

I will present 3 arithmetic algebraisation Theorems

$$\overset{3}{A} \Rightarrow \overset{1}{B} \Rightarrow \overset{2}{C}$$

Theorem B is an algebraisation theorem for foliations on varieties over number fields, and theorem C is its corollary for algebraic groups, where the foliation is given by a Lie-subalgebra. Theorem A is an algebraisation theorem for formal subschemes.

Examples come along the way, and I try to explain the proof of ~~the~~ theorem A in a special, simplified Setup. All theorems are in the reference BOS01.

Theorem B Let  $X$  be a smooth variety over a number field  $k$ , let  $F \subseteq T_X$  be an involutive subbundle and let  $P \in X(k)$  be a point. The formal leaf of  $F$  through  $P$  is algebraic if the following conditions are satisfied.

**B1** Choose a model  $(X, \mathcal{F}, \mathcal{P})$  of  $(X, F, P)$  over some open  $U \subseteq \text{spec } \mathcal{O}_k$ . For almost all  $p \in U$  the subbundle  $F_p$  of  $T_{X_p}$  is stable under  $p$ -th powers ( $p = \text{char } \mathcal{O}_k/p$ )

**B2** For some  $k \hookrightarrow \mathbb{C}$ , the analytic leaf of  $F$  through  $P_0$  contains an open nbhd of  $P_0$  which has the Lozanille property.

If you do not like the Liouville property, B2. can be replaced by

**B2'** For some  $k \hookrightarrow \mathbb{C}$  there exists a complex algebraic variety  $U$ , a point  $0 \in U$  and a holomorphic map  $U(\mathbb{C}) \xrightarrow{u} X_{\mathbb{C}}(\mathbb{C})$  with  $u(0) = P_0$ , mapping a neighborhood of  $0$  biholomorphically onto the germ of  $F_0$  at  $P_0$ .

As an illustration, and as a review of material, let us consider the case  $k = \text{any number field}$ ,  $X = \mathbb{A}_k^2$  with coordinates  $x, y$  and the vectorfield

$$F(x, y) = (1, ay) \quad a \in k \text{ fixed.}$$

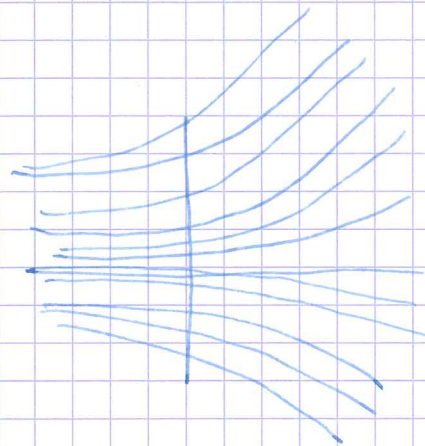
The "real picture"



Characteristic curves:

$$\begin{aligned} \gamma_c(z) &: z \mapsto (z, ce^{az}) & c \neq 0 \\ \gamma_0(z) &: (z, 0) \end{aligned}$$

The foliation



leaves of the analytic foliation are (images of) characteristic curves

$$\begin{aligned} \dot{\gamma}_c(z) &= (1, ace^{az}) \\ F(\gamma_c(z)) &= (1, ace^{az}) \end{aligned}$$

Let us choose  $P = (0, 1)$  - the analytic leaf of  $F$  through  $P$  is the image of  $X_1$ , that is, for  $\sigma: \mathbb{C} \rightarrow \mathbb{C}$

$$X_1(\mathbb{C}) = \{ (x, y) \in \mathbb{C}^2 \mid y = \exp(\sigma(a) \cdot x) \}$$

Condition  $\boxed{B2'}$  is satisfied! Good hope! Let's verify  $\boxed{B1}$ !

We must compute  $p$ -th powers modulo  $\mathfrak{p}$ , so let's look at  $F$  as a derivation:

$$\begin{aligned} \partial_F : f(x, y) &\mapsto \frac{\partial f}{\partial x}(x, y) + ay \frac{\partial f}{\partial y}(x, y) \\ x &\mapsto 1 \\ y &\mapsto ay \end{aligned}$$

So, modulo  $\mathfrak{p}$ ,  $\partial_F^p$  is the derivation given by

$$\begin{aligned} \partial_F^p & \\ x &\mapsto 0 \\ y &\mapsto a^p y \end{aligned}$$

