated for the  $\ell$ -weight associated to  $\Psi''' A_{i,aq_i}^{-2}$ ). But  $x_{i,0}^+ (w'_{i,a} \otimes v'_{i,a}) \notin \mathbb{C} \cdot w'_{i,a} \otimes v_{i,a}$ . Hence  $L_{i,a}^* \otimes L_{i,a}^-$  is not cocyclic, but cyclic, that is generated by a tensor product of highest weight vectors.

We can conclude : the two representations are not isomorphic, the image of  $\mathcal{R}_{L_{i,a}^*, L_{i,a}^-}$  is simple isomorphic to  $L(\Psi)$ .  $\square$

## 6. FURTHER DIRECTIONS

In this section we discuss various possible further developments of the results in this paper.

**Polynomiality.** A polynomiality property of the action of Cartan-Drinfeld elements was established in [FH, Theorem 5.17] : the action of a certain family of Cartan-Drinfeld current  $T_i(z)$ , which characterize the action of the Cartan-Drinfeld algebra  $\mathcal{U}_q(\mathfrak{h})^+$ , act polynomially on any tensor product  $W$  of simple-finite dimensional modules. The relation to the polynomiality of the algebraic stable maps  $S_{L_{i,1}^+, W}(u)$ ,  $S_{W, L_{i,1}^+}(u)$  observed in the  $sl_2$ -case (section 3.3) has to be understood.

**Baxter algebra and geometry.** One of the main application of the theory of Maulik-Okounkov is the relation to the action of the Baxter subalgebra and to its eigenvectors [MO]. The Baxter subalgebra is generated by coefficients of transfer-matrices and can be seen as a deformation of the Cartan-Drinfeld subalgebra  $\mathcal{U}_q(\mathfrak{h})^+$ . A natural question is to study in this context the relation between  $\ell$ -weight vectors and eigenvectors of the Baxter algebra. More generally, a geometric framework for the result of the present paper has to be developed, as for example the results obtained in [PSZ] for the prefundamental representations in type  $A$ . We hope our results give

additional practical tools to handle the corresponding geometric structures. The case of non symmetric cases is open as well.

**Fusion product.** A fusion product  $*$  was defined in [H1] for finite-dimensional modules of highest  $\ell$ -weight from a specialization of the Drinfeld coproduct. It would be interesting to understand how algebraic stable maps behave relatively to this structure, for instance to determine if  $S_{V,W} : V * W \rightarrow V \otimes W$  defines a morphism.

**Generalized Schur-Weyl dualities.** Kang-Kashiwara-Kim defined in [KKK] generalized Schur-Weyl dualities as functors from categories of representations of quiver Hecke-algebras (Khovanov-Lauda-Rouquier algebras) to categories of finite-dimensional representations of quantum affine algebras, generalizing previous results of Chari-Pressley [CP3] obtained in type  $A$ . This leads to very interesting equivalences of categories. The construction of the generalized Schur-Weyl functors is based on certain bimodules obtained from the braidings in the category  $\mathcal{C}$  of finite-dimensional representations. The braidings constructed in this paper for the category  $\mathcal{O}^-$  (Theorem 5.13) should lead to an extension of the construction of [KKK] and to possible equivalences between subcategories of the category  $\mathcal{C}$  and of the category  $\mathcal{O}^-$ , explaining seemly analogous structures.

**Tensor products and basis of  $\ell$ -weight vectors.** Using the framework of the present paper, one can define algebraic stable maps  $S_{V_1, V_2, \dots, V_N}$  on tensor products of more than 2 factors  $V_1 \otimes V_2 \otimes \dots \otimes V_N$  as well as the corresponding deformations

$$S_{V_1, V_2, \dots, V_N}(u_1, \dots, u_N).$$

For  $i < j$ , we have the algebraic stable map  $S_{V_i, V_j}(u_i, u_j)$ . After tensoring with identity maps, it gives an operator

