

$O_K$  is a Dedekind ring

Thm. Let  $n = [K:\mathbb{Q}] < \infty$ . For every ideal  $(0) \neq I \subset O_K$  there exists an ideal  $(0) \neq J \subset O_K$  such that  $IJ = (c)$  is principal.

Proof. The idea is to prove a special case of the identity

$$\forall f, g \in O_K \setminus \{0\} \quad ct(f)ct(g) = ct(fg), \quad (*)$$

where the content  $ct(f)$  is the ideal generated by the coefficients of  $f$ .

Write  $I = (a_0, \dots, a_r)$  and consider  $f(X) = a_0 X^r + a_1 X^{r-1} + \dots + a_r \in O_K[X]$ .

For  $\sigma_1, \dots, \sigma_n: K \hookrightarrow \mathbb{C}$  let  $f_i(X) = \sigma_i(a_0)X^r + \dots + \sigma_i(a_r)$ ,  $f_1 = f$ ,

$g(X) = f_2(X) \dots f_n(X) \in O_L[X]$ , where  $L \subset \mathbb{C}$  is any number field

containing all  $\sigma_i(K)$ . Thm on symmetric functions  $\Rightarrow f(X)g(X) \in \mathbb{Z}[X]$ .

~~$\Rightarrow g(X) = f(X)g(X)/f(X) \in K[X] \cap O_L[X] = O_K[X]$~~  Each  $f_i \in O_L[X] \Rightarrow g(X) \in O_L[X]$

$$\Rightarrow g(X) = f(X)g(X)/f(X) \in K[X] \cap O_L[X] = O_K[X].$$

let  $c = \gcd(\text{coefficients of } fg) \in \mathbb{Z} \setminus \{0\}$ ,  $J = (b_0, \dots, b_t) \subset O_K$ ,

where  $g(X) = b_0 X^t + \dots + b_t$ . Claim:  $IJ = cO_K = (c)$ .

(2):  $c \in \mathbb{Z}[\{\text{coeff. of } fg\}] \subset \mathbb{Z}[\{a_i, b_j\}] \subset IJ \Rightarrow \underline{(c) \subset IJ}$ .

(3): Lemma. let  $\overline{\mathbb{Z}} = \{\alpha \in \mathbb{C} \mid \alpha \text{ integral over } \mathbb{Z}\} = \{\text{algebraic integers}\}$ .

(a) If  $h(X) = c_0 X^m + \dots + c_m \in \overline{\mathbb{Z}}[X]$ ,  $c_0 \neq 0$ ,  $h(\alpha) = 0 \Rightarrow h(X)/(X-\alpha) \in \overline{\mathbb{Z}}[X]$ .

(b) If  $h(X) = c_0(X-\alpha_1) \dots (X-\alpha_m) \in \overline{\mathbb{Z}}[X] \setminus \{0\} \Rightarrow \forall k \leq m \quad c_0 \alpha_1 \dots \alpha_k \in \overline{\mathbb{Z}}$ .

Cor. If  $F(X) = A_0 X^r + \dots + A_r \in \overline{\mathbb{Z}}[X]$ ,  $G(X) = B_0 X^t + \dots + B_t \in \overline{\mathbb{Z}}[X]$   
 $(A_0 B_0 \neq 0)$ ,  $C \in \overline{\mathbb{Z}}$ ,  $C^{-1}F(X)G(X) \in \overline{\mathbb{Z}}[X] \Rightarrow \forall i, j \quad C^{-1}A_i B_j \in \overline{\mathbb{Z}}$

Lemma  $\Rightarrow$  Cor:  $F = A_0(X-\alpha_1) \dots (X-\alpha_r)$ ,  $G = B_0(X-\beta_1) \dots (X-\beta_t)$

applying (b) to  $h(X) = C^{-1}F(X)G(X) \Rightarrow \frac{A_0 B_0}{C} \alpha_{i_1} \dots \alpha_{i_k} \beta_{j_1} \dots \beta_{j_\ell} \in \overline{\mathbb{Z}}$

$(1 \leq i_1 < \dots < i_k \leq r, 1 \leq j_1 < \dots < j_\ell \leq t)$ . But  $\pm A_i/A_0$  (resp.  $\pm B_j/B_0$ )

is an elementary symmetric polynomial of  $\alpha_1, \dots, \alpha_r$  (resp.  $\beta_1, \dots, \beta_t$ )

$$\Rightarrow \pm \frac{A_i B_j}{C} = \text{sum of various } \frac{A_0 B_0}{C} \alpha_{i_1} \dots \alpha_{i_k} \beta_{j_1} \dots \beta_{j_\ell} \in \overline{\mathbb{Z}}$$

Cor (for  $F=f, G=g, C=c$ )  $\Rightarrow \forall i, j \quad a_i b_j / c \in \overline{\mathbb{Z}} \cap K = O_K \Rightarrow IJ = (a_i b_j / c) \subset (c)$   
 therefore  $IJ = (c) \Rightarrow$  Thm.

Pf of lemma (a)  $m=1$ :  $h = c_0 X + c_1 = c_0(X-\alpha) \Rightarrow h/(X-\alpha) = c_0 \in \overline{\mathbb{Z}}$  ( $c_0, \alpha \in \overline{\mathbb{Z}}$ )

$m > 1$ :  $h_1(X) = h(X) - c_0 X^{m-1}(X-\alpha)$  has  $\deg(h_1) < m$ ,  $h_1(\alpha) = 0$ ,  $h_1 \in \overline{\mathbb{Z}}[X]$

Induction:  $h_1/(X-\alpha) \in \overline{\mathbb{Z}}[X] \Rightarrow h/(X-\alpha) = c_0 X^{m-1} + h_1/(X-\alpha) \in \overline{\mathbb{Z}}[X]$

(b) Applying (a)  $m-k$  times  $\Rightarrow h_2(X) = h(X)/(X-\alpha_{k+1}) \dots (X-\alpha_m) \in \overline{\mathbb{Z}}[X]$

$$\Rightarrow \pm c_0 \alpha_1 \dots \alpha_k = h_2(0) \in \overline{\mathbb{Z}}$$

## Divisibility and ideals

Recall:  $A = \text{UFD} \Rightarrow$  Euclid's lemma holds:  $x \in A$  irreducible,  $x | ab \Rightarrow x | a$  or  $x | b$   
( $\Leftrightarrow A/(x) = A/xA$  is a domain)

### Divisibility and inclusions:

(1)  $A$  any ring,  $a, b \in A$ :  $a | b \Leftrightarrow b \in Aa = (a) \Leftrightarrow (b) \subset (a) \Leftrightarrow (a) \supset (b)$

(2)  $A$  UFD:  $(a) \cap (b) = (\text{lcm}(a, b))$

(3)  $A$  PID  $\Rightarrow$  Bézout property:  $\underbrace{(a) + (b)}_{(a, b)} = Aa + Ab = Ad = (d)$   
 $d = \text{gcd}(a, b)$

Notation for ideals:  $a_1, \dots, a_n \in A$   $(a_1, \dots, a_n) = Aa_1 + \dots + Aa_n$  the ideal  
of  $A$  generated by  $a_1, \dots, a_n$

In Dedekind rings: divisibility for ( $\neq 0$ ) ideals satisfies (1):

$I | J \Leftrightarrow I \supset J$  (so, if  $\alpha \in A \setminus \{0\}$ , then:  $I | (\alpha) \Leftrightarrow \alpha \in I$ )

Prime ideals ( $\neq 0$ ) are then analogues of irreducible elements  
in UFD's: they satisfy

$$\underbrace{I | (\alpha\beta)}_{\alpha\beta \in I} \Rightarrow \underbrace{I | (\alpha)}_{\alpha \in I} \text{ or } \underbrace{I | (\beta)}_{\beta \in I}$$

Def.  $A =$  any ring. A prime ideal of  $A$  is an ideal  $I \subsetneq A$   
such that  $[ab \in I \Rightarrow a \in I \text{ or } b \in I]$  ( $a, b \in A$ )

the quotient ring  $A/I$  is an integral domain.

Notation:  $\text{Spec}(A) = \{P \mid P \subset A \text{ prime ideal}\}$

Note:  $(0) \in \text{Spec}(A) \Leftrightarrow A$  is a domain.

Prop. Let  $A =$  domain,  $0 \neq x \in A$ . (1)  $(x) \in \text{Spec}(A) \Rightarrow x$  irreducible.

(2)  $A$  UFD,  $x$  irreducible  $\Rightarrow (x) \in \text{Spec}(A)$ .

(3)  $A$  PID, " " " " " "

Pf.: (1)  $0 \neq x \neq$  irreducible  $\Rightarrow \left\{ \begin{array}{l} x \in A^* \Rightarrow (x) = A \\ x = ab, a, b \notin A^* \Rightarrow a, b \notin (x) \end{array} \right\} \Rightarrow (x) \notin \text{Spec}(A)$

(2) True by uniqueness of factorisation.

(3) The proof we gave for  $A =$  Euclidean only used Bézout's property.

Ex:  $K =$  field  $\Rightarrow \text{Spec}(K) = \{(0)\}$

$\text{Spec}(\mathbb{Z}) = \{(0)\} \cup \{(p) \mid p = \text{prime number}\}$

$\text{Spec}(K[X]) = \{(0)\} \cup \{(f) \mid f \in K[X] \setminus K \text{ irreducible, monic}\}$

Ex:  $A = \mathbb{Z}[i\sqrt{5}] = \{a + bi\sqrt{5} \mid a, b \in \mathbb{Z}\}$  is not a UFD:

$$21 = 3 \cdot 7 = 4^2 + 5 \cdot 1^2 = (4 + i\sqrt{5})(4 - i\sqrt{5})$$

$\forall \alpha \in A \quad N(\alpha) = \alpha\bar{\alpha} \neq 3, 7 \implies 3, 7, 4 \pm i\sqrt{5} \in A$  are irreducible;  
however,  $A/3A$  is not a domain ( $3 \mid 21, 3 \nmid 4 \pm i\sqrt{5}$ ).

