

# Sheaves on graphs and their homological invariants

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# Outline

- 1 Categorical preliminaries
- 2 Presheaves
- 3 Sheaves on graphs and their homology
- 4 Hanna Neumann's conjecture
- 5 Topoi
- 6 Afterword: Homology

# Categories

A **category  $\mathbf{C}$**  consists of **objects**  $a, b, c, \dots$  and **arrows** (morphisms)  $f, g, h, \dots$ . Each arrow  $f$  has a domain ( $\text{dom } f$ ) and a codomain ( $\text{cod } f$ ), both are objects. Moreover, for each object  $a$  there is a distinguished arrow  $1_a$ , called identity, and for each pair of arrows  $(f, g)$  such that  $\text{dom}(g) = \text{cod}(f)$ , there is another arrow  $g \circ f : \text{dom } f \rightarrow \text{cod } g$  called their **composition**. The operation of composition is supposed to be associative, and identities to act as neutral elements under composition.<sup>1</sup>

The morphisms with domain  $a$  and codomain  $b$  are denoted  $\text{Hom}(a, b)$ .

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For each category  $\mathbf{C}$ , there is a category  $\mathbf{C}^{op}$  with the same objects but reversed arrows (i.e. for each arrow  $f$  of  $\mathbf{C}$ , there is an arrow  $f^{op}$  in  $\mathbf{C}^{op}$  such that  $\text{dom } f^{op} = \text{cod } f$  and  $\text{cod } f^{op} = \text{dom } f$ ).

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A **functor**  $T : \mathbf{C} \rightarrow \mathbf{D}$  assigns to each object  $a$  of  $\mathbf{C}$  an object  $T(a)$  of  $\mathbf{D}$ , and to each morphism  $f$  of  $\mathbf{C}$  a morphism  $T(f)$  of  $\mathbf{D}$ , in such a way that

$$T(1_a) = 1_{T(a)}, \quad T(g \circ f) = Tg \circ Tf.$$

A **subfunctor**  $S$  of  $T : \mathbf{C} \rightarrow \mathbf{Sets}$  associates to every  $c \in \text{Ob}\mathbf{C}$  a subset  $S(c)$  of  $T(c)$  and to every arrow  $f : c \rightarrow c'$  the restriction of  $T(f)$  to  $S(c)$ .

# Example

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- 6 A poset is a set  $E$  with a binary relation  $\leq$  that is reflexive, transitive and anti-symmetric. Every poset can be seen as a category, whose objects are the elements of  $E$ ; there is an arrow  $e \rightarrow e'$  iff  $e \leq e'$ . A functor between posets is a monotone map.

# Natural transformations

Given two functors  $S, T : \mathbf{C} \rightarrow \mathbf{D}$ , a natural transformation  $\tau : S \rightarrow T$  is a rule that assigns to each object  $c$  of  $\mathbf{C}$  an arrow  $\tau_c : Sc \rightarrow Tc$  of  $\mathbf{D}$ , so that  $f : c \rightarrow c'$  in  $\mathbf{C}$  yields the commutative diagram

$$\begin{array}{ccc} Sc & \xrightarrow{\tau_c} & Tc \\ \downarrow Sf & & \downarrow Tf \cdot \\ Sc' & \xrightarrow{\tau_{c'}} & Tc' \end{array}$$

A diagram of shape  $\mathbf{J}$  in  $\mathbf{C}$  is a functor  $F : \mathbf{J} \rightarrow \mathbf{C}$ . The category  $\mathbf{J}$  is thought here as an index category, usually finite.

A **cone** to the diagram  $F : \mathbf{J} \rightarrow \mathbf{C}$  is an object  $N$  of  $\mathbf{C}$  and a natural transformation  $\psi : \underline{N} \rightarrow F$ , where  $\underline{N}$  is a constant functor of value  $N$ .

A **limit** of the diagram  $F$  is a universal cone  $(L, \phi)$ : this means that for any other cone  $(N, \psi)$  of  $F$ , there exists a *unique* arrow  $u : N \rightarrow L$  such that, for every  $X \in \text{Ob } \mathbf{C}$ ,  $\psi_X = \phi_X \circ u$ .

A limit is *unique up to unique isomorphism*: if  $(L_1, \psi_1)$  and  $(L_2, \psi_2)$  are limits of  $F$ , the universal property gives unique maps  $u : L_1 \rightarrow L_2$  and  $v : L_2 \rightarrow L_1$  that are inverse to each other.

Colimits are defined similarly, as universal cocones  $F \rightarrow \underline{N}$ .

# Limits: Examples

For instance, if  $\mathbf{J}$  is a category with two objects (say 1 and 2) and their identity morphisms, then  $F : \mathbf{J} \rightarrow \mathbf{Sets}$  is defined simply by a pair of sets,  $F(1)$  and  $F(2)$ . A cone is a pair of maps  $\psi_1 : N \rightarrow F(1)$  and  $\psi_2 : N \rightarrow F(2)$ , and a limit is precisely the cartesian product  $F(1) \times F(2)$ , with the canonical projections  $\phi_i : F(1) \times F(2) \rightarrow F(i)$ . The universality means that there is a unique map  $u : N \rightarrow F(1) \times F(2)$  such that

$$\begin{array}{ccc} N & & \\ \downarrow u & \searrow \psi_i & \\ F(1) \times F(2) & \xrightarrow{\phi_i} & F(i) \end{array}$$

commutes for each  $i$ .

