## Efficient and secure modular operations using the Polynomial Modular Number System (Part 1)

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## Introduction

About the PMNS (Polynomial Modular Number System):

- Goal: Perform efficiently and safely modular arithmetic operations on big integers.
- Main feature: Uses polynomial representation for its elements.

Motivations:

- Construction of PMNS for any (prime) integer.
- Study the efficiency of these PMNS.
- Use PMNS as tool against (some) side channel attacks.


## Plan

(1) The Polynomial modular number system (PMNS)

- Definitions and example
- Arithmetic operations in the PMNS
(2) Randomisation with the PMNS
- The external randomisation
- The internal randomisation
(3) Internal randomisation using the Montgomery-like method
- Randomisation of the conversion process
- Randomisation of the multiplication


## Definition: MNS (Modular Number System)

Let $p$ be an integer.

## Definition

A MNS for $p$ is defined by a tuple $\mathcal{B}=(p, n, \gamma, \rho)$ such that for every integer $0 \leqslant y<p$, there exists a polynomial $V(X)=v_{0}+v_{1} \cdot X+\cdots+v_{n-1} \cdot X^{n-1}$ which satisfies:

- $\left|v_{i}\right|<\rho$
- $y \equiv V(\gamma)(\bmod p)$
where $0<\gamma<p$ and $\rho \approx \sqrt[n]{p}$


## Example of MNS

| 0 | 1 | 2 | 3 | 4 |
| :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | $-X^{2}$ | $1-X^{2}$ | $-1+X+X^{2}$ |


| 5 | 6 | 7 | 8 | 9 | 10 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $X+X^{2}$ | $-1+X$ | $X$ | $1+X$ | $-X-1$ | $-X$ |


| 11 | 12 | 13 | 14 | 15 | 16 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $-X+1$ | $-X-X^{2}$ | $1-X-X^{2}$ | $-1+X^{2}$ | $X^{2}$ | -1 |

Table: The elements of $\mathbb{Z} / 17 \mathbb{Z}$ in $\mathcal{B}=(p, n, \gamma, \rho)=(17,3,7,2)$.

## Arithmetic operations

Main operations:

- Addition: a simple polynomial addition.

But, result infinity norm can be greater than $\rho$.

- Multiplication: a simple polynomial multiplication. But, result infinity norm can be greater than $\rho$ (1) and result degree can be greater than $n-1$. (2)
- In case 1, an internal reduction must be done.
- In case 2 , an external reduction must be done.


## The Polynomial Modular Number Systems (PMNS)

Introduced to perform the internal and external reductions efficiently.

Let $p$ be an integer.

## Definition

A PMNS for $p$ is defined by a tuple $\mathcal{B}=(p, n, \gamma, \rho, E)$ such that:

- $(p, n, \gamma, \rho)$ is a MNS,
- $E$ is a monic polynomial such that:
- $\operatorname{deg}(E)=n$,
- $E(\gamma) \equiv 0(\bmod p)$,
- $\|E\|_{\infty}$ is small.


## Arithmetic operation: the external reduction

Let $\mathcal{B}=(p, n, \gamma, \rho, E)$ be a PMNS and $A, B \in \mathcal{B}$.
Let $C=A . B$ be a polynomial, then $\operatorname{deg}(C)<2 n-1$.

Goal: Compute a polynomial $R$ such that: $R(\gamma) \equiv C(\gamma)(\bmod p)$ and $\operatorname{deg}(R)<n$.

## How it works

- There exists $Q \in \mathbb{Z}[X]$ and $R \in \mathbb{Z}[X]$ such that:
$C=Q . E+R$, where $\operatorname{deg}(R)<n$.
As $E(\gamma) \equiv 0(\bmod p), R(\gamma) \equiv C(\gamma)(\bmod p)$.
- External reduction: $R=C(\bmod E)$


## Arithmetic operation: the internal reduction

Let $\mathcal{B}=(p, n, \gamma, \rho, E)$ be a PMNS.
Let $C \in \mathbb{Z}[X]$ be a polynomial such that $\operatorname{deg}(C)<n$.
Goal: Compute a polynomial $R$ such that: $R(\gamma) \equiv C(\gamma)(\bmod p)$ and $R \in \mathcal{B}$.

