# ULTRAMETRIC PROPERTIES FOR VALUATION SPACES OF NORMAL SURFACE SINGULARITIES

### EVELIA GARCÍA BARROSO, PEDRO GONZÁLEZ PÉREZ, PATRICK POPESCU-PAMPU, AND MATTEO RUGGIERO

ABSTRACT. Let L be a fixed branch – that is, an irreducible germ of curve – on a normal surface singularity X. If A, B are two other branches, define  $u_L(A, B) := \frac{(L \cdot A) (L \cdot B)}{(L \cdot B)}$ . where  $A \cdot B$  denotes the intersection number of A and B. Call X arborescent if all the dual graphs of its resolutions are trees. In a previous paper, the first three authors extended a 1985 theorem of Ploski by proving that whenever X is arborescent, the function  $u_L$ is an *ultrametric* on the set of branches on X different from L. In the present paper we prove that, conversely, if  $u_L$  is an ultrametric, then X is arborescent. We also show that for any normal surface singularity, one may find arbitrarily large sets of branches on X, characterized uniquely in terms of the topology of the resolutions of their sum, in restriction to which  $u_L$  is still an ultrametric. Moreover, we describe the associated tree in terms of the dual graphs of such resolutions. Then we extend our setting by allowing L to be an arbitrary semivaluation on X and by defining  $u_L$  on a suitable space of semivaluations. We prove that any such function is again an ultrametric if and only if X is arborescent, and without any restriction on X we exhibit special subspaces of the space of semivaluations in restriction to which  $u_L$  is still an ultrametric.

#### CONTENTS

Introduction	2
1. Ultrametric distances on finite sets of branches	5
1.1. Mumford's intersection number of divisors	6
1.2. The angular distance	10
1.3. A reformulation of the ultrametric problem	12
1.4. A theorem about special metrics on the set of vertices of a graph	15
1.5. Applications to finite sets of branches on normal surface singularities	22
1.6. An ultrametric characterization of arborescent singularities	23
2. Ultrametric distances on valuation spaces	25
2.1. Semivaluation spaces of normal surface singularities	25
2.2. B-divisors on normal surface singularities	27
2.3. Ultrametric distances on semivaluation spaces of arborescent singularities	29
2.4. $\mathbb{R}$ -trees and graphs of $\mathbb{R}$ -trees	32
2.5. Bricks and the brick-vertex tree of a graph of $\mathbb{R}$ -trees	38
2.6. Semivaluation spaces as graphs of $\mathbb{R}$ -trees	42
References	46

Date: 4 February 2018.

Key words and phrases. Arborescent singularity, B-divisor, Birational geometry, Block, Brick, Cutvertex, Cyclic element, Intersection number, Normal surface singularity, Semivaluation, Tree, Ultrametric, Valuation.

### INTRODUCTION

Let X be a normal surface singularity, which will mean for us throughout the paper a germ of normal complex analytic surface. A branch on it is an irreducible germ of formal curve on X. In his 1985 paper [37], Ploski proved a theorem which may be reformulated in the following way:

If X is smooth, then the map which associates to any pair (A, B) of branches on it the quotient

$$\frac{m(A)\ m(B)}{A\cdot B}$$

of the product of their multiplicities by their intersection number, is an ultrametric on the set of branches on X.

Płoski's proof was computational, using Newton-Puiseux series and their characteristic exponents.

The first three authors searched a more conceptual proof, valid if possible in a wider context. This led them to prove in [17, Theorem 4.18] that the previous theorem is not specific to *smooth* germs X, but that it could be generalized in the following form to all *arborescent singularities*, which are the normal surface singularities whose resolutions with normal crossing exceptional divisors have trees as dual graphs:

Let X be an arborescent singularity and L a fixed branch on it. Then the map  $u_L$  which associates to any pair (A, B) of branches on X the quotient

$$\frac{(L \cdot A) (L \cdot B)}{A \cdot B},$$

is an ultrametric on the set of branches on X distinct from L.

Note that on arbitrary normal surface singularities the intersection numbers are defined in the sense of Mumford [35] and may take non-integral (but still rational) values.

One may recover Płoski's theorem as a particular case of the previous one. Indeed, smooth germs X are arborescent and the ultrametric property of the quotients involved in Płoski's theorem may be tested on any finite set of branches. Then it is enough to choose a smooth branch L which is transversal to all the branches in a fixed such finite set.

Any ultrametric on a finite set has an associated finite rooted tree, whose set of vertices different from the root is identified with the closed balls relative to the ultrametric, and whose root is a supplementary vertex of valency 1. In the case of the ultrametrics  $u_L$ , this tree may be characterized in the following way (see [17, Theorem 4.20]):

Let X be an arborescent singularity and L a fixed branch on it. Let  $\mathcal{F}$  be a finite set of branches on X, containing L. Choose an embedded resolution of the sum of branches in  $\mathcal{F}$ . Then the rooted tree associated to the restriction of  $u_L$  to  $\mathcal{F} \setminus \{L\}$  is isomorphic to the convex hull of the representative vertices of the strict transforms of the branches of  $\mathcal{F}$  in the dual graph of the total transform of  $\mathcal{F}$ , rooted at the vertex representing the strict transform of L.

The main aspect of the approach of [17] was to express the intersection numbers of branches on a normal surface singularity X in terms of intersection numbers of exceptional divisors on a resolution  $X_{\pi}$  of X. What made ultimately everything work was the following inequality between the intersection numbers of the divisors of the basis  $(\check{E}_u)_u$  of the vector space of real exceptional divisors of  $X_{\pi}$  which is dual to the basis formed by the prime exceptional divisors  $(E_u)_u$ : Let X be an arborescent singularity and  $X_{\pi}$  a normal crossings resolution of it. Let  $E_u$ ,  $E_v$  and  $E_w$  be not necessarily distinct exceptional prime divisors of  $X_{\pi}$ . Then one has the inequality:

$$(-\check{E}_u \cdot \check{E}_v)(-\check{E}_v \cdot \check{E}_w) \le (-\check{E}_v \cdot \check{E}_v)(-\check{E}_u \cdot \check{E}_w),$$

with equality if and only if v separates u and w in the dual graph of  $X_{\pi}$ .

More or less at the same time as [17], Gignac and the fourth author developed in [19] foundations for the dynamical study of holomorphic endomorphisms of normal surface singularities. As a crucial ingredient in their work, they proved that the previous inequality, as well as the characterization of the case of equality were in fact true *for all normal surface singularities*.

This generalized inequality (see Proposition 1.17) is also the crucial ingredient in the present paper. Even if this alternative viewpoint on it is not used here, let us mention the following intriguing reformulation of the inequality (see Proposition 1.18), because we think that it could suggest other researches:

Let X be a normal surface singularity and  $X_{\pi}$  a normal crossings resolution of it. Let  $E_u$ ,  $E_v$  and  $E_w$  be pairwise distinct exceptional prime divisors of  $X_{\pi}$ . Then the spherical triangle determined by the duals  $\check{E}_u$ ,  $\check{E}_v$  and  $\check{E}_w$ has only acute or right angles and the angle at the vertex associated to  $\check{E}_v$ is straight if and only if v separates u and w in the dual graph of  $X_{\pi}$ .

The main results of the present paper are:

• We prove a converse of one of the main theorems of [17], which stated that  $u_L$  is an ultrametric whenever X is arborescent (see Theorem 1.44). Namely, given a normal surface singularity X and a branch L on it, then  $u_L$  is an ultrametric on the set of branches different from L only if X is arborescent. Therefore:

The normal surface singularity X is arborescent if and only if all the functions  $u_L$ , for varying branches L on X, are ultrametrics.

- We generalize the previous theorem of [17] to arbitrary normal surface singularities (see Theorem 1.40). Namely, given such a singularity X, a branch L on it and a finite set  $\mathcal{F}$  of branches on X containing L, we show that  $u_L$  is an ultrametric on this finite set whenever the dual graph of the total transform of the sum of all the branches in  $\mathcal{F}$  in an arbitrary embedded resolution satisfies a precise topological condition. It is interesting that this condition does not involve intersection numbers or genera of prime exceptional divisors. It is always satisfied when X is arborescent, which allows to recover [17, Theorem 4.18].
- We generalize Theorem 1.44 to arbitrary semivaluations on X (see Theorem 2.18). Namely, we replace the branch L, seen as a particular semivaluation (associating to an element of the local ring of X the intersection number of its divisor with L) by an arbitrary suitably *normalized* semivaluation  $\lambda$  on X, and we consider an analog  $u_{\lambda}$  of the function  $u_L$ , defined this time on the space of normalized semivaluations which are distinct from  $\lambda$ . We prove that:

The normal surface singularity X is arborescent if and only if all the functions  $u_{\lambda}$ , for varying semivaluations  $\lambda$  of X, are ultrametrics.

• We also generalize Theorem 1.40 to arbitrary semivaluations on X (see Theorem 2.51). Namely, we prove that for any normal surface singularity X, any normalized semivaluation  $\lambda$  on it, and any set  $\mathcal{F}$  (not necessarily finite) of normalized semivaluations containing  $\lambda$ , the function  $u_{\lambda}$  is an ultrametric in restriction to  $\mathcal{F}$  whenever  $\mathcal{F}$  satisfies a suitable topological condition in the space of normalized semivaluations of X.