# Limits: Examples

Similarly, one obtains fiber products as universal cones of  $\mathbf{J}$ -diagrams, when  $\mathbf{J}$  is the category with objects  $*$ , 1, and 2, and non-identity arrows

$$1 \longrightarrow * \longleftarrow 2.$$

The universal property looks like

$$\begin{array}{ccccc} Q & & & & \\ & \searrow^{\psi_1} & & & \\ & & L & \xrightarrow{\phi_1} & F(2) \\ & & \downarrow \phi_2 & & \downarrow g_2 \\ & & F(1) & \xrightarrow{g_1} & F(*) \\ & \searrow^{\psi_2} & & & \\ & & & & \end{array}$$

(The components  $\phi_*$  and  $\psi_*$  are omitted, because they can be deduced from the other arrows.)



Other examples of limits: terminal objects ( $\mathbf{J}$  empty), equalizers ( $\mathbf{J} = (* \rightrightarrows *)$ ), kernels (an equalizer with one of the non-identity arrows mapping to a zero map), etc.

Examples of colimits: initial objects, coequalizers, cokernels, etc.

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# Category of sheaves

Let  $\mathbf{C}$  be a category.

The category of presheaves on  $\mathbf{C}$ , denoted  $\widehat{\mathbf{C}}$  or  $\mathbf{PSh}(\mathbf{C})$ , is the category  $[\mathbf{C}^{op}, \mathbf{Sets}]$  of functors from  $\mathbf{C}^{op}$  to  $\mathbf{Sets}$ . The morphisms are natural transformations i.e. an arrow from a sheaf  $\mathcal{A}$  to a sheaf  $\mathcal{B}$  is a collection of functions  $\{\tau_c : \mathcal{A}(c) \rightarrow \mathcal{B}(c)\}_{c \in \text{Ob } \mathbf{C}}$ , called **components**, such that for each  $f : c \rightarrow c'$  in  $\mathbf{C}$ ,

$$\begin{array}{ccc} \mathcal{A}(c') & \xrightarrow{\tau_{c'}} & \mathcal{B}(c') \\ \downarrow \mathcal{A}(f) & & \downarrow \mathcal{B}(f) \\ \mathcal{A}(c) & \xrightarrow{\tau_c} & \mathcal{B}(c) \end{array}$$

Similarly, the category of sheaves of  $\mathbb{F}$ -vector spaces, denoted  $\widehat{\mathbf{G}}_{\mathbb{F}}$  or  $\mathbf{Mod}(\mathbb{F})$ , is the category of functors  $[\mathbf{C}^{op}, \mathbf{Vect}_{\mathbb{F}}]$ . The morphisms are natural transformations whose components  $\tau_c$  are linear maps.

## Theorem

Let  $\mathbf{C}$  be a category. All limits and colimits exist in the category  $\widehat{\mathbf{C}}$ . Moreover, for each  $c \in \text{Ob } \mathbf{C}$ , the evaluation functor  $\text{ev}_c : \widehat{\mathbf{C}} \rightarrow \mathbf{Sets}$ ,  $\mathcal{A} \rightarrow \mathcal{A}(c)$  commutes with limits and colimits.

In other words, limits and colimits can be computed “object-wise”. See [5, Tag 00VB].

For instance, the product of two sheaves  $\mathcal{A}, \mathcal{B}$  in  $\widehat{\mathbf{C}}$  is the sheaf that associates to  $c \in \text{Ob } \mathbf{C}$  the set  $\mathcal{A}(c) \times \mathcal{B}(c)$ , and to each arrow  $f : c \rightarrow c'$  in  $\mathbf{C}$  the map

$$\mathcal{A}(f) \times \mathcal{B}(f) : \mathcal{A}(c') \times \mathcal{B}(c') \rightarrow \mathcal{A}(c) \times \mathcal{B}(c).$$

Similarly, the “abelian” constructions are performed object-wise. For example, given a morphism  $\tau : \mathcal{A} \rightarrow \mathcal{B}$  in  $\widehat{\mathbf{C}}_{\mathbf{F}}$ , its kernel is the presheaf that associates to each  $c \in \text{Ob } \mathbf{C}$  the vector space  $\ker(\tau_c : \mathcal{A}(c) \rightarrow \mathcal{B}(c))$ .

# Yoneda embedding

Given a category  $\mathbf{C}$ . We suppose that for every  $c, c' \in \text{Ob } \mathbf{C}$ ,  $\text{Hom}(c, c')$  is a set.

Given  $c \in \text{Ob } \mathbf{C}$ , the functor  $\mathfrak{h}_c : \mathbf{C}^{op} \rightarrow \mathbf{Sets}$  that associates to every  $d \in \text{Ob } \mathbf{C}$  the set  $\mathfrak{h}_c(d) = \text{Hom}(d, c)$  and to every arrow  $f : d \rightarrow d'$  the map  $\mathfrak{h}_c(f) : \text{Hom}(d', c) \rightarrow \text{Hom}(d, c)$ ,  $\phi \mapsto \phi \circ f$  is called the **presheaf represented by  $c$** .

## Proposition

Let  $\mathbf{C}$  be a category,  $\mathcal{F}$  a presheaf on  $\mathbf{C}$ , and  $c$  an object of  $\mathbf{C}$ . There exists an isomorphism, functorial in  $c$  and  $\mathcal{F}$ ,

$$\iota : \text{Hom}_{\widehat{\mathbf{C}}}(\mathfrak{h}_c, \mathcal{F}) \xrightarrow{\sim} \mathcal{F}(c). \quad (1)$$

In particular, if  $\mathcal{F} = \mathfrak{h}_{c'}$ , there is a bijection  $\text{Hom}_{\mathbf{C}}(c, c') = \text{Hom}_{\widehat{\mathbf{C}}}(\mathfrak{h}_c, \mathfrak{h}_{c'})$ : in other words,  $\mathfrak{h} : \mathbf{C} \rightarrow \widehat{\mathbf{C}}$  is a fully faithful functor.