Can be done in several ways.
When $p$ can't be chosen freely, the best proposal is a Montgomery-like method; (by C. Nègre and T. Plantard).

## The internal reduction: a Montgomery-like method

Let $\mathcal{B}=(p, n, \gamma, \rho, E)$ be a PMNS.
It requires two polynomials $M$ and $M^{\prime}$ such that: $M \in \mathcal{B}$, $M(\gamma) \equiv 0(\bmod p)$ and $M^{\prime}=-M^{-1} \bmod (E, \phi)$, with $\phi \in \mathbb{N} \backslash\{0\}$.

## Algorithm: RedCoeff

- 1: Input: a polynomial $V$, such that: $\operatorname{deg}(V)<n$
- 2: Ensure: $S(\gamma)=V(\gamma) \phi^{-1} \bmod p$
- 3: $Q \leftarrow V \times M^{\prime} \bmod (E, \phi)$
- 4: $T \leftarrow Q \times M \bmod E$
- 5: $S \leftarrow(V+T) / \phi$ \# exact divisions
- 6: return $S$

For optimal efficiency, $\phi$ should be taken as power of two.

## About the parameters $M$ and $M^{\prime}$

- The polynomial $M^{\prime}$ is such that $M^{\prime}=-M^{-1} \bmod (E, \phi)$, with $\phi \in \mathbb{N} \backslash\{0\}$. So, $M^{-1} \bmod (E, \phi)$ must exist.
- In 2012, Nadia El Mrabet and Nicolas Gama showed how to generate the polynomial $M$ such that $M^{-1} \bmod (E, \phi)$, with $E=X^{n}+1$ and $\phi$ as a power of two.
- Recently (in 2018), Laurent-Stephane Didier, Pascal Véron and Yssouf Dosso showed how to generate the polynomial $M$ such that $M^{-1} \bmod (E, \phi)$, with $E=X^{n}-\lambda(\lambda \in \mathbb{Z} \backslash\{0\})$ and $\phi$ as a power of two.


## Some advantages of the PMNS

- High parallelization capability, because elements are polynomials.
- No carry propagation to deal with, because elements coefficients are independent.
- There is no conditional branching.


## Additional works on PMNS

PMNS can be an interesting alternative to the usual number system. Example of ratios for cryptographic size integers (implementation in C without parallelization):

| $(p$ size, $n)$ | $(192,4)$ | $(224,4)$ | $(256,5)$ | $(384,7)$ | $(521,10)$ |
| :--- | :---: | :---: | :---: | :---: | :---: |
| ratio 1 | $\mathbf{0 . 8 6}$ | $\mathbf{0 . 5 7}$ | $\mathbf{0 . 9 8}$ | $\mathbf{0 . 9 8}$ | $\mathbf{0 . 9 5}$ |
| ratio 2 | 0.10 | 0.08 | 0.14 | 0.19 | 0.25 |
| ratio 3 | 0.21 | 0.16 | 0.30 | 0.43 | 0.56 |
| ratio 4 | 0.36 | 0.23 | 0.45 | 0.61 | 0.69 |

Table: Relative performances of PMNS vs GNU MP and OpenSSL, for modular multiplication
ratio 1: PMNS/OpenSSL Montgomery modular mult.
ratio 2: PMNS/OpenSSL default modular mult.
ratio 3: PMNS/GNU MP mult. + modular reduction.
ratio 4: PMNS/GNU MP mult. + modular reduction, using low level functions.

## Randomisation using the PMNS

Let $p>0$ be a (prime) integer.
Main idea: provide many distinct representations for each element in $\mathbb{Z} / p \mathbb{Z}$.

Two types of randomisation:

- The external randomisation: uses the existence of many PMNS for given an integer.
- The internal randomisation: uses the redundancy in the PMNS.


## The external randomisation

It is a randomisation from PMNS to PMNS.