The topological conditions involved in the statements of Theorem 1.40 and Theorem 2.51 are analogous, involving finite graphs in the first case and special types of infinite graphs in the second case. Let us explain and compare both cases.

Given a finite connected graph G, a *block* of it is a maximal connected subgraph which cannot be disconnected by the removal of a single vertex. Its *bricks* are those blocks which are distinct from edges. The other blocks are precisely the *bridges* of G, that is, those edges whose removal disconnects the graph. We associate to any connected graph G a tree  $\mathcal{BV}(G)$ , called the *brick-vertex tree* of G, whose set of vertices is the union of the set of vertices and of bricks of G. The edges of  $\mathcal{BV}(G)$  are either bridges of G or they connect a brick of G (seen as a vertex of  $\mathcal{BV}(G)$ ) to a vertex of it (seen again as a vertex of  $\mathcal{BV}(G)$ ). In our context, the importance of this construction comes from the fact that  $\mathcal{BV}(G)$  encodes the way the vertices of G get separated by an arbitrary one of them (see Proposition 1.34).

Now, given a finite set S of branches on a normal surface singularity (containing the reference branch L), we look at the dual graph of its total transform in an embedded resolution of their sum. The clue is to consider the *convex hull* of the vertices representing the strict transforms of the branches of S in the brick-vertex tree of this dual graph. We prove that:

If the convex hull  $\operatorname{Conv}(\mathcal{F})$  of the branches of  $\mathcal{F}$  in the brick-vertex tree of the dual graph of the chosen embedded resolution does not contain bricks of valency at least 4 when seen as vertices of  $\operatorname{Conv}(\mathcal{F})$ , then  $u_L$  is an ultrametric in restriction to  $\mathcal{F} \setminus \{L\}$ . Moreover, in this case the rooted tree of  $u_L$  restricted to  $\mathcal{F} \setminus \{L\}$  is isomorphic to  $\operatorname{Conv}(\mathcal{F})$ , rooted at the vertex corresponding to L.

Let us pass to the *semivaluations* of X. Compared to valuations, semivaluations may achieve the value  $+\infty$  on other elements of the local ring of X than simply 0. Allowing to work not only with valuations, but also with semivaluations, has the advantage that any branch on X has an associated semivaluation, as explained above. Also, any prime exceptional divisor of a normal crossings resolution of X has an associated semivaluation, which is in fact a valuation. Therefore, the vertices of the dual graphs of the total transforms of the sums of finite sets of branches on X embed naturally in the space of semivaluations of X. In fact, this embedding can be extended to the whole dual graph, seen as a topological space.

It is more convenient to our purpose, as it was in the model case of smooth X treated in Favre and Jonsson's book [13], to consider a space of normalized semivaluations. The normalization condition is simply to consider only semivaluations which take the value 1 on the maximal ideal of the local ring of X. It ensures that one gets a topological space of dimension 1. In this paper we describe it as the topological space associated to a graph of trees of finite type (see Proposition 2.49). We extend the notion of brick-vertex tree to such spaces (see Section 2.5). In the case of the space of normalized semivaluations, there is only a finite number of bricks, which correspond bijectively to those of the dual graph of any normal crossings resolution of X. In fact, the bricks are precisely the non-punctual cyclic elements of the space of semivaluations, also known as true cyclic elements, defined as in the classical cyclic element theory initiated by Whyburn around 1926. In Remark 2.48 we give historical details about that theory, since we believe that it is not well-known in the singularity theory community.

It is interesting to note that from the start, this theory had as one of its main objectives to describe an analogy between the set of points of a tree – or, more generally, of a *dendrite* – and the set of cyclic elements of a Hausdorff topological space. Nevertheless, it seems that our construction of brick-vertex tree is new.

Using the brick-vertex tree of the space of normalized semivaluations of X, we prove precise analogs for the functions  $u_{\lambda}$  of the results formulated in terms of brick-vertex trees of finite graphs for the functions  $u_L$  (see Section 2.6).

In Part 1 we treat the case of the functions  $u_L$  restricted to finite sets of branches, and in Part 2 the case of the functions  $u_{\lambda}$  restricted to arbitrary sets of normalized semivaluations. Both parts are divided into sections, each one of them starting with a description of its content.

In the whole paper, we deal for simplicity with *complex* normal surface singularities. Note that, in fact, our approach works for singularities which are spectra of normal 2dimensional local rings defined over fields of arbitrary characteristic. Indeed, our treatment is ultimately based on the fact that the intersection matrix of a resolution of the singularity is negative definite (see Theorem 1.2 below), a theorem which is true in this greater generality (see Lipman [31, Lemma 14.1]). For the description of semivaluation spaces associated to regular surface singularities over fields of any characteristic, we refer to Jonsson's paper [27, Section 7] – see in particular its Section 7.11 for a discussion of the specificities of non-algebraically closed base fields. Jonsson's approach can be directly generalized to any normal surface singularity defined over arbitrary fields, by applying his constructions to the sets of semivaluations centered at smooth points in any good resolution of the given singularity.

Acknowledgements. The authors would like to thank Charles Favre who, viewing their papers [17] and [19], still in progress at that time, suggested them to work together on a combination of both approaches. The third author is grateful to Norbert A'Campo for a conversation which allowed him to realize the spherical reformulation of the crucial inequality. This research was partially supported by the French grants ANR-12-JS01-0002-01 SUSI, ANR-17-CE40-0002-01 Fatou and Labex CEMPI (ANR-11-LABX-0007-01), and also by the Spanish Projects MTM2016-80659-P and MTM2016-76868-C2-1-P.

## 1. ULTRAMETRIC DISTANCES ON FINITE SETS OF BRANCHES

Let X be a normal surface singularity and L a finite branch on it. Let  $u_L$  be the function introduced by the first three authors in [17], which associates to every pair (A, B) of branches on X which are different from L the number  $(L \cdot A)(L \cdot B)(A \cdot B)^{-1}$ . In this first part of the paper we study its behaviour on finite sets of branches on X. Our main results are that  $u_L$  is an ultrametric on any such set if and only if X is *arborescent* (see Theorem 1.44) and that even when X is not arborescent, it is still an ultrametric in restriction to arbitrarily large sets of branches, which may be characterized topologically in terms of their total transform on any good resolution of their sum (see Theorem 1.40). These theorems need a certain amount of preparation, which explains the need for a subdivision of this part into six sections. The content of each section is briefly described at its beginning.

#### 1.1. Mumford's intersection number of divisors.

In this section we recall Mumford's definition of intersection number of Weil divisors on a normal surface singularity X (see Definition 1.10). This definition passes through an intermediate definition of total transform of such a divisor by a resolution of the singularity (see Definition 1.7), which in turn uses basic properties of the intersection form on such a resolution. That is why we begin the section by recalling the needed theorems about the intersection theory on resolutions of X (see Theorem 1.2 and Propositions 1.1, 1.4, 1.5). We also introduce a lot of the notations used elsewhere in the paper. The most important one for the sequel is that of bracket  $\langle u, v \rangle$  of two prime divisorial valuations u, v on X (see Definition 1.6), which may be interpreted as Mumford's intersection number of a pair of branches adapted to the two valuations (see Proposition 1.11).

In the whole paper, we fix a **normal surface singularity**  $(X, x_0)$ , that is, a germ of complex analytic normal surface. In particular, the germ is irreducible and has a representative which is smooth outside  $x_0$ . In order to shorten the notations, most of the time we will write simply X instead of  $(X, x_0)$ . We will denote by  $\mathcal{O}_X$  the local ring of X.

A **branch** on X is a germ at  $x_0$  of irreducible formal curve lying on X. The set of branches on X will be denoted by  $\overline{\mathcal{B}(X)}$ .

By a **divisor** on X we will mean an integral Weil divisor, that is, an element of the free abelian group generated by the branches on X. As usual, a **principal divisor** is the divisor (f) of a formal meromorphic function f on X, that is, of an element of the fraction field of the completion of  $\mathcal{O}_X$  relative to its maximal ideal.

A resolution of X is a proper bimeromorphic morphism  $\pi: X_{\pi} \to X$  of complex analytic spaces, such that  $X_{\pi}$  is smooth and  $\pi$  is an isomorphism over  $X \setminus \{x_0\}$ . If  $\pi: X_{\pi} \to X$  is a resolution of X, we will say that  $X_{\pi}$  is a **model** of X. The **reduced exceptional divisor of the resolution**  $\pi$  will be denoted by  $\overline{E(\pi)}$  and its set of irreducible components by  $\overline{\mathcal{P}(\pi)}$ . By **an exceptional divisor** on  $X_{\pi}$  we mean, depending on the context, either an element of the abelian group  $\overline{\mathcal{E}(\pi)_{\mathbb{Z}}}$  freely generated by the elements of  $\mathcal{P}(\pi)$ , of the associated Q-vector space  $\overline{\mathcal{E}(\pi)_{\mathbb{Q}}}$  or of the associated R-vector space  $\overline{\mathcal{E}(\pi)_{\mathbb{R}}}$ .

