Connected Polish groups with ample generics

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March 19, 2015

Abstract

In this paper, we give the first examples of connected Polish groups that have ample generics, answering a question of Kechris and Rosendal. We show that any Polish group with ample generics embeds into a connected Polish group with ample generics and that full groups of type III hyperfinite ergodic equivalence relations have ample generics. We also sketch a proof of the following result: the full group of any type III ergodic equivalence relation has topological rank 2.

Ample generics were introduced by Kechris and Rosendal [KR07] as a fundamental property underlying many phenomenons observed for various non-archimedean¹ Polish groups. A Polish group has **ample generics** if for every $n \in \mathbb{N}$, the diagonal conjugacy action of G on G^n has a comeager² orbit. In particular, G must have a comeager conjugacy class, which excludes locally compact groups from this class of groups as was recently shown by Wesolek [Wes13].

Yet, as far as non-archimedean Polish groups are concerned, a number of interesting examples arise: the group \mathfrak{S}_{∞} of all permutations of the integers, the automorphism group of the rooted ∞ -regular tree and the homeomorphism group of the Cantor space, to name a few (for \mathfrak{S}_{∞} and $\operatorname{Aut}(\mathbb{N}^{<\mathbb{N}})$, see [KR07], for Homeo($2^{\mathbb{N}}$), this is a result of Kwiatkowska, see [Kwi12]).

One of the main motivations for finding groups with ample generics is that they satisfy a very strong property called the automatic continuity property: if G is a Polish group with ample generics, every group homomorphism from G to a separable group H has to be continuous ([KR07, Thm. 1.10]). The automatic continuity property means we can recover the topology on the group from its algebraic structure only. In particular, the topology on a Polish group G with ample generics is the unique possible Polish group topology on G.

[†]Research supported by the Interuniversity Attraction Pole DYGEST and Projet ANR-14-CE25-0004 GAMME.

¹A topological group is non-archimedean if it admits a basis of neighborhoods of the identity consisting of open subgroups. Every non-archimedean Polish group is a closed subgroup of the Polish group \mathfrak{S}_{∞} of all permutations of the integers (see [BK96, Thm. 1.5.1]). The group \mathfrak{S}_{∞} is endowed with the topology of pointwise convergence on the discrete set \mathbb{N} .

²A subset of a Polish space is comeager if it contains a dense countable intersection of open sets, so that it is large in the Baire category sense.

Another motivation is the Bergman property: a group G has the Bergman property if every isometric G-action on a metric space has a bounded orbit (the action need not be continuous). This implies that every isometric affine G-action on a Hilbert space has a fixed point, that is, G has property (FH) as a discrete group. Besides, every G-action on a tree fixes either a vertex or an edge (G has property (FA)). In the context of non-archimedean Polish groups, Kechris and Rosendal showed that every oligomorphic group with ample generics has the Bergman property, which applies to the three examples above.

Outside the non-archimedean world, however, ample generics often fail badly. For instance, in the group of measure-preserving bijections of a standard probability space, in the unitary group of a separable Hilbert space or in the isometry group of the Urysohn space, all conjugacy classes are meager (see [Ros09, Sec. 4]). This led Kechris and Rosendal to ask the following question: is there a Polish group with ample generics that is not a non-archimedean group?

In this paper, we exhibit two classes of examples of connected Polish groups with ample generics. Since non-archimedean groups are totally disconnected, these examples provide a positive answer to Kechris and Rosendal's question. Note that this question has simultaneously been answered by Malicki [Mal15]. His examples differ significantly from ours. Indeed, they arise as Polishable subgroups of \mathfrak{S}_{∞} so they are totally disconnected. Here is our first result.

Theorem A (Cor. 8). Every Polish group with ample generics embeds into a contractible Polish group with ample generics.

Let us briefly describe the construction behind this theorem. Given a Polish group G and a standard probability space (X,μ) , let $L^0(X,\mu,G)$ denote the group of measurable maps from X to G. It is a contractible Polish group which embeds G via constant maps. Theorem A is then a consequence of the following observation.

Theorem B (Cor. 7). Whenever G has ample generics, so does $L^0(X, \mu, G)$.

This theorem generalizes the well-known fact that ample generics carry to countable powers, and the proof is essentially the same.

The second class of examples we consider comes from ergodic theory, and will yield a continuum of pairwise non-isomorphic simple contractible Polish groups with ample generics.

A Borel bijection of the standard probability space (X, μ) is called a **non-singular automorphism** of (X, μ) if for every Borel subset A of X, we have $\mu(A) = 0$ if and only if $\mu(T(A)) = 0$. It is **ergodic** if every T-invariant Borel set has measure 0 or 1. Given a non-singular automorphism T, define its **full group** to be the group [T] of all non-singular automorphisms S of (X, μ) which preserve every T-orbit, that is, for every $x \in X$, there exists $n \in \mathbb{Z}$ such that $S(x) = T^n(x)$. Then [T] is a Polish group for the uniform metric d_u defined by $d_u(S, S') := \mu(\{x \in X : S(x) \neq S'(x)\})$.

A non-singular ergodic automorphism of (X, μ) is called **type III** if it preserves no σ -finite measure equivalent to μ .

Theorem C (Thm. 19). Let T be a type III ergodic automorphism. Then the full group [T] has ample generics.

Full groups of type III ergodic automorphisms are contractible, as was shown by Danilenko in [Dan95]. Besides, Eigen ([Eig81]) has proved that they are simple. Therefore, the preceding theorem provides examples of simple contractible Polish groups with ample generics, as opposed to the highly non-simple groups we obtain with Theorem B. Also note that, by a result of Miller ([Mil04, Thm. 8.1]), these full groups satisfy the Bergman property.

Furthermore, Dye's reconstruction theorem is true in the type III setting: every abstract isomorphism between full groups of type III automorphisms³ comes from an orbit equivalence⁴ (for a general theorem from which this statement follows, see [Fre04, 384D]). In particular, using Krieger's classification of type III ergodic automorphisms up to orbit equivalence into types III₀, III_{λ} (0 < λ < 1) and III₁ [Kri69], we see that Theorem 19 provides a continuum of pairwise non-isomorphic simple contractible Polish groups with ample generics.

1 The space of measurable maps $L^0(X, \mu, Y)$

In this section, we recall the definition of the Polish space $L^0(X, \mu, Y)$ and establish a few basic lemmas on its topology.