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# Directed graphs

## Definition

A **directed graph** (digraph) is a 4-tuple  $G = (V_G, E_G, t_G, h_G)$ , where  $V_G$  and  $E_G$  are sets (respectively, the vertexes and edges of the digraph), and  $h_G : E_G \rightarrow V_G$  and  $t_G : E_G \rightarrow V_G$  are functions that assign to each edge a “head” and a “tail”, respectively.

The digraphs are finite i.e.  $V_G$  and  $E_G$  are finite sets.

## Definition

A **morphism of digraphs**  $\mu : G \rightarrow K$  is a pair of maps  $(\mu_V : V_G \rightarrow V_K, \mu_E : E_G \rightarrow E_K)$  that commute with the head and tail maps:

$$\begin{array}{ccc} E_G & \xrightarrow{t_G} & V_G \\ \downarrow \mu_E & & \downarrow \mu_V \\ E_K & \xrightarrow{t_K} & V_K \end{array} \qquad \begin{array}{ccc} E_G & \xrightarrow{h_G} & V_G \\ \downarrow \mu_E & & \downarrow \mu_V \\ E_K & \xrightarrow{h_K} & V_K \end{array}$$

# The category of digraphs

The category **Digraphs** has a terminal object: the category  $\Delta_0$  with one object and one morphism (the identity).

It also has fiber products: given maps  $\mu_1 : G_1 \rightarrow G$  and  $\mu_2 : G_2 \rightarrow G$ , their fiber product  $K = G_1 \times_G G_2$  is defined by

$$V_K = \{(v_1, v_2) \in V_{G_1} \times V_{G_2} \mid \mu_1(v_1) = \mu_2(v_2)\},$$

$$E_K = \{(e_1, e_2) \in E_{G_1} \times E_{G_2} \mid \mu_1(e_1) = \mu_2(e_2)\},$$

$$t_K = (t_{G_1}, t_{G_2}), \quad h_K = (h_{G_1}, h_{G_2}).$$



Each digraph  $G = (V, E, t, h)$  can be seen as a category  $\mathbf{G}$ , with objects  $V \cup E$ , and arrows  $t(e) \rightarrow e$  and  $h(e) \rightarrow e$  for each  $e \in E$ , in addition to the identities.

Remark that a morphism of digraphs  $\mu: G \rightarrow K$  gives a functor  $\mu: \mathbf{G} \rightarrow \mathbf{K}$

## Definition

A **sheaf** of sets (resp. of  $\mathbb{F}$ -vector spaces) on  $G$  is an object of  $\widehat{\mathbf{G}}$  (resp.  $\widehat{\mathbf{G}}_{\mathbb{F}}$ ).<sup>a</sup>

<sup>a</sup>If the category  $\mathbf{G}$  is equipped with the trivial Grothendieck topology, every presheaf on  $\mathbf{G}$  is a sheaf according to the general definition [1, Def. 2.1].

In other words,  $\mathcal{F}$  consists of

- 1 sets  $\mathcal{F}(o)$  (called **values**) for each element  $o \in V \cup E = \text{Ob } \mathbf{G}$ ;
- 2 maps  $\mathcal{F}(t, e): \mathcal{F}(e) \rightarrow \mathcal{F}(te)$  and  $\mathcal{F}(h, e): \mathcal{F}(e) \rightarrow \mathcal{F}(he)$  (called **restriction maps**), for each  $e \in E$ .

# Grothendieck's operations

Let  $\varphi: \mathbf{G} \rightarrow \mathbf{K}$  be a functor.

Given a  $\mathcal{B}$  on  $\mathbf{K}$ , the sheaf  $\varphi^* \mathcal{B} := \mathcal{B} \circ \varphi: \mathbf{G}^{op} \rightarrow \mathbf{Sets}$  is called its pullback. It maps  $g \in \text{Ob } \mathbf{G}$  to  $\mathcal{B}(\varphi(g))$ .

The morphism  $\varphi^*: \widehat{\mathbf{K}} \rightarrow \widehat{\mathbf{G}}$  has a left adjoint  $\varphi_!: \widehat{\mathbf{G}} \rightarrow \widehat{\mathbf{K}}$ , which means that, for all  $\mathcal{A} \in \widehat{\mathbf{G}}$  and  $\mathcal{B} \in \widehat{\mathbf{K}}$

$$\text{Hom}_{\widehat{\mathbf{K}}}(\varphi_! \mathcal{A}, \mathcal{B}) \cong \text{Hom}_{\widehat{\mathbf{G}}}(\mathcal{A}, \varphi^* \mathcal{B}).$$

Similarly, there is a right adjoint  $\varphi_*$  to  $\varphi^*$ . Therefore,  $\varphi^*$  commutes with limits and colimits (e.g.  $\varphi^*(\mathcal{A} \times \mathcal{B}) = \varphi^* \mathcal{A} \times \varphi^* \mathcal{B}$ , etc.);  $\varphi_!$  commutes with colimits (a.k.a. inductive limits) and  $\varphi_*$  with limits (a.k.a. projective limits). These are general properties of adjoints.<sup>2</sup>

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<sup>2</sup>The general construction of these functors is the subject of [1, Sec. 1.5] (in french); the particular case of graphs is treated in [2, Sec. 1.4].

