

Embeddings of spaces of the form $C(K)$

Mirna Džamonja

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Isometries and isomorphisms

Let X and Y be Banach spaces.

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The universality
setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_K, \leq l) &\leq \\ \text{univ}(\mathfrak{B}_K, \leq m) &\leq \\ \text{univ}(\mathcal{A}_K, \leq \theta) & \end{aligned}$$

Towards the
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Some simplifications

If \mathfrak{A} is a Boolean algebra, by $\text{St}(\mathfrak{A})$ we denote its Stone space.

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If \mathfrak{A} is a Boolean algebra, by $\text{St}(\mathfrak{A})$ we denote its Stone space. The size of \mathfrak{A} is the density of $C(\text{St}(\mathfrak{A}))$.

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Theorem

(Brecht-Koszmider, folklore)

(1) Every Banach space X of density κ isometrically embeds into one of the form $C(\text{St}(\mathfrak{A}))$, of the same density.

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Let $(\mathcal{A}_\kappa, \leq_e)$ denote the class of Boolean algebras of size κ with the embeddability relation.

Corollary

$$\text{univ}((\mathfrak{B}_\kappa, \leq_i)) \leq \text{univ}((\mathfrak{B}_\kappa, \leq_m)) \leq \text{univ}((\mathcal{A}_\kappa, \leq_e)).$$

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(1) (Banach 1930) $C[0, 1]$ is a universal separable Banach space.

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(6) (Kojman-Shelah 1994) $\text{univ}((\mathcal{A}_{\kappa}, \leq_e))$ is the same as the universality number of linear orders under embeddings, which is large as soon as GCH fails sufficiently.

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Conjecture

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$$\begin{aligned} \text{univ}(\mathfrak{B}_\kappa, \leq_i) &\leq \\ \text{univ}(\mathfrak{B}_\kappa, \leq_m) &\leq \\ \text{univ}(\mathcal{A}_\kappa, \leq_e) & \end{aligned}$$

Towards the
conjecture

Bounding from
below

Some new results

A natural place to start is density \aleph_1 and something like the Cohen model.

Embeddings of
spaces of the form
 $C(K)$

Mirna Džamonja

The universality
setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_{\kappa, \leq l}) &\leq \\ \text{univ}(\mathfrak{B}_{\kappa, \leq m}) &\leq \\ \text{univ}(\mathfrak{A}_{\kappa, \leq \theta}) &\leq \end{aligned}$$

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Fix a set $A = \{a_\alpha : \alpha < \omega_1\}$ of indices.

Definition

The forcing \mathbb{P} consists of Boolean algebras p generated by some finite subset w_p of A satisfying $p \cap A = w_p$.

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Lemma

- (1) \mathbb{P} adds a Boolean algebra generated by $\{a_\alpha : \alpha < \omega_1\}$ and
- (2) \mathbb{P} satisfies the ccc, in fact it has the property of Knaster (and more).

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Theorem

If \mathfrak{A} is the generic Boolean algebra, then there is no Banach space X in the ground model such that $C(\text{St}(\mathfrak{A}))$ isomorphically embeds into X in the extension by \mathbb{P} .

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Now of course we want to iterate in length ω_2 , every Boolean algebra of size \aleph_1 is in an intermediate model, bla *STOP* even if \mathfrak{A} is in an intermediate universe, we cannot say that $C(\text{St}(\mathfrak{A}))$ is, since new reals keep being added and hence so do new elements of $C(\text{St}(\mathfrak{A}))$.

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setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_{\aleph_1, \leq l}) &\leq \\ \text{univ}(\mathfrak{B}_{\aleph_1, \leq m}) &\leq \\ \text{univ}(\mathfrak{A}_{\aleph_1, \leq a}) &\leq \end{aligned}$$

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I know how to overcome this problem in two situations:

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(1) Let G be a generic for the iteration with finite supports of the forcing to add the generic Boolean algebra of size \aleph_1 by finite conditions over a model of GCH.

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Theorem

(1) Let G be a generic for the iteration with finite supports of the forcing to add the generic Boolean algebra of size \aleph_1 by finite conditions over a model of GCH. Then in $V[G]$ the universality number of the class of Banach spaces of density \aleph_1 under isometries is \aleph_2 .

