

# ON THE VECTOR BUNDLES OVER RATIONALLY CONNECTED VARIETIES

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ABSTRACT. Let  $X$  be a rationally connected smooth projective variety defined over  $\mathbb{C}$  and  $E \rightarrow X$  a vector bundle such that for every morphism  $\gamma : \mathbb{C}\mathbb{P}^1 \rightarrow X$ , the pullback  $\gamma^*E$  is trivial. We prove that  $E$  is trivial. Using this we show that if  $\gamma^*E$  is isomorphic to  $L(\gamma)^{\oplus r}$  for all  $\gamma$  of the above type, where  $L(\gamma) \rightarrow \mathbb{C}\mathbb{P}^1$  is some line bundle, then there is a line bundle  $\zeta$  over  $X$  such that  $E = \zeta^{\oplus r}$ .

RÉSUMÉ. Soit  $X$  une variété rationnellement connexe sur  $\mathbb{C}$  et soit  $E \rightarrow X$  un fibré vectoriel tel que, pour tout morphisme  $\gamma : \mathbb{C}\mathbb{P}^1 \rightarrow X$ , le fibré  $\gamma^*E$  est trivial. Nous montrons que  $E$  est trivial. Nous en déduisons que si, pour tout  $\gamma$  comme avant,  $\gamma^*E$  est isomorphe à  $L(\gamma)^{\oplus r}$ , où  $L(\gamma) \rightarrow \mathbb{C}\mathbb{P}^1$  est un fibré en droites, alors il existe un fibré en droites  $\zeta$  sur  $X$  et un isomorphisme  $E \cong \zeta^{\oplus r}$ .

## 1. INTRODUCTION

Let  $E$  be a holomorphic vector bundle over a connected complex projective manifold  $X$ . If for every pair of the form  $(C, \gamma)$ , where  $C$  is a compact connected Riemann surface, and  $\gamma : C \rightarrow X$  is a holomorphic map, the pullback  $\gamma^*E$  is semistable, then it is known that  $E$  is semistable, and  $c_i(\text{End}(E)) = 0$  for all  $i \geq 1$  [BB, pp. 3–4, Theorem 1.2]. Our aim here is to show that if  $X$  is rationally connected, then the above conclusion remains valid even if we insert in the condition that  $C$  is a rational curve. We recall that a complex projective variety  $X$  is said to be *rationally connected* if any two points of  $X$  can be joined by an irreducible rational curve on  $X$ ; see [KMM, Theorem 2.1] for equivalent conditions. We prove the following theorem:

**Theorem 1.1.** *Let  $E$  be a vector bundle of rank  $r$  over a rationally connected smooth projective variety  $X$  defined over  $\mathbb{C}$  such that for every morphism*

$$\gamma : \mathbb{C}\mathbb{P}^1 \rightarrow X,$$

*the pullback  $\gamma^*E$  is isomorphic to  $L(\gamma)^{\oplus r}$  for some line bundle  $L(\gamma) \rightarrow \mathbb{C}\mathbb{P}^1$ . Then there is a line bundle  $\zeta$  over  $X$  such that  $E = \zeta^{\oplus r}$ .*

In [AW] this was proved under the extra assumption that  $\text{Pic}(X) = \mathbb{Z}$  (see [AW, p. 211, Proposition 1.2]).

Theorem 1.1 is deduced from the following proposition (see Proposition 2.1):

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2000 *Mathematics Subject Classification.* 14F05, 14M20.

*Key words and phrases.* Rationally connectedness, stable map, rational curve.

**Proposition 1.2.** *Let  $X$  be as in Theorem 1.1. Let  $E \rightarrow X$  be a vector bundle such that for every morphism  $\gamma : \mathbb{C}\mathbb{P}^1 \rightarrow X$ , the pullback  $\gamma^*E$  is trivial. Then  $E$  itself is trivial.*

The condition in Theorem 1.1 that  $\gamma^*E$  is of the form  $L(\gamma)^{\oplus r}$  can be replaced by an equivalent condition which says that  $\gamma^*E$  is semistable (see Corollary 2.3).

## 2. CRITERION FOR TRIVIALITY

Let  $X$  be a rationally connected smooth projective variety defined over  $\mathbb{C}$ . Let  $E \rightarrow X$  be a vector bundle.

**Proposition 2.1.** *Assume that for every morphism*

$$\gamma : \mathbb{C}\mathbb{P}^1 \rightarrow X$$

*the vector bundle  $\gamma^*E \rightarrow \mathbb{C}\mathbb{P}^1$  is trivial. Then  $E$  itself is trivial.*

*Proof.* Let  $x \in X$  be a closed point. There is a smooth family of rational curves on  $X$

$$(2.1) \quad \begin{array}{ccc} & Z & \xrightarrow{\varphi} X \\ \sigma \uparrow & \downarrow f & \\ & T & \end{array}$$

where

- (1)  $T$  is open in  $\text{Mor}(\mathbb{C}\mathbb{P}^1, X; (0 : 1) \mapsto x)$  (hence  $T$  is quasiprojective),
- (2)  $f \circ \sigma = \text{Id}_T$ ,
- (3)  $\varphi$  is dominant, and
- (4)  $\varphi(\sigma(t)) = x$  for all  $t \in T$ .

