

# Variations on the Knapsack Generator

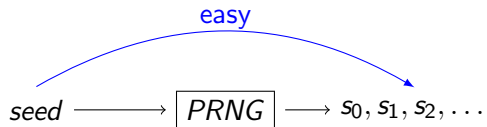
Florette Martinez

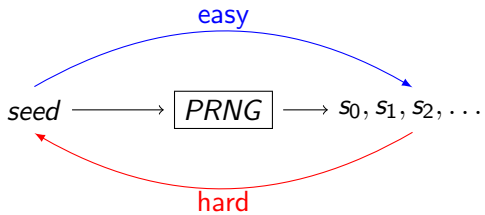
ENS-PSL

March 1st, at Journées NAC









- 1 Definition of the Knapsack Generator
- 2 Attacks on the Knapsack Generator

1 Definition of the Knapsack Generator

2 Attacks on the Knapsack Generator

## Optimization Problem



$\leq C$



$\omega_1, p_1$



$\omega_2, p_2$



$\omega_3, p_3$



$\omega_4, p_4$

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$\leq C$



$\omega_1, p_1$



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$\omega_3, p_3$



$\omega_4, p_4$

Goal: Finding bits  $u_i$

$$\sum_{i=1}^4 u_i \omega_i \leq C \text{ and } \sum_{i=1}^4 u_i p_i \text{ maximal}$$



# Subset Sum Problem (SSP)

Guessing Problem



$= C$



$\omega_1$



$\omega_2$



$\omega_3$



$\omega_4$

# Subset Sum Problem (SSP)

Guessing Problem



$= C$



$w_1$



$w_2$



$w_3$



$w_4$

Goal: Finding bits  $u_i$

$$\sum_{i=1}^4 u_i w_i = C$$

Parameters:

- an integer  $n$
- a vector of weights  $\omega = (\omega_0, \dots, \omega_{n-1})$
- a target  $C$
- a modulo  $M$

The goal is finding  $\mathbf{u}$  such that

$$\langle \mathbf{u}, \omega \rangle = C \pmod{M}$$

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The goal is finding  $\mathbf{u}$  such that

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The closer  $M$  is to  $2^n$ , the harder the problem is. For now  $M = 2^n$

# Knapsack Generator by Rueppel and Massey<sup>1</sup>



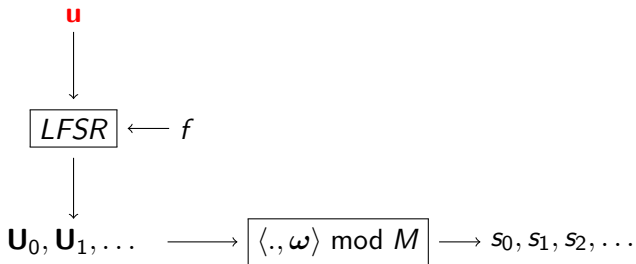
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$$\mathbf{u} \longrightarrow \langle \cdot, \boldsymbol{\omega} \rangle \bmod M \longrightarrow s_0, s_1, s_2, \dots$$

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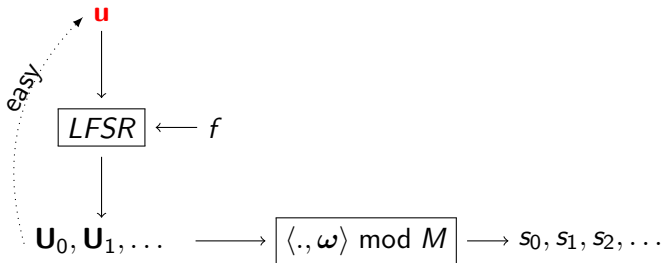
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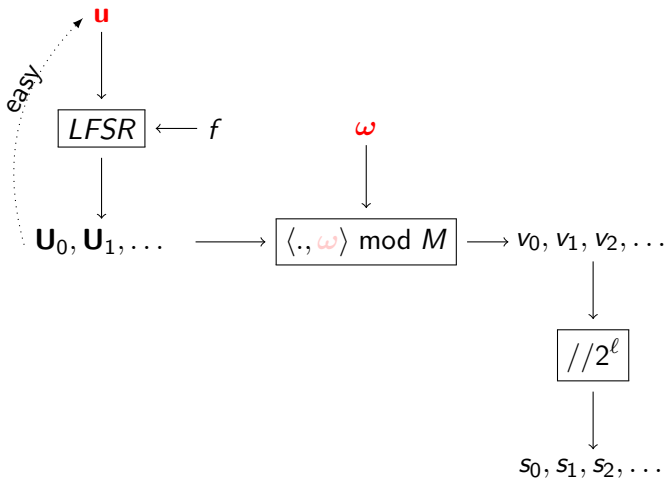