$$S_{V_1, V_2, \dots, V_N}^{(i,j)}(u_i, u_j).$$

We conjecture that the composition of such operators is equal to  $S_{V_1, V_2, \dots, V_N}(u_1, \dots, u_N)$ . Besides, for a family of simple modules  $V_1, \dots, V_N$  endowed with a basis of  $\ell$ -weight vectors, the algebraic stable map  $S_{V_1, V_2, \dots, V_N}$  gives such a basis of  $\ell$ -weight vectors of the tensor product  $V_1 \otimes \dots \otimes V_N$ . For example, one may consider a family of thin (that is with one dimensional  $\ell$ -weight subspaces) fundamental modules. We get a natural basis of  $\ell$ -weight vectors in the corresponding standard module, that is the tensor product of the fundamental modules. In types  $A, B, C, G_2$ , all fundamental modules are thin, and so we get a basis of all standard modules. More generally, an arbitrary simple module is a subquotient of such a standard module (except in type  $E_8$  by [FH, Proposition 7.3]). We intend to study if such bases of standard modules descend to simple modules and how such bases behave relatively to tensor products.

## REFERENCES

- [Be] **J. Beck**, *Braid group action and quantum affine algebras*, Comm. Math. Phys. **165** (1994), no. 3, 555–568
- [Bi] **L. Bittmann**, *Quantum Grothendieck rings as quantum cluster algebras*, preprint arXiv:1902.00502
- [BCP] **J. Beck, V. Chari and A. Pressley**, *An algebraic characterization of the affine canonical basis*, Duke Math. J. **99** (1999), no. 3, 455–487

- [BJMST] **H. Boos, M. Jimbo, T. Miwa, F. Smirnov and Y. Takeyama**, *Hidden Grassmann Structure in the XXZ Model II: Creation Operators*, Comm. Math. Phys. **286** (2009), 875–932
- [CP1] **V. Chari and A. Pressley**, *Quantum affine algebras*, Comm. Math. Phys. **142** (1991), no. 2, 261–283
- [CP2] **V. Chari and A. Pressley**, *A Guide to Quantum Groups*, Cambridge University Press, Cambridge (1994)
- [CP3] **V. Chari and A. Pressley**, *Quantum affine algebras and affine Hecke algebras*, Pacific J. Math. **174** (1996), no. 2, 295–326
- [D1] **I. Damiani**, *La  $\mathcal{R}$ -matrice pour les algèbres quantiques de type affine non tordu*, Ann. Sci. Ecole Norm. Sup. (4) **31** (1998), no. 4, 493–523
- [D2] **I. Damiani**, *From the Drinfeld realization to the Drinfeld-Jimbo presentation of affine quantum algebras : Injectivity*, Publ. Res. Inst. Math. Sci. **51** (2015), 131–171.
- [Dr] **V. Drinfel'd**, *A new realization of Yangians and of quantum affine algebras*, Soviet Math. Dokl. **36**, (1988) 212–216.
- [FH] **E. Frenkel and D. Hernandez**, *Baxter's Relations and Spectra of Quantum Integrable Models*, Duke Math. J. **164** (2015), no. 12, 2407–2460.
- [FH2] **E. Frenkel and D. Hernandez**, *Spectra of quantum KdV Hamiltonians, Langlands duality, and affine opers*, Comm. Math. Phys. **362** (2018), no. 2, 361–414.
- [FJ] **I. Frenkel and N. Jing**, *Vertex representations of quantum affine algebras*, Proc. Nati. Acad. Sci. Vol. **85** (1988), 9373–9377.
- [FJMM] **B. Feigin, M. Jimbo, T. Miwa and E. Mukhin**, *Finite Type Modules and Bethe Ansatz Equations*, Ann. Henri Poincaré **18** (2017), no. 8, 2543–2579.
- [FM] **E. Frenkel and E. Mukhin**, *Combinatorics of  $q$ -Characters of Finite-Dimensional Representations of Quantum Affine Algebras*, Comm. Math. Phys., vol **216** (2001), no. 1, 23–57
- [FR] **E. Frenkel and N. Reshetikhin**, *The  $q$ -Characters of Representations of Quantum Affine Algebras and Deformations of  $W$ -Algebras*, Recent Developments in Quantum Affine Algebras and related topics, Cont. Math., vol. **248** (1999), 163–205
- [GTL] **S. Gautam and V. Toledano Laredo**, *Meromorphic tensor equivalence for Yangians and quantum loop algebras* Publ. Math. Inst. Hautes Études Sci. **125** (2017), 267–337.
- [H1] **D. Hernandez**, *Representations of Quantum Affinizations and Fusion Product*, Transfor. Groups **10** (2005), no. 2, 163–200.
- [H2] **D. Hernandez**, *Simple tensor product*, Invent. Math **181** (2010), no. 3, 649–675.
- [H3] **D. Hernandez**, *Avancées concernant les  $R$ -matrices et leurs applications*, Sémin. Bourbaki 1129, Astérisque **407** (2019), 297–331.
- [H4] **D. Hernandez**, *Cyclicity and  $R$ -matrices*, Selecta Math. (N.S.) **25** (2019), no. 2, 25:19.
- [HJ] **D. Hernandez and M. Jimbo**, *Asymptotic representations and Drinfeld rational fractions*, Compos. Math. **148** (2012), no. 5, 1593–1623.
- [HL] **D. Hernandez and B. Leclerc**, *Cluster algebras and category  $\mathcal{O}$  for representations of Borel subalgebras of quantum affine algebras*, Algebra Number Theory **10** (2016), No. 9, 2015–2052.
- [J] **M. Jimbo**, *A  $q$ -analogue of  $U(\mathfrak{gl}(N+1))$ , Hecke algebra, and the Yang-Baxter equation*, Lett. Math. Phys. **11** (1986), no. 3, 247–252
- [Kac] **V. Kac**, *Infinite dimensional Lie algebras*, 3rd Edition, Cambridge University Press (1990)
- [Kas] **M. Kashiwara**, *Crystal bases and categorifications*, to appear in the Proceedings of ICM 2018 (preprint arXiv:1809.00114)
- [KKK] **S.-J. Kang, M. Kashiwara and M. Kim**, *Symmetric quiver Hecke algebras and  $R$ -matrices of quantum affine algebras*, Invent. Math. **211** (2018), no. 2, 591–685.
- [KKKO] **S.-J. Kang, M. Kashiwara, M. Kim and S.-J. Oh**, *Monoideal categorification of cluster algebras*, J. Amer. Math. Soc. **31** (2018), no. 2, 349–426
- [KT] **S. Khoroshkin and V. Tolstoy**, *Universal  $R$ -matrix for quantized (super)algebras*, Comm. Math. Phys. **141** (1991), 3, 599–617.
- [L] **B. Leclerc**, *Cluster algebras and representation theory*, Proceedings of the ICM 2010, Vol. IV, 2471–2488.