Computing  $A/3A$ : write everything in terms of polynomials

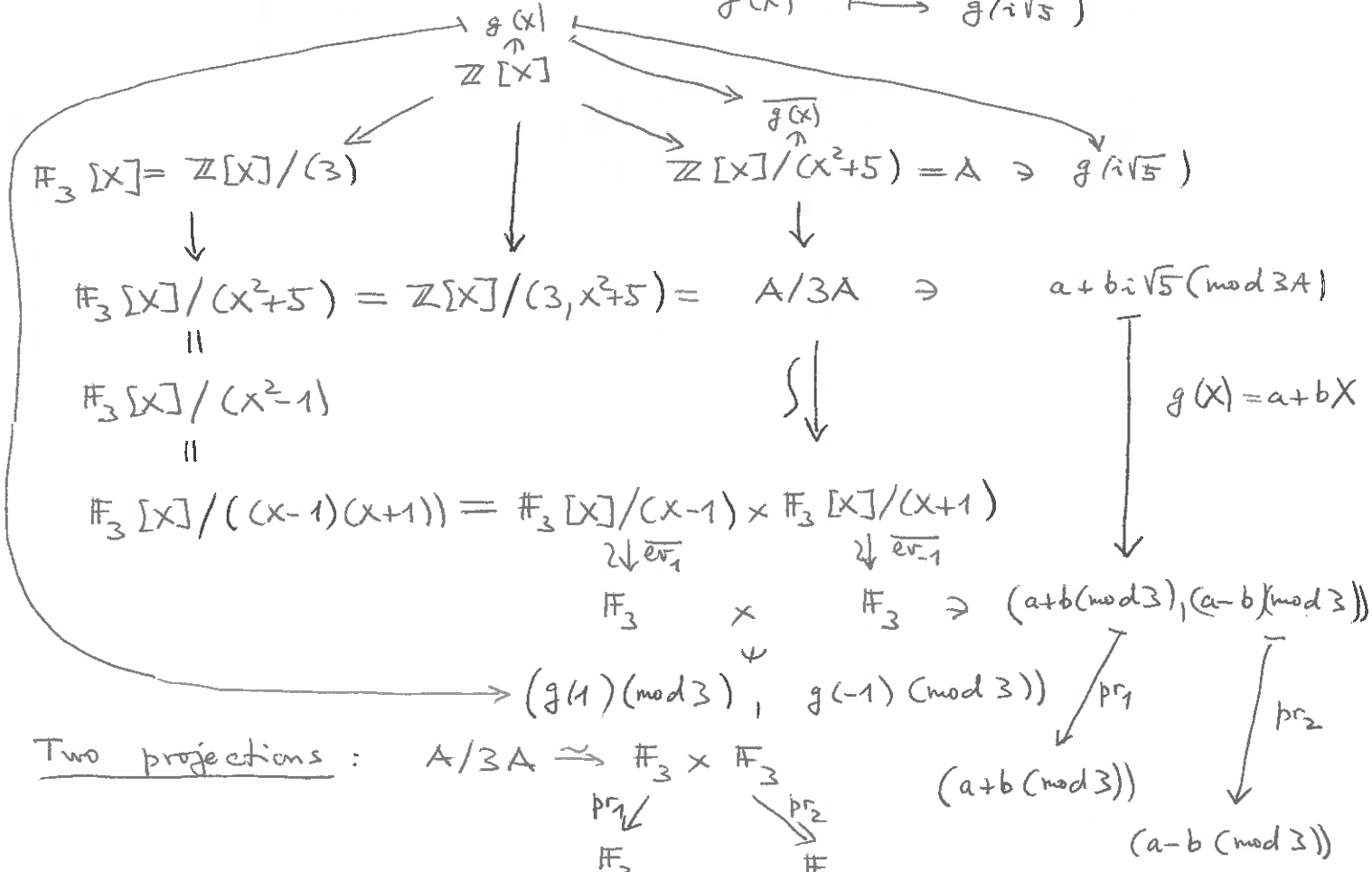
("Kronecker's programme")

ev <sub>$i\sqrt{5}$</sub> :  $\mathbb{Q}[X] \longrightarrow \mathbb{Q}(i\sqrt{5}) = K = \text{Frac}(A)$  induces  
 $g(X) \longmapsto g(i\sqrt{5})$

isomorphisms  $\text{ev}_{i\sqrt{5}}: \mathbb{Q}[X]/(\underbrace{X^2+5}) \xrightarrow{\cong} K$   
minimal polynomial of  $i\sqrt{5}$  over  $\mathbb{Q}$

$$\mathbb{Z}[X]/\underbrace{((X^2+5)\mathbb{Q}[X] \cap \mathbb{Z}[X])}_{(X^2+5)\mathbb{Z}[X]} = \mathbb{Z}[X]/(X^2+5) \xrightarrow{\cong} \mathbb{Z}[i\sqrt{5}] = A$$

$\downarrow$   
 $g(X) \longmapsto g(i\sqrt{5})$



Two projections:  $A/3A \cong \mathbb{F}_3 \times \mathbb{F}_3$

$\text{pr}_1 \searrow \quad \swarrow \text{pr}_2$   
 $\mathbb{F}_3 \quad \mathbb{F}_3$

(3)  $\subset \mathcal{P}_i = \text{Ker}(A \longrightarrow A/3A \xrightarrow{\text{pr}_i} \mathbb{F}_3) \subset A$  ideal,  $A/\mathcal{P}_i = \mathbb{F}_3$   
 $\mathcal{P}_i \in \text{Spec}(A)$ ,  $\mathcal{P}_1 = \{a + bi\sqrt{5} \mid a, b \in \mathbb{Z}, a + b \equiv 0 \pmod{3}\} = (3, i\sqrt{5} - 1)$

Product:  $\mathcal{P}_2 = \{ \text{---} \mid \text{---}, a - b \equiv 0 \pmod{3} \} = (3, i\sqrt{5} + 1)$

$\mathcal{P}_1 \mathcal{P}_2 = (9, 3i\sqrt{5} + 3, 3i\sqrt{5} - 3, -6) = (3, 3i\sqrt{5}) = 3A = (3)$

Similarly:  $x^2+5 \equiv (x+3)(x-3) \pmod{7 \mathbb{Z}[X]}$

$$A/(7) = \mathbb{Z}[X]/(x^2+5, 7) = \mathbb{F}_7[X]/((x+3)(x-3)) \xrightarrow{\sim} \mathbb{F}_7 \times \mathbb{F}_7$$

$$\mathbb{Q}_i = \text{Ker}(A \rightarrow A/7A \xrightarrow{\text{pr}_i} \mathbb{F}_7) \in \text{Spec}(A), \quad \overline{g(x)} \mapsto (g(3) \pmod{7}, g(-3) \pmod{7}), \quad A/\mathbb{Q}_i = \mathbb{F}_7$$

$$\mathbb{Q}_1 = (7, i\sqrt{5}+3), \quad \mathbb{Q}_2 = (7, i\sqrt{5}-3)$$

$$\begin{aligned} P_1 \mathbb{Q}_1 &= (3, i\sqrt{5}-1)(7, i\sqrt{5}+3) = (21, 3i\sqrt{5}+9, \underbrace{7i\sqrt{5}-7}_{2C} + \underbrace{2i\sqrt{5}-8}_{2C}) = \\ &= (21, 3i\sqrt{5}+9, 2i\sqrt{5}-8) \\ &= (21, i\sqrt{5}+17, \underbrace{2i\sqrt{5}-8}_{2C} - 2 \cdot 21) = (21, i\sqrt{5}+17) = (21, i\sqrt{5}-4) = (i\sqrt{5}-4) \\ &\qquad\qquad\qquad (-i\sqrt{5}-4)(i\sqrt{5}-4) \quad (4-i\sqrt{5}) \end{aligned}$$

$$P_2 \mathbb{Q}_2 = (4+i\sqrt{5})$$

Summary: the non-unique factorisation in  $\mathbb{Z}[i\sqrt{5}]$

$$21 = 3 \cdot 7 = (4+i\sqrt{5})(4-i\sqrt{5}) \quad (3, 7, 4 \pm i\sqrt{5} \text{ irreducible})$$

can be refined into

$$(3) = P_1 P_2, \quad (7) = \mathbb{Q}_1 \mathbb{Q}_2, \quad (4-i\sqrt{5}) = P_1 \mathbb{Q}_1, \quad (4+i\sqrt{5}) = P_2 \mathbb{Q}_2$$

$$(21) = P_1 P_2 \mathbb{Q}_1 \mathbb{Q}_2$$

The above example is a special case of the Kummer - Dedekind Theorem about decomposition (factorisation) of prime numbers into products of prime ideals in  $\mathcal{O}_L$ .

Prop.  $A = \text{ring}, I, J \subset A$  ideals,  $\mathcal{P} \in \text{Spec}(A)$ .

If  $\mathcal{P} \supset IJ \Rightarrow \mathcal{P} \supset I$  or  $\mathcal{P} \supset J$ .

(morally, " $\mathcal{P} \mid IJ \Rightarrow \mathcal{P} \mid I$  or  $\mathcal{P} \mid J$ "; this is literally true if  $A = \text{Dedekind ring}$ )

PR. If  $\mathcal{P} \not\supset I \exists a \in I, a \notin \mathcal{P}$ .

$$\forall b \in J \quad ab \in IJ \subset \mathcal{P} \begin{cases} \mathcal{P} \in \text{Spec}(A) \\ a \notin \mathcal{P} \end{cases} \implies b \in \mathcal{P}; \text{ therefore } J \subset \mathcal{P}.$$

## Prime ideals in geometry

$K = \text{field}$

$K[X_1, \dots, X_n] =$  regular functions (in the world of algebraic geometry) on the affine space  $A_K^n$  of  $\dim = n$  over  $K$

Any ideal  $I \subset K[X_1, \dots, X_n]$  defines an algebraic set  $Z \subset A_K^n$  given by the equations  $\forall f \in I \quad f = 0$ .

The quotient ring  $K[X_1, \dots, X_n]/I = \mathcal{O}(Z) =$  the ring of regular functions on  $Z$

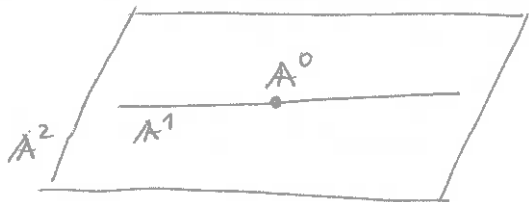
$I$  is a prime ideal of  $K[X_1, \dots, X_n] \iff Z \subset A_K^n$  is irreducible

Ex: prime ideals

$$(0) \subsetneq (X_1) \subsetneq (X_1, X_2) \subsetneq \dots \subsetneq (X_1, \dots, X_n)$$

correspond to

$$A_K^n \supset \underbrace{\left( \begin{array}{c} \text{hyperplane} \\ X_1 = 0 \end{array} \right)}_{A_K^{n-1}} \supset \underbrace{\left( \begin{array}{c} \text{subspace} \\ X_1 = X_2 = 0 \end{array} \right)}_{A_K^{n-2}} \supset \dots \supset \underbrace{\left( \begin{array}{c} \text{point} \\ X_1 = \dots = X_n = 0 \end{array} \right)}_{A_K^0}$$



Def. The Krull dimension of a ring  $A$  is

$$\dim(A) = \sup \{ r \geq 0 \mid \exists \mathcal{P}_0 \subsetneq \mathcal{P}_1 \subsetneq \dots \subsetneq \mathcal{P}_r, \mathcal{P}_i \in \text{Spec}(A) \} \in \{0, 1, 2, \dots, \infty\}$$

Ex:  $K$  field  $\implies \dim K[X_1, \dots, X_n] = n$  (easy if  $n \leq 2$ )

Maximal ideals: Def. An ideal  $I$  of  $A$  is maximal if  $I \neq A$  and if  $I \subset J \neq A$  is an ideal  $\implies J = I$ .

Notation:  $\text{Max}(A) = \{ \mathfrak{m} \subset A \mid \text{maximal ideal} \}$ .

Prop.  $I \in \text{Max}(A) \iff A/I$  is a field.

$$\text{PR: } \forall x \notin I \quad \underbrace{(x) + I = A}_{I \neq A} \iff \underbrace{A/I \neq 0}_{\forall \varphi \in A/I \quad (\varphi) = A/I} \iff \underbrace{A/I \neq 0}_{A/I - \text{top} = (A/I)^*}$$

Cor.  $\text{Max}(A) \subset \text{Spec}(A)$ .