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<sup>1</sup>Rueppel, R.A., Massey, J.L.: Knapsack as a nonlinear function. In: IEEE Intern. Symp. of Inform. Theory, vol. 46 (1985)

# Formalization of the Knapsack Generator

Public	Secret
$n$ and $\ell \in \mathbb{N}$	$\mathbf{u} \in \{0, 1\}^n$
$f \in \mathbb{F}_2[X_1, \dots, X_n]$	$\omega \in \{0, \dots, 2^n - 1\}^n$

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Intermediate states	
$(u_i)_{i \geq n}$	$u_{n+i} = f(u_i, \dots, u_{n+i-1})$
$(\mathbf{U}_i)_{0, \dots, m-1}$	$\mathbf{U}_i = (u_i, \dots, u_{n+i-1})$

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$\mathbf{v} = (v_0, \dots, v_{m-1})$	$v_i = \langle \mathbf{U}_i, \omega \rangle \bmod M$

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$\mathbf{v} = (v_0, \dots, v_{m-1})$	$v_i = \langle \mathbf{U}_i, \omega \rangle \bmod M$
$\mathbf{s} = (s_0, \dots, s_{m-1})$	$s_i = v_i // 2^\ell$
$\delta = (\delta_0, \dots, \delta_{m-1})$	$v_i = 2^\ell s_i + \delta_i,  \delta _\infty \leq 2^\ell$

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- 2 Attacks on the Knapsack Generator





$n(1 + n)$  bits

=



$(u)$   
 $n$  bits

+



$(\omega)$   
 $n^2$  bits



$n(1 + n)$  bits

=



( $u$ )

+



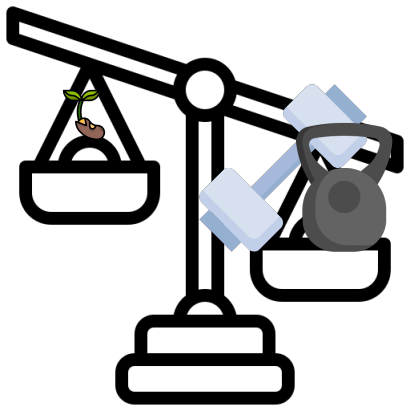
( $w$ )

$n$  bits

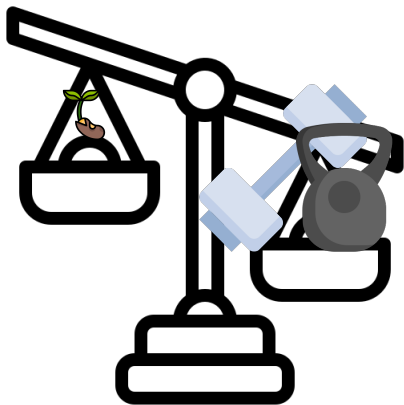
$n^2$  bits



SMALL



The secret is unbalanced.



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For a secret of  $\sim 1024$  bits, the seed ( $\mathbf{u}$ ) is only made of 32 bits.



ApproxWeights( $\mathbf{u}$ ,  $\mathbf{s}(\text{short})$ ):

???

Return( $\omega'$ )

Check Consistency ( $\mathbf{u}'$ ,  $\omega'$ ,  $\mathbf{s}(\text{long})$ ):

$\mathbf{s}' = PRNG(\mathbf{u}', \omega')$

Return Boolean( $\mathbf{s}'$  is close to  $\mathbf{s}$ )

ApproxWeights( $\mathbf{u}, \mathbf{s}(short)$ ):

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Check Consistency ( $\mathbf{u}', \omega', \mathbf{s}(long)$ ):

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Full Attack( $\mathbf{s}$ ):

For  $\mathbf{u}' \in \{0, 1\}^n$ :

$\omega' = \text{ApproxWeights}(\mathbf{u}', \mathbf{s}(short))$

If Check Consistency( $\mathbf{u}', \omega', \mathbf{s}(long)$ ) = True

Return ( $\mathbf{u}', \omega'$ )

End If

End For

- If  $\mathbf{v} = (v_0, \dots, v_{n-1})$ ,  $\|\mathbf{v}\|_\infty = \max_{i \in \{0, \dots, n-1\}} |v_i|$
- If  $M$  is a matrix,  $\|M\|_\infty = \max_{\|\mathbf{v}\|_\infty=1} \|\mathbf{v}M\|_\infty$

