

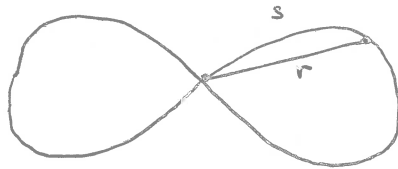
# Integrals of algebraic functions of one variable

Ex: computing the length of a  $\left\{ \begin{array}{l} \text{circle} \\ \text{lemniscate} \\ \text{ellipse} \end{array} \right\}$

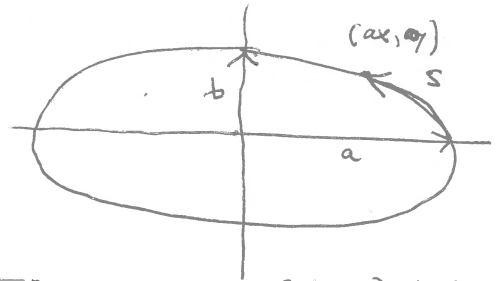
$$a > b, k^2 = 1 - \frac{b^2}{a^2}$$



$$s = \int \frac{dx}{\sqrt{1-x^2}}$$



$$s = \int \frac{dr}{\sqrt{1-r^4}}$$



$$ds = 2a \frac{\sqrt{(1-k^2x^2)} dx}{\sqrt{(1-x^2)(1-b^2x^2)}}$$

General problem: study  $\left\{ \int R(x,y) dx = \int w, \right.$   
 $\left. | F(x,y) = 0 \right.$  ( $F \in \mathbb{C}[x,y]$  non-constant irreducible)

$R \in \mathbb{C}(x,y)$  rational function

Above:  $y^2 - f(x) = 0$

Geometric formulation:  $C: F(x,y) = 0$  irreducible plane curve (affine)

$w = R(x,y) dx$  rational differential on  $C$

study  $P \mapsto \int_0^P w = I(P), \quad \begin{array}{l} O \in C(\mathbb{C}) \text{ fixed base point} \\ P \in C(\mathbb{C}) \text{ variable point} \end{array}$

integrate along a chosen path in  $C(\mathbb{C}) \setminus \{\text{singularities of } w\}$



choice of another path:  $\int_{\gamma_1} w = \int_{\gamma_2} w + \int_{\gamma} w \quad | \quad \begin{array}{l} \gamma = \gamma_1 - \gamma_2 \\ \partial \gamma = 0 \\ \text{closed path} \end{array}$

$I(P)$  is defined modulo periods of  $w$ :

$$\left\{ \int_{\gamma} w \mid \gamma \text{ closed path in } C(\mathbb{C}) \setminus \text{Sing}(w) \right\}$$

$$= \left\{ \int_{\gamma} w \mid [\gamma] \in H_1(C(\mathbb{C}) \setminus \text{Sing}(w)) \right\}$$

Ex:  $C: y=0$   $\xrightarrow{x}$   $w = \frac{dx}{x}, \text{Sing}(w) = \{0\}$

$\int_1^P \frac{dx}{x} = \log(P) \in \mathbb{C}/(2\pi i \mathbb{Z})$   $\gamma$    $\int_{\gamma} \frac{dx}{x} = 2\pi i$

Variant: embed  $C \subset \tilde{C}$  suitable projective curve  
 consider also  $P \in \tilde{C}(\mathbb{C}) \setminus \text{Sing}(w)$

Elementary case: integrals of rational functions

$$R(t) \in \mathbb{C}(t) \Rightarrow R(t) = \underbrace{A(t)}_{\mathbb{C}[t]} + \sum_{j=1}^m \left( c_j \cdot \frac{t}{t-a_j} \right) + \sum_{k=2}^n b_{jk} \frac{1}{(t-a_j)^k}$$

$$\Rightarrow \int R(t) dt = F(t) + \sum_{j=1}^m c_j \log(F_j(t)), \quad \begin{matrix} F_1, F_j \in \mathbb{C}(t) \text{ rational fns} \\ c_j \in \mathbb{C} \end{matrix}$$

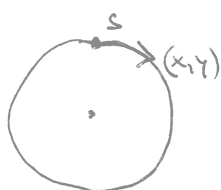
(multivalued fn)

Exercise: if  $F(t_1, \dots, t_n)$  is a multivalued fn such that

$$\forall_j \frac{\partial F}{\partial t_j} \in \mathbb{C}(t_1, \dots, t_n) \text{ (rational fn)} \Rightarrow F = G + \sum_{k=1}^m c_k \log(G_k), \quad c_k \in \mathbb{C}$$

("F = elementary function")  $G_1, G_k \in \mathbb{C}(t_1, \dots, t_n)$  rational fns

Addition formulas



$$s = \int_0^x \omega, \quad \omega = \frac{dx}{\sqrt{1-x^2}}$$

Inverse fn:  $x = \sin(s), \quad \sin' = \sqrt{1-\sin^2}$

$$\sin(s_1 + s_2) = \sin(s_1) \sqrt{1-\sin^2(s_2)} + \sin(s_2) \sqrt{1-\sin^2(s_1)}$$

$$\Leftrightarrow \int_0^u \frac{dx}{\sqrt{1-x^2}} + \int_0^v \frac{dx}{\sqrt{1-x^2}} = \int_0^w \frac{dx}{\sqrt{1-x^2}}, \quad w = u \sqrt{1-v^2} + v \sqrt{1-u^2}$$

Euler's generalisation:  $f(x) = 1 + mx^2 + nx^4$

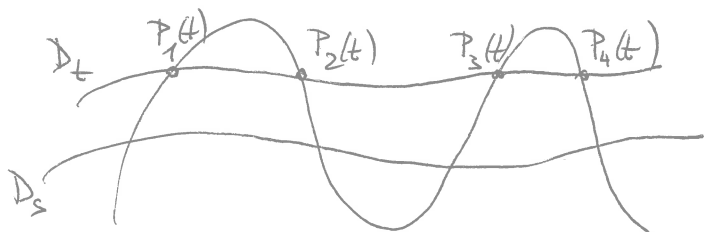
$$\int_0^u \frac{dx}{\sqrt{f(x)}} + \int_0^v \frac{dx}{\sqrt{f(x)}} = \int_0^w \frac{dx}{\sqrt{f(x)}}, \quad w = \frac{u \sqrt{f(v)} + v \sqrt{f(u)}}{1 - nu^2v^2}$$

Abel's approach to addition formulas

Consider Abel's sums: intersect  $C: F(x,y) = 0$  ( $F \in \mathbb{C}[x,y]$ )

with a family of curves

$$D_t: G(x,y,t) = 0 \quad (G \in \mathbb{C}[x,y,t], t = (t_1, \dots, t_n))$$



$$(C \cap D_t)(\mathbb{C}) = \{P_k(t)\} \text{ (with multiplicities)}$$

$$\text{and let } I(t) = \sum_k \int_0^{P_k(t)} \omega$$

(modulo periods)

$$C \quad (\omega = R(x,y) dx, \quad R \in \mathbb{C}(x,y))$$

Abel's Thm on Elementary Functions:  
(modulo periods)

$$I(t) = F(t) + \sum_{\ell} c_{\ell} \log(F_{\ell}(t))$$

$F_1, F_{\ell} \in \mathbb{C}(t_1, \dots, t_n), c_{\ell} \in \mathbb{C}$

Ff :

$$P_k(t) = (x_k(t), y_k(t))$$

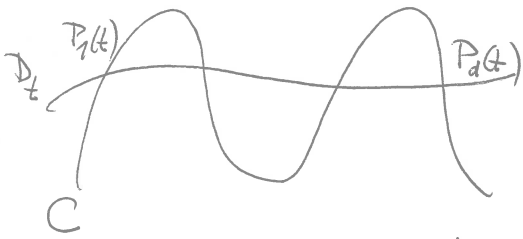
$$C: F(x, y) = 0, \quad D_t: G(x, y, t) = 0$$

$$\omega = R(x, y) dx, \quad I(t) = \sum_k \int_{P_k(t)} \omega$$

$$(C \cap D_t)(\mathbb{C}) = \{P_k(t)\}$$

$$F'_x dx + F'_y dy = 0, \quad G'_x dx + G'_y dy + G'_t dt = 0$$

$$\sum G'_{t_j} dt_j$$



eliminate dy :

$$\begin{vmatrix} F'_x & F'_y \\ G'_x & G'_y \end{vmatrix} dx = F'_y G'_t dt$$

$$(F'_x = \frac{\partial F}{\partial x})$$

$$\frac{\partial I}{\partial t_j} = \sum_k R(x_k(t), y_k(t)) \frac{\partial x_k(t)}{\partial t_j} = \sum_k \left( \frac{R F'_y}{\begin{vmatrix} F'_x & F'_y \\ G'_x & G'_y \end{vmatrix}} G'_{t_j} \right) (x_k(t), y_k(t), t)$$

rational fn of  $\{t_j\}$  and  $\{(x_k(t), y_k(t))\}$ ,  
invariant under permutations of  $\{k\}$

rational fn of  $\{t_j\}$

Thm on

symmetric  
fns

$$\text{So } \forall j \frac{\partial I}{\partial t_j} \in \mathbb{C}(t_1, \dots, t_n)$$

Exercise above

$$I = F + \sum c_\ell \log(F_\ell)$$

$$F, F_\ell \in \mathbb{C}(t_1, \dots, t_n), c_\ell \in \mathbb{C}$$

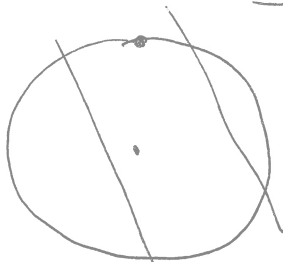
But: need some "genericity assumptions" to ensure  $\begin{vmatrix} F'_x & F'_y \\ G'_x & G'_y \end{vmatrix} \neq 0$   
at all  $P_k(t)$

Interesting case: when  $\forall j \frac{\partial I}{\partial t_j} = 0$  for the given family  $\{D_t\}$

$\Rightarrow I(t)$  (modulo periods) is constant — " —"

(terminology:  $\int \omega$  satisfies Abel's principle w.r.t.  $\{D_t\}$ )

Ex:



$$C: x^2 + y^2 = 1, \quad \omega = \frac{dx}{y} \left( = \frac{dx}{\sqrt{1-x^2}} \right)$$

$D_t$ : system of parallel lines

$$y - cx - t = 0, \quad c \in \mathbb{C} \text{ constant}$$

The most interesting case:  $C \subset \tilde{C}$  non-singular projective curve

$\omega$  is non-singular ( $\Leftrightarrow$  holomorphic  $\neq$  on  $\tilde{C}(\mathbb{C})$ )  
on  $\tilde{C}$

$\Rightarrow \int_{\gamma} \omega$  (mod periods) is defined (and finite) everywhere on  $\tilde{C}$   
 $\Rightarrow I(t)$  — " —"

Abel's  $\Rightarrow I(t) = \text{constant (mod periods)}$  for every family  $\{D_t\}$   
El. Fn Thm

Reference: S. L. Kleinman, What is Abel's Theorem Anyway? in  
 The legacy of Niels Henrik Abel (Laudal, Piene, eds.), Springer, 2004.

Ex: hyperelliptic curves  $C: y^2 = f(x)$ ,  $f \in \mathbb{C}[x]$  with distinct roots,  
 ( $n=1, 2$ : elementary)  $n = \deg(f) > 2$

$n = 2k$  or  $2k-1$ ,  $k \geq 2$

$$f(x) = a_0 \prod_{j=1}^n (x - \alpha_j)$$

change of variables:  $\left. \begin{array}{l} x = \frac{A\tilde{x} + B}{C\tilde{x} + D} \\ = h(\tilde{x}) \end{array} \right\} h = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in GL_2(\mathbb{C})$ ,  $\left. \begin{array}{l} y = \frac{\tilde{y}}{(C\tilde{x} + D)^k} \end{array} \right\}$

$$\Rightarrow \tilde{y}^2 = \tilde{f}(\tilde{x})$$

$\tilde{n} = \deg(\tilde{f}) \in \{2k-1, 2k\}$ ,  $\tilde{f}(\tilde{x}) = \tilde{a}_0 \prod_{j=1}^{\tilde{n}} (\tilde{x} - \tilde{\alpha}_j)$

{roots of  $f$ } ( $\cup \{\infty\}$  if  $n=2k-1$ ) = { $h$ (roots of  $\tilde{f}$ )} ( $\cup \{\infty\}$  if  $\tilde{n}=2k-1$ )

$$dx = \frac{(AD - BC)}{(C\tilde{x} + D)^2} d\tilde{x}; \text{ if } R(x, y) \text{ is a rational function of } x, y, \text{ then}$$

$$R(x, y) dx = \underbrace{\tilde{R}(\tilde{x}, \tilde{y})}_{\text{rational fn of } \tilde{x}, \tilde{y}} d\tilde{x}$$

Elliptic case:  $k=2$ ,  $\deg(f) \in \{3, 4\}$

Legendre's <sup>normal</sup> form:  
 (original form)

$$y^2 = (1-x^2)(1-\lambda x^2)$$

$$(\lambda = k^2 \neq 0, 1)$$

change of variables  $x^2 = z$ :

$$w = \frac{dx}{y} = \frac{dx}{\sqrt{(1-x^2)(1-\lambda x^2)}} = \frac{1}{2} \frac{dz}{\sqrt{z(1-z)(1-\lambda z)}}$$

Riemann's ~~form~~ normal form:  $y^2 = x(1-x)(1-\lambda x)$

(\*)

(called Legendre's normal form these days)

Weierstrass normal form:  $y^2 = 4x^3 - g_2x - g_3$

General case:  $y^2 = a_0(x-\alpha_1)(x-\alpha_2)(x-\alpha_3)(x-\alpha_4)$

$\alpha_j$  distinct

$$\exists! h \in GL_2(\mathbb{C}), h = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$$

$$\alpha_2, \alpha_3, \alpha_4 \xrightarrow{h^{-1}} 1, 0, \infty$$

( $\lambda$  = cross-ratio  $r(\alpha_1, \dots, \alpha_4)$ )

$$\alpha_1 \xrightarrow{h^{-1}} \lambda \in \mathbb{C} \setminus \{0, 1\}$$

$$x = h(\tilde{x}) = \frac{A\tilde{x} + B}{C\tilde{x} + D}, y = \frac{\tilde{y}}{C\tilde{x} + D}$$

$$\tilde{y}^2 = \tilde{a}_0 \tilde{x}(\tilde{x}-1)(\tilde{x}-\lambda)$$

(replacing  $\tilde{x}$  by  $1/\tilde{x}$  and  $\tilde{y}$  by  $e\tilde{y}/\tilde{x}^2$  leads to (\*))

$\lambda$  depends on the ordering of the roots of  $f(x)$ .