Two statements from long time ago (left unproved)

Prop.  $\forall n \geq 1 \quad \mathbb{Z}[\xi_n] \cap \mathbb{Q} = \mathbb{Z} \quad (\xi_n = e^{2\pi i/n})$

Pf.  $\xi_n$  is a root of  $x^n - 1 \Rightarrow \xi_n \in \mathcal{O}_L, \quad L = \mathbb{Q}(\xi_n)$   
 $\Rightarrow \mathbb{Z}[\xi_n] \subset \mathcal{O}_L, \quad \mathbb{Z}[\xi_n] \cap \mathbb{Q} \subset \mathcal{O}_L \cap \mathbb{Q} = \mathcal{O}_{\mathbb{Q}} = \mathbb{Z}.$

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Prop.  $A = \text{PID} \Rightarrow A \text{ UFD}$

Pf. We know that  $A = \text{PID} \Rightarrow$  Euclid's Lemma holds in  $A$   
 $\Rightarrow$  uniqueness of factorisation. We must show

the existence of factorisation:  $\forall a \in A \setminus \{0\} \exists r \geq 0 \exists u \in A^* \exists x_1, \dots, x_r \in A$  irreducible  $a = ux_1 \dots x_r.$

If  $a \neq ux_1 \dots x_r$ , then  $\exists a_1, b_1 \in A \quad (a_1, b_1 \notin A^* \cup \{0\}) \quad a = a_1 b_1$   
(since  $a \notin A^* \cup \{0\}$  and  $a \neq$  irreducible)  $\Rightarrow (a) \subsetneq (a_1), (b_1).$

At least one of  $a_1, b_1$  (say,  $a_1$ ) cannot be written

as  $ux_1 \dots x_r \Rightarrow a_1 = a_2 b_2, \quad a_2, b_2 \notin A^* \cup \{0\}, \text{ etc.}$

$\Rightarrow (a) \subsetneq (a_1) \subsetneq (a_2) \subsetneq \dots$  - impossible, since

$A$  is a noetherian ring.

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## Dedekind rings

Def. A Dedekind ring is an integral domain  $A$  whose all fractional ideals are invertible,  $I(A) = I_{\text{inv}}(A)$  ( $\Leftrightarrow$  fractional ideals of  $A$  form an abelian group under multiplication).

The ideal class group of  $A$  is  $Cl(A) = I(A) / \underbrace{P(A)}_{\text{principal fractional ideals}}$   
 (  $Cl(A) = \text{Pic}(A)$  in this case ).

Ex: (1)  $A = K$  field,  $Cl(A) = 0$ .

(2)  $A = \text{PID}$ ,  $Cl(A) = 0$ .

(3)  $A = \mathcal{O}_K$  ( $[K:\mathbb{Q}] < \infty$ ) the ring of integers in a number field  $K$ ; we know that  $|Cl(\mathcal{O}_K)| < \infty$ .

Classical notation:  $cl_K = Cl(\mathcal{O}_K)$ ,  $h_K = |Cl(\mathcal{O}_K)|$  the class number of  $K$

Thm of Lagrange  $\Rightarrow \forall I \in Cl_K \quad I^{h_K} = (\alpha)$  is principal.

## Properties of Dedekind rings ( $A = \text{Dedekind ring}$ , $K = \text{Frac}(A)$ )

(1)  $I_1, I_2, J \in I(A)$ ,  $\underline{I_1 J = I_2 J} \Rightarrow \underline{I_1 J J^{-1} = I_2 J J^{-1}} \Rightarrow \underline{I_1 = I_2}$ .

(2)  $A$  is a noetherian ring (later: every ideal is generated by at most 2 elements)

Pf:  $\forall I \in I(A) \quad I I^{-1} = (1) = A \Rightarrow 1 = \sum_{i=1}^n \alpha_i \beta_i, \alpha_i \in I, \beta_i \in I^{-1}$   
 $\forall x \in I \quad x = \sum_{i=1}^n \alpha_i (x \beta_i) \in (\alpha_1, \dots, \alpha_n) = A\alpha_1 + \dots + A\alpha_n \subset I \Rightarrow I = (\alpha_1, \dots, \alpha_n)$ .

(3) Def. For  $(0) \neq I, J \subset A$  ideals, we say that  $I$  divides  $J$  ( $I|J$ ) if  $\exists$  ideal  $I_1 \subset A$  such that  $\underline{I I_1 = J}$ .

Prop.  $I|J \Leftrightarrow I \supset J$  Cor:  $\forall P \in \text{Spec}(A) \setminus \{(0)\} \quad P|IJ \Rightarrow P|I \text{ or } P|J$ .

Pf  $\Rightarrow I I_1 = J \Rightarrow J = I I_1 \subset I A = I$ .

$\Leftarrow I \supset J \Rightarrow A = I I^{-1} \supset J I^{-1} = I_1, I_1 I = J I^{-1} I = J A = J$ .

(4)  $\forall I_1 \subsetneq A$  ideal  $\exists m \in \text{Max}(A) \quad m \supset I_1$ :

if  $I_1 \neq \text{maximal} \quad \exists I_1 \subsetneq I_2 \subsetneq A$  ideal

if  $I_2 \neq \dots \quad \exists I_3 \subsetneq A$  ideal etc.

$A = \text{noetherian} \Rightarrow \exists n \quad I_n = \text{maximal}$ .



(5)  $\forall (0) \neq I \subset A$  ideal  $\exists r \geq 0 \exists m_i \in \text{Max}(A) \quad I = m_1 \cdots m_r$

Pf. If  $I = A \Rightarrow r = 0$ . If  $I \neq A \exists m_1 \in \text{Max}(A) \quad m_1 \supset I \stackrel{(3)}{\Rightarrow} m_1 | I, I = m_1 I_1$   
 $I = m_1 I_1 \stackrel{(1)}{\subsetneq} I_1 = A I_1$ . Repeat with  $I_1$ ; if  $I_1 \neq A$  get  $I_1 = m_2 I_2 \subsetneq I_2$ .  
 This must stop by (2)  $\Rightarrow$  get  $I = m_1 \cdots m_r I_r, I_r = A$ .

(6)  $\text{Spec}(A) = \{(0)\} \cup \text{Max}(A) \iff \dim(A) \leq 1$ ; of course,  $\dim(A) = 0$   
 $A = \text{field}$

Pf. If  $(0) \neq P \in \text{Spec}(A) \stackrel{(5)}{\Rightarrow} P = m_1 \cdots m_r, r \geq 1, m_i \in \text{Max}(A)$

(3) Cor  $\Rightarrow \exists i: P \supset m_i \Rightarrow P = m_i$ , by maximality.

(7) If  $(0) \neq I \subset A$  ideal,  $I = m_1 \cdots m_r = m'_1 \cdots m'_s, m_i, m'_j \in \text{Max}(A)$   
 $\Rightarrow r = s, m_i = m'_i$  (after renumbering the  $m'_j$ 's).

Pf. If  $r = 0 \Rightarrow I = A \Rightarrow s = 0$ . If  $r \geq 1: m_1 \supset I = m'_1 \cdots m'_s \Rightarrow \exists i: m_1 \supset m'_i$   
 After renumbering,  $m_1 \supset m'_1 \Rightarrow m_1 = m'_1 \Rightarrow m_2 \cdots m_r = m_1^{-1} I = m_1^{-1} m'_2 \cdots m'_s$  etc.

(8) Cor. of (5)-(7): non-zero ideals  $I \subset A$  have unique factorisation

$$I = \prod_P \mathfrak{p}^{\nu_P(I)}, \quad P \in \text{Spec}(A) \setminus \{(0)\} (= \text{Max}(A)), \quad \nu_P(I) \in \mathbb{N} = \mathbb{Z}_{\geq 0}$$

(all but finitely many  $\nu_P(I)$  are  $= 0$ ).

- $\Rightarrow$
- $I = J \iff \forall P \quad \nu_P(I) = \nu_P(J)$
  - $\nu_P(IJ) = \nu_P(I) + \nu_P(J)$  ( $(0) \neq I, J \subset A$  ideals)
  - $I | J \iff \forall P \quad \nu_P(I) \leq \nu_P(J)$
  - $I + J$  satisfies  $I + J \supset I, J$ ; if  $I_1 \supset I, J \Rightarrow I_1 \supset I + J$   
 $(I + J) | I, J \iff I_1 | I, J \iff I_1 | (I + J)$

$$\Rightarrow I + J = \text{gcd}(I, J) := \prod_P \min(\nu_P(I), \nu_P(J))$$

$$\bullet \quad I, J | \text{lcm}(I, J) := \prod_P \max(\nu_P(I), \nu_P(J)) \quad \text{and if}$$

$$I, J | I_2 \Rightarrow \text{lcm}(I, J) | I_2. \quad \text{Therefore } \text{lcm}(I, J) = I \cap J.$$

(9) Extension of (8) to fractional ideals:

for  $I \in \mathcal{I}(A), n \in \mathbb{Z}_{>0}$  let  $I^{-n} = (I^{-1})^n \quad (I^0 = (1) = A)$ .

Then:  $\forall I \in \mathcal{I}(A) \exists (0) \neq I_1, I_2 \subset A$  ideals

$$I = I_1 I_2^{-1} \quad (\text{even with } I_2 = (\beta) \text{ principal}), \quad I_j = \prod_P \mathfrak{p}^{\nu_P(I_j)}$$

$$\Rightarrow I = \prod_P \mathfrak{p}^{\nu_P(I)}$$

$\nu_P(I) = \nu_P(I_1) - \nu_P(I_2) \in \mathbb{Z}$   
depends only on  $I$ , not on  $I_1, I_2$   
 (all but finitely many  $\nu_P$  are  $= 0$ )

$$\nu_P(IJ) = \nu_P(I) + \nu_P(J)$$

(10) For  $I \in \mathcal{I}(A)$ ,  $\left[ I \subset A \Leftrightarrow \forall P \quad v_P(I) \geq 0 \right]$

Pf:  $(\Leftarrow)$  if all  $v_P(I) \geq 0 \Rightarrow I = \prod P^{v_P(I)} \subset \prod P = A \Rightarrow (P)$  + uniqueness of  $v_P(I)$

(11) Algebraic reformulation: ideals  $\leftrightarrow$  divisors

Def. A divisor of A is a formal finite sum  $D = \sum_P n_P P$ ,  
 $P \in \text{Spec}(A) \setminus \{(0)\} (= \text{Max}(A))$ ,  $n_P \in \mathbb{Z}$ , all but finitely many  $n_P$  are  $= 0$ .

$\text{Div}(A) = \{ \text{divisors of } A \} (= \bigoplus_P \mathbb{Z} \cdot P)$  is an abelian group under

$$\left( \sum n_P P \right) + \left( \sum n'_P P \right) = \sum (n_P + n'_P) P.$$

Prop. The maps

$$\begin{array}{ccc} \mathcal{I}(A) & \longrightarrow & \text{Div}(A) \\ \downarrow & & \downarrow \\ I & \longmapsto & \sum v_P(I) P \end{array}, \quad \begin{array}{ccc} \text{Div}(A) & \longrightarrow & \mathcal{I}(A) \\ \downarrow & & \downarrow \\ \sum n_P P & \longmapsto & \prod P^{n_P} \end{array}$$

are mutually inverse group morphisms  $\Rightarrow$  group isomorphisms.

Pf: Follows from  $v_P(IJ) = v_P(I) + v_P(J)$  and  $v_{P_1}(P_2) = \begin{cases} 1 & P_1 = P_2 \\ 0 & P_1 \neq P_2 \end{cases}$ .