The universality
setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_{\aleph_1}, \leq_j) &\leq \\ \text{univ}(\mathfrak{B}_{\aleph_1}, \leq_m) &\leq \\ \text{univ}(\mathcal{A}_{\aleph_1}, \leq_e) &\leq \end{aligned}$$

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(2) *Let $\lambda = \lambda^{<\lambda} > \aleph_0$.*

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setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_\kappa, \leq j) &\leq \\ \text{univ}(\mathfrak{B}_\kappa, \leq m) &\leq \\ \text{univ}(\mathcal{A}_\kappa, \leq \theta) & \end{aligned}$$

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(2) *Let $\lambda = \lambda^{<\lambda} > \aleph_0$. Let G be a generic for the iteration with $(< \lambda)$ supports of the forcing to add the generic Boolean algebra of size λ^+ by conditions of size $< \lambda$ over a model of GCH.*

The universality
setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_\kappa, \leq l) &\leq \\ \text{univ}(\mathfrak{B}_\kappa, \leq m) &\leq \\ \text{univ}(\mathcal{A}_\kappa, \leq e) & \end{aligned}$$

Towards the
conjecture

Bounding from
below

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- isometries and
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Theorem

(1) *Let G be a generic for the iteration with finite supports of the forcing to add the generic Boolean algebra of size \aleph_1 by finite conditions over a model of GCH. Then in $V[G]$ the universality number of the class of Banach spaces of density \aleph_1 under isometries is \aleph_2 .*

(2) *Let $\lambda = \lambda^{<\lambda} > \aleph_0$. Let G be a generic for the iteration with $(< \lambda)$ supports of the forcing to add the generic Boolean algebra of size λ^+ by conditions of size $< \lambda$ over a model of GCH. Then in $V[G]$ the universality number of the class of Banach spaces of density λ^+ under isomorphisms is λ^{++} .*

The universality
setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_K, \leq_l) &\leq \\ \text{univ}(\mathfrak{B}_K, \leq_m) &\leq \\ \text{univ}(\mathcal{A}_K, \leq_e) & \end{aligned}$$

Towards the
conjecture

Bounding from
below

Proof.

For (2), use the scenario attempted above, since no new reals are added.

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setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_\kappa, \leq l) &\leq \\ \text{univ}(\mathfrak{B}_\kappa, \leq m) &\leq \\ \text{univ}(\mathcal{A}_\kappa, \leq \theta) & \end{aligned}$$

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Proof.

For (2), use the scenario attempted above, since no new reals are added. Iterability needs to be checked: not well met but good enough.

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(1) For simplicity, prove that these is no universal.

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Suppose it is $C(\text{St}(\mathfrak{A}))$,

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For (2), use the scenario attempted above, since no new reals are added. Iterability needs to be checked: not well met but good enough.

(1) For simplicity, prove that these is no universal.

Suppose it is $C(\text{St}(\mathfrak{A}))$, say in the ground model. Let p^* force \dot{T} to be an isometric embedding from the first generic algebra to $C(\text{St}(\mathfrak{A}))$.

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Suppose it is $C(\text{St}(\mathfrak{A}))$, say in the ground model. Let p^* force \dot{T} to be an isometric embedding from the first generic algebra to $C(\text{St}(\mathfrak{A}))$. Let $p_i \geq p^*$ force that h_i is a simple rational function with rational coefficient with $\|\dot{T}(\chi_{[a_i]}) - h_i\| < 1/2$

The universality
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setting

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For (2), use the scenario attempted above, since no new reals are added. Iterability needs to be checked: not well met but good enough.

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Suppose it is $C(\text{St}(\mathcal{A}))$, say in the ground model. Let p^* force \dot{T} to be an isometric embedding from the first generic algebra to $C(\text{St}(\mathcal{A}))$. Let $p_i \geq p^*$ force that h_i is a simple rational function with rational coefficient with $\|\dot{T}(\chi_{[a_i]}) - h_i\| < 1/2$ (so $h_i \in V$). Do several Δ -system arguments,

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 setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_\kappa, \leq j) &\leq \\ \text{univ}(\mathfrak{B}_\kappa, \leq m) &\leq \\ \text{univ}(\mathfrak{A}_\kappa, \leq \theta) & \end{aligned}$$

Towards the
 conjecture

Bounding from
 below

Proof.

For (2), use the scenario attempted above, since no new reals are added. Iterability needs to be checked: not well met but good enough.