Condition  $\boxed{B1}$  wants that  $\partial_F^p$  is a scalar multiple of  $\partial_F$  mod  $\mathfrak{p}$

$$(0, a^p y) = \lambda_{\mathfrak{p}} \cdot (1, ay) \quad \text{mod } \mathfrak{p}$$

$$\Rightarrow \lambda_{\mathfrak{p}} = 0 \quad \text{only choice}$$

$$\Rightarrow a^p \equiv 0 \quad \text{mod } \mathfrak{p} = a = 0 \quad \text{mod } \mathfrak{p}$$

So  $\boxed{B1}$  is satisfied iff  $a \in \mathfrak{p}$  for almost all  $\mathfrak{p}$ , but that happens only if  $a = 0$ .

Conclusion: The formal leaf of  $F$  through  $P = (0, 1)$ , i.e. the graph of the exponential function  $z \mapsto \exp(az)$  is algebraic iff  $a = 0$ . Big surprise.

Let us specialise to the case where  $X$  is an algebraic group (not affine, without losing a lot of generality commutative)

Theorem C Let  $G$  be an alg. group over  $k$ , and let  $\mathfrak{h}$  be a Lie-subalgebra of  $\mathfrak{g} = \text{Lie}(G)$ . If the following two conditions are satisfied, then there exists an alg. subgroup  $H \subseteq G$  with  $\text{Lie}(H) = \mathfrak{h}$ .

C1 For almost all  $\mathfrak{p} \in \text{spec } \mathcal{O}_k$ , the Lie-algebra  $(\mathfrak{h} \bmod \mathfrak{p}) \subseteq (\mathfrak{g} \bmod \mathfrak{p})$  is stable under the  $p$ -th power map.

C2 Seagulls are noisy.

(and conversely, if  $\mathfrak{h} = \text{Lie}(H)$ , then C1 and C2 hold)

I give an other, somewhat more satisfactory illustration. Consider the group  $G = \mathbb{G}_m \times \mathbb{G}_m$  over  $k$ . With coordinates  $x, y$ , the Lie-algebra of  $G$  is the  $k$ -v.s.p. gen. by  $x \partial_x$  and  $y \partial_y$ . Consider a linear subspace  $\mathfrak{h}$ , generated by  $x \partial_x + a y \partial_y$ ,  $a \in k$ . As a derivation,  $x \mapsto x$ ,  $y \mapsto ay$ . Stability under  $p$ -th powers:  $a^p = a$  i.e.  $a \in \mathbb{F}_p \subseteq \mathcal{O}_k / \mathfrak{p}$  for all  $\mathfrak{p}$ . The 'leaf' through  $(1, 1) \in G$  is the image of  $z \mapsto (\exp(z), \exp(az))$  which is algebraic iff  $a \in \mathbb{Q}$ . Consequence (Kronecker, 1820) An alg. number  $a \in k \subseteq \overline{\mathbb{Q}}$  is rational iff  $\forall \mathfrak{p} [a] \in \mathcal{O}_k / \mathfrak{p}$  is in  $\mathbb{F}_p$  for a. all  $\mathfrak{p}$ .

After this, let us set up the stage for Theorem A. We need the notion of ~~size~~ <sup>p-adic size</sup> of a smooth formal subscheme of a variety  $X/K$ . Fix a prime number  $p$ .

Let  $K/\mathbb{Q}_p$  be a finite field extension. We want to define the size of a formal subscheme  $\hat{V} \subseteq \hat{\mathbb{A}}_K^d$ . If  $\hat{V}$  is given by the image of

$$u_g : \hat{\mathbb{A}}_K^v \xrightarrow{(g_1, \dots, g_d)} \hat{\mathbb{A}}_K^d \quad u_g = \text{power series in } v \text{ variables}$$

then the size of  $\hat{V}$  should be large if  $u_g$  have large radii of convergence, and small (even zero) if not. Make this intrinsic.