Fix  $\alpha_4$ ; then {permutations of  $\alpha_1, \alpha_2, \alpha_3$ }  $\leftrightarrow \left\{ \lambda, \frac{1}{\lambda}, 1-\lambda, \frac{1}{1-\lambda}, \frac{\lambda}{\lambda-1} \right\}$

Interlude: algebraic curves and Riemann surfaces

affine plane curve:  $C: g(x,y)=0$   $g \in \mathbb{C}[x,y]$  non-constant

Def:  $P=(x_0, y_0) \in C(\mathbb{C})$  is a singular point of C if  $g'_x(P)=g'_y(P)=0$



$C$  is non-singular if the system  $g = g'_x = g'_y = 0$  has no solution in  $\mathbb{C}^2$   
 $(\Rightarrow g$  is irreducible (exercise!))

projective plane curve:  $\tilde{C}: G(x_1, y_1, z) = 0$ ,  $G \in \mathbb{C}[x_1, y_1, z]$  homogeneous

Def:  $P=(x_0:y_0:z_0) \in \tilde{C}(\mathbb{C})$  is a singular pt of  $\tilde{C}$  if  $G'_x(P)=G'_y(P)=G'_z(P)=0$ .  $d \text{ deg} = d > 0$   
 $\tilde{C} \subset \mathbb{P}^2_{\mathbb{C}}$

Exercise: these two definitions are equivalent for

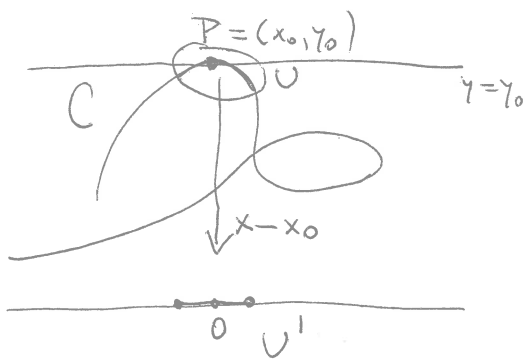
$$P = (x,y) = (x:y:1) \in \tilde{C}(\mathbb{C}) \cap \mathbb{C}^2, \quad \mathbb{C}^2 = \mathbb{P}^2(\mathbb{C}) \setminus \{z=0\}$$

$$\begin{pmatrix} x \\ y \\ z \end{pmatrix} \leftrightarrow (x:y:z)$$

Irreducible plane curves:  $C: g(x,y)=0$ ,  $g \in \mathbb{C}[x,y]$  non-constant  
 $C \subset \mathbb{A}^2_{\mathbb{C}}$  irreducible curve irreducible

singular locus of C:  $C_{\text{sing}} = \{g = g'_x = g'_y = 0\}$  (finitely many points)

$C(\mathbb{C}) \setminus C_{\text{sing}}(\mathbb{C})$  is a Riemann surface (connected! - exercise):



(a) if  $g'_y(P) \neq 0 \Rightarrow \exists U \ni P$  open  
 $x-x_0: C(\mathbb{C}) \cap U \rightarrow U'$  bijection

$C \supset U \neq \emptyset$  open

and  $C(\mathbb{C}) \cap U = \{(x_0+t, A(t)) \mid t \in U'\}$

where  $A: U' \rightarrow \mathbb{C}$  is holomorphic

(inverse fn thm - holomorphic version)

(b) if  $g'_x(P) \neq 0$ : idem for  $y-y_0$

Conclusion:  $x-x_0$  (resp.  $y-y_0$ ) can be taken as a local coordinate on  $C(\mathbb{C}) \cap U$  if  $g'_y(P) \neq 0$  (resp.  $g'_x(P) \neq 0$ ).

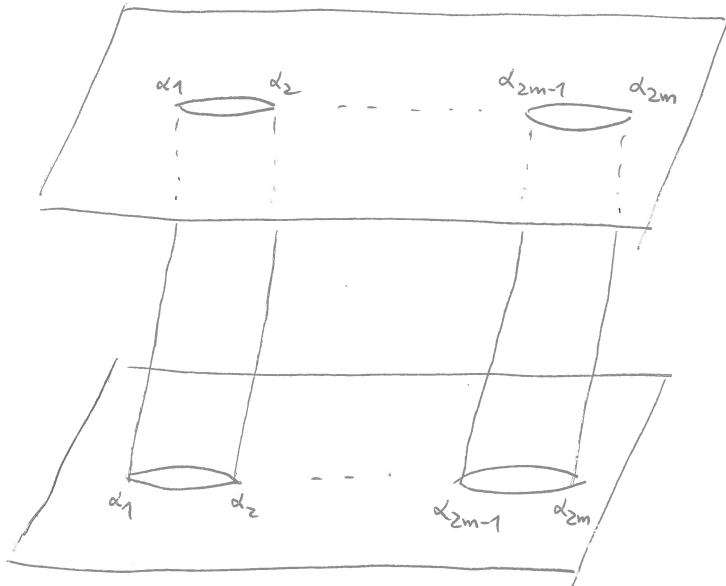
These local coordinates (for all  $P \in (C \setminus C_{\text{sing}})(\mathbb{C})$ ) will be compatible  $\Rightarrow$  make  $C(\mathbb{C}) \setminus C_{\text{sing}}(\mathbb{C})$  into a Riemann surface.

The Riemann surface of  $\sqrt{f(x)}$

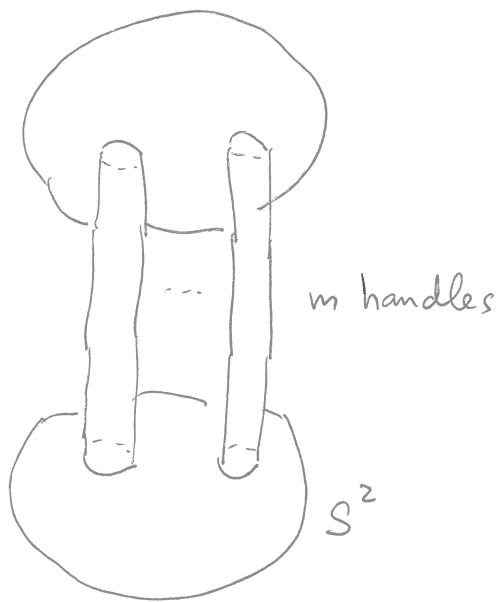
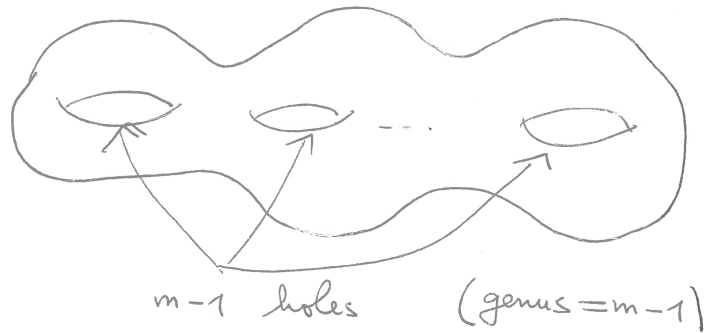
$y^2 = f(x)$  ,  $f(x) = a_0 x^n + \dots + a_n = a_0 \prod_{k=1}^n (x - \alpha_k)$   $\alpha_k \in \mathbb{C}$  distinct  
 $n \in \{2m-1, 2m\}$  ,  $m \geq 2$  ( $n \geq 3$ )

Classical construction (with  $\alpha_{2m} = \infty \in \mathbb{P}^1(\mathbb{C})$  if  $n = 2m-1$ )

Glue together appropriately two copies of  $\mathbb{P}^1(\mathbb{C}) \simeq S^2$  with cuts between  $\alpha_1 \alpha_2 \dots \alpha_{2m-1} \alpha_{2m}$



two copies of  $S^2$  joined by  $m$  handles



Naive algebraic construction:

complete the affine curve  $C: y^2 - f(x) = 0$  to a projective

curve  $\tilde{C}: z^n \left( \left( \frac{y}{z} \right)^2 - f\left( \frac{x}{z} \right) \right) = 0$

$G(x, y, z) = yz^{n-2} - (a_0 x^n + a_1 x^{n-1} z + \dots + a_n z^n)$

$\tilde{C}(\mathbb{C}) \setminus \{z=0\} = \{O = (0:1:0)\}$   
 $C(\mathbb{C})$  unique pt at  $\infty$

In affine coordinates  $u = \frac{x}{y} = \frac{x}{y}$ ,  $v = \frac{z}{y} = \frac{1}{y}$

$\tilde{C} \setminus \{y=0\}: v^{n-2} - (a_0 u^n + a_1 u^{n-1} v + \dots + a_n v^n) = 0$   
 $0 \iff u=v=0$   $h(u,v)$

$\frac{\partial h}{\partial u}(0,0) = 0$ ,  $\frac{\partial h}{\partial v}(0,0) = \begin{cases} 1 & n=3 \\ 0 & n \geq 4 \end{cases}$

$0 \in \tilde{C}_{\text{sing}} \iff n \geq 4$

Conclusion:  $\tilde{C}$  non-singular  $\iff n=3$

The affine curve  $C$  is non-singular:  $C: g(x,y) = 0$

$g = y^2 - f(x)$ ,  $g'_x = -f'_x$ ,  $g'_y = 2y$

If  $g = g'_x = g'_y(x_0, y_0) = 0$   
 $\implies f(x_0) = f'(x_0) = 0$  impossible  
 ( $f$  has distinct roots)

Riemann surface of  $\sqrt{f(x)}$  - intelligent construction

$$f(x) = a_0 \prod_{k=1}^{2m} (x - \alpha_k) \in \mathbb{C}[x], \quad \alpha_k \in \mathbb{C} \text{ distinct}, \quad m \geq 1.$$

$$= a_0 x^{2m} + \dots + a_{2m} \quad a_0 \neq 0$$

Recall:  $\mathbb{P}^1(\mathbb{C})$  is glued together from two copies of  $\mathbb{C}$  with respective coordinates  $z, z'$  along