(12) Discrete valuations of  $K = \text{Frac}(A)$  attached to  $\{P\}$ :

For each  $P \in \text{Spec}(A) \setminus \{(0)\} = \text{Max}(A)$  we obtain

$$v_P: K \longrightarrow \mathbb{Z} \cup \{+\infty\}$$

$$\alpha \longmapsto \begin{cases} +\infty & \text{if } \alpha = 0 \\ v_P(\alpha) & \text{if } \alpha \neq 0 \end{cases}$$

(so that  $\forall \alpha \in K^* \quad \alpha = \prod_P P^{v_P(\alpha)}$ ). Properties:

- $v_P(xy) = v_P(x) + v_P(y)$
- $v_P(x+y) \geq \min(v_P(x), v_P(y))$
- $v_P(K^*) = \mathbb{Z} \quad (\forall n \geq 0 \quad P^n \supsetneq P^{n+1} = P \cdot P^n \neq (0) \Rightarrow \exists \alpha \in P^n \setminus P^{n+1} \quad v_P(\alpha) = n = -v_P(\alpha^{-1}))$
- $\alpha \in A \stackrel{(10)}{\Leftrightarrow} \forall P \quad v_P(\alpha) \geq 0$ .

(13) A is integrally closed:

Pf: If  $\alpha = \frac{a}{b} \in K$ ,  $\alpha^n + a_1 \alpha^{n-1} + \dots + a_n = 0 \quad (a_i, b \in A) \Rightarrow$

$$a^n + a_1 a^{n-1} b + \dots + a_{n-1} a b^{n-1} + a_n b^n = 0. \text{ If } \alpha \notin A \Rightarrow \exists P \quad v_P(\alpha) < 0$$

$$\Rightarrow v_P(a) < v_P(b) \Rightarrow v_P(\text{---}) \geq (n-1)v_P(a) + v_P(b) > n v_P(a) = v_P(a^n)$$

contradiction.

(14)  $A$  (Dedekind ring) = UFD  $\Leftrightarrow A = \text{PID}$  ( $\Leftrightarrow \text{Cl}(A) = 0$ )

Pf:  $\Leftarrow$  known

$\Rightarrow$   $x \in A$  irreducible  $\stackrel{A \text{ UFD}}{\Rightarrow} (x) \in \text{Spec}(A) \setminus \{(0)\} = \text{Max}(A)$

$\forall P \in \text{Spec}(A) \setminus \{(0)\} \exists \exists a \in P, a = ux_1 \cdots x_r, u \in A^*, x_i \text{ irreducible}, r \geq 1$

$\Rightarrow P \supset (a) = (x_1) \cdots (x_r) \Rightarrow \exists i P \supset (x_i) \Rightarrow P = (x_i)$   
 $\uparrow$   
 $\text{Max}(A)$   
 principal

$0 \neq I \subset A$  ideal  $\Rightarrow I = \prod \underbrace{P_i^{n_i}}_{\text{principal}} \Rightarrow I$  principal.

Rmk: One can show that PID's are precisely UFD's of  $\dim \leq 1$ .

(15) The Chinese Remainder Theorem:

(a)  $\gcd(I, J) = (1) \Rightarrow I + J = (1) \Rightarrow A/IJ \cong A/I \times A/J$   
 $\Downarrow$  (induction on  $r$ )  $IJ = I \cap J$

(b)  $P_1, \dots, P_r \in \text{Spec}(A) \setminus \{(0)\}$  distinct  $\Rightarrow A/P_1^{n_1} \cdots P_r^{n_r} \cong A/P_1^{n_1} \times \cdots \times A/P_r^{n_r}$   
 $n_1, \dots, n_r \in \mathbb{Z}_{>0}$

(c)  $\iff \exists a \in A \forall i=1, \dots, r \underbrace{a \equiv a_i \pmod{P_i^{n_i}}}_{\nu_{P_i}(a - a_i) \geq n_i}$   
 $a_1, \dots, a_r \in A$

(16) Prop.  $P \in \text{Spec}(A) \setminus \{(0)\}, n \geq 1, a \in A$ . Then:

$a \pmod{P^n} \in (A/P^n)^* \iff \nu_P(a) = 0 \iff a \pmod{P} \in (A/P)^* \iff P \nmid (a)$

Pf:  $1 \in (a) + P^n \iff \gcd((a), P^n) = (1) \iff \nu_P(a) = 0$

(17) Approximation: let  $P_1, \dots, P_r \in \text{Spec}(A) \setminus \{(0)\}$  distinct,  $m_1, \dots, m_r \in \mathbb{Z}_{\geq 0}$

Prop: (a)  $\exists a \in A \forall i=1, \dots, r \nu_{P_i}(a) = m_i \iff a \in P_i^{m_i} \setminus P_i^{m_i+1}$

(b)  $\forall a_1, \dots, a_r \in K = \text{Frac}(A) \exists x \in K \forall i=1, \dots, r \nu_{P_i}(x - a_i) \geq m_i$

Pf: (a) Apply (15c) to  $n_i = 1 + m_i, a_i \in P_i^{m_i} \setminus P_i^{m_i+1}$

(b) By (a),  $\exists b \in A \forall i \nu_{P_i}(b) = 1$ . For  $N \gg 0, \forall i b^N a_i \in A$ .

Apply (15c) to  $b^N a_i, n_i = N + m_i \Rightarrow$  get  $a \in A, \nu_{P_i}(a - b^N a_i) \geq n_i$   
 for all  $i \Rightarrow$  take  $x = b^{-N} a$

(18) Prop.  $(0) \neq I \subset A$  ideal,  $0 \neq a \in I \Rightarrow \exists b \in I \quad (a, b) = I$   
 Pf.  $(a) \subset I$ ,  $I = P_1^{m_1} \dots P_r^{m_r} \mid (a) = P_1^{m'_1} \dots P_r^{m'_r} Q_1^{n_1} \dots Q_s^{n_s}$ ,  $\forall i=1, \dots, r \quad m'_i \geq m_i$   
 By (17a),  $\exists b \in A \quad \forall i=1, \dots, r \quad v_{P_i}(b) = m_i \quad (\Rightarrow I \mid (b) \Rightarrow b \in I)$   
 $\forall j=1, \dots, s \quad v_{Q_j}(b) = 0$   
 $\Rightarrow (a, b) = \text{gcd}(a, b) = P_1^{m_1} \dots P_r^{m_r} = I$ .

(19) Prop.  $P \in \text{Spec}(A) \setminus \{0\}$ ,  $a \in A$ ,  $r = v_P(a) \geq 1 \Rightarrow$  multiplication by  $a$  defines a morphism of  $A/P$ -vector spaces  $f: A/P \rightarrow P^r/P^{r+1}$   
 $x \pmod{P} \mapsto ax \pmod{P^{r+1}}$   
 which is an isomorphism.

Pf: If  $x \in A$ , then  $f(x \pmod{P}) = 0 \Leftrightarrow ax \in P^{r+1} \Leftrightarrow v_P(ax) \geq r+1 \Leftrightarrow v_P(x) \geq r+1$   
 therefore  $\text{Ker}(f) = 0$ ,  $f$  is injective.  
 $(a) + P^{r+1} = \text{gcd}(a, P^{r+1}) = P^{\min(v_P(a), r+1)} = P^r \Rightarrow \forall b \in P^r \exists x \in A$   
 $aA \quad ax \equiv b \pmod{P^{r+1}}$   
 $\Rightarrow f$  is surjective.

Exercise. let  $A = \text{Dedekind ring}$ ,  $K = \text{Frac}(A)$ ,  $P \in \text{Spec}(A) \setminus \{0\}$ .  
 (a)  $A_P = \{a \in K \mid v_P(a) \geq 0\}$  is a subring of  $K$  containing  $A$ .  
 (b)  $\mathfrak{m}_P = \{a \in K \mid v_P(a) > 0\}$  is an ideal of  $A_P$ .  
 (c) The canonical map  $\frac{A/P}{\text{field}} \rightarrow A_P/\mathfrak{m}_P$  is an isomorphism of rings.  
 (d)  $A_P^* = \{a \in K \mid v_P(a) = 0\}$   
 (e)  $I(A_P) = \{\pi^n A_P \mid n \in \mathbb{Z}\}$  (for any  $\pi \in K$ ,  $v_P(\pi) = 1$ )

[  $A_P$  (a generalisation of  $\mathbb{Z}_p = \{ \frac{a}{b} \mid a, b \in \mathbb{Z}, p \nmid b \}$  )  
 is the valuation ring of the discrete valuation  $v_P$  on  $K$ . ]

Question: If  $B = \text{domain}$ ,  $\dim(B) = 1$ ,  $B \neq \text{Dedekind}$ , is there unique factorisation for invertible ideals?  
 NO! Ex:  $B = \mathbb{Z}[i\sqrt{11}]$ ,  $I = (4, 1+i\sqrt{11})$ ,  $I^2 = (2)(4, 1+i\sqrt{11})$ ,  
 $I^3 = (2)(4) = (2)^3 \quad \exists! \mathfrak{m} \in \text{Max}(B) \quad \mathfrak{m} \supset I$   
 $\mathfrak{m} = (2, 1+i\sqrt{11})$ ,  $\mathfrak{m}$  not invertible  
 $E_{\mathbb{Q}(i\sqrt{11})}(\mathfrak{m}) = \mathbb{Z}\left[\frac{1+i\sqrt{11}}{2}\right] \neq B$

## Abstract characterisation of Dedekind rings

Fans of abstract algebra may appreciate the fact that Dedekind rings are characterised by the properties (2), (6) and (13).

We are not going to use this statement, though.

For the sake of completeness, we include the proof.

Thm. An integral domain  $A$  is a Dedekind ring



(1)  $A$  is noetherian; (2) every non-zero prime ideal of  $A$  is maximal ( $\iff \dim(A) \leq 1$ ); (3)  $A$  is integrally closed.

Pf. Lemma 1. If  $A$  satisfies (1), then every ideal  $(0) \neq I \subset A$  contains a product  $P_1 \cdots P_r$ , for some  $P_i \in \text{Spec}(A) \setminus \{0\}$  (not necessarily distinct). [Morally, " $I \mid P_1 \cdots P_r$ "].

Pf. Assume  $S = \{I \subset A \mid I \neq (0) \text{ ideal not containing any } P_1 \cdots P_r \neq \emptyset\}$ . Then  $S$  has a maximal element  $I$  (otherwise it would contain  $I_1 \subsetneq I_2 \subsetneq I_3 \subsetneq \dots$ , in contradiction to (1)). Clearly,  $I \neq A$ ,  $I \notin \text{Spec}(A) \implies \exists a, b \in A, a, b \notin I, ab \in I$ . The ideals  $I+(a) \subsetneq I, I+(b) \subsetneq I$  cannot lie in  $S \implies I+(a) \supset P_1 \cdots P_r, I+(b) \supset P'_1 \cdots P'_s$  ( $P_i, P'_j \in \text{Spec}(A) \setminus \{0\}$ )  $\implies I = (I+(a))(I+(b)) \supset P_1 \cdots P_r P'_1 \cdots P'_s$  contradiction.

Lemma 2. If  $A$  satisfies (1), (2) and if  $(0) \neq I \subsetneq A$  is an ideal, then  $I^{-1} \not\subset A$ .

Pf. Choose  $a \in I \setminus \{0\} \xrightarrow{\text{Lemma 1}} (a) \supset P_1 \cdots P_r, P_i \in \text{Spec}(A) \setminus \{0\}$ .

Can assume  $r$  is minimal ( $I \neq A \implies r \geq 1$ ).