By definition, a probability space (X, μ) is **standard** if it is isomorphic to the interval [0, 1] endowed with the Lebesgue measure. Throughout the paper, (X, μ) will denote a standard probability space.

Definition 1. Let Y be a Polish space. Then $L^0(X, \mu, Y)$ is the set of Lebesgue-measurable maps from X to Y up to equality μ -almost everywhere.

We endow $L^0(X, \mu, Y)$ with the **topology of convergence in measure**, which says that two maps are close in this topology if they are uniformly close on a set of large measure. To be more precise, fix a compatible metric d_Y on Y. Then for $\epsilon > 0$ and $f \in L^0(X, \mu, G)$, let

$$V_{\epsilon}(f) := \{ g \in L^{0}(X, \mu, Y) : \mu(\{x \in X : d(f(x), g(x)) < \epsilon\}) > 1 - \epsilon \}.$$

The topology generated by the family $(V_{\epsilon}(f))_{\epsilon,f}$ is the topology of convergence in measure.

This topology does not depend on the choice of a compatible metric d_Y on Y ([Moo76, Cor. of Prop. 6]). Moreover, it is Polish ([Moo76, Prop. 7]) and contractible: assume X = [0,1] and let $y_0 \in Y$ be an arbitrary point. Then an explicit contraction path is given by

$$\begin{array}{cccc} \mathrm{L}^0(X,\mu,Y) \times [0,1] & \to & \mathrm{L}^0(X,\mu,Y) \\ & (f,t) & \mapsto & f_t : x \mapsto \left\{ \begin{array}{cc} y_0 & \text{if } x > t, \\ f(x) & \text{otherwise.} \end{array} \right. \end{array}$$

The following lemma is an easy consequence of the definition of the topology of convergence in measure.

³More generally, of type III equivalence relations (see Section 3 for a definition).

⁴An **orbit equivalence** between T and T' is a non-singular automorphism of (X, μ) which maps T-orbits to T'-orbits bijectively. It is easily checked that S is an orbit equivalence between T and T' if and only if $S[T]S^{-1} = [T']$.

Lemma 2. Let Y be a Polish space, and let U be an open subset of Y. Then for every $\epsilon > 0$, the set

$$V_{U,\epsilon} := \{ f \in L^0(X, \mu, Y) : \mu(\{x \in X : f(x) \in U\}) > 1 - \epsilon \}$$

is open in $L^0(X, \mu, Y)$.

Proof. Let $f \in V_{U,\epsilon}$ and let $A = \{x \in X : f(x) \in U\}$. Fix a compatible metric d_Y on Y. Since U is open, the set A can be written as the increasing union of the sets A_n 's, where $A_n = \{x \in A : d_Y(f(x), Y \setminus U) > \frac{1}{n}\}$. By assumption, the set A has measure greater than $1 - \epsilon$, so we can find $N \in \mathbb{N}$ such that $\mu(A_N) > 1 - \epsilon$. Now, if δ is a positive real such that $\delta < \frac{1}{N}$ and $\delta < \mu(A_N) - (1 - \epsilon)$, we see that $V_{\delta}(f) \subseteq V_{U,\epsilon}$, hence $V_{U,\epsilon}$ is open.

Given a subset B of Y, let

$$L^{0}(X, \mu; B) := \{ f \in L^{0}(X, \mu, Y) : \forall x \in X, f(x) \in B \}.$$

A subset of a topological space is called G_{δ} if it can be written as a countable intersection of open sets.

Lemma 3. Let Y be a Polish space, and let B be a G_{δ} subset of Y. Then the set $L^0(X, \mu; B)$ is a G_{δ} in $L^0(X, \mu, Y)$.

Proof. Write $B = \bigcap_{n \in \mathbb{N}} U_n$ where each U_n is open. Then clearly $L^0(X, \mu; B) = \bigcap_{n \in \mathbb{N}} L^0(X, \mu; U_n)$. Now, $L^0(X, \mu; U_n) = \bigcap_{k \in \mathbb{N}} V_{U_n, 2^{-k}}$, so it is G_{δ} by the previous lemma, so $L^0(X, \mu; B)$ itself is G_{δ} .

Lemma 4. Let Y be a Polish space, and let B be dense subset of Y, then $L^0(X, \mu; B)$ is dense in $L^0(X, \mu; Y)$.

Proof. Fix a compatible metric d_Y on Y. Since Y is separable, we can find a countable subset of B which is still dense in Y: in other words, we may as well assume that B is countable. Enumerate B as $\{y_n\}_{n\in\mathbb{N}}$, and fix $\epsilon>0$ as well as a function $f\in L^0(X,\mu,Y)$. For every $x\in X$, let n(x) be the smallest integer $n\in\mathbb{N}$ such that $d_Y(f(x),y_n)<\epsilon$. It is easily checked that the map $x\mapsto n(x)$ is measurable, so that the function $g:x\mapsto y_{n(x)}$ belongs to $L^0(X,\mu;B)$. But by construction, we actually have $d_Y(f(x),g(x))<\epsilon$ for all $x\in X$, and in particular $g\in V_{\epsilon}(f)$, which completes the proof.

Recall that a subset B of a Polish space Y is comeager if it contains a dense G_{δ} set. What follows is an immediate consequence of the previous two lemmas.

Lemma 5. Let Y be a Polish space and let B be a comeager subset of Y. Then $L^0(X, \mu; B)$ is a comeager subset of $L^0(X, \mu; Y)$.

2 $L^0(X, \mu, G)$ has ample generics whenever G does

Theorem 6. Let G be a Polish group acting continuously on a Polish space Y. If the action of G on Y has a comeager orbit, then so does the action of $L^0(X, \mu; G)$ on $L^0(X, \mu; Y)$.

Proof. Let y_0 be an element of Y whose orbit is comeager; let $\overline{y_0}$ be the corresponding constant function in $L^0(X, \mu; Y)$. We show that the orbit of $\overline{y_0}$ in $L^0(X, \mu; Y)$ is comeager.