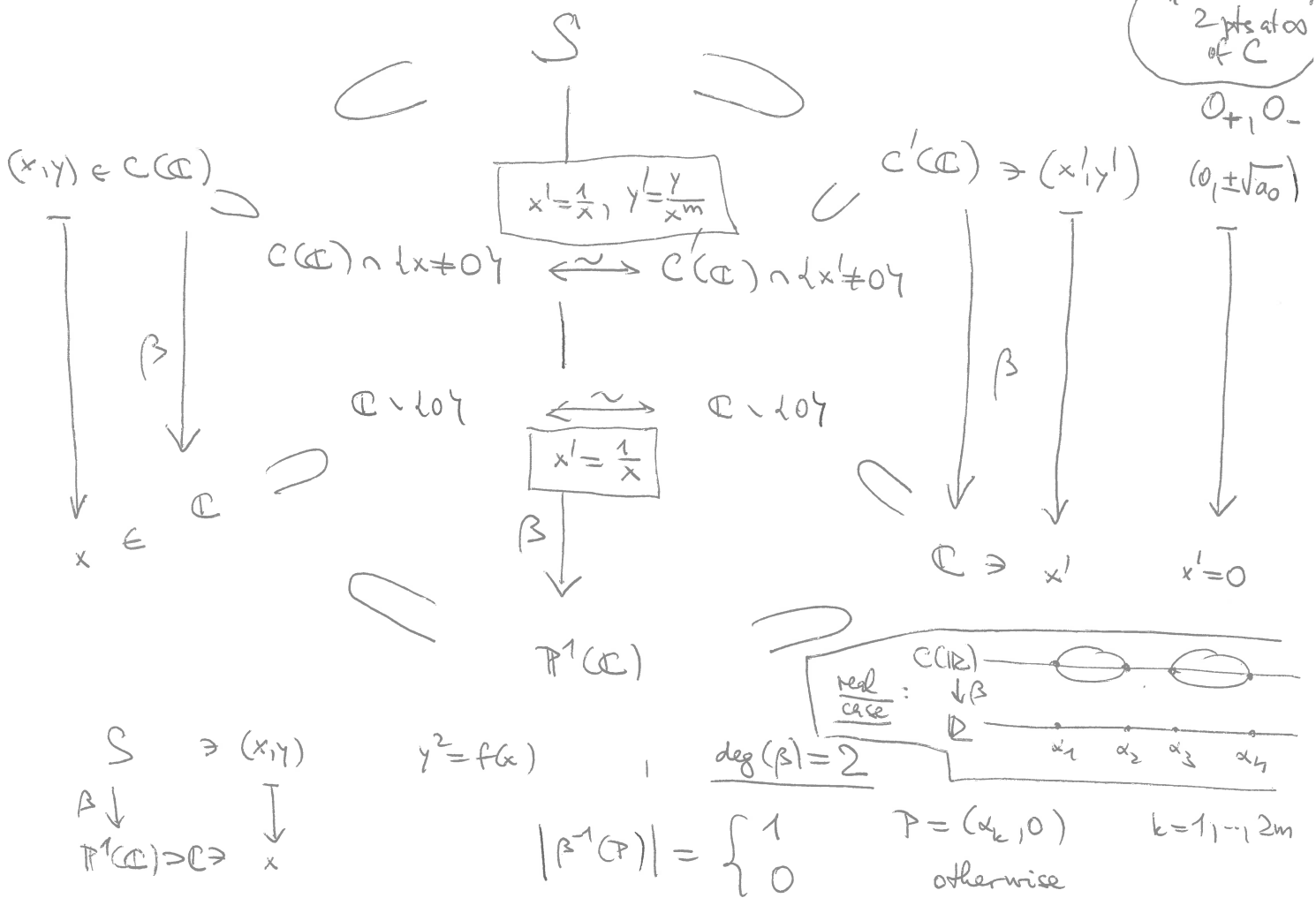
$$\left[ \begin{array}{ccc} \mathbb{C} \supset \mathbb{C} \setminus \{0\} & \xrightarrow{\sim} & \mathbb{C} \setminus \{0\} \subset \mathbb{C} \\ & z \longleftrightarrow & z' = \frac{1}{z} \end{array} \right]$$

( $z$  = local coordinate at 0,  $z' = \frac{1}{z}$  = local coordinate at  $\infty$ )

affine plane curves:  $C: y^2 - (a_0 x^{2m} + \dots + a_{2m}) = 0$   $\left( \begin{array}{l} x = \frac{1}{x'}, y = \frac{y'}{x'^m} \\ x' = \frac{1}{x}, y' = \frac{y}{x^m} \end{array} \right)$

$C': y'^2 - (a_0 + \dots + a_{2m} x'^{2m}) = 0$

Glue them together:

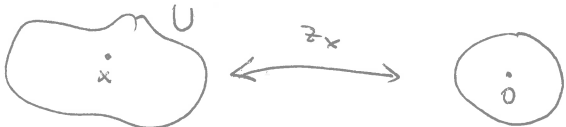


Riemann-Hurwitz formula:

$$2g_S - 2 = 2(2 \cdot 0 - 2) + 2m(2 - 1) = 2m - 4 \implies g_S = m - 1$$

# Riemann surfaces (terminology)

$X, Y$  Riemann surfaces (connected)

•  $x \in X$   local coordinate

•  $0 \neq f \in M(U)$  (meromorphic fn)  $f = \sum_{n \geq n_0} a_n z_x^n, a_{n_0} \neq 0; \text{ord}_x(f) := -n_0$

•  $0 \neq \omega \in \Omega_{mer}^1(U)$  (meromorphic differential)  $\omega = f dz_x, \text{ord}_x(\omega) := \text{ord}_x(f)$

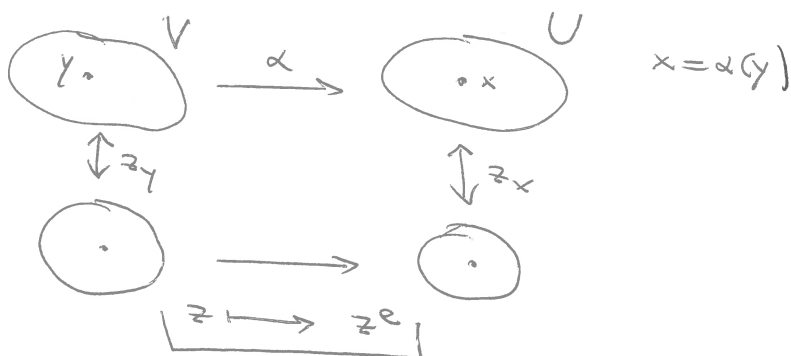
•  $Y \xrightarrow{\alpha} X, y \in Y \Rightarrow \exists$  local coordinates

holomorphic non-const.

$$z_x \circ \alpha = z_y^e$$

$e = e_y \geq 1$  ramification

index of  $\alpha$  at  $y$



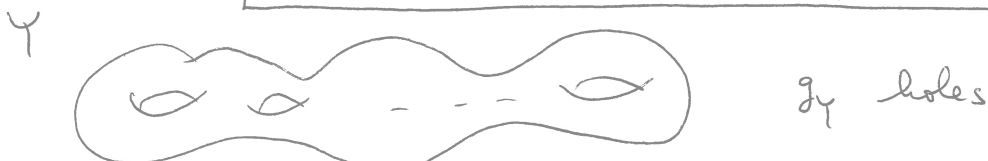
$0 \neq f \in M(U)$   
 $0 \neq \omega \in \Omega_{mer}^1(U)$   $\text{ord}_y(f \circ \alpha) = e_y \text{ord}_x(f)$

$$\alpha^*(dz_x) = d(z_y^e) = e z_y^{e-1} dz_y \Rightarrow \text{ord}_y(\alpha^* \omega) = e_y - 1 + e_y \text{ord}_x(\omega)$$

• if  $X, Y$  cpt:  $\forall x \in X \sum_{\alpha(y)=x} e_y = \text{deg}(\alpha)$  (degree of  $\alpha$ )

• Riemann-Hurwitz formula: if  $X, Y$  cpt

$$2g_Y - 2 = (2g_X - 2) \text{deg}(\alpha) + \sum_{y \in Y} (e_y - 1)$$



Pf: use suitably compatible triangulations

and the Euler-Poincaré formula  $2 - 2g = s_0 - s_1 + s_2$

$s_k$  = number of simplices of  $\text{dim} = k$  in the given triangulation



# Differentials

$X$  - Riemann surface

$$\text{Div}(X) = \left\{ D = \sum_{x \in X} n_x(x) \mid n_x \in \mathbb{Z}, \forall K \subset X \text{ compact } \left\{ \{x \in K \mid n_x \neq 0\} \right\} < \infty \right\}$$

("locally finite sums")

$$0 \neq f \in \mathcal{M}(X) \quad \text{div}(f) = \sum_{x \in X} \text{ord}_x(f)(x) \in \text{Div}(X)$$

$$0 \neq \omega \in \Omega^1_{\text{mer}}(X) \quad \text{div}(\omega) = \sum_{x \in X} \text{ord}_x(\omega)(x) \in \text{Div}(X)$$

$$f \in \mathcal{O}(X) \text{ (holomorphic)} \iff \text{div}(f) \geq 0 \quad (\forall x \in X \quad n_x \geq 0)$$

$$\omega \in \Omega^1(X) \text{ (---)} \iff \text{div}(\omega) \geq 0$$

• if  $X$  is compact: (a)  $\text{Div}(X) = \left\{ D = \sum_{x \in X} n_x(x) \mid n_x \in \mathbb{Z}, \text{the sum is finite} \right\}$

(b)  $0 \neq f \in \mathcal{M}(X) \quad \deg(\text{div}(f)) = 0 \quad (\deg(D) = \sum n_x)$

Ex:  $X = \mathbb{C}^*$  (c)  $\mathcal{O}(X) = \mathbb{C}$ , (d)  $\text{div}(f) \geq 0 \iff f \in \mathbb{C}^* \iff \text{div}(f) = 0$ .

Ex:  $X = \mathbb{P}^1(\mathbb{C})$ ,  $\omega = dz$ ;  $\forall a \in \mathbb{C} \quad \omega = 1 \cdot d(z-a) \implies \text{ord}_a(dz) = 0$   
 at  $\infty \in \mathbb{P}^1(\mathbb{C})$  local coordinate  $z_\infty = \frac{1}{z}$  (local coordinate at  $a$ )

$$dz = -\frac{dz_\infty}{z_\infty^2} \implies \text{ord}_\infty(dz) = -2, \quad \text{div}(dz) = -2(\infty), \quad \deg(\text{div}(dz)) = -2$$

Ex:  $\mathbb{C}: g(x,y) = 0$ ,  $g \in \mathbb{C}[x,y]$  non-constant, irreducible

$X = (\mathbb{C} \setminus C_{\text{sing}})(\mathbb{C})$  is a Riemann surface

$$\left[ \omega = \frac{dx}{g'_y} = -\frac{dy}{g'_x} \in \Omega^1_{\text{mer}}(X) \right] \quad \left( \begin{array}{l} \text{O} = g'_x dx + g'_y dy \text{ on } X \\ x, y \in \mathcal{M}(X) \end{array} \right)$$

Fact:  $\text{div}(\omega) = 0$  on  $X \implies \omega \in \Omega^1(X)$  holomorphic on  $X$

PR: for  $P = (x_p, y_p) \in (\mathbb{C} \setminus C_{\text{sing}})(\mathbb{C})$ ,

if  $g'_x(P) \neq 0 \implies y - y_p$  loc. coord. at  $P$ ,  $\omega = \frac{-d(y - y_p)}{g'_x} \implies \text{ord}_P(\omega) = 0$ .

if  $g'_y(P) \neq 0 \implies x - x_p$  loc. coord. at  $P$ ,  $\omega = \frac{d(x - x_p)}{g'_y} \implies \text{ord}_P(\omega) = 0$ .

Hyperelliptic affine curves:  $\mathbb{C}: y^2 = f(x)$ ,  $f \in \mathbb{C}[x]$ ,  $\deg(f) = 2m$   
 with distinct roots ( $m \geq 1$ )

$$\omega = \frac{dx}{y} = \frac{2dy}{f'(x)}$$

$$g(x,y) = y^2 - f(x) \implies 2y dy = f'(x) dx \text{ on } \mathbb{C}(\mathbb{C})$$

$$\left[ \text{div}\left(\frac{dx}{y}\right) = 0 \text{ on } \mathbb{C}(\mathbb{C}) \right]$$

$\mathbb{C}$  non-singular

# Hyperelliptic Riemann surfaces again

$$S \supset \mathbb{C}(\mathbb{C}) \quad | \quad C: y^2 = f(x), \quad \deg(f) = 2m, \quad m \geq 1$$

distinct roots

$$w = \frac{dx}{y} = \frac{2dy}{f'(x)} \in \Omega^1_{\text{mer}}(S) \cap \mathcal{O}(\mathbb{C}(\mathbb{C}))$$

$$f = a_0 x^{2m} + \dots + a_{2m}, \quad a_0 \neq 0$$

$S \setminus \mathbb{C}(\mathbb{C})$ : in coordinates  $x' = 1/x, y' = y/x^m$ ,

$$S \setminus \underbrace{\{0, \pm \sqrt{a_{2m}}\}}_{P_+, P_-} = \mathbb{C}'(\mathbb{C}) \quad | \quad \mathbb{C}': y'^2 = a_0 + a_1 x' + \dots + a_{2m} x'^{2m}$$

(distinct if  $f(0) \neq 0$ )

$$S \setminus \mathbb{C}(\mathbb{C}) = \{O_+, O_-\}$$

$$O_+, O_- \leftrightarrow (x', y') = (0, \pm \sqrt{a_0})$$

(distinct)

$$y'(O_{\pm}) \neq 0$$

$a_0 \neq 0$

local coordinate at  $O_{\pm}$ :  $x' = \frac{1}{x}$

$$\text{div}(x) = (P_+) + (P_-) - (O_+) - (O_-)$$

$$\boxed{w = \frac{dx}{y}} = \frac{d(x'^{-1})}{y' x'^{-m}} = - \frac{x'^{m-2}}{y'} dx' \Rightarrow \text{ord}_{O_{\pm}}(w) = m-2$$

Conclusion:  $\text{div}\left(\frac{dx}{y}\right) = (m-2)(O_+) + (m-2)(O_-)$

$$\forall k \in \mathbb{Z} \quad \text{div}\left(\frac{x^k dx}{y}\right) = k(P_+) + k(P_-) + (m-2-k)(O_+) + (m-2-k)(O_-)$$

Cor:  $\frac{x^k dx}{y} \in \Omega^1(S)$  (holomorphic differential)  $\Leftrightarrow k = 0, 1, \dots, m-2$

Exercise:  $\Omega^1(S) = \bigoplus_{k=0}^{m-2} \mathbb{C} \cdot \frac{x^k dx}{y}$  ( $\Rightarrow \dim \Omega^1(S) = m-1 = g(S)$ )

Remark: if  $m=2$  ( $\Leftrightarrow \deg(f)=4$ ), then  $\text{div}(w)=0$ .