For a power series  $g \in K[[x_1, \dots, x_d]]$ , say  $g = \sum_{\underline{n} \in \mathbb{N}^d} a_{\underline{n}} x^{\underline{n}}$ , set

$$\|g\|_r := \sup \{ |a_{\underline{n}}| \cdot r^{|\underline{n}|} \mid \underline{n} \in \mathbb{N}^d \} \in \mathbb{R}_{\geq 0} \cup \{+\infty\}$$

An automorphism of  $\hat{\mathbb{A}}_K^d$  consists of  $d$  series  $(g_1, \dots, g_d) = g$  with  $g(0) = 0$  and  $Dg(0) \in GL_d(K)$ . Set, for  $r > 0$ ,

$$G(r) := \{ g \in \text{Aut}(\hat{\mathbb{A}}_K^d) \mid \|g_i\|_r \leq r \text{ for } 1 \leq i \leq d \}$$

= "Automorphisms of the open ball of radius  $r$  fixing the origin"

Have  $G(r) \subseteq G(r') \dots \subseteq G(0)$   
 $r \geq r'$

← There is a mistake with  $G(0)$ . Better look at Bost's original

For a formal smooth subscheme  $\hat{V}$  of  $\hat{\mathbb{A}}_K^d$  there exists  $g \in G(0)$  with  $g^*(\hat{V}) = \hat{\mathbb{A}}_K^v \times \{0\}$ ,  $v = \dim \hat{V}$ .

Definition The size of  $\hat{V} \subseteq \hat{\mathbb{A}}_k^d$ , smooth formal subscheme, is

$$R(\hat{V}) := \sup \{ r \in [0, 1] \mid \text{there exists } g \in \mathcal{O}(r) \text{ with } g^*(\hat{V}) = \hat{\mathbb{A}}_k^d \times 0 \}$$

Extend this to formal subschemes of varieties, so far: let  $X/\text{spec } \mathcal{O}_k$  be an  $\mathcal{O}_k$ -scheme o.f.t.,  $\mathcal{P} \in X(\mathcal{O}_k)$ , and  $\hat{V} \subseteq \hat{X}_{\mathcal{P}}$  a smooth formal subscheme. Choose an open nbhd  $U$  of  $\mathcal{P}$  in  $X$  and  $U_0 \xrightarrow{i} \hat{\mathbb{A}}_{\mathcal{O}_k}^d$  mapping  $\mathcal{P}$  to 0. Then define the size of  $\hat{V}$  as the size of  $i(\hat{V})$ . This is independent of choices. (but  $\mathcal{P}, X$  fixed, not just  $X, \mathcal{P}$ ).

Note: If  $\hat{V} \subseteq \hat{X}_{\mathcal{P}}$  extends to a formal subscheme  $\hat{U}$  of  $\hat{X}_{\mathcal{P}}$ , smooth along  $\mathcal{P}$ , then  $R(\hat{V}) = 1$ .

Note: Suppose  $\hat{V}$  is given by the graph of a power series. The convergence radius of the series is only an upper bound for the size of  $\hat{V}$ .

Note Although  $R(\hat{V})$  depends on  $X, \mathcal{P}$ , the fact of  $R(\hat{V}) > 0$  or  $= 0$  only depends on  $(X, \hat{V}, \mathcal{P})$ .

Theorem A Let  $X/k$  be an alg. variety,  $P \in X(k)$ , and let  $\hat{V}$  be a formal subscheme of  $X$  at  $P$ .

There exists an algebraic subvariety  $Y \subseteq X$  such that  $P \in Y(k)$  and  $\hat{V}$  is a branch of  $Y$  at  $P$  if the following conditions hold.

**A1** For every  $\mathfrak{p} \in \text{spec } \hat{\mathcal{O}}_P$ , the size  $R_{\mathfrak{p}}(\hat{V})$  is  $> 0$  and the sum

$$\sum_{\text{almost all } \mathfrak{p}} -\log_2(R_{\mathfrak{p}}(\hat{V})) \text{ is finite.}$$

**A2** For every  $k \xrightarrow{\sigma} \mathbb{C}$ ,  $\hat{V}_{\sigma} \subseteq X_{\sigma}$  is the germ of an analytic submanif. of  $X_{\sigma}(\mathbb{C})$  and for one  $k \xrightarrow{\sigma_0} \mathbb{C}$ , there exists a  $\mathbb{C}$ -manifold  $M$  having the Liouville property,  $0 \in M$  and a holomorphic map  $u: M \rightarrow X_{\sigma_0}(\mathbb{C})$ ,  $u(0) = P_{\sigma_0}$ , sending a nbh. of  $0$  bihol. to the germ of leaf of  $\hat{V}_{\sigma_0}$  through  $P_{\sigma_0}$ .

The conditions **A1** and **A2** can be summarised in a positivity statement ( $\text{deg}(\hat{V}) > 0$ ). The conditions "for every" in **A1** and **A2** can be reformulated as:  $\hat{V}$  is analytic at every place. This is necessary for  $\hat{V}$  to be algebraic.