# What is $\varphi_! : \widehat{\mathbf{G}} \rightarrow \widehat{\mathbf{K}}$ in the case of graphs?

Let  $\varphi : \mathbf{G} \rightarrow \mathbf{K}$  be a morphism of digraphs. For any  $\mathcal{A} \in \widehat{\mathbf{G}}$ , we take

$$(\varphi_! \mathcal{A})(k) = \bigoplus_{g \in \varphi^{-1}(k)} \mathcal{A}(g).$$

Given a nonidentity arrow  $f : v_k \rightarrow e_k$  in  $\mathbf{K}$ , the map  $\varphi_! \mathcal{A}(f)$  is the only one that makes the diagram

$$\begin{array}{ccc} \mathcal{A}(e_g) & \xrightarrow{\iota_{e_g}} & \bigoplus_{e \in \varphi^{-1}(e_k)} \mathcal{A}(e) \\ \downarrow & & \downarrow \varphi_! \mathcal{A}(f) \\ \mathcal{A}(e_g) & \xrightarrow{\mathcal{A}\xi} \mathcal{A}(v_g) \xrightarrow{\iota_{v_g}} & \bigoplus_{v \in \varphi^{-1}(v_k)} \mathcal{A}(v) \end{array} \quad (2)$$

Here  $\xi : v_g \rightarrow e_g$  is an arrow  $\mathbf{G}$  such that  $f = \varphi(\xi)$  i.e. a tail map (resp. head map) if  $f$  is a tail (resp. head) map.

## Remarks on $\varphi_!$

If  $\varphi$  is clear from context, we write  $\mathcal{A}_G$  instead of  $\varphi_!\mathcal{A}$ .

When  $\iota: G' \rightarrow G$  is an inclusion, then  $\underline{\mathbb{F}}_{G'}$  is just the sheaf whose values are  $\mathbb{F}$  on  $G'$  and 0 elsewhere.

If  $\varphi: G \rightarrow K$  is a morphism of digraphs and  $\mathcal{A} \in \widehat{\mathcal{K}}$ , then

$$\mathcal{A}_G := \varphi_!\varphi^*\mathcal{A} = \mathcal{A} \otimes \underline{\mathbb{F}}_G.$$

The tensor product is computed object-wise. If  $K' \rightarrow G$  is another morphism, then

$$\underline{\mathbb{F}}_K \otimes \underline{\mathbb{F}}_{K'} \simeq \underline{\mathbb{F}}_{K \times_G K'}.$$

If  $L \rightarrow G$  is an arbitrary morphism of digraphs, then

$$\varphi^*\underline{\mathbb{F}}_L = \underline{\mathbb{F}}_{K \times_G L}.$$

If  $\mu: G' \rightarrow G''$  is a morphism of graphs “over  $G$ ” i.e. there is a commutative triangle

$$\begin{array}{ccc} G' & \xrightarrow{\mu} & G'' \\ & \searrow \varphi & \swarrow \psi \\ & G & \end{array},$$

then there is an induced morphism  $\mu_*: \underline{\mathbb{F}}_{G'} \rightarrow \underline{\mathbb{F}}_{G''}$  in  $\widehat{\mathbf{G}}$ , which includes the category of digraphs over  $G$  as a subcategory of sheaves over  $G$ . *This functor is not full.*

# Homology

Let  $G = (V, E, h, t)$  be a digraph and  $\mathcal{F}$  a sheaf on it. Set

$$\mathcal{F}(E) := \bigoplus_{e \in E} \mathcal{F}(e), \quad \mathcal{F}(V) = \bigoplus_{v \in V} \mathcal{F}(v).$$

Let  $d_h: \mathcal{F}(E) \rightarrow \mathcal{F}(V)$  map  $\phi \in \mathcal{F}(e)$  to  $\mathcal{F}(h, e)(\phi) \in \mathcal{F}(he)$ . A map  $d_t$  is defined similarly. Set  $d = d_h - d_t$ .

## Definition

The **zeroth** and **first homology groups** of  $\mathcal{F}$  are respectively

$$H_0(\mathcal{F}) := \text{coker}(d) = \mathcal{F}(V) / \text{im } d, \quad H_1(\mathcal{F}) := \ker(d).$$

The **Betti numbers** are their dimensions,  $h_i(\mathcal{F}) = \dim H_i(\mathcal{F})$ .

When  $\mathcal{F} = \underline{\mathbb{F}}$ , the constant sheaf with value  $\mathbb{F}$ , then  $d$  is the usual incidence matrix, and  $H_i(G) := H_i(\underline{\mathbb{F}})$  is the usual homology of  $G$  seen as a directed CW-complex.

$$\chi(\mathcal{F}) := h_0(\mathcal{F}) - h_1(\mathcal{F}) = \dim \mathcal{F}(V) - \dim \mathcal{F}(E).$$

# Algebraic graph theory

Given a sheaf  $\mathcal{F}$  on a digraph  $G$ , suppose that for each  $g \in \text{Ob } \mathbf{G}$ ,  $\mathcal{F}(g)$  is equipped with an inner product. Then there are adjoint operators  $d_h^*$ ,  $d_t^*$  and  $d^* = d_h^* - d_t^*$  from  $\mathcal{F}(V)$  to  $\mathcal{F}(E)$ . The **laplacians** of  $\mathcal{F}$  are

$$\Delta_0 = dd^* : \mathcal{F}(V) \rightarrow \mathcal{F}(V), \quad \Delta_1 = d^*d : \mathcal{F}(E) \rightarrow \mathcal{F}(E).$$

When  $\mathbb{F}$  is of characteristic zero, then the  $\Delta_i$  are positive semi-definite operators.