If  $0 \neq \eta \in \Omega^1_{\text{mer}}(S)$ , then  $\eta = f w$ ,  $0 \neq f \in \mathbb{C}[x]$

$$\eta \in \Omega^1(S) \Leftrightarrow 0 \leq \text{div}(\eta) = \text{div}(f) + \underbrace{\text{div}(w)}_0 \Leftrightarrow f \in \mathbb{C}^{\times}$$

So  $\Omega^1(S) = \mathbb{C} \cdot \frac{dx}{y}$  if  $m=2$  ( $\Leftrightarrow g(S)=1$ )

General fact:  $X$  compact Riemann surface

$\dim \Omega^1(X) =$  genus of  $X$   
 "analytic genus"  $g_{\text{an}}(X)$  topological genus  $g_{\text{top}}(X) =$  the number of holes


( $g_{\text{an}} \leq g_{\text{top}}$  is easy)



# The Abel-Jacobi map

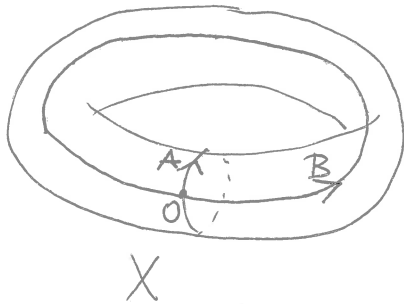
Toy model:  $w = \frac{dz}{z} \in \mathcal{O}(\mathbb{C} \setminus \{0\})$ ,  $\mathbb{C} \setminus \{0\} \xrightarrow{\log} \mathbb{C}/2\pi i\mathbb{Z}$

$\int_{\gamma} \frac{dz}{z} = 2\pi i$   $\xrightarrow{\psi}$   $\int_1^a \frac{dz}{z}$  (mod periods of  $\frac{dz}{z}$ )  $\xrightarrow{2\pi i\mathbb{Z}}$



## The case $g=1$

Data:  $X =$  compact Riemann surface of (topological) genus  $g=1$   
 $0 \neq w \in \Omega^1(X)$  holomorphic differential ( $\Rightarrow \Omega^1(X) = \mathbb{C}w$ ; see below)



Fix closed cycles  $A, B$  on  $X$  as in the picture:

$$H_1(X, \mathbb{Z}) = \mathbb{Z}[A] \oplus \mathbb{Z}[B]$$

homology class of  $A$   
orientation: intersection pairing

$$I: H_1(X, \mathbb{Z}) \times H_1(X, \mathbb{Z}) \rightarrow \mathbb{Z}$$

$$I(A, B) = -1 \quad (\Leftrightarrow I(B, A) = 1)$$

Periods of  $w$ :  $L = \left\{ \int_{\gamma} w \mid \gamma \text{ closed path} \right\} = \left\{ m \int_A w + n \int_B w \mid m, n \in \mathbb{Z} \right\}$

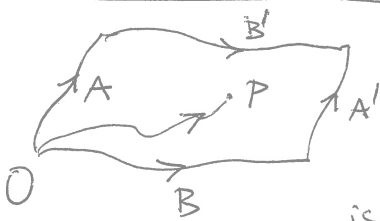
$$[\gamma] = m[A] + n[B] \quad (m, n \in \mathbb{Z}) \quad \left[ = \mathbb{Z} \left( \int_A w \right) + \mathbb{Z} \left( \int_B w \right) \right]$$

Note:  $\int_{\gamma} w$  depends only on the homology class  $[\gamma]$  of  $\gamma$ :

locally  $w = f(z) dz$ ,  $f$  holomorphic  $\Rightarrow dw = \frac{\partial f}{\partial z} dz \wedge dz + \frac{\partial f}{\partial \bar{z}} d\bar{z} \wedge dz = 0$   
 ( $\frac{\partial f}{\partial \bar{z}} = 0$ )

so if  $\gamma = \partial \Delta$ , then  $\int_{\gamma} w = \int_{\partial \Delta} w = \int_{\Delta} dw = 0$ . (Stokes, Cauchy-Riemann)

Cut  $X$  along  $A$  and  $B$ :  $U = X \setminus (A \cup B)$  is simply connected



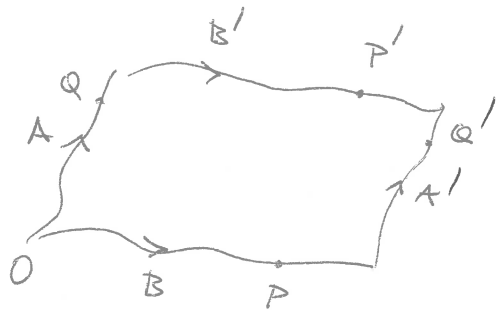
On  $X$ ,  $A'$  is identified with  $A$   
 $B'$  " " " "  $B$

For  $P \in U$ ,  $f(P) := \int_0^P w = \int_{\gamma} w$  if any path from  $0$  to  $P$   
 is well-defined

$$\Rightarrow \bar{\partial} f = 0, \quad w = \partial f = \frac{\partial f}{\partial z} dz, \quad \bar{w} = \left( \frac{\partial f}{\partial \bar{z}} \right) d\bar{z}, \quad i w \wedge \bar{w} = \left| \frac{\partial f}{\partial z} \right|^2 \frac{i dz \wedge d\bar{z}}{2 dx \wedge dy}, \quad z = x + iy$$

$$\Rightarrow i \int_X w \wedge \bar{w} = i \int_U w \wedge \bar{w} > 0$$

Note:  $d(f\bar{w}) = df \wedge \bar{w} + f \underbrace{d\bar{w}}_{=0} = w \wedge \bar{w} \xrightarrow{\text{Stokes}} i \int_{\partial U} f \bar{w} = i \int_U w \wedge \bar{w} > 0$



$$f(P) = \int_0^P w$$

$$f(P') - f(P) = \int_A^P w =: w_A$$

$$f(Q') - f(Q) = \int_B^P w =: w_B$$

$$\Rightarrow \left( \int_{A'} - \int_A \right) (f\bar{w}) = \left( \int_B w \right) \left( \int_A \bar{w} \right) = w_B \bar{w}_A, \quad \left( \int_B - \int_{B'} \right) (f\bar{w}) = - \left( \int_A w \right) \left( \int_B \bar{w} \right) = -w_A \bar{w}_B$$

$$\Rightarrow 0 < \frac{i}{2} \left( \int_B - \int_{B'} + \int_{A'} - \int_A \right) f\bar{w} = \frac{1}{2i} \begin{vmatrix} \int_A w & \int_A \bar{w} \\ \int_B w & \int_B \bar{w} \end{vmatrix} = \underline{\text{Im}(w_A \bar{w}_B)}$$

Cor:  $L = \mathbb{Z}w_A + \mathbb{Z}w_B \subset \mathbb{C}$  is a lattice and  $\text{Im}\left(\frac{w_A}{w_B}\right) > 0$ .

Cor: For any fixed  $O \in X$ , the Abel-Jacobi map  

$$X \xrightarrow{\alpha} \mathbb{C}/L$$

$$P \longmapsto \int_0^P w \pmod{L}$$
 is well-defined (independent of a chosen path of integration  $O \rightsquigarrow P$ )  
 and holomorphic.

By definition,  $\alpha^*(dz) = w$ .

Thm.  $\alpha$  is an isomorphism of compact Riemann surfaces.

PF:  $X$  compact  $\Rightarrow \alpha$  proper.

Riemann-Hurwitz formula:  $\underbrace{2g(X)-2}_0 = \deg(\alpha) \left( \underbrace{2g(\mathbb{C}/L)-2}_0 \right) + \sum_{x \in X} (e_x - 1)$

$\Rightarrow \forall x \in X \quad e_x = 1 \Rightarrow \alpha$  is unramified everywhere.

$\alpha$  proper  $\Rightarrow \alpha$  is an unramified covering. It corresponds

$$\text{to the map } \begin{array}{ccc} \pi_1(X, O) & \xrightarrow{\alpha_*} & \pi_1(\mathbb{C}/L, O) \\ \parallel & & \parallel \\ H_1(X, \mathbb{Z}) & \xrightarrow{\alpha_*} & H_1(\mathbb{C}/L, \mathbb{Z}) \end{array}$$

given by  $\int_{\alpha_*[\gamma]} dz = \int_{[\gamma]} \alpha^*(dz) = \int_{[\gamma]} w$ .

As  $\left\{ \int_{[\gamma]} w \mid [\gamma] \in H_1(X, \mathbb{Z}) \right\} = L = \left\{ \int_{[\gamma']} dz \mid [\gamma'] \in H_1(\mathbb{C}/L, \mathbb{Z}) \right\}$   $\Rightarrow$   $\alpha_*$  surjective  
 $\Downarrow$   
 $\alpha$  bijective.

# Invariants of lattices $L \subset \mathbb{C}$

$L \subset \mathbb{C}$  lattice,  $g_2(L) = 60 G_4(L)$ ,  $g_3(L) = 140 G_6(L)$   
 $G_k(L) = \sum_{0 \neq u \in L} u^{-k} \quad (k > 2, 2|k)$ ,  $\Delta(L) = g_2^3(L) - 27g_3^2(L) \neq 0$   
 $J(L) = \frac{g_2^3(L)}{\Delta(L)}$

Prop.  $\{L \subset \mathbb{C} \text{ lattice}\} \xrightarrow{(g_2, g_3)} \{(g_2, g_3) \in \mathbb{C}^2 \mid g_2^3 - 27g_3^2 \neq 0\}$   
 est une bijection.

Proof. Given  $g_2, g_3 \in \mathbb{C}$  with  $g_2^3 - 27g_3^2 \neq 0$ , the Abel-Jacobi map for  $E: Y^2Z = 4X^3 - g_2XZ^2 - g_3Z^3$ ,  $O = (0:1:0)$  and  $\omega = \frac{dx}{y}$  ( $x = X/Z, y = Y/Z$ ) is a holomorphic isomorphism

$$\alpha: E(\mathbb{C}) \xrightarrow{\sim} \mathbb{C} / L(E), \quad L(E) = \left\{ \int_{\gamma} \omega \mid \gamma \in H_1(E(\mathbb{C}), \mathbb{Z}) \right\}$$

$$\tau \longmapsto \int_0^{\tau} \omega \pmod{L(E)}$$

Weierstrass isomorphism  $\beta: \mathbb{C} / L(E) \xrightarrow{\sim} \tilde{E}(\mathbb{C})$  defines a holomorphic isomorphism  $\beta(\tau) = \phi(\tau) = \phi'(\tau)$  defines a holomorphic isomorphism

$$\tau \pmod{L(E)} \longmapsto (\phi(\tau) = \phi'(\tau) = 1) \quad x = \frac{X}{Z}, y = \frac{Y}{Z}$$

$$0 \longmapsto \tilde{O}$$

$\tilde{g}_2 = g_2(L(E)), \tilde{g}_3 = g_3(L(E))$ . Under these isomorphisms,

$$\mathbb{C}(x, y) \xrightarrow{\sim} M(\mathbb{C} / L(E)) = \mathbb{C}(\phi(\tau), \phi'(\tau)) \xrightarrow{\sim} \mathbb{C}(\tilde{x}, \tilde{y})$$

$$y^2 = 4x^3 - g_2x - g_3 \quad \tilde{y}^2 = 4\tilde{x}^3 - \tilde{g}_2\tilde{x} - \tilde{g}_3$$

$$x \longleftrightarrow \phi(\tau) \longleftrightarrow \tilde{x}$$

$$y \longleftrightarrow \phi'(\tau) \longleftrightarrow \tilde{y}$$

these are isomorphisms of  $\mathbb{C}$ -algebras, and so  $\tilde{y}^2 = 4\tilde{x}^3 - \tilde{g}_2\tilde{x} - \tilde{g}_3 \implies y^2 = 4x^3 - \tilde{g}_2x - \tilde{g}_3$ . But  $y^2 = 4x^3 - g_2x - g_3$  for all  $(x, y) \in E(\mathbb{C}) \implies \tilde{g}_2 = g_2, \tilde{g}_3 = g_3 \implies \tilde{E} = E$  and  $\beta = \alpha^{-1}$ .

Therefore  $(g_2, g_3)$  is surjective.