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Suppose it is $C(\text{St}(\mathcal{A}))$, say in the ground model. Let p^* force \dot{T} to be an isometric embedding from the first generic algebra to $C(\text{St}(\mathcal{A}))$. Let $p_i \geq p^*$ force that h_i is a simple rational function with rational coefficient with $\|\dot{T}(\chi_{[a_i]}) - h_i\| < 1/2$ (so $h_i \in V$). Do several Δ -system arguments, take “clean” $i \neq j$. If $\|h_i - h_j\| < 1$, show that there cannot be an extension of $p_i \cup p_j$ forcing a_i and a_j to be disjoint, contradiction. Similarly with $\|h_i - h_j\| \geq 1$. \square

The universality
 setting

$$\text{univ}(\mathfrak{B}_\kappa, \leq j) \leq \\
 \text{univ}(\mathfrak{B}_\kappa, \leq m) \leq \\
 \text{univ}(\mathcal{A}_\kappa, \leq \theta)$$

Towards the
 conjecture

Bounding from
 below

The morale is that the conjecture holds as far as the Cohen-like models are concerned, at least with density large enough.

The universality
setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_{\kappa, \leq l}) &\leq \\ \text{univ}(\mathfrak{B}_{\kappa, \leq m}) &\leq \\ \text{univ}(\mathcal{A}_{\kappa, \leq \theta}) &\leq \end{aligned}$$

Towards the
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Bounding from
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The next step is to verify the methods of invariants a'la Kojman-Shelah.

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Bounding from
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The next step is to verify the methods of invariants a'la Kojman-Shelah. This is the method that was used to prove the negative universality results for the class of linear orders

The universality
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The universality
setting

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Bounding from
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The next step is to verify the methods of invariants a'la Kojman-Shelah. This is the method that was used to prove the negative universality results for the class of linear orders and adapted by Shelah-Usvyatsov to Banach spaces with isometries.

Why isometries? Because they know how to model them with a model theoretic structure.

The universality
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Towards the
conjecture

Bounding from
below

$$\begin{aligned} \text{univ}(\mathfrak{B}_{\kappa, \leq i}) &\leq \\ \text{univ}(\mathfrak{B}_{\kappa, \leq m}) &\leq \\ \text{univ}(\mathcal{A}_{\kappa, \leq \theta}) & \end{aligned}$$

We would like a simple model theoretic class (\mathcal{K}, \leq) such that $\text{univ}((\mathcal{K}_{\kappa}, \leq)) \leq \text{univ}((\mathfrak{B}_{\kappa}, \leq_i))$ for all relevant κ .

$$\begin{aligned} \text{univ}(\mathfrak{B}_{\kappa, \leq i}) &\leq \\ \text{univ}(\mathfrak{B}_{\kappa, \leq m}) &\leq \\ \text{univ}(\mathcal{A}_{\kappa, \leq \theta}) & \end{aligned}$$

We would like a simple model theoretic class (\mathcal{K}, \leq) such that $\text{univ}((\mathcal{K}_{\kappa}, \leq)) \leq \text{univ}((\mathfrak{B}_{\kappa}, \leq_i))$ for all relevant κ . I'll present a candidate.

We work with vector spaces with rational coefficients and with two distinguished unary predicates C , C_0 satisfying $C_0 \subseteq C$.

The universality
setting

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Towards the
conjecture

Bounding from
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We work with vector spaces with rational coefficients and with two distinguished unary predicates C , C_0 satisfying $C_0 \subseteq C$. If such a space (V, C, C_0) is the space of sequences of simple rational functions over a Stone space $K = \text{St}(\mathfrak{A})$ and

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Towards the
conjecture

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We work with vector spaces with rational coefficients and with two distinguished unary predicates C, C_0 satisfying $C_0 \subseteq C$. If such a space (V, C, C_0) is the space of sequences of simple rational functions over a Stone space $K = \text{St}(\mathfrak{A})$ and C, C_0 correspond respectively to the set of such sequences which converge or converge to 0,

The universality
setting

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Towards the
conjecture

Bounding from
below

We work with vector spaces with rational coefficients and with two distinguished unary predicates C, C_0 satisfying $C_0 \subseteq C$. If such a space (V, C, C_0) is the space of sequences of simple rational functions over a Stone space $K = \text{St}(\mathfrak{A})$ and C, C_0 correspond respectively to the set of such sequences which converge or converge to 0, then we call (V, C, C_0) a *natural space* and we denote it by $N(\mathfrak{A})$.