Hence

$$\|\mathbf{v}M\|_\infty \leq \|\mathbf{v}\|_\infty \|M\|_\infty$$



$$U = \begin{pmatrix} \mathbf{u}_0 \\ \mathbf{u}_1 \\ \dots \\ \mathbf{u}_{m-1} \end{pmatrix}$$

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$$\begin{aligned} \omega U &= \mathbf{v} \pmod{M} \\ &= 2^\ell \mathbf{s} + \delta \pmod{M} \end{aligned}$$

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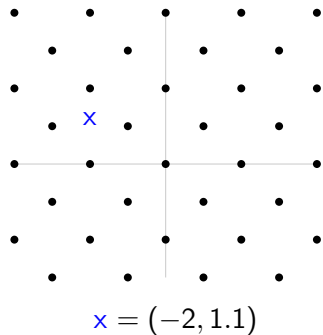
$$\omega - 2^\ell \mathbf{s} T = \delta T \bmod M$$

Goal : Construct small  $\hat{T}$  such that  $\|\delta \hat{T}\|_\infty < M$

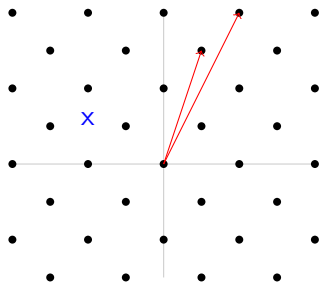
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## Lattice Interlude: CVP and Babai Rounding



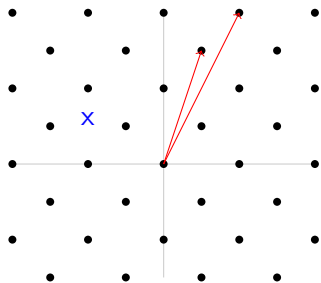
# Lattice Interlude: CVP and Babai Rounding



$$x = (-2, 1.1)$$

$$M = \begin{pmatrix} 1 & 3 \\ 2 & 4 \end{pmatrix} \text{ and}$$
$$\mathcal{L} = \{\alpha M \mid \alpha \in \mathbb{Z}^2\}$$

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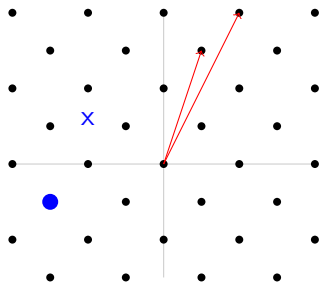
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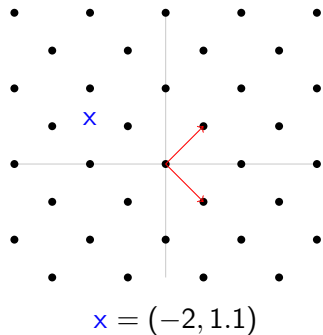
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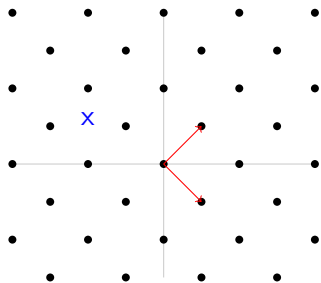
$$x' = \lfloor \beta \rfloor M = (-3, -1)$$

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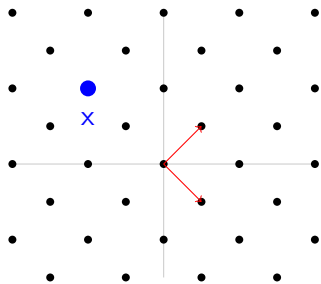


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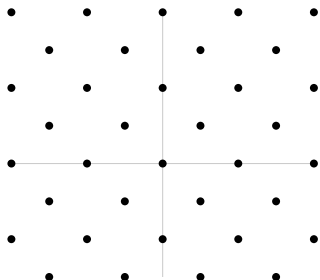
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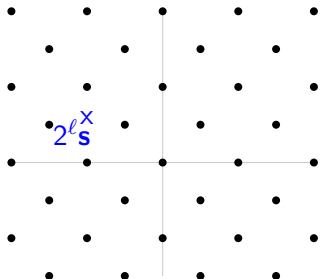
$$x' = \lfloor \beta \rfloor M = (-2, 2)$$

I have  $\mathbf{v} = \omega U \bmod M$



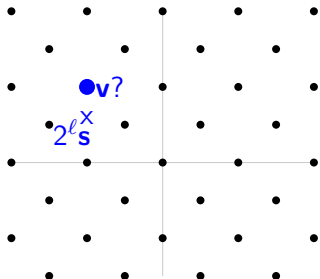
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I have  $\mathbf{v} = \omega U \bmod M$  and  $\mathbf{v} = 2^\ell \mathbf{s} + \delta$  with  $\delta$  small