First, let us remark that the orbit of $\overline{y_0}$ is thus described:

$$L^{0}(X,\mu;G) \cdot \overline{y_{0}} = \{ f \in L^{0}(X,\mu;Y) : f(x) \in G \cdot y_{0} \text{ for almost all } x \in X \}.$$
 (1)

Indeed, if $f \in L^0(X, \mu, Y)$ is in the orbit of $\overline{y_0}$, then f clearly belongs to the above set. Conversely, assume that $f(x) \in G \cdot y_0$ for almost all $x \in X$. Since every Lebesgue-measurable map coincides with a Borel map on a full measure set, we may as well assume that f is a Borel map. We can then change f on a Borel set of measure 0 so that $f(x) \in G \cdot y_0$ for every $x \in X$. For all $x \in X$, there exists an element g_x in G such that $f(x) = g_x \cdot y_0$. We would like to find those g_x 's in a measurable way. To this end, we apply the Jankov-von Neumann uniformization theorem (see [Sri98, Cor. 5.5.8]) to the following Borel set

$$S = \{(x, g) \in X \times G : f(x) = g \cdot y_0\},\$$

which projects to the whole space X. We thus obtain a Lebesgue-measurable map $\varphi \in L^0(X, \mu; G)$ whose graph is contained in S, that is, $f = \varphi \cdot \overline{y_0}$, hence f belongs to the $L^0(X, \mu, G)$ -orbit of $\overline{y_0}$.

Now, using the notations introduced at the end of the previous section, we may rewrite Equation (1) as

$$L^{0}(X, \mu; G) \cdot \overline{y_{0}} = L^{0}(X, \mu; G \cdot y_{0}).$$

Since $G \cdot y_0$ is comeager, so is the set $L^0(X, \mu; G \cdot y_0)$ by Lemma 5. Thus, the orbit of \overline{y}_0 is comeager in $L^0(X, \mu; Y)$.

Recall that a topological group G has **ample generics** if for all $n \in \mathbb{N}$, the diagonal conjugacy action of G on G^n has a comeager orbit, where the diagonal conjugacy action is given by $g \cdot (g_1, ..., g_n) = (gg_1g^{-1}, ..., gg_ng^{-1})$.

Corollary 7. Let G be a Polish group with ample generics. Then the group $L^0(X, \mu; G)$ also has ample generics.

Proof. Fix $n \in \mathbb{N}$. There is a natural identification between the groups $L^0(X, \mu; G)^n$ and $L^0(X, \mu; G^n)$, so it suffices to apply Theorem 6 to the diagonal action of G on G^n .

Since G embeds into the contractible group $L^0(X, \mu; G)$ via constant maps, we also have the following result.

Corollary 8. Let G be a Polish group with ample generics. Then G embeds into a contractible Polish group with ample generics.

3 Type III full groups

We begin this section by recasting the definitions from the introduction in the more general setting of non-singular equivalence relations.

Two Borel probability measures are **equivalent** if they have the same null sets.

A Borel bijection of the standard probability space (X, μ) is **non-singular** if the probability measure $T_*\mu$ defined by $T_*\mu(A) = \mu(T^{-1}(A))$ is equivalent to μ . Similarly, a Borel action of a countable group Γ on (X, μ) is called non-singular if all the elements of Γ define non-singular Borel bijections of (X, μ) .

A Borel equivalence relation on X is called **countable** if all its classes are countable. For instance, if Γ is a countable group acting on X in a Borel manner, define the equivalence relation \mathcal{R}_{Γ} by

$$(x,y) \in \mathcal{R}_{\Gamma} \iff x \in \Gamma \cdot y.$$

Then \mathcal{R}_{Γ} is a countable Borel equivalence relation. In fact, by a result of Feldman and Moore [FM77], every countable Borel equivalence relation arises this way: for every countable Borel equivalence relation \mathcal{R} , there exists a countable group Γ and a Borel action of Γ on X such that $\mathcal{R} = \mathcal{R}_{\Gamma}$.

Define the **pre-full group** of a countable Borel equivalence relation \mathcal{R} on (X, μ) to be the group of all Borel bijections $T: X \to X$ such that for all $x \in X$, $(x, T(x)) \in \mathcal{R}$.

Definition 9. A countable Borel equivalence relation \mathcal{R} on (X, μ) is **non-singular** if every element of its pre-full group is non-singular.

It is well-known that a countable Borel equivalence relation \mathcal{R} on (X, μ) is non-singular if and only if it comes from a non-singular action of a countable group (see e.g. [KM04, Prop. 8.1]).

The **full group** $[\mathcal{R}]$ of a non-singular equivalence relation \mathcal{R} is by definition the quotient of its pre-full group by the normal subgroup consisting of all elements whose support have measure zero⁵. Equivalently, one can see the full group as a subgroup of $\operatorname{Aut}^*(X,\mu)$, where $\operatorname{Aut}^*(X,\mu)$ denotes the group of all non-singular Borel bijections of (X,μ) up to equality on a full measure set.

Let \mathcal{R} and \mathcal{S} be two non-singular equivalence relations. Then a map $T \in \operatorname{Aut}^*(X,\mu)$ is said to induce an **orbit equivalence** between \mathcal{R} and \mathcal{S} if it maps bijectively almost every \mathcal{R} -orbit to an \mathcal{S} -orbit, that is, if for almost all $x \in X$,

$$T([x]_{\mathcal{R}}) = [T(x)]_{\mathcal{S}}.$$

It is easy to see that $T \in \operatorname{Aut}^*(X, \mu)$ induces an orbit equivalence between \mathcal{R} and \mathcal{S} if and only if $T[\mathcal{R}]T^{-1} = [\mathcal{S}]$. Let us now give the most basic invariants of orbit equivalence.

A non-singular equivalence relation \mathcal{R} on (X,μ) is **ergodic** if every Borel set $A\subseteq X$ that is a reunion of \mathcal{R} -classes has measure 0 or 1. If \mathcal{R} is an ergodic non-singular equivalence relation, we say that

⁵Note that the fact that this is a normal subgroup is a consequence of the fact that \mathcal{R} is non-singular.

- \mathcal{R} is **type II**₁ if there exists a probability measure ν equivalent to μ which is preserved by \mathcal{R} , that is, such that every element T of the pre-full group of \mathcal{R} satisfies $T_*\nu = \nu$;
- \mathcal{R} is type \mathbf{II}_{∞} if there exists a σ -finite measure ν equivalent to μ which is preserved by \mathcal{R} ;
- \mathcal{R} is **type III** otherwise.

These cases are mutually exclusive, and here, we will focus on type III ergodic equivalence relations. Let us give one more definition.