Elements of the proof, under simplifying hypotheses.

\*  $\hat{V} \subseteq \hat{X}_D \subseteq X$  is a smooth formal germ of curve. This is the most serious assumption - avoids technicalities of symmetric powers

\*  $X$  is projective, and comes with an ample line bundle  $L$ , and  $\hat{V}$  is dense in  $X$ . (replace  $X$  by the Zariski-closure of  $\hat{V}$  in  $\mathbb{P}^N$ , check that hyp. remain intact)

\*  $k = \mathbb{Q}$ . Simplifies language.  $\deg = \hat{\deg}$  normalized

As previously in other contexts, set:

$$E_D := \Gamma(X, L^{\otimes D}) \quad \text{- a f.d. } \mathbb{Q}\text{-vsp}$$

$$\gamma_D := E_D \xrightarrow{\text{eval.}} \Gamma(V_i, L^{\otimes D})$$

$$E_D^i := \ker(\gamma_D^{i+1})$$

We get a filtration  $E_D^{i+1} \subseteq E_D^i \subseteq \dots$  of  $E_D$ . The map  $\gamma_D^i$  is injective for large  $D, i$ , so it's an exhausting filtration.

$$\begin{aligned} X_D^i & \xrightarrow{\gamma_D^i} E_D^i / E_D^{i+1} \longrightarrow \ker(\Gamma(V_i, L^{\otimes D}) \rightarrow \Gamma(V_{i-1}, L^{\otimes D})) \\ & \cong T_{\hat{V}}^{\otimes -i} \otimes L_D^{\otimes D} \end{aligned}$$



Lemma 1 If  $\hat{V}$  is not algebraic, then

$$\lim_{D \rightarrow \infty} \sum_{i \geq 0} \binom{i}{D} \cdot \frac{\text{rank}(E_D^i / E_D^{i+1})}{\text{rank}(E_D)} \longrightarrow +\infty$$

Note:  $\text{rank}(E_D) = \text{rank} \Gamma(X, L^{\otimes D}) \sim D^{\dim X}$  as  $D \rightarrow \infty$ . If we manage to show that the average value of  $i/D$  in the  $\neq 0$  terms stays bounded, then  $\hat{V}$  is algebraic.

This lemma is "only" algebraic geometry. As usually, an inequality  $\dim(\hat{V}^{\text{zar}}) \leq \dim(\hat{V})$  ( $\Leftrightarrow$  an equality) follows from boundedness of the RHS in the statement

To link hypotheses of Thm A and ranks, use Arakelov setting.

We choose:

\* A model  $\mathcal{X}, \mathcal{L}, \mathcal{P}$  of  $X, L, P$  over  $\text{spec } \mathbb{Z}$ ,  
a metric on  $\mathcal{L}$ , so  $\bar{\mathcal{L}} = \text{hermitian line bundle}$

\* A positive Lebesgue-measure on  $X(\mathbb{C})$ , inv. under conjugation.

\* A norm  $\|\cdot\|_0$  on the tangent line  $T_{\mathbb{P}^1 \mathbb{C}} \cong \mathbb{C}$ .  $\leadsto \bar{\mathbb{Z}} = (\mathbb{Z}, \|\cdot\|_0)$

Input from analysis: On  $T_{\mathbb{P}^1 \mathbb{C}}$  we may define the  
'canonical seminorm'

$$\|\cdot\|_{\text{can}} = \exp \left( \limsup_{i_0 \rightarrow \infty} \frac{1}{i} \log \|\gamma_{D, i}^i\| \right) \cdot \|\cdot\|_0$$

where  $\|\gamma_{D, i}^i\|$  is the operator norm of  $\gamma_{D, i}^i: E_{D, \mathbb{C}}^i \rightarrow T_{\mathbb{P}^1 \mathbb{C}}^{\otimes -i} \otimes L_{\mathbb{P}^1 \mathbb{C}}^{\otimes D}$ ,

$\rightarrow$  on  $E_{D, \mathbb{C}}^i$  take  $L^2$ -norm.

$\rightarrow$  on  $T_{\mathbb{P}^1 \mathbb{C}}^{\otimes -i}$  and  $L_{\mathbb{P}^1 \mathbb{C}}^{\otimes D}$  take what was given.