The irreducible components of the reduced exceptional divisors of the various resolutions of X will be called **prime exceptional divisors**. By associating to a prime exceptional divisor its corresponding integer-valued valuation on the local ring  $\mathcal{O}_X$  (that is, the vanishing order along the divisor), we may identify  $\mathcal{P}(\pi)$  with a set of divisorial valuations on the local ring  $\mathcal{O}_X$  (see Section 2.1). Therefore, denoting by  $\underline{E}_u$  the prime divisor on  $X_{\pi}$ corresponding to  $u \in \mathcal{P}(\pi)$ , we may think that u also denotes the corresponding divisorial valuation on  $\mathcal{O}_X$ . Whenever we will reason with several models at the same time, we will denote by  $E_u^{\pi}$  instead of  $E_u$  the prime divisor on the model  $X_{\pi}$  corresponding to the divisorial valuation u. But when we will work with a fixed model, for simplicity we will drop from the notations this dependency on the model.

We will say that the divisorial valuations u on  $\mathcal{O}_X$  associated to prime divisors  $E_u$ are **prime divisorial valuations**. We will denote by  $\mathcal{P}(X)$  the set of prime divisorial valuations. It is the union of the subsets  $\mathcal{P}(\pi)$  of the set of divisorial valuations of X, when  $\pi$  varies among the resolutions of X. If  $u \in \mathcal{P}(X)$  and  $X_{\pi}$  is a model such that  $u \in \mathcal{P}(\pi)$ , we say that u appears on the model  $X_{\pi}$ .

Given a resolution  $\pi$  of X, the intersection number of exceptional divisors of  $X_{\pi}$  defines a symmetric bilinear form on the vector space  $\mathcal{E}(\pi)_{\mathbb{R}}$ , called its **intersection form**. For simplicity, we will denote by  $D_1 \cdot D_2$  the intersection number of the exceptional divisors  $D_1$  and  $D_2$ , without mentioning the morphism  $\pi$  explicitly. This convention may be motivated by the classical fact that **the intersection number is birationally invariant** in the following sense:

**Proposition 1.1.** If the model  $X_{\pi_2}$  dominates the model  $X_{\pi_1}$ , then the intersection number of two divisors of  $X_{\pi_1}$  is equal to the intersection number of their total transforms on  $X_{\pi_2}$ .

*Proof.* Let  $\psi : X_{\pi_2} \to X_{\pi_1}$  be the domination morphism between the two models. Recall the *projection formula*, comparing intersection numbers on the two models (see Hartshorne [23, Appendix A.1]):

(1) 
$$D_2 \cdot \psi^* D_1 = \psi_* D_2 \cdot D_1$$

for every  $D_1 \in \mathcal{E}(\pi_1)_{\mathbb{R}}$  and  $D_2 \in \mathcal{E}(\pi_2)_{\mathbb{R}}$  (the left hand side being computed on  $X_{\pi_2}$  and the right hand side on  $X_{\pi_1}$ ). Here  $\psi^* D_1$  denotes the total transform of  $D_1$  by the morphism  $\psi$  and  $\psi_* D_2$  denotes the direct image of  $D_2$  by the same morphism. Consider now two divisors A, B on  $X_{\pi_1}$ . Then:

$$\psi^* A \cdot \psi^* B = (\psi_* \psi^* A) \cdot B = A \cdot B,$$

the first equality being a consequence of the projection formula (1) applied to  $D_1 = B$ ,  $D_2 = \psi^* A$  and the second equality being a consequence of the fact that  $\psi_* \psi^* A = A$ .  $\Box$ 

Note that the previous assertion does not remain true if one replaces total transforms of divisors by strict transforms. In particular, for fixed  $u, v \in \mathcal{P}(X)$ , the intersection number  $E_u^{\pi} \cdot E_v^{\pi}$  depends on the model  $X_{\pi}$  on which  $E_u^{\pi}$  and  $E_v^{\pi}$  appear. Compare this fact with Proposition 1.5 below.

One has the following fundamental theorem concerning the intersection form on a fixed model (see Du Val [9] and Mumford [35] in what concerns point (1) and Zariski [51, Lemma 7.1] in what concerns point (2)):

**Theorem 1.2.** Let  $X_{\pi}$  be a model of the normal surface singularity X.

- (1) The intersection form on the vector space  $\mathcal{E}(\pi)_{\mathbb{R}}$  is negative definite.
- (2) If  $D \in \mathcal{E}(\pi)_{\mathbb{R}} \setminus \{0\}$  is such that  $D \cdot H \geq 0$  for all effective divisors  $H \in \mathcal{E}(\pi)_{\mathbb{R}}$ , then -D is effective and it is of full support in the basis  $(E_u)_{u \in \mathcal{P}(\pi)}$ , that is, all the coefficients of its decomposition in this basis are positive.

The second statement is a consequence of the following theorem of linear algebra, which will be used in the proof of Proposition 1.17 (one may verify easily that Zariski's proof in [51, Lemma 7.1] transcribes immediately in a proof of it):

**Proposition 1.3.** Let  $\mathcal{E}$  be a Euclidean finite dimensional vector space. Consider a basis  $\mathcal{B}$  of  $\mathcal{E}$  such that the plane angles generated by any pair of its vectors are right or obtuse. Assume moreover that  $\mathcal{B}$  cannot be partitioned into two non-empty subsets orthogonal to each other. Denote by  $\sigma$  the cone generated by  $\mathcal{B}$  and let  $\check{\sigma}$  be the cone generated by the dual basis. Then  $\check{\sigma} \setminus 0$  is included in the interior of  $\sigma$ .

In order to get Theorem 1.2 (2) from Proposition 1.3, one takes as Euclidean vector space  $\mathcal{E}$  the space of exceptional divisors  $\mathcal{E}(\pi)_{\mathbb{R}}$ , endowed with the opposite of the intersection form and with the basis  $(E_u)_{u \in \mathcal{P}(\pi)}$ . The hypothesis on the angles is satisfied because  $E_u \cdot E_v \geq 0$  for all  $u \neq v$ . The hypothesis on the impossibility to partition the basis in two orthogonal non-empty subsets is equivalent to the connectedness of the exceptional divisor  $E(\pi)$ . In turn, this is a consequence of the hypothesis that X is normal, as a special case of the so-called Zariski main theorem (see [23, Corollary 11.4]).

If  $D \in \mathcal{E}(\pi)_{\mathbb{R}}$  is a divisor such that -D is effective, we will say that D is **anti-effective**. If  $D \cdot H \ge 0$  for all effective divisors  $H \in \mathcal{E}(\pi)_{\mathbb{R}}$ , we will say that D is **nef (numerically eventually free)**. Usually one says in this case that D is **nef relative to the morphism**  $\pi$ , but in order to be concise we will drop the reference to  $\pi$ .

If  $E_u$  is an exceptional prime divisor on the model  $X_{\pi}$ , we denote by  $|\check{E}_u| \in \mathcal{E}(\pi)_{\mathbb{Q}}$  the dual divisor with respect to the intersection form. It is defined by:

(2) 
$$\dot{E}_u \cdot E_v = \delta_{u,v}$$
 for all  $v \in \mathcal{P}(\pi)$ ,

where  $\delta_{u,v}$  denotes Kronecker's delta. The existence and uniqueness of this dual basis is a consequence of Theorem 1.2 (1). The fact that it lives in  $\mathcal{E}(\pi)_{\mathbb{Q}}$  follows from the fact that all the intersection numbers  $E_u \cdot E_v$  are integers. One has the following immediate consequence of formulae (2):

(3) 
$$D = \sum_{v \in \mathcal{P}(\pi)} \left( D \cdot \check{E}_v \right) E_v$$

for all  $D \in \mathcal{E}(\pi)_{\mathbb{R}}$ .

As an immediate consequence of Theorem 1.2 (2) and of formula (3) applied to the nef divisors  $\check{E}_u$ , we get:

**Proposition 1.4.** The divisors  $\check{E}_u$  are anti-effective with full support in the basis  $(E_u)_{u \in \mathcal{P}(\pi)}$ and  $\check{E}_u \cdot \check{E}_v < 0$  for all  $u, v \in \mathcal{P}(\pi)$ .

In contrast with the fact that the intersection numbers  $E_u \cdot E_v$  depend on the model on which they are computed, one has the following invariance property:

**Proposition 1.5.** Let  $u, v \in \mathcal{P}(X)$ . Then the intersection number  $\check{E}_u \cdot \check{E}_v$  does not depend on the model on which it is computed.

*Proof.* Let  $\psi: X_{\pi_2} \to X_{\pi_1}$  be the domination morphism between two models of X. In this proof we will not drop the reference to the model on which one works, using the notations  $E_u^{\pi_i}, \check{E}_u^{\pi_i}$  for  $i \in \{1, 2\}$ . In view of Proposition 1.1, it is enough to show that if  $u \in \mathcal{P}(\pi_1)$ , then the divisor  $\check{E}_u^{\pi_2}$  is the total transform of the divisor  $\check{E}_u^{\pi_1}$ .

By the projection formula (1), one has:

$$E_v^{\pi_2} \cdot \psi^* \check{E}_u^{\pi_1} = 0$$

for all  $v \in \mathcal{P}(\pi_2) \setminus \{u\}$  and

$$E_u^{\pi_2} \cdot \psi^* \check{E}_u^{\pi_1} = \psi_* E_u^{\pi_2} \cdot \check{E}_u^{\pi_1} = E_u^{\pi_1} \cdot \check{E}_u^{\pi_1} = 1.$$

This shows that one has indeed  $\psi^* \check{E}_u^{\pi_1} = \check{E}_u^{\pi_2}$ .