Definition 10. The **pseudo-full group** $[[\mathcal{R}]]$ of a non-singular equivalence relation \mathcal{R} is the set of all injective Borel maps φ from X to X such that for all $x \in \text{dom } \varphi$, one has $(x, \varphi(x)) \in \mathcal{R}$.

The following proposition is folklore; since we could not find a precise reference in the literature, we provide a proof.

Proposition 11. Let \mathcal{R} be a type III ergodic equivalence relation on (X, μ) . Then for every Borel subsets $A, B \subseteq X$ of positive measure, there exists $\varphi \in [[\mathcal{R}]]$ such that dom $\varphi = A$ and rng $\varphi = B$.

The proof follows [HIK74, Lem. 6] closely, where the same statement is proved in the case when \mathcal{R} is generated by a single type III ergodic automorphism of (X, μ) . We need the following lemma, which corresponds to [HIK74, Lem. 3].

Lemma 12. Let \mathcal{R} be a type III ergodic equivalence relation on (X, μ) . Then for every Borel subset A of X with positive measure, the restriction of \mathcal{R} to A is type III.

Proof. Let Γ be a countable group such that $\mathcal{R} = \mathcal{R}_{\Gamma}$. Then by ergodicity, one can find a partition $(B_i)_{i \in \mathbb{N}}$ of $X \setminus A$ such that for every $i \in \mathbb{N}$, there exists $\gamma_i \in \Gamma$ such that $\gamma_i(B_i) \subseteq A$. Suppose that the restriction of \mathcal{R} to A is not type III: then it preserves a σ -finite measure ν equivalent to the restriction of μ to A. We then extend ν to X via the γ_i 's: for every Borel subset B of X, let

$$\eta(B) = \nu(A \cap B) + \sum_{i \in \mathbb{N}} \nu(\gamma_i(B_i \cap B)).$$

The σ -finite measure η we obtain is \mathcal{R} -invariant and equivalent to μ , contradicting the fact that \mathcal{R} was type III.

Proof of Proposition 11. Let \mathcal{R} be a type III ergodic equivalence relation on (X, μ) . We introduce the following notation: for two Borel subsets $A, B \subseteq X$, write $A \prec_{\mathcal{R}} B$ if there exists $\varphi \in [[\mathcal{R}]]$ whose domain is equal to A while its range is included in B. By the Borel version of the Schröder-Bernstein theorem (see [Kec95, Thm. 15.7]), we see that if $A \prec_{\mathcal{R}} B$ and $B \prec_{\mathcal{R}} A$, then there exists $\varphi \in [[\mathcal{R}]]$ whose domain is equal A and whose range is actually equal to B. So by symmetry, the proof boils down to showing that for any $A, B \subseteq X$ of positive measure, one has $A \prec_{\mathcal{R}} B$.

To this end, consider the restriction $\mathcal{R}_{\upharpoonright B}$ of \mathcal{R} to B: by the previous lemma, it is type III. Let Γ be a countable group acting on B such that $\mathcal{R}_{\upharpoonright B} = \mathcal{R}_{\Gamma}$. Applying [HI69, Thm. 1] to the Γ -action on B, one finds a positive measure subset $W \subseteq B$ and an infinite subset $I \subseteq \Gamma$ such that for all $\gamma \neq \gamma' \in I$, the sets $\gamma(W)$ and $\gamma'(W)$ are disjoint.

Since \mathcal{R} is ergodic, we can find a partition $(A_{\gamma})_{\gamma \in I}$ of A such that for every $\gamma \in I$ one has $A_{\gamma} \prec_{\mathcal{R}} W$. But then for all $\gamma \in I$, we have $A_{\gamma} \prec_{\mathcal{R}} \gamma(W)$, and since $(\gamma(W))_{\gamma \in I}$ is a partition of a subset of B, we deduce that $A \prec_{\mathcal{R}} B$.

4 Full groups as closed subgroups of $L^0(X, \mu, \mathfrak{S}_{\infty})$

This section is devoted to the proof of Theorem C: we want to exhibit examples of full groups with ample generics. Let us first give some background on the group \mathfrak{S}_{∞} of all permutations of the set \mathbb{N} . It is equipped with the topology of pointwise convergence, which means that a sequence (σ_n) of permutations converges to σ if for every $k \in \mathbb{N}$, we have $\sigma_n(k) = \sigma(k)$ for n large enough. An explicit basis of neighborhoods of the identity is provided by the sets

$$\{\sigma \in \mathfrak{S}_{\infty} : \sigma_{\upharpoonright \{0,\dots,N\}} = \mathrm{id}_{\{0,\dots,N\}}\}$$

where $N \in \mathbb{N}$. Our proof of Theorem C nicely parallels the proof of the fact that \mathfrak{S}_{∞} has ample generics, which was first shown by Hodges, Hodkinson, Lascar and Shelah ([HHLS93]). First, we need to define the topology on full groups.

Given a non-singular equivalence relation \mathcal{R} , endow its full group $[\mathcal{R}]$ with the uniform topology, induced by the metric d_u defined by

$$d_u(S,T) := \mu(\{x \in X : S(x) \neq T(x)\}).$$

Then $[\mathcal{R}]$ is a Polish group.

An equivalence relation is called **aperiodic** if all its equivalence classes are infinite. By an easy modification of the Lusin-Novikov theorem (see [Kec95, Ex. 18.15]), if \mathcal{R} is a aperiodic countable Borel equivalence relation, then there exists a countable family of Borel maps $(f_i)_{i\in\mathbb{N}}$ from X to X with disjoint graphs, where f_0 is the identity map, such that

$$\mathcal{R} = \bigsqcup_{i \in \mathbb{N}} \{ (x, f_i(x)) : x \in X \}.$$

Such a family $(f_i)_{i\in\mathbb{N}}$ is called a **decomposition** of \mathcal{R} . Note that if \mathcal{R} is moreover non-singular, then for all $i\in\mathbb{N}$, the pushforward measure $f_{i*}\mu$ is absolutely continuous with respect to μ .

The following proposition clarifies the link between full groups and \mathfrak{S}_{∞} . We will use this connection throughout the lemmas leading to Theorem C.