$\exists P \in \text{Max}(A) \quad P \supset I \supset (a) \supset P_1 \cdots P_r \implies \exists i$  (say,  $i=1$ )  $P \supset P_i = P_1$ .

$\dim(A) \leq 1 \implies P = P_1$ . Minimality of  $r \implies \exists b \in P_2 \cdots P_r, b \notin (a)$ .

Then  $b/a \in K = \text{Frac}(A), b/a \notin A, (b/a)I \subset \frac{P_2 \cdots P_r I}{a} \subset \frac{P_1 \cdots P_r}{a} \subset A$

$\implies b/a \in I^{-1} \setminus A$ .

Lemma 3. If  $A$  satisfies (1), (2), (3), then  $\forall I \in \mathcal{I}(A) \quad II^{-1} = A$ .

Pf.  $J = II^{-1} \subset A$  is a non-zero ideal of  $A$ . Let  $\alpha \in J^{-1}$ ;

then  $\alpha II^{-1} \subset A, \forall \beta \in I^{-1} \quad \alpha \beta I \subset A \implies \alpha \beta \in I^{-1}$ , hence

$\alpha \in E_K(\underline{I^{-1}}) \implies \alpha \in K$  is integral over  $A \xrightarrow{(3)} \alpha \in A$ .

$A$ -module of finite type

Therefore  $J^{-1} \subset A \xrightarrow{\text{Lemma 2}} J = A$ .

## Back to number fields

Let  $[K:\mathbb{Q}] < \infty$ ; then  $\mathcal{O}_K$  is a Dedekind ring and  $(\mathcal{O}_K, +) \simeq \mathbb{Z}^n$ .

Prop. - Def. If  $0 \neq I \subset \mathcal{O}_K$  is an ideal, then its norm is  $N(I) = |\mathcal{O}_K/I| = (\mathcal{O}_K : I)$ .

- (1)  $N(I) < \infty$ .
- (2) If  $I = (\alpha)$ ,  $\alpha \in \mathcal{O}_K \setminus \{0\}$ , then  $N(I) = |N_{K/\mathbb{Q}}(\alpha)|$ .
- (3) If  $\mathcal{P} \in \text{Spec}(\mathcal{O}_K) \setminus \{0\}$ , then  $\mathcal{O}_K/\mathcal{P}$  is a finite field,  $k(\mathcal{P}) = \mathbb{F}_{\mathcal{P}}$ ,  $\mathcal{P} | (\mathfrak{p})$  and  $N(\mathcal{P}) = \mathfrak{p}^{f_{\mathcal{P}}}$ , where  $f_{\mathcal{P}} = [k(\mathcal{P}) : \mathbb{F}_{\mathfrak{p}}]$ .
- (4) If  $\text{gcd}(I, J) = (1) \Rightarrow N(IJ) = N(I)N(J)$ .
- (5)  $N(IJ) = N(I)N(J)$
- (6)  $N\left(\prod_{\mathcal{P}} \mathcal{P}^{n_{\mathcal{P}}}\right) = \prod_{\mathcal{P}} N(\mathcal{P})^{n_{\mathcal{P}}}$ .

Pr. (1)  $(\mathcal{O}_K, +) = \bigoplus_{i=1}^n \mathbb{Z}\alpha_i$ ;  $\exists 0 \neq \beta \in I \Rightarrow \bigoplus_{i=1}^n \mathbb{Z}\beta\alpha_i \subset I \subset \bigoplus_{i=1}^n \mathbb{Z}\alpha_i \Rightarrow I \simeq \mathbb{Z}^n$

(2)  $\alpha(\alpha_1, \dots, \alpha_n) = (\alpha_1, \dots, \alpha_n)M(\alpha)$ ,  $M(\alpha) \in M_n(\mathbb{Z})$   $\mathcal{O}_K$   $(\mathcal{O}_K : I) < \infty$   
 $\Rightarrow (\mathcal{O}_K : \alpha\mathcal{O}_K) = |\det(M(\alpha))|$ , but  $\det(M(\alpha)) = N_{K/\mathbb{Q}}(\alpha)$ .

(3)  $|k(\mathcal{P})| < \infty$  & (1)  $\Rightarrow \exists!$  prime number  $\mathfrak{p}$  such that  $k(\mathcal{P}) \supset \mathbb{F}_{\mathfrak{p}}$   
 $(\Leftrightarrow \mathfrak{p}\mathcal{O}_K \subset \mathcal{P} \Leftrightarrow \mathcal{P} | (\mathfrak{p}))$ ,  $|k(\mathcal{P})| = \mathfrak{p}^{[k(\mathcal{P}) : \mathbb{F}_{\mathfrak{p}}]}$

(4) The Chinese Remainder Theorem:  $\mathcal{O}_K/IJ \simeq \mathcal{O}_K/I \times \mathcal{O}_K/J$ .

(5) Writing  $I = \prod \mathcal{P}^{r_{\mathcal{P}(I)}}$  and  $J = \prod \mathcal{P}^{r_{\mathcal{P}(J)}}$ , (4)  $\Rightarrow$  it is enough to show that  $N(\mathcal{P}^r) = N(\mathcal{P})^r$  ( $\forall r \geq 1$ ). We have

$$\mathcal{O}_K \supset \mathcal{P} \supset \mathcal{P}^2 \supset \dots \supset \mathcal{P}^r \Rightarrow N(\mathcal{P}^r) = (\mathcal{O}_K : \mathcal{P}^r) = \prod_{i=1}^r (\mathcal{P}^{i-1} : \mathcal{P}^i).$$

Fix  $a_i \in \mathcal{P}^{i-1} \setminus \mathcal{P}^i$ ; we know that multiplication by  $a_i$

induces an isomorphism of  $k(\mathcal{P})$ -vector spaces

$$\mathcal{O}_K/\mathcal{P} \xrightarrow{\sim} \mathcal{P}^{i-1}/\mathcal{P}^i \Rightarrow (\mathcal{P}^{i-1} : \mathcal{P}^i) = (\mathcal{O}_K : \mathcal{P}) = N(\mathcal{P}) \Rightarrow N(\mathcal{P}^r) = N(\mathcal{P})^r$$

(6) Follows from (5).

Cor: The norm of any fractional ideal

$$N(IJ^{-1}) := N(I)N(J)^{-1} \in \mathbb{Q}_{>0} \text{ is well-defined.}$$

Moreover,  $N(I) = \underbrace{(\mathcal{O}_K : I)}_{\text{generalised index}}$ , for any  $I \in I(\mathcal{O}_K)$ .

Pr:  $I = J(\beta)^{-1}$ ,  $J \subset \mathcal{O}_K$ ,  $\beta \in \mathcal{O}_K \setminus \{0\} \Rightarrow N(I) = \frac{N(J)}{|N_{K/\mathbb{Q}}(\beta)|} = (\mathcal{O}_K : I)$   
 $\uparrow$   
 as in the case  $n=2$ .

Prop. (Minkowski's bound) let  $[K:\mathbb{Q}] = n$ ,  $K_{\mathbb{R}} = \mathbb{R}^r_1 \times \mathbb{C}^r_2$ .  
 Every ideal class  $C \in \mathcal{O}_K = \mathcal{O}(\mathcal{O}_K)$  contains an ideal  $I \subset \mathcal{O}_K$   
 such that  $N(I) \leq \underbrace{\left(\frac{4}{\pi}\right)^{r_2} \frac{n!}{n^n} |D_K|^{1/2}}_{\text{Minkowski's constant of } K}$ .

Pf. Let  $J \in C^{-1}$ ; we know that  $\exists \beta \in J \setminus \{0\}$  such that  
 $(J \in I(\mathcal{O}_K)) \quad |N_{K/\mathbb{Q}}(\beta)| \leq \left(\frac{4}{\pi}\right)^{r_2} \frac{n!}{n^n} |D_K|^{1/2} (O_K : J)$ .  
 The ideal  $I = (\beta)J^{-1} \subset JJ^{-1} = \mathcal{O}_K$  lies in  $(C^{-1})^{-1} = C$  and  
 satisfies  $N(I) = |N_{K/\mathbb{Q}}(\beta)| / N(J) \leq \left(\frac{4}{\pi}\right)^{r_2} \frac{n!}{n^n} |D_K|^{1/2} \frac{(O_K : J)}{N(J)} = 1$   
 (for example, we could have taken  $J \subset \mathcal{O}_K$ )

Exercise. Let  $[K:\mathbb{Q}] = n$ ,  $\mathcal{O}_K = \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_n$ ,  $\sigma_1 \dots \sigma_n: K \hookrightarrow \mathbb{C}$ ,  
 Write  $D_K$  as  $\det(N)^2$ , where  $N_{ij} = \sigma_i(\alpha_j)$  ( $1 \leq i, j \leq n$ ),  
 and show that  $\det(N) = \underbrace{\sum_{\tau \in A_n} \prod_{j=1}^n \sigma_{\tau(j)}(\beta_j)}_A - \underbrace{\sum_{\tau \in S_n \setminus A_n} \prod_{j=1}^n \sigma_{\tau(j)}(\beta_j)}_B$ ,  
 where  $A+B, AB \in \mathbb{Q}$ .  
 Deduce that  $A+B, AB \in \mathbb{Z}$  and  $D_K = (A+B)^2 - 4AB \equiv 0, 1 \pmod{4}$ .

Exercise. Let  $K, L \subset \mathbb{C}$  be subfields such that  $KL \subset \mathbb{C}$   
 (the smallest subfield containing both  $K$  and  $L$ ) satisfies  
 $[KL:\mathbb{Q}] = \underbrace{[K:\mathbb{Q}]}_m \underbrace{[L:\mathbb{Q}]}_n < \infty$ . Show that:

(1)  $\forall \alpha \in K \quad \forall \beta \in L \quad \text{Tr}_{KL/K}(\alpha\beta) = \alpha \text{Tr}_{L/\mathbb{Q}}(\beta)$ ,  $\text{Tr}_{KL/L}(\alpha\beta) = \text{Tr}_{K/\mathbb{Q}}(\alpha)\beta$ .

(2) If  $\left. \begin{array}{l} \sigma_K = \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_m \\ \sigma_L = \mathbb{Z}\beta_1 \oplus \dots \oplus \mathbb{Z}\beta_n \end{array} \right\} \Rightarrow KL = \mathbb{Q}\alpha_1\beta_1 \oplus \dots \oplus \mathbb{Q}\alpha_m\beta_n$

(3) If  $\alpha = \sum_{i,j} a_{ij} \alpha_i \beta_j \in \sigma_{KL}$  ( $a_{ij} \in \mathbb{Q}$ ), show, by considering  
 $\text{Tr}_{KL/L}(\alpha \alpha_i)$  (resp.  $\text{Tr}_{KL/K}(\alpha \beta_j)$ ) that  $D_K a_{ij} \in \mathbb{Z}$   
 (resp.  $D_L a_{ij} \in \mathbb{Z}$ ).

(4) Show that  $\mathcal{O}_K \mathcal{O}_L (= \bigoplus_{i,j} \mathbb{Z} \alpha_i \beta_j) \subset \sigma_{KL} \subset \frac{1}{d} \mathcal{O}_K \mathcal{O}_L (= \bigoplus_{i,j} \mathbb{Z} \frac{\alpha_i \beta_j}{d})$ ,  
 where  $d = \text{gcd}(D_K, D_L)$ . In particular,  $\mathcal{O}_{KL} = \mathcal{O}_K \mathcal{O}_L$  if  $d=1$ .