The following definition is inspired by the approaches of Favre-Jonsson in [15, Appendix A] and Jonsson [27, Section 7.3.6]:

**Definition 1.6.** Let u, v be two possibly equal prime divisorial valuations of X. Their **bracket** is defined by:

$$\boxed{\langle u, v \rangle} := -\check{E}_u \cdot \check{E}_v \in \mathbb{Q}_+^*$$

Here  $E_u$  and  $E_v$  denote the representing divisors on a model on which both of them appear.

By Proposition 1.5, the bracket is independent of the choice of model on which both u and v appear. We get in this way a function:

$$\langle \cdot, \cdot \rangle \colon \mathcal{P}(X) \times \mathcal{P}(X) \to \mathbb{Q}_+^*.$$

Till now we have worked with total transforms of divisors living on models of X, that is, on smooth surfaces. Let us consider now the case of a divisor A on X. If A is a principal divisor, then one may define its total transform  $\pi^*A$  by a resolution  $\pi$  as the divisor of the pull-back of a defining function of A. The total transform is independent of the choice of defining function. Moreover, as a consequence of the projection formula (1), which is still true if one works with a proper birational morphism between normal surfaces, the intersection number of the total transform of A with any exceptional divisor on  $X_{\pi}$  is 0. This property was converted by Mumford [35] into a *definition* of the total transform of a not necessarily principal divisor on X:

**Definition 1.7.** Let A be a divisor on  $(X, x_0)$  and  $\pi : X_{\pi} \to X$  a resolution of X. The **total transform** of A on  $X^{\pi}$  is the Q-divisor  $\pi^*A = A_{\pi} + A_{\pi}^{ex}$  on  $X^{\pi}$  such that:

- (1)  $A_{\pi}$  is the strict transform of A on  $X^{\pi}$ . Its support is the closure of  $\pi^{-1}(|A| \setminus \{x_0\})$  in  $X_{\pi}$ , each one of its irreducible components being endowed with the same coefficient as its image in X.
- (2) The support of the **exceptional transform**  $A_{\pi}^{ex}$  of A on  $X^{\pi}$  is included in the exceptional divisor  $E(\pi)$ .
- (3)  $\pi^* A \cdot E_u = 0$  for each irreducible component  $E_u$  of  $E(\pi)$ .

The fact that such a divisor exists and is unique comes from the fact that condition (3) of the definition may be written as a square linear system of equations whose unknowns are the coefficients of  $A_{\pi}^{ex}$  in the basis  $(E_u)_{u \in \mathcal{P}(\pi)}$  of  $\mathcal{E}(\pi)_{\mathbb{R}}$ , and whose matrix is the intersection matrix  $(E_u \cdot E_v)_{u,v \in \mathcal{P}(\pi)}$ . This matrix is non-singular, by Theorem 1.2 (1). Note that we make here a slight abuse of language, as one gets a matrix only after having chosen a total order on the set  $\mathcal{P}(\pi)$ .

Note also that in Definition 1.7, one allows  $X_{\pi}$  to be *any* model of X, without imposing it to be adapted in any sense to the divisor A. We say that  $\pi$  is an **embedded resolution** of A if the total transform  $\pi^*A$  is a divisor with normal crossings. In this case, each branch of A has a strict transform on  $X_{\pi}$  which intersects *transversally* a *unique* prime exceptional divisor. Therefore, one has the following immediate consequence of Definition 1.7:

**Proposition 1.8.** Assume that A is a branch and that  $\pi$  is an embedded resolution of it. Let  $E_a \in \mathcal{P}(\pi)$  be the unique prime exceptional divisor which intersects the strict transform of A. Then:

$$A_{\pi}^{ex} = -\check{E}_a.$$

Let us introduce the following denomination for the divisor  $E_a$ :

**Definition 1.9.** Let A be a branch on X and  $\pi$  be an embedded resolution of it. The unique prime exceptional divisor  $E_a \in \mathcal{P}(\pi)$  which intersects the strict transform of A on  $X_{\pi}$  is called the **representing divisor** of A on  $X_{\pi}$ .

Using the notion of total transform of divisors from Definition 1.7, Mumford defined in the following way in [35] the intersection number of two divisors without common branches on X:

**Definition 1.10.** Let A, B be two divisors on X without common branches. Then their intersection number  $A \cdot B \in \mathbb{Q}$  is defined by:

$$A \cdot B := \pi^* A \cdot \pi^* B,$$

for any resolution  $\pi$  of X.

The fact that this definition is independent of the resolution has a proof similar to that of Proposition 1.1. In the special case in which both A and B are branches, we get the following interpretation of the *bracket*, whose proof is a direct application of Proposition 1.8:

**Proposition 1.11.** Let A, B be two distinct branches on X. Consider an embedded resolution  $X_{\pi}$  of the divisor A + B. If  $E_a$  and  $E_b$  are the representing divisors of A and B on  $X_{\pi}$ , then:

$$A \cdot B = \langle a, b \rangle.$$

#### 1.2. The angular distance.

In this section we recall the notion of angular distance  $\rho$  of prime divisorial valuations (see Definition 1.12), introduced in a greater generality by Gignac and the last author in [19] and by the first three authors in a slightly different form in [17] for the restricted class of arborescent singularities. The definition uses the bracket of Definition 1.6. The fact that  $\rho$  is indeed a distance depends on a crucial inequality of Gignac and the last author, which we recall in Proposition 1.17. We conclude the section with a list of reformulations of this inequality (see Proposition 1.18).

Let  $X_{\pi}$  be a model of X and let  $u, v \in \mathcal{P}(\pi)$  be two prime divisorial valuations appearing on it. By Theorem 1.2 (1), the intersection form on  $\mathcal{E}(\pi)_{\mathbb{R}}$  is negative definite. Let us apply the Cauchy-Schwartz inequality to its opposite bilinear form and to the vectors  $\check{E}_u, \check{E}_v \in \mathcal{E}(\pi)_{\mathbb{R}}$ . Using Proposition 1.4 and Definition 1.6, we get the following inequalities:

(4) 
$$0 < \langle u, v \rangle^2 \le \langle u, u \rangle \cdot \langle v, v \rangle,$$

with equality if and only u = v. This allows to define:

**Definition 1.12.** The **angular distance** of the prime divisorial valuations  $u, v \in \mathcal{P}(X)$  is:

(5) 
$$\boxed{\rho(u,v)} := -\log \frac{\langle u,v \rangle^2}{\langle u,u \rangle \cdot \langle v,v \rangle} \in [0,\infty).$$

As an immediate consequence of inequality (4) and of the characterization of the case of equality, one gets:

**Proposition 1.13.** For every pair of prime divisorial valuations (u, v) of X, one has  $\rho(u, v) \ge 0$ , with equality if and only if u = v.

**Remark 1.14.** A slightly different notion was introduced before by the first three authors in [17, Definition 4.11], in the special case of *arborescent* normal surface singularities. It was introduced almost simultaneously by the last author and Gignac for arbitrary *semi-valuations* of X in [19, Definition 2.37].

As indicated by the name chosen in Definition 1.12,  $\rho$  is indeed a metric on the set  $\mathcal{P}(X)$  (see Proposition 1.18 (II) below). But this fact is not immediate. It is a consequence of an inequality of Gignac and the last author (see Proposition 1.17 below). In order to state this inequality, we need the following graph-theoretical notion (see Section 1.4 for our vocabulary concerning graphs):

**Definition 1.15.** Let a, b, c be three not necessarily pairwise distinct vertices of the connected graph  $\Gamma$ . One says that c separates a from b in  $\Gamma$  if:

• either 
$$c \in \{a, b\}$$
;

• or a and b belong to distinct connected components of the topological space  $\Gamma \setminus \{c\}$ .

We will apply the previous notion of separation to the *dual graphs* of the *good models* of X:

**Definition 1.16.** Let  $\pi : X_{\pi} \to X$  be a resolution of X. The resolution  $\pi$  and the model  $X_{\pi}$  are called **good** if their exceptional divisor has normal crossings and its prime components are smooth. The **dual graph**  $\Gamma_{\pi}$  of a good model  $X_{\pi}$  has vertex set  $\mathcal{P}(\pi)$  and set of edges between any two vertices  $u, v \in \mathcal{P}(\pi)$  in bijection with the intersection points on  $X_{\pi}$  between the associated prime divisors  $E_u$  and  $E_v$ .

Here comes the announced inequality of Gignac and the last author (see [19, Proposition 1.10]), which is crucial for the present paper:

**Proposition 1.17.** ([19, Proposition 1.10]) Let  $X_{\pi}$  be a good model of the normal surface singularity X, and let  $E_u$ ,  $E_v$  and  $E_w$  be not necessarily distinct exceptional prime divisors of  $\pi$ . Then one has the inequality:

(6) 
$$(-\check{E}_u \cdot \check{E}_v)(-\check{E}_v \cdot \check{E}_w) \le (-\check{E}_v \cdot \check{E}_v)(-\check{E}_u \cdot \check{E}_w),$$

with equality if and only if v separates u and w in the dual graph  $\Gamma_{\pi}$  of  $X_{\pi}$ .