The universality
setting

$$\begin{aligned} \text{univ}(\mathfrak{B}_K, \leq_j) &\leq \\ \text{univ}(\mathfrak{B}_K, \leq_m) &\leq \\ \text{univ}(\mathfrak{A}_K, \leq_\theta) & \end{aligned}$$

Towards the
conjecture

Bounding from
below

We work with vector spaces with rational coefficients and with two distinguished unary predicates C, C_0 satisfying $C_0 \subseteq C$. If such a space (V, C, C_0) is the space of sequences of simple rational functions over a Stone space $K = \text{St}(\mathfrak{A})$ and C, C_0 correspond respectively to the set of such sequences which converge or converge to 0, then we call (V, C, C_0) a *natural space* and we denote it by $N(\mathfrak{A})$.

Isomorphic embeddings do not fit exactly

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Isomorphic embeddings do not fit exactly since in Banach spaces they are bounded.

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Towards the
conjecture

Bounding from
below

$$\begin{aligned} \text{univ}(\mathfrak{B}_\kappa, \leq l) &\leq \\ \text{univ}(\mathfrak{B}_\kappa, \leq m) &\leq \\ \text{univ}(\mathcal{A}_\kappa, \leq \theta) &\leq \end{aligned}$$

Theorem

Suppose that \mathfrak{A} and \mathfrak{B} are Boolean algebras. Then:

$$\begin{aligned} \text{univ}(\mathfrak{B}_\kappa, \leq l) &\leq \\ \text{univ}(\mathfrak{B}_\kappa, \leq m) &\leq \\ \text{univ}(\mathcal{A}_\kappa, \leq \theta) & \end{aligned}$$

Theorem

*Suppose that \mathfrak{A} and \mathfrak{B} are Boolean algebras. Then:
There is an isomorphic embedding from $C(\text{St}(\mathfrak{A}))$ to
 $C(\text{St}(\mathfrak{B}))$ with a constant D*

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Theorem

*Suppose that \mathfrak{A} and \mathfrak{B} are Boolean algebras. Then:
There is an isomorphic embedding from $C(\text{St}(\mathfrak{A}))$ to $C(\text{St}(\mathfrak{B}))$ with a constant D iff there is an isomorphic embedding from $N(\mathfrak{A})$ to $N(\mathfrak{B})$ with a constant D .*

Proof.

Embeddings of
spaces of the form
 $C(K)$

Mirna Džamonja

The universality
setting

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Towards the
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Proof.

(1) $\pi_n(f)$: We have no reason to believe that $T(f)$ is a simple function with rational coefficients.

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(1) $\pi_n(f)$: We have no reason to believe that $T(f)$ is a simple function with rational coefficients. But, there is a function $F(T(f))$ which is a simple function with rational coefficients and whose distance to $T(f)$ in $C(\text{St}(\mathfrak{B}))$ is less than $\frac{1}{2^{n+1}}$.

Embeddings of
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Embeddings of spaces of the form $C(K)$

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Towards the conjecture

Bounding from below

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$$\begin{aligned}\|g_n - g_m\| &= \|\phi(f_n) - \phi(f_m)\| \leq \|\phi(f_n) - T(f_n)\| + \|T(f_n) - T(f_m)\| + \\ &\quad \|T(f_m) - \phi(f_m)\| \leq \\ \sum_{i \leq n} |q_i| &\|\phi(\chi_{[a_i]}) - T(\chi_{[a_i]})\| + \|T(f_n - f_m)\| + \sum_{j \leq m} |r_j| \|\phi(\chi_{[b_j]}) - T(\chi_{[b_j]})\| \\ &\leq \frac{(n+1)}{2^{n+1}} + \|T\| \cdot \|f_n - f_m\| + \frac{(m+1)}{2^{m+1}},\end{aligned}$$

Proof.

(1) $\pi_n(f)$: We have no reason to believe that $T(f)$ is a simple function with rational coefficients. But, there is a function $F(T(f))$ which is a simple function with rational coefficients and whose distance to $T(f)$ in $C(\text{St}(\mathfrak{B}))$ is less than $\frac{1}{2^{n+1}}$. (2) C is preserved:

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which goes to 0.

MODULO ONE LEMMA IN PROGRESS

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Towards the
conjecture

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MODULO ONE LEMMA IN PROGRESS

Theorem

Suppose that λ, θ are regular such that

- $\kappa < \lambda \implies \kappa^{\aleph_0} < \lambda$,
- *the club guessing holds between θ and λ (e.g. $\lambda \geq \theta^{++}$).*

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Then $\text{univ}((\mathfrak{B}_{\lambda, \leq i})) > 2^\theta$.

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Example $CH + 2^{\aleph_1} = \aleph_4, \theta = \aleph_1, \lambda = \aleph_3$.

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Hence the Conjecture isomorphism–isometry is proved in this case.

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