## Factorisation of primes

Recall: If  $K = \mathbb{Q}(i)$ , then  $\mathcal{O}_K = \mathbb{Z}[i]$  and a prime number  $p$  factors in the PID  $\mathbb{Z}[i]$  as follows:

- $p=2 \Rightarrow 2 = (-i)(1+i)^2$ ,  $\mathbb{Z}[i]/(2) \simeq \mathbb{F}_2[X]/(X^2)$   
 $i \leftrightarrow X+1$
- $p \equiv 3 \pmod{4} \Rightarrow p$  is irreducible in  $\mathbb{Z}[i] \simeq \mathbb{Z}[X]/(X^2+1)$  and  
 $i \leftrightarrow X$   
 $\mathbb{Z}[i]/(p) = \mathbb{Z}[X]/(p, X^2+1) = \mathbb{F}_p[X]/(X^2+1) \simeq \mathbb{F}_{p^2}$   
 $\left(\frac{-1}{p}\right) = -1 \Rightarrow$  irreducible in  $\mathbb{F}_p[X]$
- $p \equiv 1 \pmod{4} \Rightarrow p = a^2 + b^2 = \underbrace{(a+bi)}_{\neq} \underbrace{(a-bi)}_{\neq}$ ,  $a \pm bi \in \mathbb{Z}[i]$  irreducible  
 $a \pm bi \nmid a \mp bi$   
 $\mathbb{Z}[i]/(p) \simeq \mathbb{Z}[i]/(\pi) \times \mathbb{Z}[i]/(\bar{\pi}) = \mathbb{F}_p \times \mathbb{F}_p$

General case:  $K \supset \mathbb{Q}$ ,  $[K:\mathbb{Q}] = n < \infty$

$p$  prime number  $\Rightarrow (p) = p \mathcal{O}_K = \prod_{P|p} P^{e_P}$ ,  $e_P = \nu_p((p))$

$P|p \Leftrightarrow \underbrace{\mathcal{O}_K/P}_{k(P) = \text{the residue field of } P} \supset \mathbb{F}_p$   $f_P := [k(P) : \mathbb{F}_p]$

Def:  $e_P = \nu_p((p)) =$  the ramification index of  $P$  over  $p$   
 $P$  is ramified in  $K/\mathbb{Q}$  if  $e_P > 1$   
 $P$  is not ramified if  $\exists P|p$   $e_P > 1$

Thm:  $\sum_{P|p} e_P f_P = n = [K:\mathbb{Q}]$ ,  $N(P) = p^{f_P}$ .

Pf:  $N((p)) = N\left(\prod_{P|p} P^{e_P}\right) = \prod_{P|p} N(P)^{e_P} = \prod_{P|p} p^{e_P f_P} = p^{\left(\sum_{P|p} e_P f_P\right)}$   
 $|N_{K/\mathbb{Q}}(p)| = p^{[K:\mathbb{Q}]}$

Rmk.  $\exists$  relative version: if  $\mathbb{Q} \subset K \subset K'$ ,  $[K':\mathbb{Q}] < \infty$ ,  
 $P \in \text{Spec}(\mathcal{O}_K) \setminus \{0\}$ , then  
 $\mathcal{P} \mathcal{O}_{K'} = \prod_{P'|P} (P')^{e(P'/P)}$ ,  $[k(P') : k(P)] = f(P'/P)$ ,

$$\sum_{P'|P} e(P'/P) f(P'/P) = [K':K].$$

Chinese Remainder Thm:  $\mathcal{O}_{K'}/\mathcal{P} \mathcal{O}_{K'} \simeq \prod_{P'|P} \mathcal{O}_{K'}/\mathcal{P}'^{e(P'/P)}$



## Discriminant and ramification

Note: If  $\mathbb{K} \subset B$  are rings,  $B = \mathbb{K}b_1 \oplus \dots \oplus \mathbb{K}b_n$  and  $\mathbb{K} = \text{field}$ ,  
 $\forall x \in \text{Nil}(B)$  ( $\exists m \geq 1$   $x^m = 0$ )  $\Rightarrow \forall y \in B$   $(xy)^m = 0$   
 $\Rightarrow M(xy) \in M_n(\mathbb{K})$  is a nilpotent matrix ( $M(xy)^m = 0$ )  
 $\Rightarrow \text{Tr}_{B/\mathbb{K}}(xy) = \text{Tr} M(xy) = 0$ .

In other words,  $\text{Nil}(B) \neq 0 \Rightarrow T: B \times B \rightarrow \mathbb{K}$  is degenerate.  
 $x, y \mapsto \text{Tr}_{B/\mathbb{K}}(xy)$ .

Thm (Dedekind) A prime number  $p$  is ramified in  $K/\mathbb{Q} \Leftrightarrow p \mid D_K$ .

Pf.  $(\mathcal{O}_K)_+ = \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_n$ ,  $(\mathcal{O}_K/p\mathcal{O}_K)_+ = \mathbb{F}_p\bar{\alpha}_1 \oplus \dots \oplus \mathbb{F}_p\bar{\alpha}_n$

$D_K = D(\alpha_1, \dots, \alpha_n) \in \mathbb{Z}$ ,  $\bar{D}_K = D_K \pmod{p} = D(\bar{\alpha}_1, \dots, \bar{\alpha}_n) = D_{(\mathcal{O}_K/p\mathcal{O}_K)/\mathbb{F}_p} \in \mathbb{F}_p$ .

• If  $p$  is ramified in  $K/\mathbb{Q} \Rightarrow \mathcal{O}_K/p\mathcal{O}_K = \prod_{P|p} \mathcal{O}_K/P^{e_P}$ ,  $\exists P|p$   $e_P > 1$   
 $\Rightarrow \text{Nil}(\mathcal{O}_K/P^{e_P}) \supset P/P^{e_P} \neq 0 \Rightarrow D_{(\mathcal{O}_K/p\mathcal{O}_K)/\mathbb{F}_p} = 0 \Rightarrow p \mid D_K$ .

• If  $p$  is unramified in  $K/\mathbb{Q} \Rightarrow \mathcal{O}_K/p\mathcal{O}_K = \prod_{P|p} \mathcal{O}_K/P$   
 $k(P)/\mathbb{F}_p$  is separable  $\Rightarrow D_{k(P)/\mathbb{F}_p} \neq 0 \in \mathbb{F}_p$  finite field

$\Rightarrow D_{(\mathcal{O}_K/p\mathcal{O}_K)/\mathbb{F}_p} \neq 0 \in \mathbb{F}_p \Rightarrow p \nmid D_K$ .

Rmk.  $L$  field,  $L \subset B$  ring,  $\dim_L(B) < \infty \Rightarrow \text{Spec}(B) = \text{Max}(B) = \{m_1, \dots, m_r\}$ ,  $\text{Nil}(B) = m_1 \cap \dots \cap m_r \xrightarrow{\text{CRT}} B/\text{Nil}(B) \cong \prod_{i=1}^r B/m_i$   
 $\exists d \geq 1$   $\text{Nil}(B)^d = 0 \xrightarrow{\text{CRT}} B = B/\text{Nil}(B)^d \cong \prod_{i=1}^r B/m_i^d$   
 $B/m_i \cong \text{field } L_i, [L_i:L] < \infty$

It follows that:  $D_{B/L} \neq 0 \Leftrightarrow B \cong \prod_{i=1}^r L_i$ ,  $L_i/L$  separable field extension.

Cor. (Minkowski) If  $K \neq \mathbb{Q}$ , then  $\exists p$  ramified in  $K/\mathbb{Q}$ .

Thm (Kummer-Dedekind). Assume  $K = \mathbb{Q}(\alpha)$ ,  $\alpha \in \mathcal{O}_K$ ; then  $(\mathcal{O}_K: \mathbb{Z}[\alpha]) < \infty$ .

let  $f \in \mathbb{Z}[X]$  be the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ . If  $p \nmid (\mathcal{O}_K: \mathbb{Z}[\alpha])$ , then the factorisation of  $p\mathcal{O}_K = \prod_i P_i^{e_i}$  mirrors the factorisation of  $\bar{f} = f \pmod{p \mathbb{Z}[X]} = \prod_i \bar{f}_i^{e_i} \in \mathbb{F}_p[X]$ :  $\bar{f}_i \in \mathbb{F}_p[X]$  distinct, irreducible, monic,  $f_i = \deg(\bar{f}_i)$ ,  $P_i = (p, f_i(\alpha))$  for any  $g_i \in \mathbb{Z}[X]$  such that  $\bar{f}_i = g_i \pmod{p \mathbb{Z}[X]}$ .

Moreover,  $\mathcal{O}_K/P_i \cong \mathbb{F}_p[X]/(\bar{f}_i)$ ,  $N(P_i) = p^{f_i}$ .

Rmk. As  $\text{disc}(f) = D_{\mathbb{Z}[\alpha]/\mathbb{Z}} = D_K(\mathcal{O}_K: \mathbb{Z}[\alpha])^2$ , it follows that  $[p \nmid \text{disc}(f) \Rightarrow \text{disc}(\bar{f}) \neq 0 \in \mathbb{F}_p \Rightarrow \forall i$   $e_i = 1]$ , which is a special case of Dedekind's Thm above.

Pf. Lemma:  $X \subset Y$  abelian groups,  $p \nmid d = (Y:X) < \infty$ . Then the map  $\alpha: X/pX \rightarrow Y/pY$  is an isomorphism.

Pf:  $\text{Ker}(\alpha) = \text{Ker}(\alpha)[p]$ ,  $\text{Coker}(\alpha) = \text{Coker}(\alpha)[p]$ .  
 $(Y/pY)/\text{Im}(\alpha)$ .

Lagrange's Thm  $\Rightarrow d(Y/X) = 0 \Rightarrow dY \subset X$ .

$$\text{Ker}(\alpha) = (X \cap pY)/pX \subset pY/pX = (pY/pX)[d]$$

$$\text{Coker}(\alpha) = Y/(X+pY) = \text{quotient of } Y/X = (Y/X)[d].$$

$\mathbb{Z}$  any abelian group  $\Rightarrow \mathbb{Z}[p] \cap \mathbb{Z}[d] = \mathbb{Z}[\text{gcd}(p,d)] = \langle 0 \rangle$ , so if  $\mathbb{Z} = \mathbb{Z}[p] = \mathbb{Z}[d] \Rightarrow \mathbb{Z} = 0$ . This applies to  $\mathbb{Z} = \text{Ker}(\alpha), \text{Coker}(\alpha) \Rightarrow \alpha$  is an isomorphism.