*Proof.* Let us sketch a slight variant of the original proof. We work with the opposite of the intersection form, which is positive definite. Denote therefore  $\langle V_1, V_2 \rangle := -V_1 \cdot V_2$  for any  $V_1, V_2 \in \mathcal{E}(\pi)_{\mathbb{R}}$ . Inequality (6) may be rewritten as:

(7) 
$$\langle \check{E}_u - \frac{\langle \check{E}_u, \check{E}_v \rangle}{\langle \check{E}_v, \check{E}_v \rangle} \check{E}_v, \ \check{E}_w \rangle \ge 0.$$

Using Equation (3), we see that the truth of the previous inequality for all  $w \in \mathcal{P}(\pi)$  and fixed  $u, v \in \mathcal{P}(\pi)$  is equivalent to the following statement:

(8) the divisor 
$$\check{E}_u - \frac{\langle \check{E}_u, \check{E}_v \rangle}{\langle \check{E}_v, \check{E}_v \rangle} \check{E}_v$$
 is effective

The key of the proof of (8) is to understand geometrically the previous expressions. Consider the linear hyperplane  $\mathcal{H}_w$  of  $\mathcal{E}(\pi)_{\mathbb{R}}$  spanned by the vectors  $E_a$ , for  $a \in \mathcal{P}(\pi) \setminus \{w\}$ . Those vectors form a basis of the hyperplane  $\mathcal{H}_w$ . Look at the dual basis relative to the restriction of  $\langle \cdot, \cdot \rangle$  to  $\mathcal{H}_w$ . As can be verified by an immediate computation, the vector corresponding to  $E_u$  in this dual basis is exactly the vector occuring in (8). Now let us apply Proposition 1.3 to the Euclidean space  $(\mathcal{H}_w, \langle \cdot, \cdot \rangle)$  and the basis  $(E_a)_{a \in \mathcal{P}(\pi) \setminus \{w\}}$ . We deduce that the coefficients of the elements of its dual basis in the starting basis are non-negative, which is exactly the statement (8).

There is a slight difference with the hypotheses of Proposition 1.3. There one assumed that the basis could not be partitioned in two non-empty orthogonal subsets. Here we are in a situation in which the dual graph is not necessarily connected. Namely, as we work in the hyperplane  $\mathcal{H}_w$ , we drop the component  $E_w$  from the exceptional divisor, therefore the dual graph of the remaining components gets decomposed in a finite positive number of connected components. The associated partition of  $\mathcal{P}(\pi) \setminus \{w\}$  induces an orthogonal direct sum decomposition of  $\mathcal{H}_w$ , each term of this sum having a connected dual graph. The dual basis of  $(E_a)_{a \in \mathcal{P}(\pi) \setminus \{w\}}$  is the union of the dual bases of the individual terms of this orthogonal direct sum. Apply then Proposition 1.3 to each such term. One gets in this way easily the characterization of the case of equality in 7.

Proposition 1.17 may be reformulated in the following ways:

**Proposition 1.18.** Let  $X_{\pi}$  be a good model of X, and let  $E_u$ ,  $E_v$  and  $E_w$  be not necessarily distinct exceptional prime divisors of  $\pi$ . Then the following statements hold:

- (I)  $\langle u, v \rangle \cdot \langle v, w \rangle \leq \langle v, v \rangle \cdot \langle u, w \rangle$ , with equality if and only if v separates u from w in the dual graph  $\Gamma_{\pi}$ .
- (II) The function  $\rho$  is a metric on the finite set  $\mathcal{P}(\pi)$ , with equality in the triangle inequality  $\rho(u, v) + \rho(v, w) \ge \rho(u, w)$  if and only if v separates u from w in  $\Gamma_{\pi}$ .
- (III) The spherical triangle with vertices u, v, w in the directions of  $\dot{E}_u, \dot{E}_v, \dot{E}_w$  on the unit sphere of  $\mathcal{E}(\pi)_{\mathbb{R}}$  for the opposite of the intersection form has all its angles in the interval  $(0, \pi/2]$  and is rectangle at v if and only if v separates u from w in  $\Gamma_{\pi}$ .

*Proof.* The equivalence of the inequality (6) with the inequality (I) and the assertion on the triangle inequality in (II) are a simple consequence of Definitions 1.6 and 1.12 and Proposition 1.13.

The reformulation (III) needs a little more explanations. First, note that inequality (6) may be rewritten as:

$$\frac{-\check{E}_u \cdot \check{E}_v}{\sqrt{(-\check{E}_u \cdot \check{E}_u)(-\check{E}_v \cdot \check{E}_v)}} \cdot \frac{-\check{E}_v \cdot \check{E}_w}{\sqrt{(-\check{E}_v \cdot \check{E}_v)(-\check{E}_w \cdot \check{E}_w)}} \le \frac{-\check{E}_u \cdot \check{E}_w}{\sqrt{(-\check{E}_u \cdot \check{E}_u)(-\check{E}_w \cdot \check{E}_w)}}$$

If we measure angles using the opposite of the intersection form (which is a Euclidean metric on the real vector space  $\mathcal{E}(\pi)_{\mathbb{R}}$ , by Theorem 1.2 (1)), the previous inequality may be rewritten as:

 $\cos(\angle \check{E}_u \check{E}_v) \cdot \cos(\angle \check{E}_v \check{E}_w) \le \cos(\angle \check{E}_u \check{E}_w).$ 

But this last inequality is equivalent to the fact that the angle at vertex v of the spherical triangle directed by the vectors  $\check{E}_u, \check{E}_v, \check{E}_w$  belongs to the interval  $(0, \pi/2]$ . The fact that one has equality if and only if the angle is  $\pi/2$  is the content of the *spherical Pythagorean* theorem.

# Remark 1.19.

- (1) We may speak about the spherical triangle with vertices at u, v, w, without mentioning the model on which we work because, by Proposition 1.5, this triangle is independent of the model up to isometry. Note that a spherical triangle may have 2 or 3 angles  $\geq \pi/2$ , but that in our case at most one angle is equal to  $\pi/2$ , the two other ones being acute. This results from the fact that if v separates u from w, then neither u separates v from w, nor w separates u from v.
- (2) For the moment we have no applications of the spherical geometrical viewpoint (III), but we think that it is intriguing and that it is worth formulating, as a very vivid way of remembering the inequality of Proposition 1.17.
- (3) The fact that inequality (6) could be reformulated in the spherical geometrical way (III) was noticed by the third author in the summary [38] of the work [17] of the first three authors concerning arborescent singularities.

# 1.3. A reformulation of the ultrametric problem.

In this section we begin the study of the function  $u_L$  introduced by the first three authors in [17], defined whenever L is a fixed branch L on the normal surface singularity X. It associates to every pair (A, B) of branches on X which are different from L the number  $(L \cdot A) (L \cdot B) (A \cdot B)^{-1}$ . In [17] it was proved that those functions are ultrametrics for any *arborescent* singularity (see Definition 1.21), a fact which generalizes a theorem of Ploski [37] concerning the case when X is smooth. Two important results of the present paper are that this property characterizes arborescent singularities (see Theorem 1.44) and that even if the singularity X is not arborescent,  $u_L$  is still an ultrametric in restriction to conveniently defined sets of branches on X (see Theorem 1.40). Those theorems will be proved in subsequent sections, the present one introducing preliminary material. Namely, given a finite set  $\mathcal{F}$  of branches containing L, in Corollary 1.23 we reformulate the condition that  $u_L$  is an ultrametric on  $\mathcal{F} \setminus \{L\}$  as the condition that the angular distance is additive on  $\mathcal{F}$ . Then we recall the correspondence between additive distances on finite sets  $\mathcal{F}$  and metric trees having a subset of vertices labeled by  $\mathcal{F}$  (see Proposition 1.27).

Let L be a fixed branch on X. If A, B are two other branches, assumed to be distinct from L, let us define following [17]:

(9) 
$$\boxed{u_L(A,B)} := \begin{cases} \frac{(L \cdot A) (L \cdot B)}{A \cdot B}, & \text{if } A \neq B, \\ 0, & \text{if } A = B. \end{cases}$$

In [17, Theorem 4.18], the first three authors proved the following theorem, as a generalization of a theorem of Płoski [37] concerning the case where X is smooth:

**Theorem 1.20.** If X is an arborescent singularity, then  $u_L$  is an ultrametric on the set  $\mathcal{B}(X) \setminus \{L\}$  of branches on X which are distinct from L.

We use here the following vocabulary, also introduced in [17]:

**Definition 1.21.** A normal surface singularity is called **arborescent** if the dual graphs of its good models are trees.

The present paper is an outgrowth of our desire to understand in which measure Theorem 1.20 extends to other normal surface singularities. As we will show below (see Theorem 1.44),  $u_L$  is an ultrametric for some/any branch L if and only if X is arborescent. We were very surprised to discover that, even if X is *not* arborescent,  $u_L$  remains an ultrametric in restriction to convenient sets of branches on X (see Theorem 1.40 below).