Moreover, given  $L \subset \mathbb{C}$  lattice, then we have

$$E_L: Y^2Z = 4X^3 - g_2(L)XZ^2 - g_3(L)Z^3 \quad \text{and} \quad \mathbb{C}/L \xleftrightarrow{(\phi, \phi')} E_L(\mathbb{C}),$$

then  $L = \left\{ \int_{\gamma} dz \mid \gamma \in H_1(\mathbb{C}/L, \mathbb{Z}) \right\}$   $\xleftrightarrow{\text{Abel-Jacobi}} dz \longleftrightarrow dx/y$

$$= \left\{ \int_{\gamma_L} \frac{dx}{y} \mid \gamma_L \in H_1(E_L(\mathbb{C}), \mathbb{Z}) \right\} = L(E_L) \implies (g_2, g_3) \text{ is } \underline{\text{injective}}.$$

Application to the modular invariant  $j = 12^3 J$ ,  $J = \frac{g_2^3}{g_2^3 - 27g_3^2}$

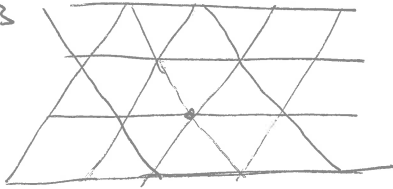
Recall:  $t \in \mathbb{C}^\times \Rightarrow g_2(tL) = t^{-4} g_2(L)$ ,  $g_3(L) = t^{-6} g_3(L)$

$\Rightarrow J(tL) = J(L)$ , so  $J: \{ \text{lattices } L \subset \mathbb{C} \} / \mathbb{C}^\times \rightarrow \mathbb{C}$

Square lattice:  $L = t \cdot (\mathbb{Z}i + \mathbb{Z}) = iL \Rightarrow g_3(L) = 0 \Rightarrow J(L) = 1$ .

Honey comb lattice:  $L = t \cdot (\mathbb{Z}\rho + \mathbb{Z}) = \rho L \Rightarrow g_2(L) = 0 \Rightarrow J(L) = 0$ .

$\rho = e^{2\pi i/3}$



Thm:  $J: \{ \text{lattices } L \subset \mathbb{C} \} / \mathbb{C}^\times \rightarrow \mathbb{C}$  is bijective.

ℝ: Surjectivity: if  $J \in \mathbb{C} \setminus \{0, 1\}$ , let  $g_2 = g_3 := g$ , where  $g = \frac{27J}{J-1}$   
 $\Rightarrow J = \frac{g^3}{g^3 - 27g^2}$  and  $g^3 - 27g^2 \neq 0$ . We know that (by Prop. above)  
 $\exists L \subset \mathbb{C}$  lattice st.  $g_k = g_k(L)$  ( $k=2,3$ )  $\Rightarrow J = J(L)$ .

Injectivity: if  $J(L) = J(L') \Rightarrow \exists t \in \mathbb{C}^\times$   $g_2(L') = t^{-4} g_2(L)$ ,  $g_3(L') = t^{-6} g_3(L)$   
 replace  $L$  by  $tL \Rightarrow$  can assume  $g_k(L') = g_k(L) =: g_k$  ( $k=2,3$ ).

We know that  $L = \left\{ \int_{\gamma} \omega \mid \gamma \in H_1(X, \mathbb{Z}) \right\}$  depends only on  $(g_2, g_3)$   
 where  $X = \overset{\text{cpt}}{\text{Riemann}}$  surface attached to  $y^2 = 4x^3 - g_2 x - g_3$ ,  $\omega = \frac{dx}{y}$   
 $\Rightarrow L' = L$ .

ℝmk: the set  $\{ \text{lattices } L \subset \mathbb{C} \} / \mathbb{C}^\times$  has the following equivalent

descriptions: (1)  $SL_2(\mathbb{Z}) \backslash \mathbb{H}$ :  $\tau \longleftrightarrow \mathbb{Z}\tau + \mathbb{Z} = L_\tau$

(2)  $\{ \mathbb{C}/L \} / (\text{holomorphic isomorphisms preserving } 0)$

(3)  $\{ (X, 0) \mid X \text{ cpt Riemann surface, } 0 \in X \} / (\text{holomorphic isom. preserving } 0)$   
 $g(X) = 1$

(via  $X \xrightarrow{\alpha} \mathbb{C}/L$  for some  $0 \neq \omega \in \Omega^1(X)$   
 $\downarrow \quad \downarrow$   
 $\mathbb{P}^1 \rightarrow \int \omega$   
 $0 \quad \quad \quad \dim = 1 \text{ over } \mathbb{C}$ )

(4)  $\{ (E, 0) \mid E \text{ smooth projective curve over } \mathbb{C}, \} / (\text{algebraic isom. preserving } 0)$   
 $g(E) = 1, 0 \in E(\mathbb{C})$

(via  $X = E(\mathbb{C})$ )

Exercise. The map

$(g_2, g_3): \{ \text{lattices } L \subset \mathbb{C} \} \rightarrow \{ (g_2, g_3) \in \mathbb{C}^2 \mid g_2^3 - 27g_3^2 \neq 0 \}$  is bijective.  
 $g_2(L) = 60 G_4(L)$ ,  $g_3(L) = 140 G_6(L)$ .

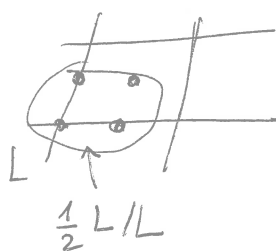
# Applications to the function $\lambda$

Let  $L \subset \mathbb{C}$  be a lattice.

Def. For an integer  $N \geq 1$ , the full level  $N$  structure on  $\mathbb{C}/L$  (or on  $L$ ) is an isomorphism of abelian groups  
 $(\mathbb{Z}/N\mathbb{Z})^2 \xrightarrow{\sim} \underbrace{(\mathbb{C}/L)[N]}_{\{x \in \mathbb{C}/L \mid Nx = 0\}} = \frac{1}{N}L/L.$

Weierstrass map:  $(\phi, \phi') : \mathbb{C}/L \xrightarrow{\sim} \tilde{E}(\mathbb{C}) \xrightarrow{\phi} \mathbb{P}^1(\mathbb{C}) \quad \phi(x, y) = x$   
 $\tilde{E} \setminus \{0\} =$  affine curve  $y^2 = f(x) = 4x^3 - g_2x - g_3 = 4 \prod_{j=1}^3 (x - e_j).$

We know:  $\{e_1, e_2, e_3\} = \{ \phi(\omega) \mid \omega \in \frac{1}{2}L/L \setminus \{0\} \}$



So  $\frac{1}{2}L/L \leftrightarrow \{0\} \cup \{e_j | 0\}$

cor: full level 2 structure on  $\mathbb{C}/L$

$\Downarrow$   
 choice of an ordering of the roots of  $f(x)$

Def:  $\lambda(L, \text{full level 2 structure}) :=$  cross-ratio  $r(e_1, e_2, e_3, \infty)$   
 $= \frac{e_1 - e_3}{e_2 - e_3} \in \mathbb{C} \setminus \{0, 1\}$   
 $=$  cross-ratio (ordered ramification points  $\phi : \tilde{E}(\mathbb{C}) \rightarrow \mathbb{P}^1(\mathbb{C})$ )

Note:  $e_j = \phi(\frac{\omega_j}{2})$  for some basis  $\mathbb{Z}\omega_1 + \mathbb{Z}\omega_2 = L$ ,  $\text{Im}(\frac{\omega_1}{\omega_2}) > 0$   
 $\omega_2 = \omega_1 + \omega_2$

Classical normalisation is different ( $\omega_1 \leftrightarrow \omega_2$ , I think)

Change of 2-level structure: action of  $SL_2(\mathbb{Z})/\Gamma(2) \xrightarrow{\sim} SL_2(\mathbb{Z}/2\mathbb{Z})$

$$\Gamma(2) = \{g \in SL_2(\mathbb{Z}) \mid g \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{2}\}$$

$\Downarrow$   
 $SL_2(\mathbb{Z}/2\mathbb{Z}) \xrightarrow{\sim} S_3$  (action on  $(\mathbb{Z}/2\mathbb{Z})^2 \setminus \{0, 0\}$ )

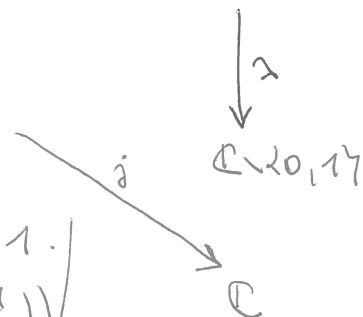
$$\Gamma(2) \backslash \mathcal{H} \xleftrightarrow{\text{bijection}} \{L \subset \mathbb{C} \text{ lattice, full 2-level structure on } \mathbb{C}/L\} / \mathbb{C}^\times$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$SL_2(\mathbb{Z}) \backslash \mathcal{H} \xleftrightarrow{\text{bijection}} \{L \subset \mathbb{C} \text{ lattice}\} / \mathbb{C}^\times$$

Top arrow: canonical 2-level structure on  $L_\tau = \mathbb{Z}\tau + \mathbb{Z}$  given by  $\omega_1 = \tau, \omega_2 = 1.$

$$\left( e_1 = \phi\left(\frac{\tau}{2}\right), e_2 = \phi\left(\frac{1}{2}\right), e_3 = \phi\left(\frac{\tau+1}{2}\right) \right)$$




Exercise: Show that  $\lambda$  is a bijection. Express  $j$  in terms of  $\lambda$ .

[Hint: Legendre family  $y^2 = x(x-1)(x-\lambda)$  gives a section of  $\lambda$ ]

# Differentials of the first (resp. second) kind

X = compact Riemann surface

Def: { differentials of the 1<sup>st</sup> kind on X } :=  $\Omega^1(X)$  (holomorphic diff.)  
 { " " " " 2<sup>nd</sup> " " } :=  $\Omega_{mer}^1(X)^{res=0}$  (contains  $\Omega^1(X)$ )  
 = {  $\omega \in \Omega_{mer}^1(X) \mid \forall x \in X \text{ } res_x(\omega) = 0$  }


Recall: if  is a local coordinate at  $x \in X$   
 $res_x(\omega) := a_{-1}$  (independent of  $z_x$ )  
 $\omega = \left( \sum_{n \geq n_0} a_n z_x^n \right) dz_x$

Note:  $f \in M(X) \Rightarrow res_x(df) = 0$ . Cor:  $dM(X) \subset \Omega_{mer}^1(X)^{res=0}$

Periods:



if  $\omega \in \Omega_{mer}^1(X)$ ,  $\gamma = \partial\Delta$  path avoiding the singularities of  $\omega$   
 $\Rightarrow \int_{\partial\Delta} \omega = 2\pi i \sum_{x \in \Delta} res_x(\omega)$

Cor: if  $\omega \in \Omega_{mer}^1(X)^{res=0}$  is of 2<sup>nd</sup> kind, if  ( $\partial\gamma = 0$ ) is a closed path avoiding the singularities of  $\omega$ , then the period  $\int_{\gamma} \omega$  depends only on the homology class  $[\gamma] \in H_1(X, \mathbb{Z})$ .

Note: if  $\omega = df$  ( $f \in M(X)$ )  $\Rightarrow \int_{\gamma} \omega = \int_{\gamma} f = 0$ .  
 $\gamma$  closed path ( $\partial\gamma = 0$ )

Cor: the periods define a map

$$(*) \quad H_1(X, \mathbb{Z}) \times \left( \Omega_{mer}^1(X)^{res=0} / dM(X) \right) \longrightarrow \mathbb{C}$$

$$[\gamma], \omega \longmapsto \int_{\gamma} \omega$$

Exercise:  $\Omega_{mer}^1(X)^{res=0} / dM(X) = \begin{cases} 0, & \text{if } X = \mathbb{P}^1(\mathbb{C}) \\ \mathbb{C} \cdot dz + \mathbb{C} \cdot \wp(z) dz, & \text{if } X = \mathbb{C}/L. \end{cases}$

Cor: the matrix of (\*) for  $X = \mathbb{C}/L$  in the bases  $\omega_1, \omega_2$  (of  $L$ ) and  $dz, -\wp(z) dz$  (of  $\Omega_{mer}^1(\mathbb{C}/L)^{res=0} / dM(\mathbb{C}/L)$ ) is  $\begin{pmatrix} \omega_1 & \eta_1 \\ \omega_2 & \eta_2 \end{pmatrix}$ .