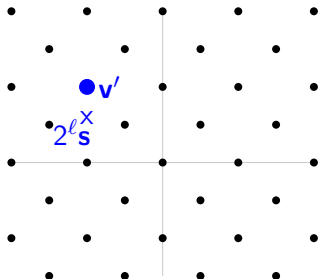
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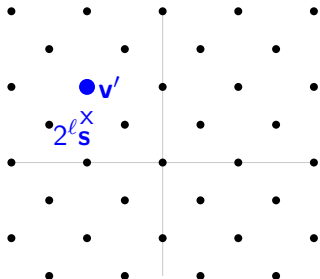


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Failed, this is not  $\mathbf{v}$ , we call it  $\mathbf{v}'$



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We compute  $\omega'$  as

$$\omega' U = \mathbf{v}' \bmod M$$

Why is  $\omega'$  close to  $\omega$  ?

## Why does it work ? First Explanation

$$(\omega - \omega')U = \mathbf{v} - \mathbf{v}' \pmod{M}$$

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$$(\omega - \omega')U = \mathbf{v} - \mathbf{v}' \pmod{M} \iff (\omega - \omega') = (\mathbf{v} - \mathbf{v}')\hat{T} \pmod{M}$$

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$$\begin{aligned}(\omega - \omega')U = \mathbf{v} - \mathbf{v}' \pmod{M} &\Leftrightarrow (\omega - \omega') = (\mathbf{v} - \mathbf{v}')\hat{T} \pmod{M} \\ &\Rightarrow \|\omega - \omega'\|_{\infty} \leq \|\hat{T}\|_{\infty} \|\mathbf{v} - \mathbf{v}'\|_{\infty}\end{aligned}$$

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In KW case:  $\|\boldsymbol{\omega} - 2^{\ell}\mathbf{s}\hat{T}\|_{\infty} \simeq \|\hat{T}\|_{\infty} \|\boldsymbol{\delta}\|_{\infty}$

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In KW case:  $\|\boldsymbol{\omega} - 2^{\ell}\mathbf{s}\hat{T}\|_{\infty} \simeq \|\hat{T}\|_{\infty}\|\boldsymbol{\delta}\|_{\infty}$

But in our case  $\|\boldsymbol{\omega} - \boldsymbol{\omega}'\|_{\infty} \ll \|\hat{T}\|_{\infty}\|\mathbf{v} - \mathbf{v}'\|_{\infty}$ , precisely

$$\|\boldsymbol{\omega} - \boldsymbol{\omega}'\|_{\infty} \leq \|\mathbf{v} - \mathbf{v}'\|_{\infty}$$

## Why does it work ? Second Explanation

I already have  $\|\mathbf{v} - \mathbf{v}'\|_\infty \leq 2^{\ell+1} \Leftrightarrow \|\boldsymbol{\omega} - \boldsymbol{\omega}'\|_\infty \leq \frac{2^{\ell+1}}{\|\mathbf{U}\|_\infty} \quad (1)$

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If I call  $\mathcal{L} = \{\alpha U \bmod M \mid \alpha \in \mathbb{Z}^n\}$ , then

$$(\mathbf{v} - \mathbf{v}') \in \mathcal{A} = \mathcal{L} \cap B_{m,\infty}(2^{\ell+1})$$

$$(\boldsymbol{\omega} - \boldsymbol{\omega}') \in \mathcal{B} = \mathbb{Z}^n \cap B_{n,\infty}\left(\frac{2^{\ell+1}}{\|U\|_\infty}\right)$$

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By (1),  $\mathcal{B} \times U \subseteq \mathcal{A}$  and I want  $\mathcal{A} \subseteq \mathcal{B} \times U$

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We will show that  $|\mathcal{B}| \geq |\mathcal{A}|$