Before arriving at that theorem, we need a certain amount of preparation. Let us begin with a reformulation of the ultrametric inequality for  $u_L$ , whose proof is left to the reader:

**Proposition 1.22.** Let L, A, B, C be four pairwise distinct branches on X. Consider an embedded resolution  $\pi$  of their sum. Denote by  $E_l, E_a, E_b, E_c$  the representing divisors of L, A, B and respectively C on  $X_{\pi}$ . Then the following inequalities are equivalent, as well as the corresponding equalities:

(1)  $u_L(A, B) \le \max\{u_L(A, C), u_L(B, C)\}.$ 

- $(2) \ (A \cdot B)(L \cdot C) \ge \min\{(A \cdot C)(L \cdot B), \ (B \cdot C)(L \cdot A)\}.$
- (3)  $\langle a, b \rangle \cdot \langle l, c \rangle \ge \min\{\langle a, c \rangle \cdot \langle l, b \rangle, \langle b, c \rangle \cdot \langle l, a \rangle\}.$
- (4)  $\rho(a,b) + \rho(l,c) \le \max\{\rho(a,c) + \rho(l,b), \rho(b,c) + \rho(l,a)\}.$

In the previous proposition, the branches L, A, B, C were fixed. By applying this proposition to all the quadruples in a finite set of branches  $\mathcal{F}$ , we get immediately:

**Corollary 1.23.** Let  $\mathcal{F} \subset \mathcal{B}(X)$  be a finite set of branches on X. Consider an embedded resolution  $\pi$  of their sum and denote by  $\mathcal{F}_{\pi} \subset \mathcal{P}(\pi)$  the set of prime exceptional divisors representing the elements of  $\mathcal{F}$  in  $X_{\pi}$  according to Definition 1.9. Then the following properties are equivalent:

(1) For some  $L \in \mathcal{F}$ , the function  $u_L$  is an ultrametric on  $\mathcal{F} \setminus \{L\}$ .

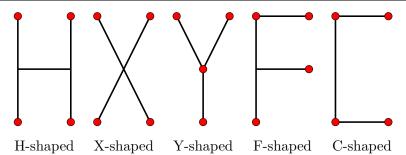


FIGURE 1. The 5 possible S-trees, when S has 4 elements

- (2) For all  $L \in \mathcal{F}$ , the function  $u_L$  is an ultrametric on  $\mathcal{F} \setminus \{L\}$ .
- (3) The bracket  $\langle \cdot, \cdot \rangle$  satisfies the inequality:

$$\langle a,b\rangle \cdot \langle l,c\rangle \geq \min\{\langle a,c\rangle \cdot \langle l,b\rangle, \langle b,c\rangle \cdot \langle l,a\rangle\}, \text{ for all } (a,b,c,l) \in (\mathcal{F}_{\pi})^4.$$

(4) The angular distance  $\rho$  satisfies the inequality:

 $\rho(a,b) + \rho(l,c) \le \max\{\rho(a,c) + \rho(l,b), \ \rho(b,c) + \rho(l,a)\}, \ for \ all \ (a,b,c,l) \in (\mathcal{F}_{\pi})^4.$ 

Let us introduce the following vocabulary concerning the metrics which satisfy condition (4) of Corollary 1.23:

**Definition 1.24.** Let S be a finite set. One says that a distance  $\delta$  on S is **tree-like** if, for all  $(a, b, c, d) \in S^4$ , one has the following **4-point condition**:

(10) 
$$\delta(a,b) + \delta(c,d) \le \max\{\delta(a,c) + \delta(b,d), \delta(a,d) + \delta(b,c)\}.$$

This means that, up to a permutation of the three sums, one has:

(11) 
$$\delta(a,b) + \delta(c,d) \le \delta(a,c) + \delta(b,d) = \delta(a,d) + \delta(b,c).$$

The term 4-point condition was introduced by Buneman in [7]. We chose the name treelike for the previous kind of metrics because such finite metric spaces may be interpreted geometrically as special kinds of trees (see Proposition 1.27 below). Let us introduce first more vocabulary about trees:

**Definition 1.25.** A finite tree is a finite simply connected simplicial complex of dimension 1. The convex hull  $Conv(\mathcal{F})$  of a set  $\mathcal{F}$  of vertices of a tree is the subtree obtained as the union of the paths joining pairwise the elements of  $\mathcal{F}$ . If S is a finite set, then an S-tree is a finite tree whose set of vertices contains the set S and such that all its vertices of valency 1 or 2 are elements of S. An isomorphism of S-trees is an isomorphism of trees which is the identity in restriction to the set S.

Given two S-trees, the fact that all their vertices of valency 1 are elements of S implies that there exists at most one isomorphism between them. When S has 4 elements, there are exactly 5 different S-trees up to isomorphism. They are represented in Figure 1, together with the names we will use for them in the sequel.

**Definition 1.26.** A metric tree is a finite tree endowed with a map from its set of edges to the set of positive real numbers. The number associated to an edge is called its **length**. The **induced distance** of a metric S-tree is the distance on S associating to each pair of elements of S the sum of length of the edges lying on the unique path joining them in the tree.

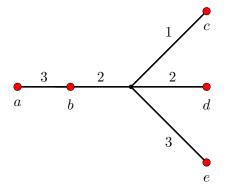


FIGURE 2. An  $\{a, b, c, d, e\}$ -tree endowed with a length function

An example of metric S-tree is shown in Figure 2. Here  $S = \{a, \ldots, e\}$ . Denoting by  $\delta$  the induced distance on S, one has for instance  $\delta(a, d) = 3 + 2 + 2$  and  $\delta(b, c) = 2 + 1$ .

It is immediate to check that the distance which a metric S-tree induces on the finite set S satisfies the 4-point condition. Therefore, it is tree-like, in the sense of Definition 1.24. Conversely, one has the following proposition (see Buneman's paper [7] and the successive generalizations of Bandelt and Steel [2] and Böcker and Dress [3]):

**Proposition 1.27.** Let S be a finite set and  $\delta$  be a distance on it. If  $\delta$  is tree-like, then there exists a unique S-tree T endowed with a length function such that:

- the induced distance on S is equal to  $\delta$ ;
- the tree T is the convex hull Conv(S) of its subset S;
- all the vertices of T of valency 2 are elements of S.

The main idea of the proof of the previous proposition is that an S-tree is determined up to isomorphism by the isomorphism types of the convex hulls of all quadruples of elements of S, which are in turn determined by the equalities in the 4-point condition and in the triangle inequalities concerning them. For instance, given a quadruple  $Q \subset S$ , the Hshaped and X-shaped Q-trees (see Figure 1) are precisely those Q-trees for which one has strict triangle inequalities. Among them, the H-shaped tree is characterized by the fact that one has a strict inequality in the 4-point condition (11), for a convenient labeling of the elements of Q by the letters a, b, c, d.

Proposition 1.27 allows us to define:

**Definition 1.28.** Let  $\delta$  be a tree-like metric on a finite set S. Then the unique S-tree endowed with a length function such that the induced distance on S is equal to  $\delta$  is called the **tree hull** of the metric space  $(S, \delta)$ .

#### 1.4. A theorem about special metrics on the set of vertices of a graph.

Let  $X_{\pi}$  be a good model of X. Consider the angular distance  $\rho$  on the vertex set  $\mathcal{V}(\Gamma_{\pi}) = \mathcal{P}(\pi)$  of the associated dual graph  $\Gamma_{\pi}$ . In Proposition 1.18, we saw that the cases of equality in the triangle inequalities associated to the metric space  $(\mathcal{V}(\Gamma_{\pi}), \rho)$  are characterized by separation properties in  $\Gamma_{\pi}$ . The aim of this section is to prove that if a metric  $\delta$  on the set of vertices  $\mathcal{V}(\Gamma)$  of a connected graph  $\Gamma$  satisfies this kind of constraint, then it becomes tree-like (in the sense of Definition 1.24) in restriction to special types of subsets  $\mathcal{F}$  of  $\mathcal{V}(\Gamma)$  (see Theorem 1.36). Moreover, the tree hull of  $(\mathcal{F}, \delta)$  (according to

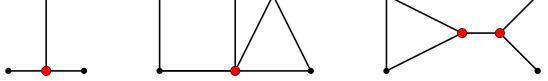


FIGURE 3. A few separable graphs and their cut-vertices marked in red

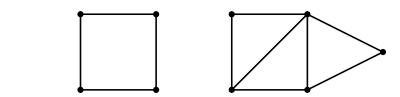


FIGURE 4. A few nonseparable graphs

Definition 1.28) may be described as the convex hull of  $\mathcal{F}$  in a tree canonically associated to the graph  $\Gamma$ , its **brick-vertex tree**  $\mathcal{BV}(\Gamma)$  (see Definition 1.32).

In the sequel, we will use the following notion of graph:

16

**Definition 1.29.** A graph  $\Gamma$  is a finite cell complex of dimension at most 1. In particular, it may have loops or multiple edges, and it may have connected components which are simply points. We will denote by  $\mathcal{V}(\Gamma)$  its set of vertices and by  $\mathcal{A}(\Gamma)$  its set of edges. The valency of a vertex v of  $\Gamma$  is the number of germs of edges adjacent to v (a loop based at v counting twice, as it contributes with two germs in this count).

If we want to insist on the graph in which we compute the valency (in situations where we deal with several graphs at the same time), we will speak about the  $\Gamma$ -valency of a vertex v.