(See [Ca, Section 3], [Ko2, Theorem 3].)

Let

$$\beta := [\varphi(f^{-1}(t))] \in H_2(X, \mathbb{Z})$$

be the homology class, where  $t \in T(\mathbb{C})$ . Let  $\overline{\mathcal{M}}_{0,1}(X, \beta)$  be the moduli stack classifying families of stable maps from 1-pointed genus zero curves to  $X$  which represent the class  $\beta$ . (We are following the terminology of [FP].) We know that  $\overline{\mathcal{M}}_{0,1}(X, \beta)$  is a proper Deligne–Mumford stack [BM, p. 27, Theorem 3.14].

Let

$$(2.2) \quad \rho : T \rightarrow \overline{\mathcal{M}}_{0,1}(X, \beta)$$

be the morphism associated to the family in (2.1).

By “Chow’s Lemma” [LMB, p. 154, Corollaire 16.6.1], there exists a projective  $\mathbb{C}$ -scheme  $Y$  together with a proper surjective morphism  $\psi : Y \rightarrow \overline{\mathcal{M}}_{0,1}(X, \beta)$ . There

exists a Cartesian diagram

$$\begin{array}{ccc} T_1 & \xrightarrow{\rho_1} & Y \\ \psi_1 \downarrow & \square & \downarrow \psi \\ T & \xrightarrow{\rho} & \overline{\mathcal{M}}_{0,1}(X, \beta) \end{array}$$

where  $T_1$  is a scheme and  $\psi_1$  is proper and surjective. This last assertion is justified by the fact that the diagonal of a Deligne–Mumford stack is *schematic* [LMB, p. 26, Lemme 4.2] and [LMB, p. 21, Corollaire 3.13]. As  $T$  is separated (it is open in  $\text{Mor}(\mathbb{CP}^1, X)$ ), we can apply Nagata’s Theorem [Lü, p. 106, Theorem 3.2] to find a proper  $\mathbb{C}$ –scheme  $\overline{T}_1$  and a schematically dense open immersion

$$i : T_1 \hookrightarrow \overline{T}_1.$$

Eliminating the “indeterminacy locus” (see e.g. [Lü, pp. 99–100]), we can find a blow–up

$$\xi : \overline{T} \longrightarrow \overline{T}_1$$

whose center is disjoint from  $T_1$  and a morphism

$$\overline{\rho} : \overline{T} \longrightarrow Y$$

which extends  $\rho_1 : T_1 \longrightarrow Y$ . The composition  $\psi \circ \overline{\rho} : \overline{T} \longrightarrow \overline{\mathcal{M}}_{0,1}(X, \beta)$  represents a family of 1–pointed genus zero stable maps

$$(2.3) \quad \begin{array}{ccc} \overline{Z} & \xrightarrow{\overline{\varphi}} & X \\ \downarrow \overline{f} & & \\ \overline{T} & & \end{array} \quad \overline{\sigma} \left( \begin{array}{c} \curvearrowright \end{array} \right)$$

whose pull-back via  $i : T_1 \hookrightarrow \overline{T}$  is the pull-back of the family in (2.1) via  $\psi_1$ . Clearly  $\overline{\varphi}$  is dominant (hence surjective) and  $\overline{\varphi} \circ \overline{\sigma}$  is a constant morphism. Note that, without loss of generality, we can assume  $\overline{T}$  to be *reduced*.

We recall that the pullback of  $E$  by any map from  $\mathbb{CP}^1$  is trivial. Consequently, for any point  $t \in \overline{T}(\mathbb{C})$ , the restriction of

$$\overline{E} := \overline{\varphi}^* E$$

to the curve  $\overline{f}^{-1}(t)$  — which is a tree of  $\mathbb{CP}^1$  — is trivial. Therefore,  $\overline{E}$  descends to  $\overline{T}$ . More precisely, the direct image  $\overline{f}_* \overline{E}$  is a vector bundle on  $\overline{T}$ , and the canonical arrow

$$(2.4) \quad \overline{f}^* \overline{f}_* \overline{E} \longrightarrow \overline{E}$$

is an isomorphism [Mu, §5]. The homomorphism in (2.4) is injective because any section of a trivial vector bundle, over a connected projective scheme, that vanishes at one point actually vanishes identically; the homomorphism is surjective also because  $\overline{E}|_{\overline{f}^{-1}(t)}$  is trivial for all  $t$ . We also note that the image of (2.4) by  $\overline{\sigma}^*$  defines an isomorphism between  $\overline{\sigma}^* \overline{E}$  and  $\overline{f}_* \overline{E}$ . Therefore, using (2.4),

$$(2.5) \quad \overline{f}^* \overline{\sigma}^* \overline{E} = \overline{E}.$$

Now from the condition that  $\bar{\varphi} \circ \bar{\sigma}$  is a constant map it follows immediately that  $\bar{\sigma}^* \bar{\varphi}^* E = \bar{\sigma}^* \bar{E}$  is a trivial vector bundle. Consequently, using (2.5) we conclude that the vector bundle  $\bar{\varphi}^* E$  is trivial.