Proposition 13. Let \mathcal{R} be a non-singular aperiodic equivalence relation on (X, μ) . Let $(f_i)_{i \in \mathbb{N}}$ be a decomposition of \mathcal{R} . Then the function

$$\Phi: [\mathcal{R}] \to \mathrm{L}^0(X, \mu, \mathfrak{S}_\infty)$$

which maps every $T \in [\mathcal{R}]$ to the measurable function

$$\Phi(T): x \mapsto (i \in \mathbb{N} \mapsto \text{ the unique } j \in \mathbb{N} \text{ such that } T(f_i(x)) = f_j(x))$$

is an embedding.

Proof. It is easy to check that Φ is a well-defined group homomorphism. Next, we show that Φ is continuous. To this end, let $\epsilon > 0$ and $N \in \mathbb{N}$ and consider the following basic open neighborhood of the identity in $L^0(X, \mu, \mathfrak{S}_{\infty})$:

$$U_{\epsilon,N} = \{ f \in L^0(X, \mu, \mathfrak{S}_{\infty}) : \mu(\{x \in X : f(x)_{|\{0,\dots,N\}\}} = id_{\{0,\dots,N\}} \}) > 1 - \epsilon \}.$$

Let (T_n) converge to the identity map of X and for each $n \in \mathbb{N}$, let $A_n = X \setminus \sup T_n$. Then $\mu(A_n)$ tends to 1 so for all $i \in \{0, ..., N\}$, we have $\mu(f_i^{-1}(A_n)) \to 0$. Thus, for n large enough, we have $\mu(\bigcap_{i=0}^N f_i^{-1}(A_n)) > 1 - \epsilon$, so that $\Phi(T_n) \in U_{\epsilon,N}$. This means that Φ is continuous.

Moreover, since $\Phi^{-1}(U_{\epsilon,0})$ consists precisely of those $T \in [\mathcal{R}]$ whose support has measure less than ϵ , we see that Φ is injective and is a homeomorphism onto its image, completing the proof.

Remark. The above proof amounts to identifying $X \times \mathbb{N}$ and \mathcal{R} via $(x, i) \mapsto (x, f_i(x))$. The right-action ρ of $[\mathcal{R}]$ on \mathcal{R} given by $\rho(T)(x, y) = (x, T(y))$ provides the embedding $\Phi : [\mathcal{R}] \to L^0(X, \mu, \mathfrak{S}_{\infty})$ of the previous theorem.

In what follows, we say that an *n*-tuple of permutations $(\sigma_1, ..., \sigma_n)$ of a set X and an *n*-tuple of permutations $(\tau_1, ..., \tau_n)$ of a set Y are **conjugate** if there exists a bijection $\sigma: X \to Y$ such that for all $i \in \{1, ..., n\}$, we have $\sigma \sigma_i \sigma^{-1} = \tau_i$.

Lemma 14. Let E_n denote the set of n-tuples $(\sigma_1, ..., \sigma_n) \in \mathfrak{S}_{\infty}^n$ such that the following two conditions are satisfied:

- (1) every $\langle \sigma_1, ..., \sigma_n \rangle$ -orbit is finite, and
- (2) for every transitive⁶ n-tuple $(\tau_1, ..., \tau_n)$ of permutations of a finite set, there exists infinitely many $\langle \sigma_1, ..., \sigma_n \rangle$ -orbits such that the restriction of $(\sigma_1, ..., \sigma_n)$ to each of them is conjugate to $(\tau_1, ..., \tau_n)$.

Then E_n is G_{δ} in \mathfrak{S}_{∞}^n .

Proof. For a fixed $k \in \mathbb{N}$, consider an n-tuple $(\sigma_1, ..., \sigma_n) \in \mathfrak{S}^n_{\infty}$ such that the $\langle \sigma_1, ..., \sigma_n \rangle$ -orbit of k is finite. Let F denote this orbit. If an n-tuple $(\tau_1, ..., \tau_n) \in \mathfrak{S}^n_{\infty}$ has the same restriction to F as $(\sigma_1, ..., \sigma_n)$, then the $\langle \tau_1, ..., \tau_n \rangle$ -orbit of k is also equal to F, so in particular it is finite. Thus, the set of n-tuples $(\sigma_1, ..., \sigma_n) \in \mathfrak{S}^n_{\infty}$ for which the orbit of k is finite is an open subset of \mathfrak{S}^n_{∞} .

Since all the $\langle \sigma_1, ..., \sigma_n \rangle$ -orbits are finite if and only if for all $k \in \mathbb{N}$, the $\langle \sigma_1, ..., \sigma_n \rangle$ -orbit of k is finite, condition (1) defines a G_δ subset of \mathfrak{S}^n_{∞} .

To complete the proof, we now need to see why condition (2) also defines a G_{δ} subset of $\mathfrak{S}_{\infty}^{n}$.

⁶By definition, an *n*-tuple $(\tau_1, ..., \tau_n)$ of permutations of a finite set is **transitive** if the associated $\langle \tau_1, ..., \tau_n \rangle$ action is transitive, i.e. has only one orbit.

Up to conjugation, there are countably many n-tuples of permutations of a finite set. Moreover, since a countable intersection of G_{δ} sets is G_{δ} , we only need to see that for a fixed transitive n-tuple $(\tau_1, ..., \tau_n)$ of permutations of a finite set, the following condition on $(\sigma_1, ..., \sigma_n) \in \mathfrak{S}_{\infty}^n$ defines a G_{δ} set: there are infinitely many $k \in \mathbb{N}$ such that the restriction of $(\sigma_1, ..., \sigma_n)$ to the $\langle \sigma_1, ..., \sigma_n \rangle$ -orbit of k is conjugate to $(\tau_1, ..., \tau_n)$.

For a fixed $k \in \mathbb{N}$, the same reasoning as for condition (1) yields that the following set C_k is open: the set of $(\sigma_1, ..., \sigma_n) \in \mathfrak{S}_{\infty}^n$ such that the restriction of $(\sigma_1, ..., \sigma_n)$ to the orbit of k is conjugate to $(\tau_1, ..., \tau_n)$. We deduce the set of $(\sigma_1, ..., \sigma_n)$ such that there are infinitely many $k \in \mathbb{N}$ with $(\sigma_1, ..., \sigma_n) \in C_k$ is G_{δ} , which completes the proof.

The following lemma mirrors Lemma 14.