It will be important for us to look at the edges of a connected graph  $\Gamma$  according to their separation properties:

**Definition 1.30.** Let  $\Gamma$  be a connected graph. A **cut-vertex** of  $\Gamma$  is a vertex whose removal disconnects  $\Gamma$ . A **bridge** of  $\Gamma$  is an edge such that the removal of its interior disconnects  $\Gamma$ . The graph  $\Gamma$  is called **separable** if it admits at least one cut-vertex (see Figure 3). Otherwise, it is called **nonseparable** (see Figure 4).

The only nonseparable graphs which are trees are the segments. All the other nonseparable graphs have the property that any two of their edges are contained in a **circuit**, that is, a union of edges whose underlying topological space is homeomorphic to a circle. The trees may be characterized as the connected graphs all of whose edges are bridges.

Every connected graph contains a distinguished family of nonseparable graphs, its *blocks*, among which we distinguish the *bricks*:

**Definition 1.31.** The **blocks** of a connected graph  $\Gamma$  are its maximal subgraphs which are nonseparable (see Figure 5). A block which is not an edge is called a **brick**.

The blocks of a connected graph  $\Gamma$  may be characterized as the unions of edges of each equivalence class for the following equivalence relation on the set  $\mathcal{A}(\Gamma)$ : two edges are equivalent if they are either equal or if they are both contained in the same circuit. The blocks of  $\Gamma$  which are edges are its bridges. Trees may be characterized as the connected finite graphs which have no bricks.

It is an elementary exercise to check that the following construction leads indeed to a tree:

**Definition 1.32.** The **brick-vertex tree**  $[\mathcal{BV}(\Gamma)]$  of a connected graph  $\Gamma$  is the tree whose vertex set is the union of the set of bricks of  $\Gamma$  and of the set of its vertices. The set of its edges consists of the bridges of  $\Gamma$ , and of new edges connecting a brick of  $\Gamma$  to a vertex of  $\Gamma$  (seen as vertices of  $\mathcal{BV}(\Gamma)$ ) if and only if the brick contains the vertex. A vertex of  $\mathcal{BV}(\Gamma)$  associated to a brick of  $\Gamma$  will be called a **brick-vertex**.

Examples of planar brick-vertex trees are shown in Figures 5 and 9. The bricks are emphasized by shading the plane regions spanned by their vertices and edges.

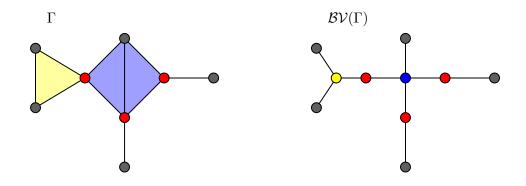


FIGURE 5. The brick-vertex tree of a connected graph.

**Remark 1.33.** Whitney introduced the blocks of a finite graph in his 1932 paper [46], under the name of *components*. His definition was slightly different: the blocks were the final graphs (necessarily inseparable) of a process which chooses at each step a cut-vertex of the graph and decomposes the connected component which contains it into the connected subgraphs which are joined at that vertex. The term *block* seems to have been introduced for this concept in Harary's 1959 paper [20]. In Tutte's 1966 book [44], the blocks are called *cyclic elements*, a term originating from general topology (see Remark 2.48). The use of the term *brick* for the blocks which are not bridges seems to be new. A construction related to the brick-vertex tree is known under the name of *cut-tree* (see Tutte's book [44, Section 9.5]), *block-cut tree* (see Harary's book [21, Page 36]), or *block tree* (see Bondy and Murty's book [4, Section 5.2]). In that construction, which was introduced by Gallai [16] and Harary and Prins [22], one considers only the set of cut-vertices of  $\Gamma$ , instead of the full set of vertices, and all the blocks, not only the bricks. Later on, Kulli [28] introduced the *block-point tree* of a connected graph, in which one still considers all the blocks, but also all the vertices, not only the cut-vertices.

The following proposition is the reason why we introduced the notion of brick-vertex tree:

**Proposition 1.34.** Let a, b, c be three not necessarily pairwise distinct vertices of the connected graph  $\Gamma$ . Then the following properties are equivalent:

- (1) a separates b from c in the graph  $\Gamma$ ;
- (2) a separates b from c in the brick-vertex tree  $\mathcal{BV}(\Gamma)$ .

*Proof.* First notice that if  $b = c \neq a$ , then a does not separate them neither in  $\Gamma$  or  $\mathcal{BV}(\Gamma)$ , while if a coincides with either b or c, then it separates b from c both in  $\Gamma$  and  $\mathcal{BV}(\Gamma)$  (see Definition 1.15). Hence, we may suppose that a, b, c are pairwise distinct.

• Suppose first that a does not separate b from c in  $\Gamma$ . Therefore, there exists a path  $\gamma$  joining b and c in  $\Gamma \setminus \{a\}$ . Decompose  $\gamma$  in a finite sequence of concatenating edges  $e_j$  with endpoints  $v_{j-1}, v_j$  for  $j = 1, \ldots, n$ , with  $v_0 = b$ ,  $v_n = c$ , and  $v_j \neq a$  for all j. We construct a path  $\tilde{\gamma}$  joining b and c in  $\mathcal{BV}(\Gamma) \setminus \{a\}$  as follows: If  $v_{j-1}$  and  $v_j$  belong to a brick B, then we replace the edge  $e_j$  with the concatenation of the two edges  $\{v_{j-1}, B\}$ ,  $\{B, v_j\}$ . If  $v_{j-1}$  and  $v_j$  belong to a bridge, then we keep  $e_j$ .

• Suppose now that a does not separate b from c in  $\mathcal{BV}(\Gamma)$ . Therefore, there exists a path  $\tilde{\gamma}$  joining b and c in  $\mathcal{BV}(\Gamma) \setminus \{a\}$ . As above, we decompose  $\tilde{\gamma}$  as a finite sequence of edges  $e_j = \{v_{j-1}, v_j\}$ . We construct a path  $\gamma$  joining b and c in  $\Gamma \setminus \{a\}$  as follows. The endpoints of every edge composing  $\tilde{\gamma}$  are either both vertices of  $\Gamma$ , or one is a vertex and the other is a brick of  $\Gamma$ . In the first case, we keep  $e_j$ . In the second case, since b and c in  $\mathcal{BV}(\Gamma)$  correspond to vertices of  $\Gamma$ , up to replacing j by j+1 if necessary we can assume that  $v_{j-1}$  and  $v_{j+1}$  correspond to vertices of  $\Gamma$ , and  $v_j$  corresponds to the brick B containing them. Notice that a could be inside B as well. Since  $v_{j-1}$  and  $v_{j+1}$  belong to B, there exist two paths inside the brick B in  $\Gamma$  joining  $v_{j-1}$  to  $v_{j+1}$ , which intersect only at their endpoints. Therefore, at least one of them doesn't pass through a. We replace then the two edges  $e_j$  and  $e_{j+1}$  of  $\tilde{\gamma}$  by such a path in  $\Gamma$ .

**Remark 1.35.** Proposition 1.34 holds also if we replace the brick-vertex tree by Kulli's block-point tree (see Remark 1.33 for its definition), the proof being completely analogous. In fact, we could work in this first part of the paper with the block-point tree of  $\Gamma$ . We chose to work with Definition 1.32 since it has the advantage of extending directly to graphs of  $\mathbb{R}$ -trees (see Section 2.5). Notice that for a tree  $\Gamma$ , its brick-vertex tree coincides with  $\Gamma$ , while Kulli's block-point tree is isomorphic to the barycentric subdivision of  $\Gamma$ .

By Proposition 1.34, the brick-vertex tree of  $\Gamma$  encodes precisely the way in which the vertices of  $\Gamma$  get separated by the elimination of one of them.

Recall the reformulation of Proposition 1.17 given in Proposition 1.18 (II). It states that if one looks at the angular distance  $\rho$  on the vertex set  $\mathcal{V}(\Gamma_{\pi})$  of the dual graph  $\Gamma_{\pi}$  of a good model  $X_{\pi}$  of X, then one has an equality  $\rho(u, v) + \rho(v, w) = \rho(u, w)$  in the triangular inequality associated to the triple (u, v, w) of vertices of  $\Gamma_{\pi}$  if and only if v separates ufrom w in  $\Gamma_{\pi}$ . The following theorem, which is the main result of this section, describes special subsets of vertices of the graphs endowed with metrics having the same formal property (recall that the convex hull of a finite set of vertices of a tree was introduced in Definition 1.25):

**Theorem 1.36.** Let  $\Gamma$  be a finite connected graph and  $\delta : \mathcal{V}(\Gamma)^2 \to [0,\infty)$  a metric such that one has the equality:

(12) 
$$\delta(a,b) + \delta(b,c) = \delta(a,c)$$

if and only if the vertex b separates a from c in  $\Gamma$ . Consider a set  $\mathcal{F}$  of vertices of  $\Gamma$ , and their convex hull  $\operatorname{Conv}(\mathcal{F})$  in the brick-vertex tree  $\mathcal{BV}(\Gamma)$  of  $\Gamma$ . If each brick of  $\Gamma$  has  $\operatorname{Conv}(\mathcal{F})$ -valency at most 3, then the restriction of  $\delta$  to  $\mathcal{F}$  is tree-like and the associated tree is isomorphic as an  $\mathcal{F}$ -tree to  $\operatorname{Conv}(\mathcal{F})$ .