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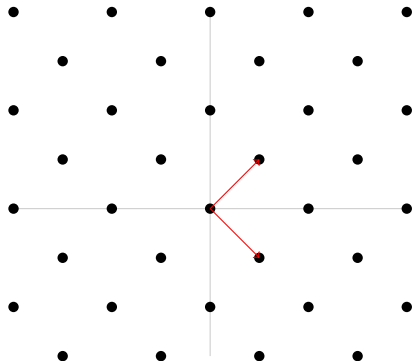
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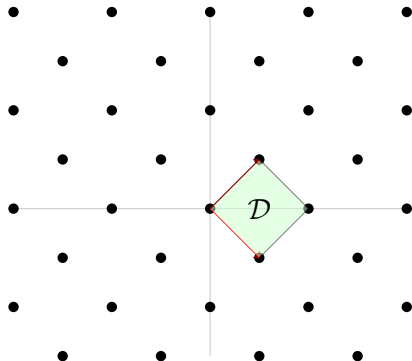
We will show that  $|\mathcal{B}| \geq |\mathcal{A}|$

$$|\mathcal{B}| = (2 \lfloor \frac{2^{\ell+1}}{\|U\|_\infty} \rfloor - 1)^n$$

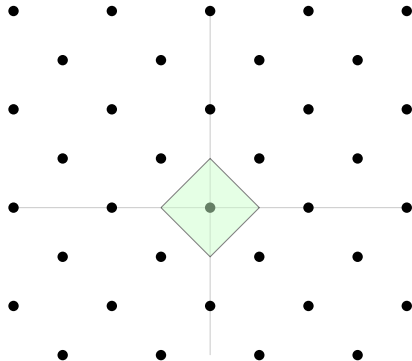
## Lattice Interlude n2: Fundamental domain



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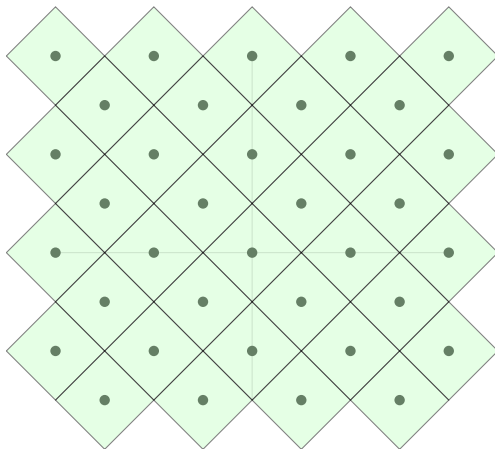


## Lattice Interlude n2: Fundamental domain

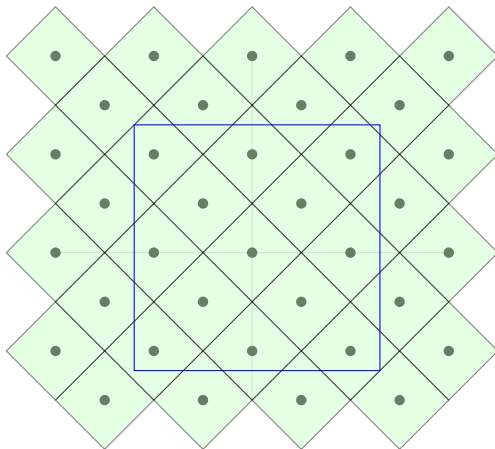




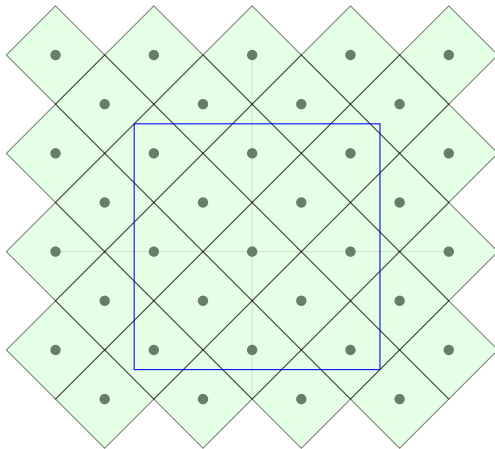
## Lattice Interlude n2: Fundamental domain



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## Lattice Interlude n2: Fundamental domain



$$\frac{\text{vol}(\text{rectangle})}{\text{vol}(\mathcal{D})} = 12.5 \sim 13$$

$$|\mathcal{B}| = (2^{\lfloor \frac{2^{\ell+1}}{\|U\|_{\infty}} \rfloor} - 1)^n$$

$$|\mathcal{A}| \simeq \frac{2^n(2^{\ell+1} - 1)^n}{2^{n-m}}$$

For  $n = 32$  and  $m = 40$  we obtain  $|\mathcal{B}| \geq |\mathcal{A}|$  for  $\ell \leq 14$ .

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$\ell$	5	10	15	20	25
$\log_2(\ \omega - 2^{\ell} \hat{T}\ _{\infty})$	9.9	14.9	19.8	24.7	<del>31</del>
$\log_2(\ \omega - \omega'\ _{\infty})$	3.6	8.7	13.6	18.7	<del>31</del>