Lemma 15. Let \mathcal{R} be an aperiodic non-singular equivalence relation on (X, μ) . Let \mathcal{E}_n denote the set of n-tuples $(T_1, ..., T_n) \in [\mathcal{R}]^n$ such that the following two conditions are satisfied:

- (1) almost every $\langle T_1, ..., T_n \rangle$ -orbit is finite, and
- (2) for every transitive n-tuple $(\tau_1, ..., \tau_n)$ of permutations of a finite set and almost every $x \in X$, there are infinitely many $y \in [x]_{\mathcal{R}}$ such that the restriction of $(T_1, ..., T_n)$ to the $\langle T_1, ..., T_n \rangle$ -orbit of y is conjugate to $(\tau_1, ..., \tau_n)$.

Then \mathcal{E}_n is G_δ in $[\mathcal{R}]^n$.

Proof. The set E_n of Lemma 14 is G_δ in \mathfrak{S}^n_∞ , so by Lemma 3, we have that $L^0(X,\mu;E_n)$ is a G_δ subset of $L^0(X,\mu;\mathfrak{S}^n_\infty)$. Through the natural identification between $L^0(X,\mu,\mathfrak{S}^n_\infty)$ and $L^0(X,\mu,\mathfrak{S}^n_\infty)^n$, we then see $L^0(X,\mu,E_n)$ as a G_δ subset of $L^0(X,\mu,\mathfrak{S}_\infty)^n$.

But then, using the notations of Proposition 13, we have that

$$\mathcal{E}_n = (\Phi, ..., \Phi)^{-1}(L^0(X, \mu; E_n)).$$

Since Φ is continuous and E_n is G_{δ} , we deduce that \mathcal{E}_n is also G_{δ} .

Remark. We will see during the proof of Theorem C that when \mathcal{R} is hyperfinite, \mathcal{E}_n is a dense G_{δ} set for all $n \geq 1$ (we prove it only in the type III case, but it is true in general). This actually characterizes hyperfiniteness as a consequence of a result of Eisenmann and Glasner which was a great source of inspiration to us (see [EG14, Thm. 1.6 (2)]).

The next lemma is a bit technical to state, and will only be used to show that \mathcal{E}_n consists of a single conjugacy class in the type III case (Lemma 17).

Lemma 16. Let $p \in \mathbb{N}$, consider the product action of the cyclic group $\mathbb{Z}/p\mathbb{Z}$ on the space $(\mathbb{Z}/p\mathbb{Z} \times A, m \times \nu)$, where $\mathbb{Z}/p\mathbb{Z}$ acts on itself by translation and trivially on A, m is the normalized counting measure on $\mathbb{Z}/p\mathbb{Z}$ and (A, ν) is a standard probability space. Fix $(\tau_1, ..., \tau_n) \in \mathfrak{S}_p^n$ and $(S_1, ..., S_n), (T_1, ..., T_n) \in [\mathcal{R}_{\mathbb{Z}/p\mathbb{Z}}]^n$ such that for every $x \in A \times \mathbb{Z}/p\mathbb{Z}$, both the $(S_1, ..., S_n)$ - and the $(T_1, ..., T_n)$ -actions on $[x]_{\mathcal{R}_{\mathbb{Z}/p\mathbb{Z}}}$ are conjugate to $(\tau_1, ..., \tau_n)$.

Then $(S_1,...,S_n)$ and $(T_1,...,T_n)$ are diagonally conjugate: there is $S \in [\mathcal{R}_{\mathbb{Z}/p\mathbb{Z}}]$ such that for all $i \in \{1,...,n\}$, $ST_iS^{-1} = S_i$.

Proof. View \mathfrak{S}_p as the group of permutations of $\mathbb{Z}/p\mathbb{Z}$. Then $L^0(A, \mu, \mathfrak{S}_p)$ acts on $\mathbb{Z}/p\mathbb{Z} \times A$ as follows: for every $f \in L^0(A, \mu; \mathfrak{S}_p)$ and $(g, x) \in \mathbb{Z}/p\mathbb{Z} \times A$,

$$f \cdot (g, x) = (f(x)g, x).$$

This yields a group homomorphism $\Psi : L^0(A, \mu; \mathfrak{S}_p) \to [\mathcal{R}_{\mathbb{Z}/p\mathbb{Z}}]$ which is easily seen to be an isomorphism.

The group $L^0(A, \nu; \mathfrak{S}_p)$ acts on $L^0(A, \nu, \mathfrak{S}_p)^n = L^0(A, \nu, \mathfrak{S}_p^n)$ by diagonal conjugation. We have to show that $(\Psi^{-1}(S_i))_{i=1}^n$ and $(\Psi^{-1}(T_i))_{i=1}^n$ belong to the same orbit. By assumption, for all $x \in A$, both $(\Psi^{-1}(S_i)(x))_{i=1}^n$ and $(\Psi^{-1}(T_i)(x))_{i=1}^n$ are conjugate to $(\tau_i)_{i=1}^n$.

But by Equation (1) from the proof of Theorem 6, this implies that $(\Psi^{-1}(S_i))_{i=1}^n$ and $(\Psi^{-1}(T_i))_{i=1}^n$ both belong to the $L^0(A, \nu; \mathfrak{S}_p)$ -orbit of the *n*-tuple of constant maps $(\overline{\tau_i})_{i=1}^n$, completing the proof.

The following lemma is crucial and mirrors the fact that in \mathfrak{S}_{∞}^n , the set E_n defined in Lemma 14 consists of a single diagonal conjugacy class.

Lemma 17. Let \mathcal{R} be a type III ergodic equivalence relation. Then the set \mathcal{E}_n defined in Lemma 15 consists of a single diagonal $[\mathcal{R}]$ -conjugacy class.

Remark. The lemma is false if we do not assume \mathcal{R} is type III. Indeed, if \mathcal{R} preserves a σ -finite measure ν , then the measure of the set of $x \in X$ with an orbit of size n becomes an invariant of conjugacy.

Proof. Consider two *n*-tuples $(S_1, ..., S_n)$ and $(T_1, ..., T_n)$ in \mathcal{E}_n . We want to find $T \in [\mathcal{R}]$ such that for all $i \in \{1, ..., n\}$, $TS_iT^{-1} = T_i$.