- [MO] **D. Maulik and A. Okounkov**, *Quantum Groups and Quantum Cohomology*, *Astérisque* **408** (2019).
- [N1] **H. Nakajima**, *Quiver varieties and finite-dimensional representations of quantum affine algebras*, *J. Amer. Math. Soc.* **14** (2001), no. 1, 145–238.
- [N2] **H. Nakajima**, *Quiver Varieties and  $t$ -Analogues of  $q$ -Characters of Quantum Affine Algebras*, *Ann. of Math.* **160** (2004), 1057–1097.
- [N3] **H. Nakajima**, *Quiver varieties and tensor products*, *Invent. Math.* **146** (2001), 399–449.
- [O] **A. Okounkov**, *On the crossroads of enumerative geometry and geometric representation theory*, to appear in proceedings of the ICM 2018 (preprint arXiv:1801.09818).
- [OS] **A. Okounkov and A. Smirnov**, *Quantum difference equation for Nakajima varieties*, Preprint arXiv:1602.09007
- [PSZ] **P. Pushkar, A. Smirnov and A. Zeitlin**, *Baxter  $Q$ -operator from quantum  $K$ -theory*, Preprint arXiv:1612.08723
- [VV] **M. Varagnolo and E. Vasserot**, *Standard modules of quantum affine algebras*, *Duke Math. J.* **111** (2002), no. 3, 509–533

UNIVERSITÉ DE PARIS, INSTITUT DE MATHÉMATIQUES DE JUSSIEU-PARIS RIVE GAUCHE, CNRS,  
F-75013 PARIS, FRANCE

*E-mail address:* david.hernandez@imj-prg.fr