We showed that it is always possible to generate many PMNS, given a prime $p$.

How it works:
(1) Generate a set $\Omega$ of PMNS for the required modulus.
(2) Each time a protocol using that modulus is executed, randomly select a PMNS in $\Omega$ to perform arithmetic operations.

We call this the external randomisation.

## The internal randomisation

It is a randomisation inside the PMNS.

## Goals:

- Randomise conversion process in the PMNS.
- Randomise the modular multiplication in the PMNS.

We call this the internal randomisation.

## General idea:

We introduce a parameter $z \in \mathbb{N}$.
Let $\mathcal{H}=\left\{Z \in \mathbb{Z}[X]\right.$, such that: $\operatorname{deg}(Z)<n$ and $\left.\|Z\|_{\infty} \leqslant z\right\}$. We have: $\# \mathcal{H}=(2 z+1)^{n}$.

We generate the PMNS $\mathcal{B}=(p, n, \gamma, \rho, E)$ such that:

- Given $x \in \mathbb{Z} / p \mathbb{Z}$, each element $Z_{i} \in \mathcal{H}$ allows to compute a representation $A_{i} \in \mathcal{B}$ of $x$.
- If $Z_{i} \neq Z_{j}$, then $A_{i} \neq A_{j}$.

So, each element in $\mathbb{Z} / p \mathbb{Z}$ has at least $\# \mathcal{H}$ distinct representations in $\mathcal{B}$.

## Requirements

Let $\mathcal{B}=(p, n, \gamma, \rho, E)$ be a PMNS and $A \in \mathcal{B}$.
For the internal randomisation to work, three requirements have to be met:

- The randomisation must not modify $A(\gamma)(\bmod p)$.
- Randomised operations should output result in $\mathcal{B}$.
- If $Z_{i} \neq Z_{j}$, then randomisations using $Z_{i}$ and $Z_{j}$ should output different representations; i.e: guarantee that there is no collision.


## Randomisation of the conversion process: the algorithm

For consistency, a conversion to Montgomery domain is done. We need to precompute representations $P_{i}(X)$ of $\left(\rho^{i} \phi^{2}\right)$ in $\mathcal{B}$.

## Algorithm: RandConv

- 1: Input: $a \in \mathbb{Z} / p \mathbb{Z}$
- 2: Ensure: $A \equiv(a . \phi)_{\mathcal{B}}$
- 3: $Z \leftarrow \operatorname{RandPoly}(z) \#$ randomly generate an element of $\mathcal{H}$
- 4: $t=\left(a_{n-1}, \ldots, a_{0}\right)_{\rho}$ \# radix- $\rho$ decomposition of a
- 5: $U \leftarrow \sum_{i=0}^{n-1} t_{i} P_{i}$
- 6: $V \leftarrow U+((\phi+1) Z \times M) \bmod E \# V(\gamma) \equiv U(\gamma)(\bmod p)$
- 7: $A \leftarrow \operatorname{RedCoeff}(V)$
- 8: return $A$


## Randomisation of the conversion process

Conditions on $\rho$ and $\phi$ for the three requirements to be met:

$$
\rho \geqslant 2 . n . s .\|M\|_{\infty} \cdot\left(1+z+\frac{z}{\phi}\right) \quad \text { and } \quad \phi \geqslant 2 . n . s . \rho
$$

Without randomisation, we need:

$$
\rho \geqslant 2 . n . s .\|M\|_{\infty} \quad \text { and } \quad \phi \geqslant 2 . n . s . \rho
$$

The factor $s$ is due to reductions modulo $E$. It can be easy computed once $E$ is known.

## Randomisation of the multiplication: the algorithm

One input is randomised so that all the operations are randomised too.