Up to conjugation, there are only countably many transitive n-tuples $(\tau_1, ..., \tau_n)$ of permutations of finite sets. Let $(\tau_{1,k}, ..., \tau_{n,k})_{k \in \mathbb{N}}$ be a choice of representatives of transitive n-tuples of permutations of finite sets for the conjugacy equivalence relation. For every $k \in \mathbb{N}$, let

 $A_k := \{x \in X : \text{the restriction of } (S_1, ..., S_n) \text{ to the } \langle S_1, ..., S_n \rangle \text{ -orbit of } x \text{ is conjugate to } (\tau_{1,k}, ..., \tau_{n,k}) \}$

 $B_k := \{x \in X : \text{the restriction of } (T_1, ..., T_n) \text{ to the } \langle T_1, ..., T_n \rangle \text{-orbit of } x \text{ is conjugate to } (\tau_{1,k}, ..., \tau_{n,k})\}$

Then each A_k is clearly $\langle S_1, ... S_n \rangle$ -invariant while each B_k is $\langle T_1, ..., T_n \rangle$ -invariant. Moreover, since $(S_1, ..., S_n) \in \mathcal{E}_n$ and $(T_1, ..., T_n) \in \mathcal{E}_n$, we see that both $(A_k)_{k \in \mathbb{N}}$ and $(B_k)_{k \in \mathbb{N}}$ are partitions⁷ of X.

For each $k \in \mathbb{N}$, we will build a map $\varphi_k : A_k \to B_k$ belonging to $[[\mathcal{R}]]$ which conjugates $(S_1, ..., S_n)_{\upharpoonright A_k}$ to $(T_1, ..., T_n)_{\upharpoonright B_k}$. Granting this, the element $T \in [\mathcal{R}]$ obtained by gluing together the φ_k 's will conjugate $(S_1, ..., S_n)$ to $(T_1, ..., T_n)$, which will complete the proof the lemma.

For the construction, fix $k \in \mathbb{N}$ and let $p \in \mathbb{N}$ be the size of the orbit of $\langle \tau_{1,k},...\tau_{n,k} \rangle$. Then one may as well assume that $\tau_{1,k},...,\tau_{n,k}$ belong to the group \mathfrak{S}_p of permutations of the set $\{0,...,p-1\}$. By assumption the $\langle S_1,...,S_n \rangle$ -orbit of

⁷By convention, this also means that each A_k (resp. B_k) has positive measure.

every x in A has cardinality p. Fix a Borel linear order < on X (as one may assume X = [0, 1], such an order exists). Then the set

$$A = \{x \in A_k : x \text{ is the } <\text{-minimum of its } \langle S_1, ..., S_n \rangle \text{-orbit} \}$$

is Borel and we define $U \in [\mathcal{R}]$ to be the map which is the identity outside of A_k and sends every $x \in A_k$ to its successor for the cyclic order induced by $\langle S_1, ..., S_n \rangle$ -orbit of x. By construction, we have that $(U^i(A))_{i=0}^{p-1}$ is a partition of A_k .

Similarly, we can find $B \subseteq B_k$ and $V \in [\mathcal{R}]$ such that $(V^i(B))_{i=0}^{p-1}$ is a partition of B_k .

Since \mathcal{R} is type III ergodic, by Proposition 11 there exists $\psi \in [[\mathcal{R}]]$ such that $\psi(A) = B$. Define $\psi_k : A_k \to B_k$ by: for all $i \in \{1, ..., k\}$ and $x \in U^i(A)$,

$$\psi_k(x) = V^i \psi U^{-i}(x).$$

Clearly, ψ_k is an orbit equivalence between the equivalence relations induced by $(S_1, ..., S_n)_{\restriction A_k}$ and $(T_1, ..., T_n)_{\restriction B_k}$.

To conclude, note that the automorphism $U \in [\mathcal{R}]$ defined above yields an action of the cyclic group $\mathbb{Z}/p\mathbb{Z}$ on $A_k = \bigsqcup_{i=0}^{p-1} U^i(A)$ which is conjugate to the action defined in Lemma 16. We may thus apply this lemma to $(S_{i \mid A_k})_{i=1}^k$ and $(\psi_k^{-1} T_{i \mid B_k} \psi_k)_{i=1}^n$ for $(\tau_i)_{i=1}^n = (\tau_{i,k})_{i=1}^n$: we find $S \in [\mathcal{R}_{\mathbb{Z}/p\mathbb{Z}}]$ such that for all $i \in \{1, ..., n\}$,

$$SS_{i \uparrow A_k} S^{-1} = \psi_k^{-1} T_{i \uparrow B_k} \psi_k.$$

Then, the map $\varphi_k = \psi_k S \in [[\mathcal{R}]]$ conjugates $S_{i | A_k}$ to $T_{i | B_k}$ for all $i \in \{1, ..., n\}$, so the lemma is proven.

We need one more lemma before we embark on the proof of Theorem C.

Lemma 18. Let \mathcal{R} be a type III ergodic equivalence relation. Then there exists a subrelation $\mathcal{S} \subseteq \mathcal{R}$ such that for every $(\sigma_1, ..., \sigma_n) \in \mathfrak{S}^n_{\infty}$, there exists $(T_1, ..., T_n) \in [\mathcal{S}]$ such that for almost every $x \in X$, the $(T_1, ..., T_n)$ -action on $[x]_{\mathcal{S}}$ is conjugate to the $(\sigma_1, ..., \sigma_n)$ -action on \mathbb{N} .

Proof. For this proof, it is easier to think of \mathfrak{S}_{∞} as the group of permutations of \mathbb{Z} . Let us write X as a countable partition $(A_k)_{k\in\mathbb{Z}}$, where each A_k has positive measure. By Proposition 11, for each $k\in\mathbb{Z}$ we may and do fix $\varphi_k:A_k\to A_{k+1}$ belonging to $[[\mathcal{R}]]$. Gluing these φ_k 's together, we obtain $T\in[\mathcal{R}]$ and let \mathcal{S} denote the equivalence relation induced by T.

There is a natural homomorphism $\Psi: \mathfrak{S}_{\infty} \to [\mathcal{S}]$ given by: for all $\sigma \in \mathfrak{S}_{\infty}$, all $k \in \mathbb{Z}$, and all $x \in A_k$,

$$\Psi(\sigma)(x) = T^{\sigma(k)-k}(x).$$

Note that for all $x \in A_0$, we have $\Psi(\sigma)(T^k(x)) = T^{\sigma(k)}(x)$. It is then easy to check that for every $(\sigma_1, ..., \sigma_n) \in \mathfrak{S}_{\infty}^n$, the elements of the full group of \mathcal{S} defined by $T_i = \Psi(\sigma_i)$ satisfy the requirements of the lemma.