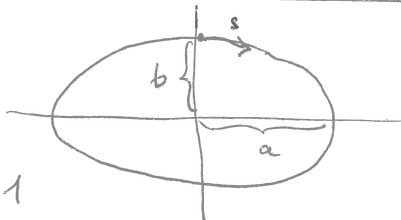


# Periods in families and differential equations

Ex: ellipse

$$a > b$$

$$0 < 1 - \frac{b^2}{a^2} = k^2 = \lambda < 1$$



$$x = a \sin(t), \quad y = b \cos(t)$$

$$ds^2 = dx^2 + dy^2 = (a^2 \cos^2(t) + b^2 \sin^2(t)) dt^2$$

$$= a^2 (1 - \lambda \sin^2(t)) dt^2$$

$$s = a \int_0^t \sqrt{1 - \lambda \sin^2(t)} dt$$

length of the ellipse:

$$4a \int_0^{\pi/2} \sqrt{1 - \lambda \sin^2(t)} dt$$

$E(k)$

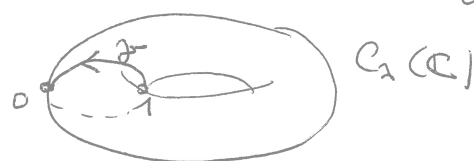
$$(\lambda = k^2)$$

Change of variables:  $x = \sin^2(t)$ ,  $1-x = \cos^2(t)$ ,  $dx = 2\sqrt{x(1-x)} dt$

$$f(x) = f_\lambda(x) := x(1-x)(1-\lambda x)$$

$$C_\lambda: y^2 = x(1-x)(1-\lambda x) \quad (0 \leq t \leq \pi)$$

$$E(k) = \frac{1}{2} \int_0^1 \frac{(1-\lambda x) dx}{\sqrt{f_\lambda(x)}} = \frac{1}{4} \int_{\mathcal{I}} \frac{(1-\lambda x) dx}{y}$$



differential of 2<sup>nd</sup> kind on  $C_\lambda(\mathbb{C})$

differential of 1<sup>st</sup> kind on  $C_\lambda(\mathbb{C})$ :  $\frac{dx}{y}$

$$K(k) := \frac{1}{2} \int_0^1 \frac{dx}{\sqrt{f_\lambda(x)}} = \frac{1}{4} \int_{\mathcal{I}} \frac{dx}{y}$$

Power series expansions:  $(\lambda = k^2)$

$$4K(k) = \int_{\mathcal{I}} \frac{dx}{y} = 2 \int_0^1 \frac{dx}{\sqrt{x(1-x)(1-\lambda x)}} = 4 \int_0^{\pi/2} \frac{dt}{\sqrt{1 - \lambda \sin^2(t)}} =$$

$$= 4 \sum_{n=0}^{\infty} \binom{-1/2}{n} (-\lambda)^n \underbrace{\int_0^{\pi/2} \sin^{2n}(t) dt}_{\frac{\pi}{2} (-1)^n \binom{-1/2}{n}} = 2\pi \sum_{n=0}^{\infty} \binom{-1/2}{n}^2 \lambda^n$$

$$4E(k) = \int_{\mathcal{I}} \frac{(1-\lambda x) dx}{y} = 2 \int_0^1 \frac{(1-\lambda x) dx}{\sqrt{x(1-x)(1-\lambda x)}} = 4 \int_0^{\pi/2} \sqrt{1 - \lambda \sin^2(t)} dt =$$

$$= 4 \sum_{n=0}^{\infty} \binom{1/2}{n} (-\lambda)^n \cdot \frac{\pi}{2} (-1)^n \binom{-1/2}{n} = 2\pi \sum_{n=0}^{\infty} \binom{-1/2}{n} \binom{1/2}{n} \lambda^n$$

Notation:  $(a)_n := a(a+1) \dots (a+n-1)$

$$(-1)^n \binom{-1/2}{n} = \frac{(1/2)_n}{n!} = \frac{(1/2)_n}{(1)_n}$$

$$(-1)^n \binom{1/2}{n} = \frac{(-1/2)_n}{n!}$$

$$4K(k) = 2\pi \sum_{n=0}^{\infty} \frac{(1/2)_n (1/2)_n}{(1)_n} \frac{\lambda^n}{n!}$$

$$4E(k) = 2\pi \sum_{n=0}^{\infty} \frac{(-1/2)_n (1/2)_n}{(1)_n} \frac{\lambda^n}{n!}$$

## Hypergeometric series

General principle: if  $f, g \in \mathbb{C}[z]$ , then the formal power series

$$F(z) := 1 + \frac{f(0)}{g(1)}z + \frac{f(0)f(1)}{g(1)g(2)}z^2 + \dots \quad \left( \text{if defined} \right) \text{ satisfies}$$

$$z f\left(z \frac{d}{dz}\right) : F(z) \mapsto f(0)z + \frac{f(0)f(1)}{g(1)}z^2 + \frac{f(0)f(1)f(2)}{g(1)g(2)}z^3 + \dots$$

$$\left( \text{since } f\left(z \frac{d}{dz}\right) : z^n \mapsto f(n)z^n \right) \text{ and}$$

$$g\left(z \frac{d}{dz}\right) : F(z) \mapsto g(0) + f(0)z + \frac{f(0)f(1)}{g(1)}z^2 + \dots, \text{ and so:}$$

Prop. If  $f, g \in \mathbb{C}[z]$  and  $g(0) = 0$ , then  $(z f(zD) - g(zD))F(z) = 0$   
 $\left[ D = \frac{d}{dz} \right]$   $\left\{ \begin{array}{l} \text{if } \deg(f) < \deg(g) \Rightarrow F \text{ converges in } \mathbb{C} \\ \text{if } \deg(f) = \deg(g) \Rightarrow \text{in some } \{ |z| < R \} \end{array} \right.$

Factorize  $f$  and  $g$ :  $f(z) = \prod_{j=1}^p (z + a_j)$ ,  $g(z) = z \prod_{k=1}^q (z + b_k - 1)$ ,  $p \leq q + 1$

$$F(z) = \sum_{n=0}^{\infty} \frac{(a_1)_n \dots (a_p)_n}{(b_1)_n \dots (b_q)_n} \frac{z^n}{n!} =: {}_pF_q(a, b; z) \quad \left[ \begin{array}{l} (a)_n = a(a+1)\dots(a+n-1) \\ \end{array} \right]$$

$$L_{a, b} F = 0, \quad L_{a, b} = \prod_{j=1}^p (zD + a_j) - D \prod_{k=1}^q (zD + b_k - 1), \quad \left[ D = \frac{d}{dz} \right]$$

Ex: (0)  ${}_0F_0(-, -; z) = \sum_{n \geq 0} \frac{z^n}{n!} = e^z$ , (1)  ${}_1F_0(a, -; z) = \sum_{n \geq 0} \binom{-a}{n} (-z)^n = (1-z)^{-a}$

(2)  ${}_1F_1(a, c; z) = \sum_{n \geq 0} \frac{(a)_n}{(c)_n} \frac{z^n}{n!}$  satisfies  $(zD^2 + (c-z)D - a)F = 0$

(3)  ${}_2F_1(a, b; c; z) = \sum_{n \geq 0} \frac{(a)_n (b)_n}{(c)_n} \frac{z^n}{n!}$  satisfies  $(z(z-1)D^2 + ((a+b+1)z - c)D + ab)F = 0$

Cor:  $\int_{\gamma} \frac{dx}{y} = 4K(k) = (2\pi) {}_2F_1\left(\frac{1}{2}, \frac{1}{2}; 1; \lambda\right)$  satisfies  $(\lambda(\lambda-1)D^2 + (2\lambda-1)D + \frac{1}{4})F = 0$   
 $D = d/d\lambda$  (Gauss)

$\int_{\gamma} \frac{(1-x)dx}{y} = 4E(k) = (2\pi) {}_2F_1\left(-\frac{1}{2}, \frac{1}{2}; 1; \lambda\right)$  satisfies  $(\lambda(\lambda-1)D^2 + (\lambda-1)D - \frac{1}{4})F = 0$

Contiguity relation:  $(a+1)_n - (a)_n = n(a+1)_{n-1} \Rightarrow {}_2F_1(a+1, b; c; z) - {}_2F_1(a, b; c; z) =$

Cor:  $\int_{\gamma} \frac{x dx}{y} = \frac{4(K(k) - E(k))}{\lambda} = \pi {}_2F_1\left(\frac{1}{2}, \frac{3}{2}; 2; \lambda\right)$

satisfies  $(\lambda(\lambda-1)D^2 + (3\lambda-2)D + \frac{3}{4})F = 0$

$$= \frac{z}{(b/c)} {}_2F_1(a+1, b+1; c+1; z)$$

## Differential equation for periods - general case

Data: • family of non-singular projective curves  $C_\lambda$  depending analytically on a parameter  $\lambda \in U \subseteq \mathbb{C}$ :

$$C_\lambda \setminus \{O\} : y^2 = f(x), \quad f(x) \in \mathbb{C}[x], \deg(f) = 3, \text{ } f \text{ has distinct roots}$$

$f = f(x, \lambda)$  depends analytically on  $\lambda$  (its coefficients lie in  $\mathbb{C}(U)$ )

• closed cycles  $\gamma_\lambda$  on  $C_\lambda$ , varying naturally in  $\lambda$

$$\Rightarrow \underbrace{\omega = \frac{dx}{y} \in \Omega^1(X_\lambda)}_{\text{of 1st kind}}, \quad \underbrace{\eta = \frac{-x dx}{y} = -x\omega \in \Omega^1_{\text{mer}}(X_\lambda)}_{\text{of 2nd kind}} \text{ } \text{res}=0 \quad (X_\lambda = C_\lambda(\mathbb{C}))$$

Periods:  $\int_{\gamma_\lambda} \omega, \int_{\gamma_\lambda} \eta$  depend only on  $[\gamma_\lambda] \in H_1(X_\lambda, \mathbb{Z})$  and the classes  $[\omega], [\eta] \in \Omega^1_{\text{mer}}(X_\lambda)^{\text{res}=0} / dM(X_\lambda) =: H(X_\lambda)$

In fact:  $H(X_\lambda) = \mathbb{C} \cdot [\omega] \oplus \mathbb{C} \cdot [\eta]$ , but we are not going to use it.

Apply  $D_\lambda = \frac{d}{d\lambda}$  (considering  $x, \lambda$  as independent variables):

$$D_\lambda \omega = -\frac{(D_\lambda y) dx}{y^2} = -\frac{(D_\lambda f) dx}{2y^3}, \quad D_\lambda \eta = -x D_\lambda \omega \quad (y^2 = f(x) \Rightarrow 2y D_\lambda y = D_\lambda f)$$

Goal: find linear relations between  $[\omega], [\eta], [D_\lambda \omega], [D_\lambda \eta]$ .

Such relations exist:  $\omega, \eta, D_\lambda \omega, D_\lambda \eta \in \left\{ \frac{P(x) dx}{y^3} \mid P \in \mathbb{C}[x], \deg(P) \leq 4 \right\}$

If  $Q(x) \in \mathbb{C}[x], \deg(Q) \leq 2$

$$\Rightarrow d\left(\frac{Q(x) dx}{y}\right) = \frac{(2fQ' - f'Q) dx}{2y^3} \text{ lies in } \checkmark, \text{ and } d\left(\frac{dx}{y}\right), d\left(\frac{x}{y}\right), d\left(\frac{x^2}{y}\right) \text{ are linearly independent}$$

$\dim = 5$

Cor:  $[\omega], [\eta], [D_\lambda \omega], [D_\lambda \eta]$  lie in a vector space of  $\dim \leq 5 - 3 = 2$ .

Ex: Legendre family (slightly reparameterised):

$$C_\lambda \setminus \{O\} : y^2 = x(1-x)(1-\lambda x), \quad \lambda \in \mathbb{C} \setminus \{0, 1\}$$

ramification points of  $p: C_\lambda \rightarrow \mathbb{P}^1(\mathbb{C}) : \begin{matrix} 0, 1, \lambda^{-1}, \infty \\ (x, y) \mapsto x \end{matrix}$

If  $0 < |\lambda| < 1$ , we can take, e.g.,  $\gamma_\lambda$

$$\Rightarrow \int_{\gamma_\lambda} \omega = 2 \int_0^1 \frac{dx}{\sqrt{x(1-x)(1-\lambda x)}}, \quad \int_{\gamma_\lambda} \eta = 2 \int_0^1 \frac{-x dx}{\sqrt{x(1-x)(1-\lambda x)}} \quad (\text{as above})$$

linear algebra calculations inside  $\left\{ \frac{P(x)dx}{y^3} \mid \deg(P) \leq 4 \right\}$

$\Rightarrow$  (1)  $\eta + 2D_\lambda w + 2\lambda D_\lambda \eta = 0 \iff 2(1-\lambda x)D_\lambda w = xw$ , which follows

directly from  $(D_\lambda \gamma)w + \gamma(D_\lambda w) = D_\lambda(dx) = 0 \Rightarrow -\frac{D_\lambda w}{w} = \frac{D_\lambda \gamma}{\gamma} = \frac{D_\lambda f}{2y^2} = \frac{D_\lambda f}{2f}$

and (2)  $w + \eta + 2(\lambda-1)D_\lambda w = d\left(\frac{2(x^2-x)}{\gamma}\right)$ . The classes in  $H(X_\lambda)$  satisfy

$$2 \begin{pmatrix} 1 & \lambda \\ \lambda-1 & 0 \end{pmatrix} \begin{pmatrix} [D_\lambda w] \\ [D_\lambda \eta] \end{pmatrix} + \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} [w] \\ [\eta] \end{pmatrix} = 0 \Rightarrow \begin{pmatrix} \lambda-2 & -\lambda \\ 1 & \lambda \end{pmatrix} \begin{pmatrix} [D_\lambda w] \\ [D_\lambda \eta] \end{pmatrix} + \frac{1}{2} \begin{pmatrix} [w] \\ [\eta] \end{pmatrix} = 0$$

Applying  $D_\lambda$  and combining it with  $\nearrow$  yields the final result:

$$\left(\lambda(\lambda-1)D_\lambda^2 + (2\lambda-1)D_\lambda + \frac{1}{4}\right)[w] = 0, \quad \left(\lambda(\lambda-1)D_\lambda^2 + (3\lambda-2)D_\lambda + \frac{3}{4}\right)[\eta] = 0$$

$\Rightarrow$  the same equations for  $\int_0^1 w$  resp.  $\int_0^1 \eta$  (proved directly above).

However, the same equations hold for  $\int w$  resp.  $\int \eta$ ,

for any family of closed cycles  $\gamma'_\lambda$  in  $C_\lambda$  varying holomorphically in  $\lambda \in U$ .