## Algorithm: RandMult

- 1: Input: $A \in \mathcal{B}$ and $B \in \mathcal{B}$
- 2: Ensure: $R(\gamma)=A(\gamma) B(\gamma) \phi^{-1} \bmod p$
- 3: $Z \leftarrow \operatorname{RandPoly}(z)$ \# randomly generate an element of $\mathcal{H}$
- 4: $J \leftarrow Z \times M \bmod E$
- 5: $B^{\prime} \leftarrow B+J$
- 6: $C \leftarrow\left(A \times B^{\prime}\right) \bmod E$
- 7: $Q \leftarrow\left(C \times M^{\prime}\right) \bmod (E, \phi)$
- 8: $R^{\prime} \leftarrow C+(Q \times M) \bmod E$
- 9: $R \leftarrow R^{\prime} / \phi+2 \times J$
- 10: return $R$


## Randomisation of the multiplication

Conditions on $\rho$ and $\phi$ for the three requirements to be met:

$$
\rho \geqslant 2 . n . s .\|M\|_{\infty} .(2 z+1) \quad \text { and } \quad \phi \geqslant 2 . n . s . \rho . \max \left(z, \frac{5}{4}\right)
$$

Allow to randomise both the conversion and the multiplication.
Remarks:

- Without randomisation, we need:

$$
\rho \geqslant 2 . n . s .\|M\|_{\infty} \quad \text { and } \quad \phi \geqslant 2 . n . s . \rho
$$

- For randomised conversion only, we need:

$$
\rho \geqslant 2 . n . s .\|M\|_{\infty} \cdot\left(1+z+\frac{z}{\phi}\right) \quad \text { and } \quad \phi \geqslant 2 . n . s . \rho
$$

## Cost evaluation: theoretical costs

In table below, we compare the non-randomised Montgomery-like modular multiplication to the randomised one.
We assume: $\phi=2^{j}, \rho=2^{w}, E(X)=X^{n}-\lambda$ with $\lambda= \pm 2^{u}$.

| Mult. Method | Montgomery-like |
| :--- | :---: |
| Polynomial Mult. | $n^{2} \mathcal{M}+\left(2 n^{2}-4 n+2\right) \mathcal{A}$ |
| External reduct. | $2(n-1) \mathcal{A}+(n-1) \mathcal{S}_{l}^{U}$ |
| Internal reduct. | $2 n^{2} \mathcal{M}+\left(3 n^{2}-n\right) \mathcal{A}+n \mathcal{S}_{r}^{j}$ |
| Total | $3 n^{2} \mathcal{M}+\left(5 n^{2}-3 n\right) \mathcal{A}+(n-1) \mathcal{S}_{l}^{u}+n \mathcal{S}_{r}^{j}$ |
| Mult. Method | Randomised Montgomery-like |
| Polynomial Mult. | $2 n^{2} \mathcal{M}+\left(3 n^{2}-4 n+2\right) \mathcal{A}+\mathcal{R}$ |
| External reduct. | $2(n-1) \mathcal{A}+(n-1) \mathcal{S}_{l}^{u}$ |
| Internal reduct. | $2 n^{2} \mathcal{M}+3 n^{2} \mathcal{A}+n\left(\mathcal{S}_{r}^{j}+\mathcal{S}_{l}^{1}\right)$ |
| Total | $4 n^{2} \mathcal{M}+\left(6 n^{2}-2 n\right) \mathcal{A}+(n-1) \mathcal{S}_{l}^{u}+n\left(\mathcal{S}_{l}^{1}+\mathcal{S}_{r}^{j}\right)+\mathcal{R}$ |

$\mathcal{M}$ and $\mathcal{A}$ respectively denote the multiplication and the sum of two w-bits integers. $\mathcal{R}$ is the cost of one call to the RandPoly function. $\mathcal{S}_{l}^{i}$ and $\mathcal{S}_{r}^{i}$ are respectively a left shift and a right shift of $i$ bits.

## Conclusion

We have shown that:

- For any (prime) integer, it is possible to generate many PMNS.
- The PMNS can be an interesting alternative to classical methods like Montgomery modular multiplication.
- The PMNS can be used to randomise modular operations.

Some perspectives:

- Implement PMNS using its high parallelization capability.
- For side channel attacks, make a deeper study to establish the relevance of these proposals with regard to existing countermeasures.


# Thank you for your attention. 

## Questions ?

## References

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