A non-singular equivalence relation \mathcal{R} on (X, μ) is **hyperfinite** if it can be written as an increasing union of finite Borel equivalence subrelations (i.e. with finite equivalence classes). By a result of Krieger [Kri69, Thm. 4.1], which is actually true in the pure Borel setting (see [Wei84, SS88]), these arise exactly as the equivalence relations induced by non-singular \mathbb{Z} -actions. So Theorem C may be reformulated as follows.

Theorem 19. Let \mathcal{R} be a hyperfinite type III ergodic equivalence relation. Then the full group of \mathcal{R} has ample generics.

Proof. Fix $n \in \mathbb{N}$. By Lemma 17, the set \mathcal{E}_n consists of a single $[\mathcal{R}]$ -conjugacy class and it is G_{δ} by Lemma 15.

Therefore, we only have to show that \mathcal{E}_n is dense. Since \mathcal{R} is hyperfinite, it can be written as an increasing union of finite Borel equivalence subrelations $\mathcal{R} = \bigcup_{k \in \mathbb{N}} \mathcal{R}_k$. It follows that $\bigcup_{k \in \mathbb{N}} [\mathcal{R}_k]$ is dense in $[\mathcal{R}]$ (see [KT10, Thm. 4.7] whose proof readily adapts to the non-singular case).

But then, this implies that the set \mathcal{F}_n of n-tuples $(T_1, ..., T_n) \in [\mathcal{R}]^n$ with only finite orbits is dense in $[\mathcal{R}]^n$. So it suffices to be able to approximate elements of \mathcal{F}_n by elements of \mathcal{E}_n .

To this end, let $(T_1, ..., T_n) \in \mathcal{F}_n$ and fix $\epsilon > 0$. Since $(T_1, ..., T_n)$ only has finite orbits, we can find a $(T_1, ..., T_n)$ -invariant set A of measure less than ϵ .

Let us fix some $(\sigma_1, ..., \sigma_n) \in E_n$ with the notation of Lemma 14. The restriction of \mathcal{R} to A is type III ergodic by Lemma 12, so we can apply Lemma 18 to it. We thus find an equivalence subrelation $\mathcal{S} \subseteq \mathcal{R}_{\uparrow A}$ and $T'_1, ..., T'_n \in [\mathcal{S}]$ such that the action of $(T'_1, ..., T'_n)$ on almost every \mathcal{S} -class is conjugate to the action of $(\sigma_1, ..., \sigma_n)$ on \mathbb{N} .

We then define $(\tilde{T}_1, ..., \tilde{T}_n) \in [\mathcal{R}]^n$ by: for every $i \in \{1, ..., n\}$ and $x \in X$,

$$\tilde{T}_i(x) = \begin{cases} T'_i(x) & \text{if } x \in A, \\ T_i(x) & \text{otherwise.} \end{cases}$$

Because $\mu(A) < \epsilon$, each \tilde{T}_i is ϵ -close to T_i . Moreover by ergodicity almost every \mathcal{R} -class meets A, which implies by the construction of $(T'_1, ..., T'_n)$ that the newly obtained $(\tilde{T}_1, ..., \tilde{T}_n)$ belong to \mathcal{E}_n . Thus \mathcal{E}_n is dense, which ends the proof.

Remark. Using the framework presented in [LM15, Sec. 2.1], one can remove the ergodicity assumption in Theorem 19.

5 Further remarks

Using Proposition 11, we see that Kitrell and Tsankov's result on automatic continuity for ergodic full groups of type II₁ ([KT10, Thm. 3.1]) readily adapts to the type III ergodic case. We do not know if all full groups of type III equivalence relation satisfy the automatic continuity property.

In the proof of Theorem 19 for n = 1, we can replace hyperfiniteness by Rohlin's lemma (see [CF65, Thm. 1]) and obtain that $[\mathcal{R}]$ has a comeager conjugacy class

whenever \mathcal{R} is a type III ergodic equivalence relation. We conjecture that however, as soon as \mathcal{R} is not hyperfinite, $[\mathcal{R}]$ does not have ample generics⁸.

Let us mention another result on full groups of type III which answers a question which was asked by Houdayer and Paulin to the second author.

Theorem 20. Whenever \mathcal{R} is a type III ergodic equivalence relation, its full group has topological rank⁹ 2.

Sketch of proof. First, one can use the ideas in [KW91] to show that $[\mathcal{R}]$ contains a type III ergodic element T_0 which is a non-singular odometer. Then, since by [GP07, Lem. 5.2] the group of dyadic permutations is dense in $[T_0]$, the exact same proof as for [LM15, Thm. 4.1] yields that one can find $U \in [T_0]$ of small support such that whenever $C \in [\mathcal{R}]$ has order 3, then the closed subgroup generated by T and UC contains $[T_0]$.

Now, using Proposition 11, one can show that the equivalence relation \mathcal{R} is generated by T_0 and $\varphi \in [[\mathcal{R}]]$ whose range is disjoint from its domain and such that $\mu(\text{dom }\varphi \cup \text{rng }\varphi) < 1$. Then, again using Proposition 11, one can find $\psi \in [[\mathcal{R}_0]]$ with dom $\psi = \text{rng }\varphi$ and such that $\text{rng }\psi$ is disjoint from dom $\varphi \cup \text{rng }\varphi$: in the terminology of [LM14], $\{\varphi, \psi\}$ is a pre-3-cycle. Let C be the associated 3-cycle. Then one can conclude that $\langle T, UC \rangle$ is a dense subgroup of $[\mathcal{R}]$ exactly as in [LM14], noting that Kittrell and Tsankov's theorem [KT10, Thm. 4.7] holds in the non-singular case.

Remark. Roughly speaking, the above result follows from the fact that any type III ergodic equivalence relation has cost 1. Using the same proof as for [LM15, Prop. 5.1], one can show that for any type III ergodic equivalence relation \mathcal{R} , the set of pairs $(T, U) \in (APER \cap [\mathcal{R}]) \times [\mathcal{R}]$ which generate a dense subgroup of $[\mathcal{R}]$ is a dense G_{δ} set.

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⁸As was pointed out before, a good clue for this is the fact that the conjugacy class \mathcal{E}_n ceases to be dense

⁹The topological rank of a topological group is the minimal number of elements needed to generate a dense subgroup.

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