When  $\mathcal{F} = \underline{\mathbb{F}}$ , with the standard inner products, the laplacians above are the usual laplacians of the graph.

Moreover, one can define the “degree” operator  $D_0 = d_h d_h^* + d_t d_t^*$  and the “adjacency” operator  $A_0 = d_h d_t^* + d_t d_h^*$  in such a way that  $\Delta_0 = D_0 - A_0$ , etc.

What are the spectral properties of these matrices?



## Theorem

To each short exact sequence  $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$  (i.e. such that the kernel of each arrow is the image of the preceding one), there is a long exact sequence of homology groups

$$0 \rightarrow H_1(\mathcal{F}_1) \rightarrow H_1(\mathcal{F}_2) \rightarrow H_1(\mathcal{F}_3) \rightarrow H_0(\mathcal{F}_1) \rightarrow H_0(\mathcal{F}_2) \rightarrow H_0(\mathcal{F}_3) \rightarrow 0.$$

## Theorem

To each short exact sequence  $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$  (i.e. such that the kernel of each arrow is the image of the preceding one), there is a long exact sequence of homology groups

$$0 \rightarrow H_1(\mathcal{F}_1) \rightarrow H_1(\mathcal{F}_2) \rightarrow H_1(\mathcal{F}_3) \rightarrow H_0(\mathcal{F}_1) \rightarrow H_0(\mathcal{F}_2) \rightarrow H_0(\mathcal{F}_3) \rightarrow 0.$$

## Definition

A sequence  $x_0, \dots, x_n$  of real numbers is **triangular** if for all  $i$ ,  $0 < i < n$ ,

$$x_i \leq x_{i-1} + x_{i+1}.$$

If  $A \xrightarrow{f} B \xrightarrow{g} C$  satisfies  $\text{im } f = \ker g$ , then

$$\dim B = \dim(\ker g) + \dim(\text{im } g) = \dim(\text{im } f) + \dim(\text{im } g) \leq \dim A + \dim C.$$

Hence the Betti numbers of a long exact sequence form a triangular sequence.

# Quasi-Betti numbers

## Definition

Let  $\mathbf{G}$  be a digraph, and  $\alpha_0, \alpha_1$  be two functions from  $\text{Ob } \widehat{\mathbf{G}}$  to  $[0, \infty)$ . We say that  $(\alpha_0, \alpha_1)$  is a **quasi-Betti number pair** if

- 1 For each  $\mathcal{A} \in \widehat{\mathbf{G}}$ ,  $\alpha_0(\mathcal{A}) - \alpha_1(\mathcal{A}) = \chi(\mathcal{A})$ .
- 2 For any  $\mathcal{A}, \mathcal{B} \in \widehat{\mathbf{G}}$  and  $i \in \{1, 2\}$ ,

$$\alpha_i(\mathcal{A} \oplus \mathcal{B}) = \alpha_i(\mathcal{A}) + \alpha_i(\mathcal{B}).$$

- 3 For any short exact sequence of sheaves on  $G$ ,  $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$ , the sequence of integers

$$0, \alpha_1(\mathcal{F}_1), \alpha_1(\mathcal{F}_2), \alpha_1(\mathcal{F}_3), \alpha_0(\mathcal{F}_1), \alpha_0(\mathcal{F}_2), \alpha_0(\mathcal{F}_3), 0$$

is triangular.

We say that  $\alpha_1$  is a “first quasi-Betti number”.

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# Hanna Neumann's conjecture

The conjecture is a statement about the rank of the intersection  $K \cap L$  of two finitely generated subgroups  $K$  and  $L$  of a free group. (The rank is the smallest cardinality of a generating set.)

In 1954, Howson proved that the intersection of two finitely generated subgroups is always finitely generated. Hanna Neuman proved that

$$\text{rank}(K \cap L) - 1 \leq 2(\text{rank } K - 1)(\text{rank } L - 1),$$

and she also conjectured that one can remove the factor 2 in the bound:

$$\text{rank}(K \cap L) - 1 \leq (\text{rank } K - 1)(\text{rank } L - 1).$$

Later Walter Neumann proposed an stronger conjectural inequality, known as the SHNC.

# SHNC: graph-theoretic version

A bicolored digraph is a directed graph  $G$  such that each edge is labeled 1 or 2; equivalently, it is a digraph morphism  $\nu: G \rightarrow B_2$ , where  $B_2$  is the graph with one vertex and two loops. It is an étale bigraph if  $\nu$  is étale: an injection of incoming (resp. outgoing) edges of  $\nu$  into incoming (resp. outgoing) edges of  $\nu(\nu)$ .

The SHNC is equivalent to

$$\rho(K \times_{B_2} L) \leq \rho(K)\rho(L)$$

for all étale bigraphs  $K$  and  $L$ , where  $\rho$  denotes the **reduced cyclicity** of a graph,

$$\rho(G) = \sum_{X \in \text{conn}(G)} \max(0, h_1(X) - 1).$$

The sum runs over the connected components of  $G$ , and  $h_1$  is its usual homology as a CW-complex (number of independent cycles).

## Definition

Let  $\mathcal{F}$  be a sheaf on a digraph  $G$ , and  $U$  a subspace of  $\mathcal{F}(V)$ . The head/tail neighborhood of  $U$  is

$$\Gamma_{ht}(U) = \bigoplus_{e \in E} \{w \in \mathcal{F}(e) \mid d_h(w), d_t(w) \in U\}.$$

The excess of  $\mathcal{F}$  at  $U$  is

$$\text{ex}(\mathcal{F}, U) = \dim \Gamma_{ht}(U) - \dim U,$$

and its maximum over all subspaces of  $\mathcal{F}(V)$  is the **maximum excess** of  $\mathcal{F}$ .