Back to Thm:  $p \nmid (\mathcal{O}_K = \mathbb{Z}[\alpha]) \xrightarrow{\text{Lemma}} \mathbb{Z}[\alpha]/p\mathbb{Z}[\alpha] = \mathcal{O}_K/p\mathcal{O}_K$

As  $\mathbb{Z}[X]/(f) \cong \mathbb{Z}[\alpha]$ , we have  $\mathbb{Z}[X]/(f,p) = \mathbb{F}_p[X]/(\bar{f}) \cong$

$$\prod_i \mathbb{F}_p[X]/(\bar{g}_i^{e_i}) \Rightarrow \sum e_i f_i = \deg(f) = [K:\mathbb{Q}].$$

Moreover, if  $P_i = p\mathcal{O}_K + g_i(\alpha)\mathcal{O}_K$ , then

$$\mathcal{O}_K/P_i \xrightarrow{\text{Lemma}} \mathbb{Z}[\alpha]/(p\mathbb{Z}[\alpha] + g_i(\alpha)\mathbb{Z}[\alpha]) \cong \mathbb{Z}[X]/(p, f, g_i)$$

$$(f_i = \deg(\bar{g}_i)) \quad \mathbb{F}_p^{f_i} = \mathbb{F}_p[X]/(\bar{g}_i) = \mathbb{Z}[X]/(p, g_i)$$

$$\Rightarrow P_i \in \text{Spec}(\mathcal{O}_K) \setminus \langle 0 \rangle, \quad P_i | p, \quad N(P_i) = p^{f_i}.$$

$$\text{As } \prod_i P_i^{e_i} \subseteq \prod_i (p, g_i(\alpha))^{e_i} \subseteq (p, \prod_i g_i(\alpha))^{e_i} = (p, f(\alpha)) = p\mathcal{O}_K$$

$$\text{and } N(\prod_i P_i^{e_i}) = \prod_i p^{e_i f_i} = p^{[K:\mathbb{Q}]} = N(p\mathcal{O}_K) \Rightarrow \prod_i P_i^{e_i} = p\mathcal{O}_K.$$

It remains to check that  $P_i \neq P_j$  if  $i \neq j$ :

$$i \neq j \Rightarrow \underbrace{(\bar{g}_i) + (\bar{g}_j)}_{(\bar{g}_i, \bar{g}_j)} = (1) \text{ in } \mathbb{F}_p[X] \Rightarrow (p, g_i, g_j) = (1) \text{ in } \mathbb{Z}[X] \Rightarrow P_i + P_j = \mathcal{O}_K.$$

Exercise. What are the relative versions of the above theorems (for  $K \subset K'$ )?

Rmk. Hermite proved the following finiteness theorem:

There are only finitely many number fields  $K$  of a given degree  $[K:\mathbb{Q}] = n$  that are unramified over  $\mathbb{Q}$  outside a given finite set  $\{p_1, \dots, p_k\}$  of prime numbers ( $\Leftrightarrow |D_K| = p_1^{m_1} \dots p_k^{m_k}$  for some  $m_i \geq 0$ ).

Def. If  $[K:\mathbb{Q}] = n$  and if  $p$  is a prime number,  $(p) = p \mathcal{O}_K = \prod_{P|p} P^{e_P}$ ,  
we say that

$p$  is totally ramified in  $K/\mathbb{Q}$  if  $p \mathcal{O}_K = P^n \implies \mathcal{O}_K/P = \mathbb{F}_p$

$p$  is inert in  $K/\mathbb{Q}$  if  $p \mathcal{O}_K = P \implies \mathcal{O}_K/P = \mathbb{F}_{p^n}$

$p$  splits completely in  $K/\mathbb{Q}$  if  $p \mathcal{O}_K = P_1 \dots P_n$ ,  $P_i$  distinct  $\implies \mathcal{O}_K/P_i = \mathbb{F}_p$

Ex. If  $K = \mathbb{Q}(\alpha)$ ,  $\alpha \in \mathcal{O}_K$ , if the minimal polynomial  $f(X) = X^n + a_{n-1}X^{n-1} + \dots + a_0 \in \mathbb{Z}[X]$  of  $\alpha$  over  $\mathbb{Q}$  is an Eisenstein polynomial with respect to  $p$  ( $\forall i, p|a_i, p^2 \nmid a_0$ )  $\implies$  we know that

$p \nmid (0_K : \mathbb{Z}[\alpha]) \implies$  the Kummer - Dedekind Thm applies:

$$\bar{f} = X^n \in \mathbb{F}_p[X] \implies \bar{g}_1 = X, e_1 = n, \deg(\bar{g}_1) = 1,$$

$$(p) = P^n, P = (p, \alpha), \mathcal{O}_K/P = \mathbb{F}_p, e_p = n, f_p = 1$$

$\implies p$  is totally ramified in  $\mathbb{Q}(\alpha)/\mathbb{Q}$ .

Again, this admits a relative version for extensions  $L/K$ .

Normalised valuations on  $K$  ( $[K:\mathbb{Q}] < \infty$ )

Primes (= "places") of  $K$ : finite primes = non-zero prime ideals  $P \subset \mathcal{O}_K$  ( $P|p$  prime number)

infinite primes = embeddings  $\sigma: K \hookrightarrow \mathbb{C}$  modulo complex conjugation

(notation:  $\sigma|_{\infty}$ )  $r_1$  real primes  $\sigma_1, \dots, \sigma_{r_1}: K \hookrightarrow \mathbb{R}$  (notation:  $K_{\sigma} = \mathbb{R}$ )

$r_2$  complex primes  $\sigma_{r_1+1}, \dots, \sigma_{r_1+r_2}: K \hookrightarrow \mathbb{C}$  (notation:  $K_{\sigma} = \mathbb{C}$ )

Def: For  $\alpha \in K^*$  and a prime  $\sigma$  of  $K$  we define

$$\|\alpha\|_{\sigma} = \begin{cases} |\sigma(\alpha)| & [K_{\sigma} = \mathbb{R}] \\ N(P)^{-r_P(\alpha)} & \text{if } \sigma = P \end{cases}$$

Thm. (1)  $\forall$  prime number  $p$   $\prod_{P|p} \|\alpha\|_P = p^{-r_p(N_{K/\mathbb{Q}}(\alpha))} = \|N_{K/\mathbb{Q}}(\alpha)\|_p$

$\forall \alpha \in K^*$  (2)  $\prod_{\sigma|_{\infty}} \|\alpha\|_{\sigma} = |N_{K/\mathbb{Q}}(\alpha)| = \|N_{K/\mathbb{Q}}(\alpha)\|_{\infty}$

(3) (The product formula)  $\prod_{\sigma} \|\alpha\|_{\sigma} = \prod_{P \cup \infty} \|N_{K/\mathbb{Q}}(\alpha)\|_P = 1$

Pf: (1)  $N(\alpha) = |N_{K/\mathbb{Q}}(\alpha)| \implies r_p(N_{K/\mathbb{Q}}(\alpha)) = \sum r_P(\alpha) \underbrace{r_P(N(P))}_{f_P}$

$$(2) N_{K/\mathbb{Q}}(\alpha) = \prod_{j=1}^{r_1} \sigma_j(\alpha) \prod_{k=1}^{r_2} \sigma_{r_1+k}(\alpha) \overline{\sigma_{r_1+k}(\alpha)}$$

(3) Follows from (1), (2) and the product formula over  $\mathbb{Q}$ .

Exercise (S-units) For any subset  $S \subset \text{Spec}(\mathcal{O}_K) \setminus \{0\}$ , consider the subring  $\mathcal{O}_{K,S} = \{ \alpha \in K \mid \forall P \notin S \ v_P(\alpha) \geq 0 \} \subset K$ .

(Ex:  $\mathcal{O}_{K,\emptyset} = \mathcal{O}_K$ ;  $\mathcal{O}_{\mathbb{Q}, \{p_1, \dots, p_r\}} = \mathbb{Z} \left[ \frac{1}{p_1 \dots p_r} \right]$ ).

(1)  $\mathcal{O}_{K,S}$  is a Dedekind ring.

(2)  $\mathcal{Q}(\mathcal{O}_{K,S}) = \mathcal{Q}(\mathcal{O}_K) / \langle \text{the subgroup generated by the classes of all } P \in S \rangle$

(3) If  $|S| < \infty$ , then  $\mathcal{O}_{K,S}^* / \mathcal{O}_K^* \simeq \mathbb{Z}^{|S|}$ ,  $\mathcal{O}_{K,S}^* \simeq \mu(\mathcal{O}_K) \times \mathbb{Z}^{r_1+r_2-1+|S|}$ .

[Hint:  $\forall P \in S \ P^{h_K}$  is principal]. (Ex:  $\mathbb{Z} \left[ \frac{1}{p_1 \dots p_r} \right]^* = \{ \pm 1 \} \times p_1^{\mathbb{Z}} \times \dots \times p_r^{\mathbb{Z}}$ )

Prop.-Def. (Gauss's lemma for Dedekind rings)

Let  $A =$  Dedekind ring,  $K = \text{Frac}(A)$ . The content of  $f \in A[X] \setminus \{0\}$  is the ideal  $\text{ct}(f) \subset A$  generated by the coefficients of  $f$ .

(1)  $\text{ct}(fg) = \text{ct}(f)\text{ct}(g)$

(2) If  $f \in A[X]$ ,  $g, h \in K[X]$  are monic and  $f = gh \Rightarrow g, h \in A[X]$ .

Pr. (1) For each  $P \in \text{Spec}(A) \setminus \{0\}$ ,  $v_P(\text{ct}(f)) = n_P$  is given

by  $\text{ct}(f \in A_P[X]) = \pi^{n_P}$ , where  $A_P = \{ \alpha \in K \mid v_P(\alpha) \geq 0 \}$ ,  $v_P(\pi) = 1$  ( $\pi =$  unique irreducible element of  $A_P$ ). UFD

(2)  $\exists \alpha, \beta \in A \setminus \{0\}$ .  $\alpha g, \beta h \in A[X]$ . Then

$\text{ct}(f) = (\alpha)$ ,  $\alpha \in \text{ct}(\alpha g)$ ,  $\beta \in \text{ct}(\beta h)$  (since  $f, g, h$  are monic)

$\Rightarrow \left. \begin{array}{l} \text{ct}(\alpha g) \mid (\alpha), \text{ct}(\beta h) \mid (\beta) \\ \text{ct}(\alpha g)\text{ct}(\beta h) \stackrel{(1)}{=} \text{ct}(\alpha\beta gh) = (\alpha\beta) \end{array} \right\} \Rightarrow \begin{array}{l} \text{ct}(\alpha g) = (\alpha) \Rightarrow g \in A[X] \\ \text{ct}(\beta h) = (\beta) \Rightarrow h \in A[X]. \end{array}$

Exercise. Give an example (for example, for  $A = \mathbb{Z}[i\sqrt{5}]$ )

that (2) is false if we do not assume  $f, g, h$  to be monic.

Exercise: Every number field  $K$  has a finite extension  $L \supset K$  in which all ideals of  $\mathcal{O}_K$  become principal:  $\forall I \in \mathcal{I}(\mathcal{O}_K) \quad I\mathcal{O}_L = (\beta) \subset L$ .

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Exercise: let  $K \subseteq_{\mathbb{R}} L$  be number fields. Show that:  $(\mathcal{O}_L^* : \mathcal{O}_K^*) < \infty \iff$   
 $\iff K$  is totally real ( $r_2 = 0$  for  $K$ ) and  $L = K(\sqrt{a})$ , where  $a \in K$ ,  $\forall \sigma: K \hookrightarrow \mathbb{R} \quad \sigma(a) < 0$ .

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Exercise: (1) No prime number  $p$  is inert in  $\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$ .

(2) The polynomial  $f(x) = x^4 - 10x^2 + 1 = (x^2 - 5)^2 - 24 = (x^2 + 1)^2 - 12x^2 = (x^2 - 1)^2 - 8x^2$  is irreducible in  $\mathbb{Q}[X]$ , but  $f \pmod{p}$  is reducible in  $\mathbb{F}_p[X]$ , for every prime number  $p$ .

(3) What is the relation between (1) and (2)?

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Exercise: (1) Show that the polynomial  $g(x) = x^3 - x + 2$  is irreducible in  $\mathbb{Q}[X]$  and compute  $\text{disc}(g)$ .