Exercise: Consider the family  $y^2 = 4x^3 - t(x+1)$ , where

$$t = \frac{27J}{J-1} \quad \left( J = \frac{t^3}{t^3 - 27t^2} \in \mathbb{C} \setminus \{0, 1, 7\} \right)$$

$$w = \frac{dx}{y}, \quad \eta = \frac{-x dx}{y} = -xw. \quad \text{Show that}$$

$$36J(J-1) \frac{d}{dJ} \begin{pmatrix} [w] \\ [\eta] \end{pmatrix} = \begin{pmatrix} 3(J+2) & -2(J-1) \\ 9J/2 & -3(J+2) \end{pmatrix} \begin{pmatrix} [w] \\ [\eta] \end{pmatrix}$$

$$\Rightarrow \left(\frac{d}{dJ}\right)^2 [w] + \frac{1}{J} \frac{d}{dJ} [w] + \frac{31J-4}{144J^2(J-1)^2} [w] = 0$$

Find  $A, B$  such that  $\frac{[w]}{J^A(J-1)^B}$  satisfies a suitable

hypergeometric <sup>diff.</sup> equation  $\left( J(J-1) \left(\frac{d}{dJ}\right)^2 + ((a+b+1)J - c) \frac{d}{dJ} + ab \right) (\cdot) = 0$ .

Mumford: Periods of differentials of 2<sup>nd</sup> kind on a family  $\{X_\lambda\}$  of genus  $g$ :  
 $H(X_\lambda)$  has  $\dim = 2g \Rightarrow \exists$  linear relation between  $\left(\frac{d}{d\lambda}\right)^k [w]$  ( $k=0, \dots, 2g$ )  
 $(\Rightarrow$  linear diff. equation for periods of order  $2g$ )

General theory in arbitrary dimension: "Gauss-Mumford connection".

# Period relations for compact Riemann surfaces

$X =$  compact Riemann surface of genus  $g > 0$

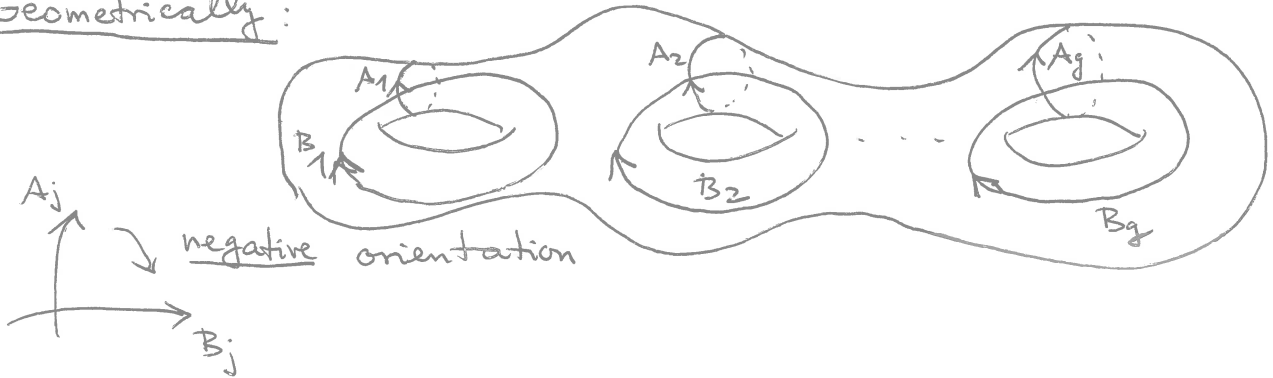
$$\underline{H_1(X, \mathbb{Z}) \simeq \mathbb{Z}^{2g}}$$

Intersection pairing  $H_1(X, \mathbb{Z}) \times H_1(X, \mathbb{Z}) \xrightarrow{I} \mathbb{Z}$  (skew-symmetric)

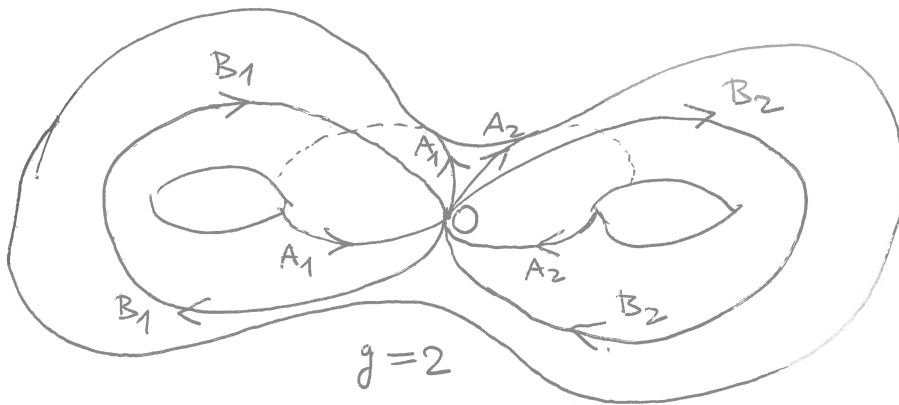
choose a symplectic basis:  $H_1(X, \mathbb{Z}) = \bigoplus_{j=1}^g (\mathbb{Z}[A_j] \oplus \mathbb{Z}[B_j])$

such that  $\underline{I(A_j, B_k) = -\delta_{jk} = -I(B_k, A_j)}$

Geometrically:



The classes  $[A_j], [B_k]$  can be represented by cycles passing through a chosen point  $O \in X$ :



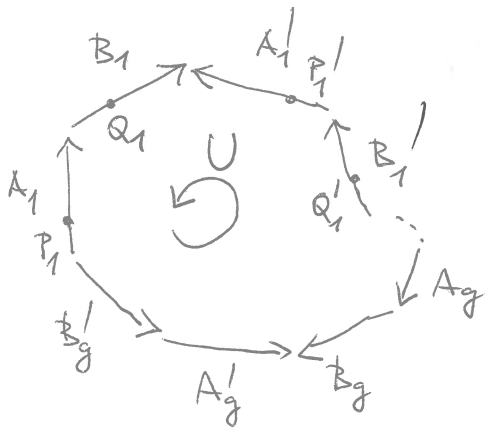
Goal: study periods  $\int_{A_j} \omega, \int_{B_k} \omega$   
of holomorphic differentials  $\omega \in \Omega^1(X)$

(more generally, of differentials of 2<sup>nd</sup> kind)  
 $\underline{\eta \in \Omega_{mer}^1(X)^{res=0} / dM(X)}$

Thm. If  $\alpha, \beta$  are  $C^\infty$  1-forms on  $X$  such that  $d\alpha = d\beta = 0$ , then

$$\sum_{j=1}^g \left| \begin{array}{cc} \int_{B_j} \alpha & \int_{B_j} \beta \\ \int_{A_j} \alpha & \int_{A_j} \beta \end{array} \right| = \int_X \alpha \wedge \beta$$

Pf. The open subset  $U = X \setminus \bigcup_{j=1}^g (A_j \cup B_j)$  is simply connected  
 $\Rightarrow \exists f_\alpha \in C^\infty(U)$   $df_\alpha = \alpha|_U$ . The boundary of  $U$  is as follows:



$A_j$  is glued to  $A'_j$  so that

$$f_\alpha(P'_j) - f_\alpha(P_j) = \int_{B_j} \alpha$$

$B_k$  is glued to  $B'_k$  so that

$$f_\alpha(Q'_k) - f_\alpha(Q_k) = - \int_{A_k} \alpha$$

On  $U$ ,  $d(f_\alpha \beta) = (\alpha \wedge \beta)|_U$

$$\Rightarrow \int_X \alpha \wedge \beta = \int_U \alpha \wedge \beta = \int_{\partial U} f_\alpha \beta = \sum_{j=1}^g \left( \int_{A_j} (f_\alpha(P'_j) - f_\alpha(P_j)) \beta + \int_{B_j} (f_\alpha(Q'_j) - f_\alpha(Q_j)) \beta \right)$$

$$= \left( \int_{B_j} \alpha \right) \left( \int_{A_j} \beta \right) - \left( \int_{A_j} \alpha \right) \left( \int_{B_j} \beta \right)$$

Abstract formulation: define  $PD(\alpha) := \sum_{j=1}^g \left( \left( \int_{B_j} \alpha \right) A_j - \left( \int_{A_j} \alpha \right) B_j \right)$

Then

$$\int_X \beta = \sum_{j=1}^g \left| \begin{array}{cc} \int_{B_j} \alpha & \int_{B_j} \beta \\ \int_{A_j} \alpha & \int_{A_j} \beta \end{array} \right| \stackrel{\text{Thm}}{=} \int_X \alpha \wedge \beta$$

$$= \mathbf{I}(PD(\alpha), PD(\beta))$$

$PD$  (= Poincaré duality):  $(\mathbb{R} = \mathbb{R}, \mathbb{C})$

$$H_{dR}^1(X, \mathbb{R}) \xrightarrow{PD} H_1(X, \mathbb{R})$$

Period matrices: for  $\alpha, \beta$  1-forms on  $X$  s.t.  $d\alpha = 0 = d\beta$ , write

$$P_A(\alpha) := \begin{pmatrix} \int \alpha \\ A_1 \\ \vdots \\ \int \alpha \\ A_g \end{pmatrix}, \quad P_B(\alpha) := \begin{pmatrix} \int \alpha \\ B_1 \\ \vdots \\ \int \alpha \\ B_g \end{pmatrix} \in \mathbb{C}^g$$

$$J := \begin{pmatrix} 0 & -I_g \\ I_g & 0 \end{pmatrix}$$

standard symplectic form

$$P(\alpha) := \begin{pmatrix} P_A(\alpha) \\ P_B(\alpha) \end{pmatrix} \in \mathbb{C}^{2g}$$

Thm above  $\iff \int_X {}^t P(\alpha) J P(\beta) = \int_X \alpha \wedge \beta$

Application to holomorphic 1-forms

Thm (Riemann bilinear relations) If  $\alpha, \beta \in \Omega^1(X)$ , then


(1)  $\int_X {}^t P(\alpha) J P(\beta) = 0$ . (2) If  $\alpha \neq 0$ ,  $i \int_X {}^t P(\alpha) J \overline{P(\alpha)} > 0$ .

Pf: (1) locally  $\alpha = f(z) dz$ ,  $\beta = g(z) dz \implies \alpha \wedge \beta = fg dz \wedge dz = 0$ .

(2)  $i \alpha \wedge \bar{\alpha} = |f(z)|^2 \frac{i dz \wedge d\bar{z}}{2 dx \wedge dy} > 0$

Ex:  $g=1$ : (2)  $\iff i \begin{vmatrix} w_B & \overline{w_B} \\ w_A & \overline{w_A} \end{vmatrix} > 0 \iff \text{Im}(w_A \overline{w_B}) > 0$

$w_A = \int_A \alpha$ ,  $w_B = \int_B \alpha$



Period matrices

Fact:  $\dim_{\mathbb{C}} \Omega^1(X) = g$  Fix a basis  $\alpha_1, \dots, \alpha_g$  of  $\Omega^1(X)$

Period matrix of  $H_1(X, \mathbb{C}) \times \Omega^1(X) \xrightarrow{\int} \mathbb{C}$ :

$$M := \left( P(\alpha_1) \mid \dots \mid P(\alpha_g) \right) \in M_{2g, g}(\mathbb{C})$$

change of basis of  $\Omega^1(X)$ : replace  $M$  by  $Mh$ ,  $h \in GL_g(\mathbb{C})$

(case  $g=1$ : replace  $\begin{pmatrix} w_A \\ w_B \end{pmatrix}$  by  $\begin{pmatrix} tw_A \\ tw_B \end{pmatrix}$ ,  $t \in \mathbb{C}^*$ )

notation: for  $x, y \in \mathbb{C}^g$ , let  $\alpha(x) := \sum_{j=1}^g x_j \alpha_j \in \Omega^1(X)$

Riemann bilinear relations for  $\alpha(x)$  and  $\alpha(y)$ :

(1) ${}^t x ({}^t M J M) y = 0 \quad \forall x, y \in \mathbb{C}^g$	$\iff {}^t M J M = 0$
(2) $i {}^t x ({}^t M J \overline{M}) \overline{x} > 0 \quad \forall 0 \neq x \in \mathbb{C}^g$	$\iff$ the hermitian matrix $i {}^t M J \overline{M}$ is <u>positive definite</u>

The period domain

Def:  $\text{Per}_g := \{ M \in M_{2g, 2g}(\mathbb{C}) \mid M \text{ satisfies Riemann relations} \}$   
 $\begin{cases} {}^t M J M = 0, \\ i {}^t M J M > 0 \end{cases}$   
positive definite hermitian

What is this space?  $\text{Per}_1 = \left\{ \begin{pmatrix} w_1 \\ w_2 \end{pmatrix} \in \mathbb{C}^2 \mid \text{Im}(w_1 \overline{w_2}) > 0 \right\}$   
 $= \left\{ t \begin{pmatrix} \tau \\ 1 \end{pmatrix} \mid t \in \mathbb{C}^\times, \tau \in \mathbb{C} \right\}$

Special case:  $M = \begin{pmatrix} T \\ I_g \end{pmatrix}, T \in M_g(\mathbb{C})$

(1)  $\begin{pmatrix} {}^t T & I \end{pmatrix} \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix} \begin{pmatrix} T \\ I \end{pmatrix} = 0 \iff T = {}^t T$  is symmetric

(2)  $i \begin{pmatrix} {}^t T & I \\ \overline{T} & I \end{pmatrix} \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix} \begin{pmatrix} T \\ I \end{pmatrix} > 0 \iff \text{Im}(T) > 0$   
 $\begin{matrix} \uparrow \\ M_g(\mathbb{R})^{\text{sym}} \end{matrix}$  positive definite real quadratic form

Def: the Siegel upper half space

$\mathcal{H}_g := \left\{ T = {}^t T \in M_g(\mathbb{C}) \mid \text{Im}(T) \text{ positive definite} \right\}$

Thm: The map  $\mathcal{H}_g \times GL_g(\mathbb{C}) \longrightarrow \text{Per}_g$  is bijjective.