The excess is a supermodular function

$$\text{ex}(U) + \text{ex}(V) \leq \text{ex}(U + V) + \text{ex}(U \cap V),$$

hence the spaces that maximize it form a lattice.

The key fact is  $\text{m.e.}(\underline{\mathbb{F}}) = \rho(G)$ .

# Ideas for the proof: contagious vanishing

## Theorem

*If  $\alpha_1$  is any first quasi-Betti number for sheaves of  $\mathbb{F}$ -vector spaces on a graph  $G$ , and if  $\alpha_1(\mathcal{F}) = 0$  for such certain sheaf  $\mathcal{F}$ , then for any subgraph  $G'$  of  $G$  it holds that  $\alpha_1(\mathcal{F}_{G'}) = 0$ .*

## Proof.

Consider the short exact sequence

$$0 \rightarrow \mathcal{F}_{G'} \rightarrow \mathcal{F} \rightarrow \mathcal{F}/\mathcal{F}_{G'} \rightarrow 0.$$

The triangularity of the sequence  $0, \alpha_1(\mathcal{F}_{G'}), \alpha_1(\mathcal{F}), \dots$  implies the result. □



## Ideas for the proof: contagious vanishing (continued)

To establish the SHNC in its graph-theoretic form, one proves first that the maximum excess is a first quasi-Betti number. Then one considers certain exact sequences

$$0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$$

where  $\mathcal{F}_1$  is a so-called  $\rho$ -kernel. It is proved then that the maximum excess of a generic  $\rho$ -kernels vanish, which in turn implies that  $\text{m.e.}(\mathcal{F}_2) \leq \text{m.e.}(\mathcal{F}_3)$ .

For any subgraph  $G' \subset G$ , one can prove that tensoring with the sheaf  $\underline{\mathbb{F}}_{G'}$  is an exact functor i.e. there are also short exact sequences

$$0 \rightarrow \mathcal{F}_1 \otimes \underline{\mathbb{F}}_{G'} \rightarrow \mathcal{F}_2 \otimes \underline{\mathbb{F}}_{G'} \rightarrow \mathcal{F}_3 \otimes \underline{\mathbb{F}}_{G'} \rightarrow 0.$$

In view of the last theorem and the remarks above, one gets the stronger statement  $\text{m.e.}((\mathcal{F}_2)_{G'}) \leq \text{m.e.}((\mathcal{F}_3)_{G'})$ —recall that  $\mathcal{F}_1 \otimes \underline{\mathbb{F}}_{G'} = (\mathcal{F}_1)_{G'}$ .

# The maximum excess is a first quasi-Betti number?

To prove this, Friedman shows that the maximum excess of a sheaf  $\mathcal{F}$  on  $G$  can be computed as a *twisted cohomology* of the sheaf  $\varphi^*\mathcal{F}$  provided one has a “sufficiently good” covering map  $\varphi : G' \rightarrow G$ .

# Twisted cohomology

Let  $\mathbb{F}'$  be a field extension of  $\mathbb{F}$ , and  $\psi: E_G \rightarrow \mathbb{F}'$  a function. By a twisting of  $\mathcal{F} \in \widehat{\mathcal{G}}$  by  $\psi$ , we mean a sheaf of  $\mathbb{F}'$ -vector spaces  $\mathcal{F}^\psi$  such that  $\mathcal{F}^\psi(g) = \mathcal{F}(g) \otimes_{\mathbb{F}} \mathbb{F}'$ , for each object  $g$ , and  $\mathcal{F}^\psi(h, e) = \mathcal{F}(h, e)$ ,  $\mathcal{F}^\psi(t, e) = \psi(e)\mathcal{F}(t, e)$ .

In particular,  $\psi$  can be seen as  $|E_G|$  indeterminates, in which case  $\mathbb{F}'$  is taken to be  $\mathbb{F}(\psi)$ , the field of rational functions in the  $\psi(e)$ . The differential  $d = d_{\mathcal{F}^\psi}$  is a morphism of finite dimensional vector spaces over  $\mathbb{F}(\psi)$ .

## Definition

The  $i$ -th twisted homology group is  $H_i^{\text{twist}}(\mathcal{F})$ , for  $i = 0, 1$ , is respectively the cokernel and kernel of  $d_{\mathcal{F}^\psi}$ .

There is an analogous short/long exact sequences theorem, hence the Betti numbers  $h_i^{\text{twists}}$  also give a triangular sequence.

$h_1^{\text{twist}}(\mathbb{F}) = \rho(G)$ . In turn,  $h_0^{\text{twist}}(\mathbb{F}) = h_1^{\text{twist}}(\mathbb{F}) + \chi(\mathbb{F}) = \rho(G) + \chi(G)$  is the number of acyclic components of  $G$ .

# The fundamental theorem

## Theorem

For any sheaf  $\mathcal{F}$  on a digraph  $G$ , let  $\mu: G'' \rightarrow G$  be a covering map where the Abelian girth is at least

$$2(\dim \mathcal{F}(V) + \dim \mathcal{F}(E)) + 1.$$

Then

$$h_1^{\text{twist}}(\mu^* \mathcal{F}) = \text{m.e.}(\mu^* \mathcal{F}).$$

Recall that if  $0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$ , the same is true for  $0 \rightarrow \mu^* \mathcal{F}_1 \rightarrow \mu^* \mathcal{F}_2 \rightarrow \mu^* \mathcal{F}_3 \rightarrow 0$ .