(2) let  $K = \mathbb{Q}(\alpha)$ , where  $g(\alpha) = 0$ . Show that  $(2) = 2\mathcal{O}_K = \mathcal{P}^2\mathcal{Q}$ , where the prime ideals  $\mathcal{P}, \mathcal{Q}$  are equal, respectively, to  $\mathcal{P} = (\alpha + 1) = (\alpha - 1)$  and  $\mathcal{Q} = (\alpha)$ .

(3) Show that  $(3)$  is a prime ideal in  $\mathcal{O}_K$ .

(4) Show that  $h_K = 1$  [Hint: use Minkowski's bound].

(5) Give an example of an explicit unit  $u \in \mathcal{O}_K^* \setminus \{\pm 1\}$ .

(6) Is  $\mathcal{O}_K = \mathbb{Z}[\alpha]$ ?

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## P-adic numbers

Let  $[K:\mathbb{Q}] = n$ . For a prime number  $p$ ,

$$P^{\mathcal{O}_K} = \prod_{P|p} P^{e_P}, \quad k(P) = \mathcal{O}_K/P, \quad [k(P):\mathbb{F}_p] = f_P, \quad \sum_{P|p} e_P f_P = n$$

The Chinese Remainder Theorem  $\Rightarrow$

$$\forall r \geq 1 \quad \mathcal{O}_K/P^r \mathcal{O}_K \cong \prod_{P|p} \mathcal{O}_K/P^{r e_P}$$

$$\Rightarrow \underbrace{\varprojlim_r \mathcal{O}_K/P^r \mathcal{O}_K}_{\mathbb{Z}_p \alpha_1 \oplus \dots \oplus \mathbb{Z}_p \alpha_n} \cong \prod_{P|p} \left( \varprojlim_r \mathcal{O}_K/P^{r e_P} \right) = \prod_{P|p} \underbrace{\left( \varprojlim_t \mathcal{O}_K/P^t \right)}_{\widehat{\mathcal{O}}_{K,P}}$$

if  $\mathcal{O}_K = \mathbb{Z} \alpha_1 \oplus \dots \oplus \mathbb{Z} \alpha_n$ .

the P-adic integers

For each  $P|p$ ,  $\widehat{\mathcal{O}}_{K,P}$  is an integral domain, with additive group of the form  $(\widehat{\mathcal{O}}_{K,P}, +) \cong \mathbb{Z}_p \beta_1 \oplus \dots \oplus \mathbb{Z}_p \beta_{n_P}$ ,  $n_P = e_P f_P$ .

Moreover,  $v_P: \widehat{\mathcal{O}}_{K,P} \longrightarrow \mathbb{N} \cup \{+\infty\}$   
 $0 \longmapsto +\infty$

$$(a_t)_{t \geq 1} \longmapsto \min \{ t \geq 1 \mid a_t \not\equiv 0 \pmod{P^t} \} - 1$$

$a_t \in \mathcal{O}_K, a_{t+1} \equiv a_t \pmod{P^t}$

is a discrete valuation,  $\{ a \in \widehat{\mathcal{O}}_{K,P} \mid v_P(a) > 0 \} = P \widehat{\mathcal{O}}_{K,P}$

is the unique non-zero prime ideal of  $\widehat{\mathcal{O}}_{K,P}$  and

$$\widehat{\mathcal{O}}_{K,P} / (P \widehat{\mathcal{O}}_{K,P})^t \cong \mathcal{O}_K/P^t \quad \text{for all } t \geq 1.$$

The fraction field  $K_P = \text{Frac}(\widehat{\mathcal{O}}_{K,P}) = \widehat{\mathcal{O}}_{K,P} [1/p]$  is

the field of P-adic numbers,  $[K_P:\mathbb{Q}_p] = n_P = e_P f_P$ .

The Chinese Remainder theorem above can be written as

$$\mathcal{O}_K \otimes_{\mathbb{Z}} \mathbb{Z}_p \cong \prod_{P|p} \widehat{\mathcal{O}}_{K,P}, \quad \text{which implies that}$$

$$K \otimes_{\mathbb{Q}} \mathbb{Q}_p \cong \prod_{P|p} K_P.$$

Many questions involving ramification can be solved purely locally, in terms of  $K_P$ .

Terminology:  $K_P$  is an example of a non-archimedean local field.

## The different (Dedekind)

Recall: if  $[K:\mathbb{Q}] = n$ , the trace form  $T: K \times K \rightarrow \mathbb{Q}$   
 is  $\mathbb{Q}$ -bilinear, symmetric, non-degenerate.  $(\alpha, \beta) \mapsto \text{Tr}_{K/\mathbb{Q}}(\alpha\beta)$

If  $(\mathcal{O}_K, +) = \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_n$ , then  $D_K = \det((T(\alpha_i, \alpha_j))_{1 \leq i, j \leq n})$ .

Def. The different of  $K$  is the ideal  $\mathfrak{D}_K \subset \mathcal{O}_K$  whose inverse is given by

$$\mathfrak{D}_K^{-1} = \{x \in K \mid \text{Tr}_{K/\mathbb{Q}}(x\mathcal{O}_K) \subset \mathbb{Z}\} \supset \mathcal{O}_K.$$

Properties: (1) If  $(\mathcal{O}_K, +) = \mathbb{Z}\alpha_1 \oplus \dots \oplus \mathbb{Z}\alpha_n$ , then  $\mathfrak{D}_K^{-1} = \mathbb{Z}\alpha_1^* \oplus \dots \oplus \mathbb{Z}\alpha_n^*$ , where  
 $T(\alpha_i, \alpha_j^*) = \delta_{ij}$  (the dual basis to  $\{\alpha_i\}$  with respect to  $T$  is given by  $\{\alpha_j^*\}$ ).

(2) Write  $\alpha_i = \sum_{j=1}^n M_{ij} \alpha_j^*$ ,  $M_{ij} \in \mathbb{Q}$ . Then:  $M_{ij} \in \mathbb{Z} \iff \mathfrak{D}_K^{-1} \supset \mathcal{O}_K$ ,  $T(\alpha_i, \alpha_j) = M_{ij}$ ,  
 $|D_K| = |\det((M_{ij}))| = (\mathfrak{D}_K^{-1} : \mathcal{O}_K) = (\mathcal{O}_K : \mathfrak{D}_K^{-1})^{-1} = N(\mathfrak{D}_K^{-1})^{-1} = N(\mathfrak{D}_K)$ .

(3)  $\forall I \subset K$  fractional  $\mathcal{O}_K$ -ideal  $I^* := \{x \in K \mid \text{Tr}_{K/\mathbb{Q}}(xI) \subset \mathbb{Z}\} = I^{-1} \mathfrak{D}_K^{-1}$ .

(4) If  $\mathcal{O}_K = \mathbb{Z}[\beta]$ , let  $f(x) = (x-\beta_1) \dots (x-\beta_n) \in \mathbb{Z}[X]$  be the minimal polynomial  
 of  $\beta$  over  $\mathbb{Q}$  ( $\beta_1 = \beta$ ). The elements  $\alpha_i = \beta^{i-1}$ ,  $\alpha_j' = \frac{\beta^{n-j}}{f'(\beta)}$  ( $1 \leq i, j \leq n$ ) satisfy

$$\text{Tr}_{K/\mathbb{Q}}(\alpha_i, \alpha_j') = \begin{cases} \delta_{ij}, & \text{if } i \leq j \\ \in \mathbb{Z}, & \text{if } i > j \end{cases} \Rightarrow \mathfrak{D}_K^{-1} = \mathbb{Z}\alpha_1^* \oplus \dots \oplus \mathbb{Z}\alpha_n^* = \mathbb{Z}\alpha_1' \oplus \dots \oplus \mathbb{Z}\alpha_n' = \frac{1}{f'(\beta)} \mathbb{Z}[\beta]$$

$$\Rightarrow \mathfrak{D}_K = (f'(\beta)) \quad (\Rightarrow N(\mathfrak{D}_K) = |N_{K/\mathbb{Q}}(f'(\beta))| = |D_K|, \text{ as in (2)}).$$

Indeed, Lagrange's interpolation formula implies that

$$\forall k = 1, \dots, n \quad x^k/f(x) = \delta_{kn} + \sum_{i=1}^n \frac{\beta_i^k}{f'(\beta_i)} \frac{1}{x-\beta_i} \Rightarrow x^k = \delta_{kn} f(x) + \sum_{i=1}^n \frac{\beta_i^k}{f'(\beta_i)} \frac{f(x)}{x-\beta_i}$$

For  $k > n$ , the same formula holds with

$\delta_{kn}$  replaced by  $g_k(x) =$  the polynomial part

of  $x^k/f(x)$  ( $g_k \in \mathbb{Z}[X]$ ,  $\deg(g_k) = k-n$ )  $\Rightarrow \text{Tr}_{K/\mathbb{Q}}(\beta^{kn}/f'(\beta)) = g_k(0) \in \mathbb{Z}$ .

$$\text{Tr}_{K/\mathbb{Q}}(\beta^{kn}/f'(\beta)) = \sum_{i=1}^n \beta_i^{kn}/f'(\beta_i) = \delta_{kn}$$

(5) Dedekind: if  $\mathfrak{p}\mathcal{O}_K = \prod_{\mathfrak{P}|\mathfrak{p}} \mathfrak{P}^{e_{\mathfrak{P}}}$ , then  $\nu_{\mathfrak{P}}(\mathfrak{D}_K) \geq e_{\mathfrak{P}} - 1$ , with equality  $\iff \mathfrak{p}$  is ramified.

In particular,  $\mathfrak{p} \mid \mathfrak{D}_K \iff e_{\mathfrak{p}} > 1 \iff \mathfrak{p}$  is ramified in  $K/\mathbb{Q}$ .

(Hilbert gave an exact formula for  $\nu_{\mathfrak{P}}(\mathfrak{D}_K)$ ).

(6) The relative different of  $K \subset L$  ( $[L:\mathbb{Q}] < \infty$ ) is the ideal  
 $\mathfrak{D}_{L/K} \subset \mathcal{O}_L$  such that  $\mathfrak{D}_{L/K}^{-1} = \{x \in L \mid \text{Tr}_{L/K}(x\mathcal{O}_L) \subset \mathcal{O}_K\} \supset \mathcal{O}_L$ .

(7) Transitivity formula:  $K \subset L \subset M \Rightarrow \mathfrak{D}_{M/K} = \underbrace{i(\mathfrak{D}_{L/K})}_{\text{the ideal of } \mathcal{O}_M \text{ generated by } \mathfrak{D}_{L/K}} \mathfrak{D}_{M/L}$

(8) Again, if  $\mathfrak{P} \in \text{Max}(\mathcal{O}_K)$ ,  $\mathfrak{Q} \in \text{Max}(\mathcal{O}_L)$  and  $\mathfrak{Q} \mid \mathfrak{P}$ , then:

$$\mathfrak{Q} \mid \mathfrak{D}_{L/K} \iff e(\mathfrak{Q}|\mathfrak{P}) > 1 \iff \mathfrak{Q} \text{ is ramified in } L/K$$

$$(\mathfrak{p}\mathcal{O}_L = \prod_{\mathfrak{Q}|\mathfrak{p}} \mathfrak{Q}^{e(\mathfrak{Q}|\mathfrak{p})})$$

(9) Cor.:  $M/L$  is everywhere unramified

$$\iff |D_M| = |D_L|^{[M:L]}$$