Since  $\bar{\varphi}$  is a surjective and proper morphism, and  $\bar{\varphi}^* E$  is trivial, we conclude that the Chern class  $c_i(E)$  is numerically equivalent to zero for all  $i \geq 1$ .

Next we will show that the vector bundle  $E$  is semistable.

Let  $C \hookrightarrow X$  be a smooth irreducible (proper) curve on  $X$ , and let  $C' \hookrightarrow \bar{Z}$  be an irreducible curve such that  $\bar{\varphi}(C') = C$ . (The curve  $C'$  can be constructed as the closure of a closed point of the generic fiber of  $\bar{\varphi}^{-1}(C) \rightarrow C$ .) Since the pull-back of  $E|_C$  to  $C'$  is trivial, so is the pull-back of  $E|_C$  to the normalization of  $C'$ . Consequently, the vector bundle  $E|_C$  is semistable. This allows us to conclude that  $E$  is semistable with respect to any chosen polarization on  $X$ .

Since  $E$  is semistable, and both  $c_1(E)$  and  $c_2(E)$  are numerically equivalent to zero, a theorem of Simpson says that  $E$  admits a flat connection (see [Si, p. 40, Corollary 3.10]). On the other hand,  $X$  is simply connected because it is rationally connected [Ca, p. 545, Theorem 3.5], [Ko1, p. 362, Proposition 2.3]. Therefore, any flat vector bundle on  $X$  is trivial. In particular, the vector bundle  $E$  is trivial.  $\square$

As before, let  $E$  be a vector bundle over the rationally connected variety  $X$ . Let  $r$  be the rank of  $E$ .

**Theorem 2.2.** *Assume that for every morphism*

$$\gamma : \mathbb{C}\mathbb{P}^1 \longrightarrow X,$$

*there is a line bundle  $L(\gamma) \rightarrow \mathbb{C}\mathbb{P}^1$  such that  $\gamma^* E = L(\gamma)^{\oplus r}$ . Then there is a line bundle  $\zeta \rightarrow X$  such that  $E = \zeta^{\oplus r}$ .*

*Proof.* The above condition on  $\gamma^* E$  and Proposition 2.1 ensure that the vector bundle  $\text{End}(E)$  is trivial. This implies that, for any  $x_0 \in X(\mathbb{C})$ , the evaluation map

$$(2.6) \quad H^0(X, \text{End}(E)) \longrightarrow \text{End}_{\mathbb{C}}(E(x_0))$$

is an isomorphism; let  $A : E \rightarrow E$  be an isomorphism such that all the eigenvalues  $\lambda_1, \dots, \lambda_r$  of  $A(x_0)$  are distinct. As the eigenvalues of  $A(x)$  are independent of  $x \in X$ , it follows that  $E$  is isomorphic to the direct sum of the line subbundles

$$\mathcal{L}_i := \text{kernel}(\lambda_i - A) \subseteq E,$$

$$1 \leq i \leq r.$$

Since the evaluation map in (2.6) is an isomorphism, we have

$$\dim H^0(X, \mathcal{L}_i \otimes \mathcal{L}_j^*) \leq 1$$

for all  $i, j \in [1, r]$ . Note that if  $H^0(X, \mathcal{L}_i \otimes \mathcal{L}_j^*) = 0$  for some  $i, j$ , then

$$\dim H^0(X, \text{End}(E)) < r^2,$$

which contradicts the fact that  $\text{End}(E)$  is trivial. For  $s_{ij} \in H^0(X, \mathcal{L}_i \otimes \mathcal{L}_j^*) \setminus \{0\}$ ,  $i, j \in [1, r]$ , the composition  $s_{ij} \circ s_{ji}$  is an automorphism of  $\mathcal{L}_i$ , hence each  $s_{ij}$  is an isomorphism. This completes the proof of the theorem.  $\square$

A theorem due to Grothendieck says that any vector bundle over  $\mathbb{CP}^1$  decomposes into a direct sum of line bundles [Gr, p. 126, Théorème 2.1]. Therefore, Theorem 2.2 has the following corollary:

**Corollary 2.3.** *If for every morphism  $\gamma : \mathbb{CP}^1 \rightarrow X$ , the vector bundle  $\gamma^*E$  is semistable, then there is a line bundle  $\zeta \rightarrow X$  such that  $E = \zeta^{\oplus r}$ .*

**Acknowledgments.** We thank N. Fakhruddin for useful comments. We thank Carolina Araujo for pointing out [AW].

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