Friedman also proves that  $\text{m.e.}(\mu^* \mathcal{F}) = \text{m.e.}(\mathcal{F}) \deg(\mu)$  using Galois theory of graphs.

Since  $h_1^{\text{twist}}$  is a first Betti number, one gets a triangular sequence involving the  $\mu^* \mathcal{F}_i$ , and normalization by  $\deg(\mu)$  shows that the same holds for the maximum excess of the  $\mathcal{F}_i$ s.

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# Sheaves on topological spaces

Given a topological space  $(X, \tau)$ , let  $\mathcal{O}(X)$  be the category whose objects are  $\tau$  and whose arrows are inclusions.

A **sheaf** on  $X$  is a functor  $F : \mathcal{O}(X)^{op} \rightarrow \mathbf{Sets}$  such that:

- 1 for any open covering  $\{U_i\}_i$  of an open set  $U$ , if  $f, g \in \mathcal{F}(U)$  are such that  $f|_{U_i} = g|_{U_i}$  for each  $U_i$ , then  $f = g$ , and
- 2 for any open covering  $\{U_i\}_i$  of an open set  $U$ , if  $\{f_i \in \mathcal{F}(U_i)\}_i$  is given such that  $f_i|_{U_i \cap U_j} = f_j|_{U_i \cap U_j}$  for every pair  $(i, j)$ , then there is  $f \in \mathcal{F}(U)$  such that  $f|_{U_i} = f_i$  for each  $i$ .

A **subsheaf** of a sheaf  $\mathcal{F}$  is a subfunctor of  $\mathcal{F}$  that is itself a sheaf.

The full subcategory of  $\widehat{\mathcal{O}(X)}$  made of sheaves is denoted  $\mathbf{Sh}(X)$  or  $\widehat{\mathcal{O}(X)}$ . It has a terminal object,  $1$ , that associates to every open  $U$  the singleton  $\{*\}$  and to every inclusion the identity map. Remark that  $1$  is a representable functor,  $1 = \mathbf{h}_X$ .

# Inclusion of $\mathcal{O}(X)$ in $\text{Sh}(X)$

From  $\text{Sh}(X)$  one can recover the lattice  $\mathcal{O}(X)$  of open subsets of  $X$  as the lattice of *subsheaves* of the terminal sheaf  $1$ .

Indeed, any open set  $U$  determines, by the Yoneda embedding, a subfunctor  $\mathfrak{h}_U$  of  $1$ , and it is easy to verify that it is a sheaf. Conversely, if  $\mathcal{F} \hookrightarrow 1$  is a monomorphism, then  $\mathcal{F} = \mathfrak{h}_W$ , where  $W = \bigcup \{U \in \mathcal{O}(X) \mid \mathcal{F}(U) = \{*\}\}$ , which is clearly an open set that is mapped by  $\mathcal{F}$  to  $\{*\}$  by definition of a sheaf.

Thus we can recover  $X$  itself provided that each point is determined by its open neighborhoods. For instance, if  $X$  is Hausdorff. (The precise condition is being *sober*.)

# Graphs as usual topological spaces

Let  $G$  be a graph *without self loops*. Then  $\text{Top}_G = \{\text{subgraphs of } G\}$  defines a topology on  $V_G \sqcup E_G$ .

An open set is called irreducible if it cannot be written as a union of its proper open subsets. The irreducible opens of  $(V_G \sqcup E_G, \text{Top}_G)$  are the vertexes  $\{v\}$  and the sets  $\{te, e, he\}$ .

If every open can be written as a union of irreducible opens, a sheaf in the usual sense is determined by its values on these irreducibles. So we recover the definition above.

But here is the problem: if  $G$  is a category with one vertex  $v$  and one loop  $e$ , then the resulting topological space has trivial  $H^1$ . This is because one only gets *one* arrow from  $\{v\}$  to  $\{v, e, v\} = \{v, e\}$ . This is always the case in topological spaces, because opens form a poset.



# Beyond topology

As we saw, a sober topological space  $X$  can be recovered from the category  $\text{Sh}(X)$ . Based on this result, Grothendieck and his school introduced a vast generalization of point-set topology. The idea is to introduce a notion of topology on an arbitrary category  $\mathbf{C}$  (nowadays known as *Grothendieck topologies*) and to give a general definition of sheaf in that setting. Of course, the definitions must coincide with the former ones when  $\mathbf{C} = \mathcal{O}(X)$ .

# Beyond topology

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In the topological case, a possible Grothendieck topology associates to every open  $U$  of  $X$  the set  $J(U)$  of all the open coverings of  $U$ . Remark that:

- 1 Every open cover  $\{U_i\}$  of  $U$  can be pulled-back under an inclusion  $\iota: V \rightarrow U$  to get an open cover  $\{V \cap U_i\}_i$  of  $V$ ;
- 2 If each open set of an open cover  $\{U_i\}_i$  of  $U$  is covered by opens  $\{V_j^i\}_j$  (relative to  $U$ ), then  $\{V_j^i\}_{i,j}$  is an open covering of  $U$ ;
- 3 For every  $U$ , the set  $\{U\}$  is an open covering.

Remark that for an irreducible open  $U$ ,  $J(U) = \{U\}$ .

Given a category  $\mathbf{C}$  and an object  $U$ , a **sieve** on  $U$  is a subfunctor  $\mathcal{S}$  of  $\mathcal{h}_U$ . It generalizes the concept of *open covering*: given an open covering  $\{U_i\}$  of an open  $U$ , the associated sieve  $\mathcal{S} : \mathcal{O}(X)^{op} \rightarrow \mathbf{Sets}$  satisfies  $\mathcal{S}(V) = \{*\}$  iff  $V \subset U_i$  for some  $i$ . (Remark that the sieve determines a subcategory of  $\mathbf{C}$ .)