Hypothesis A2:  $\limsup(\cdot)$  is very negative. Precisely, there  
exist  $\lambda > 0$  and  $d > 0$  s.t. for any  $(D, i)$  with  $i > \lambda D$

$$\text{deg } \bar{\mathcal{L}} + \sum_{p \text{ prime}} \log(R_p(\hat{\nu})) - \frac{1}{i} \log \|\gamma_{D, i}^i\| \geq d.$$

Fix such  $d, \lambda$ .

Aside. The introduction  $\|\cdot\|_{\text{can}}$  was uncalled for. However, we could stress now analogies with Andreotti-Hartshorne: Equipping  $t = \mathcal{O}_X$  with metrics  $\|\cdot\|_{\text{can}}$  and  $R_p(\mathcal{V})^{-1} \cdot 1_p$ , the hypothesis  $[A1]$ ,  $[A2]$  summarises to, essentially,

$$\hat{\deg}(t, \|\cdot\|_{\text{can}}, R_p^{-1}(\mathcal{V}) \cdot 1_p) > 0.$$

this is not a Herm. v.b.

I write down the slope estimation:  $\bar{E}_D = E_D$  with  $L^2$ -norm.

$$-c \cdot D \leq \hat{\mu}(\bar{E}_D) \leq \frac{1}{\text{rank}(E_D)} \sum_{i \geq 0} \text{rank}\left(\frac{E_D^i}{E_D^{i+1}}\right) \cdot \left[ \hat{\deg}(\bar{E}^{\otimes -i} \otimes \bar{\mathcal{L}}_p^{\otimes D}) + \text{height}(X_D^i) \right]$$

$$= \frac{1}{\text{rank}(E_D)} \sum_{i \geq 0} \text{rank}\left(\frac{E_D^i}{E_D^{i+1}}\right) \left[ -i \hat{\deg}(t) + D \cdot \hat{\deg}(\bar{\mathcal{L}}_p) + \text{height}(X_D^i) \right]$$

can take this out

Where  $X_D^i$  is the morphism of hermitian v.b.  $\bar{E}_D^i \rightarrow \bar{E}^{\otimes -i} \otimes \bar{\mathcal{L}}_p^{\otimes D}$  "giving  $i$ -th Taylor coefficients".

$$\text{height}(X_D^i) = \sum_p \log(\underbrace{\text{Operatornorm of } X_D^i \otimes \mathcal{O}_p}_{\leq R_p(\hat{\mathcal{V}})^{-i}}) + \underbrace{\log \|X_{D,c}^i\|}_{\leq \alpha i + \beta D}$$

hence

$$\frac{1}{\text{rank}(E_D)} \sum_{0 \leq i \leq \lambda D} \text{rank}\left(\frac{E_D^i}{E_D^{i+1}}\right) \left[ -i \hat{\deg}(t) + D \hat{\deg}(\bar{\mathcal{L}}_p) + \text{height}(X_D^i) \right]$$

$$\leq \frac{1}{\text{rank}(E_D)} \sum_{0 \leq i \leq \lambda D} \text{rank}\left(\frac{E_D^i}{E_D^{i+1}}\right) \left[ -i \hat{\deg}(t) + D \hat{\deg}(\bar{\mathcal{L}}_p) - i \left( \sum_p R_p(\hat{\mathcal{V}})^{-1} \right) + \alpha i + \beta D \right] \leq c(\lambda) D$$

Take that part " $i \leq \lambda D$ " away from the slope inequality:

$$-cD \leq D \cdot \hat{\deg}(\bar{L}_p) + c(\lambda) \cdot D + \frac{1}{\text{rank}(E_D)} \sum_{i > \lambda D} \text{rank} \left( \frac{E_D^i}{E_D^{i\tau}} \right)$$

$$\cdot [-i \hat{\deg}(\bar{L}) + \text{height}(X_D^i)]$$

Our choice of  $\lambda$ , and estimation of height by  $R_p$

$$-i \hat{\deg}(\bar{L}) + \text{height}(X_D^i) \leq -id$$

Hence

$$\frac{1}{\text{rank}(E_D)} \sum_{i > \lambda D} \left( \frac{i}{D} \right) \cdot \text{rank} \left( \frac{E_D^i}{E_D^{i\tau}} \right) \leq \frac{c + c(\lambda) + \hat{\deg}(\bar{L}_p)}{d}$$

( $\geq 0$ )

indep. on  $D!$

(+2)

So we are back to algebraic geometry.