$(T, h) \longmapsto \begin{pmatrix} T \\ I_g \end{pmatrix} h$

It commutes with the right action of  $GL_g(\mathbb{C})$  given by  $(T, h) h' = (T, h h')$  resp. right multiplication by  $h'$  on  $\text{Per}_g$ .

Pf: If  $M = \begin{pmatrix} M_A \\ M_B \end{pmatrix} \in \text{Per}_g \implies {}^t M_B M_A$  is symmetric and  $\text{Im}({}^t M_A \overline{M_B}) > 0$  is positive definite  $\implies M_B \in GL_g(\mathbb{C})$ . Write  $M = \begin{pmatrix} T \\ I \end{pmatrix} h, h = M_B, T = M_A M_B^{-1}$ .  $\forall h \in GL_g(\mathbb{C})$   
 Then  $T \in \mathcal{H}_g$ . Conversely, if  $T \in \mathcal{H}_g$ , then  $\begin{pmatrix} T \\ I_g \end{pmatrix} \in \text{Per}_g \implies \begin{pmatrix} T \\ I_g \end{pmatrix} h \in \text{Per}_g$

Rmk:  $Sp_{2g}(\mathbb{R}) = \{ U \in GL_{2g}(\mathbb{R}) \mid {}^t U J U = J \}$  acts on  $\text{Per}_g$  by  $M \mapsto UM$  (this commutes with the right action of  $GL_g(\mathbb{C})$ ) and changes  $\{(A_j), (B_j)\}$  to another symplectic basis of  $H_1(X, \mathbb{R})$ . Formula: if  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in Sp_{2g}(\mathbb{R})$  ( $A, B, C, D \in M_g(\mathbb{R})$ ), then  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} T \\ I \end{pmatrix} = \begin{pmatrix} T' \\ I \end{pmatrix} (CT + D), T' = (AT + B)(CT + D)^{-1} \in \mathcal{H}_g$



## Coordinate-free definition of $\text{Per}_g$

Def. A symplectic vector space  $\mathbb{F}$  over a field  $K$  is a pair  $(V, B)$ , where

$V =$  finite-dimensional vector space over  $K$   
 $B: V \times V \rightarrow K$  non-degenerate bilinear

alternating pairing ( $\Rightarrow \dim V = 2n$ )

$$\forall x \in V \quad B(x, x) = 0 \quad (\Rightarrow \forall x, y \in V \quad B(y, x) = -B(x, y))$$

A subspace  $W \subset V$  is isotropic if  $\forall x, y \in W \quad B(x, y) = 0$ .

$$(\Leftrightarrow) \quad W \subset W^\perp := \{x \in V \mid B(x, W) = 0\} \Rightarrow \dim(W) \leq n = \frac{1}{2} \dim(V)$$

A lagrangian subspace of  $V$  is an isotropic subspace  $W \subset V$  of maximal dimension  $\dim(W) = \frac{1}{2} \dim(V)$  ( $\Leftrightarrow W = W^\perp$ ).

Ex: If  $\{P_j, Q_k\}$  is a symplectic basis of  $V$ :  $B(P_j, P_k) = 0 = B(Q_j, Q_k)$   
 $B(P_j, Q_k) = \delta_{jk}$   
 $\Rightarrow \bigoplus_1^n K P_j$  and  $\bigoplus_1^n K Q_j$  are lagrangian subspaces.

Abstract version of  $\text{Per}_g$ :  $(V, B)$  symplectic space over  $\mathbb{R}$ ,  $\dim V = 2g$

$B$  extends  $\mathbb{C}$ -linearly to a symplectic space  $(\underbrace{V_{\mathbb{C}}}_{V \otimes_{\mathbb{R}} \mathbb{C}}, B_{\mathbb{C}})$  over  $\mathbb{C}$

$$\text{Per}(V, B) = \{W \subset V_{\mathbb{C}} \text{ lagrangian subspace} \mid \forall 0 \neq x \in W \quad i B_{\mathbb{C}}(x, x) > 0\}$$

Ex:  $X$  compact Riemann surface of genus  $g > 0$

$$V = H_{1, \mathbb{R}}^1(X, \mathbb{R}), \quad B(\alpha, \beta) = \int_X \alpha \wedge \beta$$

$W = \Omega^1(X) \subset V_{\mathbb{C}}$  lies in  $\text{Per}(V, B)$  (by Riemann's relations)

The Cayley transform for the Siegel space  $\mathcal{H}_g$ :

Let  $c = \begin{pmatrix} I_g & -iI_g \\ I_g & iI_g \end{pmatrix}$ . For  $T \in \mathcal{H}_g$ ,  $c(T) = (T - iI_g)(T + iI_g)^{-1}$  belongs to  $\{W \in M_g(\mathbb{C}) \mid W = {}^t W, I_g - W\bar{W} > 0\}$

positive definite hermitian

Facts: (1)  $c: \mathcal{H}_g \xrightarrow{\sim} \{W \in M_g(\mathbb{C}) \mid W = {}^t W, I_g - W\bar{W} > 0\}$  is a bijection.

$$(2) \quad c \text{Sp}_{2g}(\mathbb{R}) c^{-1} = \left\{ \begin{pmatrix} U & \bar{V} \\ V & U \end{pmatrix} \mid U, V \in M_g(\mathbb{C}) \right\} \cap \text{Sp}_{2g}(\mathbb{C}) = U(g, g) \cap \text{Sp}_{2g}(\mathbb{C})$$

For  $g=1$  we recover  $\{w \in \mathbb{C} \mid 1 - \bar{w}w > 0\} = \mathbb{D}$  and  $c = \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix}$ , with

$$c \text{SL}_2(\mathbb{R}) c^{-1} = \left\{ \begin{pmatrix} u & \bar{v} \\ v & u \end{pmatrix} \mid |u|^2 - |v|^2 = 1 \right\} = \text{SU}(1, 1).$$

The Abel-Jacobi map ( $g \geq 1$  arbitrary)

Rmk. If  $M = \begin{pmatrix} M_A \\ M_B \end{pmatrix} \in \text{Per}_g$ , then the rows of  $M$  are linearly independent over  $\mathbb{R} \Rightarrow$  they generate a lattice  $L_M \subset (\mathbb{C}^g)^* = \{(w_1, \dots, w_g) \mid w_j \in \mathbb{C}\}$  (the dual space of  $\mathbb{C}^g$ )

PR:  $\forall T \in \mathcal{H}_g \quad \text{Im}(T) \in \text{GL}_g(\mathbb{R}) \Rightarrow \begin{pmatrix} T & \bar{T} \\ I_g & I_g \end{pmatrix} \in \text{GL}_{2g}(\mathbb{C})$ .

- Data:
- $X =$  compact Riemann surface of genus  $g \geq 1$
  - $\alpha_1, \dots, \alpha_g$  - a basis of  $\Omega^1(X)$  (global holomorphic differentials)
  - $O \in X$  (base point)

Abel-Jacobi map:  $\alpha_0 : X \longrightarrow (\mathbb{C}^g)^* / L$   
 $P \longmapsto \left( \int_0^P \alpha_1, \dots, \int_0^P \alpha_g \right) \pmod{L}$

$L =$  group of periods  $= \left\{ \left( \int_\gamma \alpha_1, \dots, \int_\gamma \alpha_g \right) \mid [\gamma] \in H_1(X, \mathbb{Z}) \right\}$

Rmk above  $\Rightarrow L$  is a lattice in  $(\mathbb{C}^g)^*$ .

Abstract formulation: the pairing

$$H_1(X, \mathbb{Z}) \times \Omega^1(X) \xrightarrow{\int} \mathbb{C}$$

$$[\gamma], \omega \longmapsto \int_\gamma \omega$$

defines a map  $H_1(X, \mathbb{Z}) \rightarrow \Omega^1(X)^*$  (dual space) which is injective and whose image is a lattice.

the Abel-Jacobi map with base point  $O$  is then

$$\alpha_0 : X \longrightarrow \boxed{\Omega^1(X)^* / H_1(X, \mathbb{Z}) =: \mathcal{J}(X)} \quad \text{Jacobian variety of } X$$

$$P \longmapsto \left( \omega \longmapsto \int_0^P \omega \right) \pmod{H_1(X, \mathbb{Z})}$$

$\mathbb{Z}$ -linear extensions of  $\alpha_0$ :  $\alpha_0 : \text{Div}(X) \longrightarrow \mathcal{J}(X)$

$$\sum n_P (P) \longmapsto \sum n_P \alpha_0(P)$$

restriction  $\alpha : \text{Div}^0(X) \longrightarrow \mathcal{J}(X)$  does not depend on  $O$ .

Fundamental results (Abel, Jacobi, Riemann, ...):

(1)  $\forall 0 \neq f \in M(X) \quad \alpha(\operatorname{div}(f)) = 0$  ( $\Leftarrow$  Abel's Thm)

(2)  $\alpha$  induces an isomorphism of abelian groups

$$\underline{Cl^0(X) = \operatorname{Div}^0(X) / P(X) \xrightarrow{\cong} J(X)}$$

(3) the restriction of  $\alpha_0$  to

$$\operatorname{Div}_+^g(X) := \left\{ (P_1) + \dots + (P_g) \mid P_j \in X \text{ (not necessarily distinct)} \right\} \subset \operatorname{Div}(X)$$

is a generically bijective surjection

$$\alpha_{0,g,+} : \operatorname{Div}_+^g(X) \longrightarrow J(X)$$

(4) the inverse map to the restriction of  $\alpha_{0,g,+}$  to the subset of  $\operatorname{Div}_+^g(X)$  on which it is injective can be described explicitly in terms of the Riemann theta function attached to the period matrix of  $X$ .

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## Functoriality of Jacobians

$X \xrightarrow{\alpha} Y$  non-constant holomorphic map

$X, Y =$  compact Riemann surfaces

covariant (= Albanese) functoriality:

$$\text{Div}(X) \xrightarrow{\alpha_*} \text{Div}(Y), \quad \sum n_x(x) \mapsto \sum n_x(\alpha(x))$$

$$H_1(X, \mathbb{Z}) \xrightarrow{\alpha_*} H_1(Y, \mathbb{Z})$$

$$\Omega^1(X) \xleftarrow{\alpha^*} \Omega^1(Y) \quad \xRightarrow{\text{dual}} \quad \Omega^1(X)^* \xrightarrow{\alpha_*} \Omega^1(Y)^*$$

$$\Rightarrow \text{Div}^0(X) \xrightarrow{\alpha_*} \text{Div}^0(Y)$$

$$AJ_X \downarrow \qquad \qquad \downarrow AJ_Y$$

$$J(X) \longrightarrow J(Y)$$

$$\frac{\Omega^1(X)^*}{\underbrace{H_1(X, \mathbb{Z})}_{J(X)}} \longrightarrow \frac{\Omega^1(Y)^*}{\underbrace{H_1(Y, \mathbb{Z})}_{J(Y)}}$$

contravariant (= Picard) functoriality:

functoriality:

$$\text{Div}(X) \xleftarrow{\alpha^*} \text{Div}(Y)$$

$$\sum_x e_x n_{\alpha(x)}(x) \longleftarrow \sum n_y(y), \quad \alpha_* \alpha^* = \text{deg}(\alpha)$$

$$H_1(X, \mathbb{Z}) \xleftarrow{\alpha^*} H_1(Y, \mathbb{Z})$$

(dual to  $\alpha_*$  via the intersection pairings)

$$\Omega^1(X) \xrightarrow{\alpha_*} \Omega^1(Y)$$

trace map

$$\text{Div}^0(X) \xleftarrow{\alpha^*} \text{Div}^0(Y)$$

$$AJ_X \downarrow \qquad \qquad \downarrow AJ_Y$$

$$J(X) \xleftarrow{\alpha^*} J(Y)$$

correspondences:

$$\begin{array}{ccc} & X & \\ \alpha \swarrow & & \searrow \beta \\ Y & \xrightarrow{T} & Z \end{array}$$

$\alpha, \beta$  as above

( $X, Y, Z$  cpt Riemann surfaces)

$$T_* = \beta_* \alpha^* : J(Y) \longrightarrow J(Z)$$

$$T^* = \alpha_* \beta^* : J(Z) \longrightarrow J(Y)$$

### Algebraic version

$X$  smooth projective curve over a field  $K$

$\Rightarrow$  abelian variety  $J(X)$  over  $K$

parameterising  $\text{Cl}^0(X)$  (over all fields  $L \supset K$ )