## Definition ([1, Ex. II, Def. 1.1] or [5, Definition 00Z4])

A topology on  $\mathbf{C}$  associates to every  $U \in \text{Ob } \mathbf{C}$  a set  $J(U)$  of sieves on  $U$  such that:

- 1 For every morphism  $f : \mathcal{h}_U \rightarrow \mathcal{h}_V$  and every element  $\mathcal{S} \in J(U)$ , the pullback  $\mathcal{S}' \times_{\mathcal{h}_U} \mathcal{h}_V$  belongs to  $J(V)$ ;
- 2 For all  $U \in \text{Ob } \mathbf{C}$  and all sieves  $\mathcal{S}, \mathcal{S}'$  on  $U$ , if  $\mathcal{S} \in J(U)$  and for all  $(f : V \rightarrow U) \in \mathcal{S}(V)$  the pullback  $\mathcal{S}' \times_{\mathcal{h}_U} \mathcal{h}_V \in J(V)$ , then  $\mathcal{S}' \in J(U)$ ;<sup>a</sup>
- 3 For every  $U \in \text{Ob } \mathbf{C}$ , the maximal sieve  $\mathcal{h}_U$  belongs to  $J(U)$ .

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<sup>a</sup>The morphism  $\tilde{f} : \mathcal{h}_V \rightarrow \mathcal{h}_U$  is the image of  $f$  under the Yoneda embedding.

In particular,  $J(U) = \{\mathcal{h}_U\}$  defines a topology called chaotic or *grossière*.

## Definition ([1, Ex. 2, Def. 2.1] or [5, Definition 00Z8])

Let  $(\mathbf{C}, J)$  be a Grothendieck topology. A presheaf  $\mathcal{F}$  is separable (resp. a **sheaf**) if for every object  $U$  of  $\mathbf{C}$  and every sieve  $\mathcal{S} \in J(U)$ , the map

$$\mathrm{Hom}_{\hat{\mathbf{C}}}(\mathcal{h}_U, \mathcal{F}) \rightarrow \mathrm{Hom}_{\hat{\mathbf{C}}}(\mathcal{S}, \mathcal{F})$$

given by precomposition with  $\mathcal{S} \hookrightarrow \mathcal{h}_U$  is an injection (resp. bijection).

If  $J$  is the *grosnière* topology, then every presheaf is a sheaf.

## Definition ([1, Ex. 4, Def. 1.1])

A category  $\mathbf{T}$  is called a **topos** if it is equivalent to the category of sheaves on a Grothendieck topology  $(\mathbf{C}, J)$ .

# Back to graphs

The sheaves on  $\mathbf{G}$  according to Friedman's definition are precisely the sheaves on  $\mathbf{G}$  equipped with the *grossière* topology.

Then every object of  $\mathbf{G}$  is *gross* or irreducible. The sieve associated to a vertex  $\{v\}$ , seen as a subcategory of  $\mathbf{G}$ , only contains  $\{v\}$ , but the sieve  $\mathcal{h}_e$  associated to an edge  $e$  also contains the head and tail of  $e$

So one might say that irreducible opens are either a vertex or an edge with its endpoints (which could also be a loop). A general subgraph is a colimit of representable sheaves  $\mathcal{h}_X$ .

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It is certainly very difficult to say what *is* homology or cohomology, since it appears under many different flavors in many different contexts.

A traditional algebraic viewpoint, also introduced by Grothendieck in [3], regards (co)homology as a measure of the inexactness of a functor.

A category is *abelian* if the usual operations common to the categories of abelian groups and modules have a meaning (addition of morphisms, kernels, cokernels, etc.). A functor between abelian categories is *exact* if it maps short exact sequences to exact sequences. Many functors are *not* exact. For instance, if  $0 \rightarrow G_1 \rightarrow G_2 \rightarrow G_3 \rightarrow 0$  is a short exact sequence of abelian groups and  $G$  is an arbitrary abelian group, one only has

$$0 \rightarrow \text{Hom}(G_3, G) \rightarrow \text{Hom}(G_2, G) \rightarrow \text{Hom}(G_1, G).$$

The derived functors of  $\text{Hom}(-, G)$ , called  $\{\text{Ext}^i(-, G)\}_{i \geq 1}$ , allow us to continue such exact sequence, in principle indefinitely to the right

$$0 \rightarrow \text{Hom}(G_3, G) \rightarrow \text{Hom}(G_2, G) \rightarrow \text{Hom}(G_1, G) \rightarrow \text{Ext}^1(G_3, G) \rightarrow \\ \text{Ext}^1(G_2, G) \rightarrow \text{Ext}^1(G_1, G) \rightarrow \text{Ext}^2(G_3, G) \rightarrow \cdots \quad (3)$$








The category  $\widehat{\mathbf{G}}_{\mathbb{F}}$  of sheaves of  $\mathbb{F}$ -vector spaces on  $\mathbf{G}$  is abelian; as we saw, the abelian operations are performed “object-wise”.

Friedman's homology of a sheaf  $\mathcal{F}$  in  $\widehat{\mathbf{G}}_{\mathbb{F}}$  is

$$H_i(\mathbf{G}, \mathcal{F}) := (\text{Ext}^i(\mathcal{F}, \underline{\mathbb{F}}))^*,$$

where  $*$  denotes duality.

An injective resolution of  $\underline{\mathbb{F}}$  gives the explicit formulae that we used above.

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