*Proof.* In order to make clear at each moment whether we work inside the graph  $\Gamma$  or inside its brick-vertex tree  $\mathcal{BV}(\Gamma)$ , we will denote by  $\overline{a}$  the vertex a of  $\Gamma$  when we think about it as a vertex of  $\mathcal{BV}(\Gamma)$ .

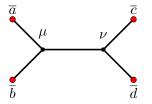


FIGURE 6. The case of an H-shaped tree in the proof of Theorem 1.36

Assume that  $\mathcal{F} \subset \mathcal{V}(\Gamma)$  satisfies the hypotheses of the theorem. Consider four pairwise distinct points  $a, b, c, d \in \mathcal{F}$  and the convex hull  $\operatorname{Conv}(\overline{a}, \overline{b}, \overline{c}, \overline{d})$  of their images in the brick-vertex tree  $\mathcal{BV}(\Gamma)$ .

We will consider several cases, according to the shape of this convex hull. In every case we will prove that in restriction to  $\{a, b, c, d\}$ , the metric  $\delta$  satisfies the 4-point condition and that the shape of this convex hull is determined by the four triangle inequalities among a, b, c and d. Then, thanks to Proposition 1.27, we conclude that the tree hull of  $(\{a, b, c, d\}, \delta)$  in the sense of Definition 1.28 is indeed isomorphic as a  $\{a, b, c, d\}$ -tree to the convex hull  $Conv(\overline{a}, \overline{b}, \overline{c}, \overline{d})$ , finishing the proof of the proposition.

# • Assume that $Conv(\overline{a}, \overline{b}, \overline{c}, \overline{d})$ is H-shaped.

Denote by  $\mu$  and  $\nu$  the two 3-valent vertices of  $\text{Conv}(\overline{a}, \overline{b}, \overline{c}, \overline{d})$ . We may assume, up to renaming the four points, that  $\mu$  and  $\nu$  separate  $\overline{a}$  and  $\overline{b}$  from  $\overline{c}$  and  $\overline{d}$ , as illustrated in Figure 6.

We claim that there exists then a cut-vertex p of  $\Gamma$  with the following properties:

- (a) p separates both a and b from both c and d;
- (b) either p does not separate a from b or it does not separate c from d.

In order to prove this, let us consider two cases:

- (i) One of the points  $\mu$  and  $\nu$  of  $\mathcal{BV}(\Gamma)$  is a cut-vertex of  $\Gamma$ . Assume for instance that  $\mu = \overline{p}$ , where  $\overline{p}$  is a cut-vertex of  $\mathcal{BV}(\Gamma)$ . The convex hull  $\operatorname{Conv}(\overline{a}, \overline{b}, \overline{c}, \overline{d})$  having the shape illustrated in Figure 6, we see that p has the announced properties.
- (ii) Both points  $\mu$  and  $\nu$  of  $\mathcal{BV}(\Gamma)$  are bricks of  $\Gamma$ .
  - By construction, all edges of  $\mathcal{BV}(\Gamma)$  join either two vertices coming from  $\Gamma$ , or a brick-vertex with a vertex coming from  $\Gamma$ . We deduce that there exists necessarily a separating vertex  $\overline{p}$  in the interior of the geodesic  $[\mu\nu]$  of  $\mathcal{BV}(\Gamma)$ . Again, the convex hull  $\operatorname{Conv}(\overline{a}, \overline{b}, \overline{c}, \overline{d})$  having the shape illustrated in Figure 6, we see that p has the announced properties.

Using the fact that p satisfies properties (a) and (b) above and the hypothesis that  $\delta$  is a distance on  $\mathcal{V}(\Gamma)$ , as well as the characterization of the equality in the triangle inequality, we get:

$$\begin{split} \delta(a,b) + \delta(c,d) < \\ < (\delta(a,p) + \delta(b,p)) + (\delta(c,p) + \delta(d,p)) = \\ = (\delta(a,p) + \delta(c,p)) + (\delta(b,p) + \delta(d,p)) = \\ = \delta(a,c) + \delta(b,d) = \\ = (\delta(a,p) + \delta(d,p)) + (\delta(b,p) + \delta(c,p)) = \\ = \delta(a,d) + \delta(b,c). \end{split}$$

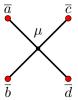
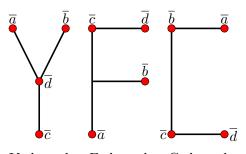


FIGURE 7. The case of an X-shaped tree in the proof of Theorem 1.36



Y-shaped F-shaped C-shaped

FIGURE 8. The Y-shaped, F-shaped and C-shaped trees in the proof of Theorem 1.36

This shows that  $\delta$  satisfies the 4-point condition in restriction to  $\{a, b, c, d\}$ , and that one has a strict inequality in this condition. In addition, one has by Proposition 1.34 and the hypothesis that there is no equality among the 4 triangle inequalities concerning triples of points among a, b, c, d.

• Assume that  $Conv(\overline{a}, \overline{b}, \overline{c}, \overline{d})$  is X-shaped.

Denote by  $\mu$  the unique point of this graph which is of valency 4. By hypothesis, no brick of Conv( $\mathcal{F}$ ) is of valency  $\geq 4$ . Therefore,  $\mu = \overline{p}$ , where p is a separating vertex of  $\Gamma$ . Moreover, p separates pairwise the points a, b, c, d. Therefore:

$$\delta(a,b) + \delta(c,d) =$$

$$= (\delta(a,p) + \delta(b,p)) + (\delta(c,p) + \delta(d,p)) =$$

$$= (\delta(a,p) + \delta(c,p)) + (\delta(b,p) + \delta(d,p)) =$$

$$= \delta(a,c) + \delta(b,d) =$$

$$= (\delta(a,p) + \delta(d,p)) + (\delta(b,p) + \delta(c,p)) =$$

$$= \delta(a,d) + \delta(b,c).$$

This shows again that  $\delta$  satisfies the 4-point relation in restriction to  $\{a, b, c, d\}$ . As in the previous case, one has no equality among the 4 triangle inequalities concerning triples of points among a, b, c, d.

In the remaining cases we assume that  $\bar{a}$ ,  $\bar{b}$ ,  $\bar{c}$  and  $\bar{d}$  are as in Figure 8.

# • Assume that $Conv(\overline{a}, \overline{b}, \overline{c}, \overline{d})$ is Y-shaped.

By Proposition 1.34, we have that d separate a from b, d separates b from c and also d separates a and c. Using this fact and the hypotheses of the theorem, we get that:

$$\delta(a,b) + \delta(c,d) = \delta(a,c) + \delta(b,d) = \delta(a,d) + \delta(b,c) = \delta(a,d) + \delta(b,d) + \delta(c,d).$$

Thus the 4-point condition (11) is verified with equalities in this case. Reasoning as in the previous cases, one gets that the only equalities among the triangle inequalities are of the form  $\delta(x, y) = \delta(x, d) + \delta(d, y)$  for  $x, y \in \{a, b, c\}, x \neq y$ .

• Assume that  $Conv(\overline{a}, \overline{b}, \overline{c}, \overline{d})$  is F-shaped.

By Proposition 1.34, we have that neither c nor d separate a from b but c separates b from d and also c separates a from d. We obtain the following triangle inequalities:

$$\delta(a,b) < \delta(a,c) + \delta(b,c)$$
 and  $\delta(a,b) < \delta(a,d) + \delta(b,d)$ 

and the equalities

$$\delta(b,d) = \delta(b,c) + \delta(c,d)$$
 and  $\delta(a,d) = \delta(a,c) + \delta(c,d)$ .

It is immediate to see from these relations that the four point condition (11) holds with a strict inequality, where the right hand side of (11) is equal to  $\delta(a, c) + \delta(b, c) + \delta(c, d)$ .

• Assume that  $Conv(\overline{a}, \overline{b}, \overline{c}, \overline{d})$  is C-shaped.

By Proposition 1.34, we have that b separates a from d, that b separates a from c and that c separates b from d. The triangle inequalities become equalities in this case:

$$\delta(a,d) = \delta(a,b) + \delta(b,d), \quad \delta(a,c) = \delta(a,b) + \delta(b,c) \quad \text{and} \quad \delta(b,d) = \delta(b,c) + \delta(c,d).$$

It follows that the 4-point condition (11) holds with a strict inequality, where the right hand side (11) is equal to  $\delta(a, b) + 2\delta(b, c) + \delta(c, d)$ .

**Example 1.37.** In Figure 9 is illustrated a situation in which the hypotheses of Theorem 1.36 are satisfied. In the *left picture*, we have a graph  $\Gamma$ . Here  $\mathcal{F} = \{a_1, \ldots, a_{13}\}$  is depicted in light-green. Note that in this example all the vertices in  $\mathcal{F}$  are of valency 1, which is not a hypothesis of Theorem 1.36. The cut vertices are in red. Shaded areas correspond to bricks. Dark-green shaded edges represent some of the bridges (the one whose endpoints are both cut points).

In the *right picture*, we have represented the brick-vertex tree  $\mathcal{BV}(\Gamma)$ . The light-green shaded subgraph is the set  $\text{Conv}(\mathcal{F}) \subset \mathcal{BV}(\Gamma)$ .

Notice that there are 4 brick-vertices of  $\mathcal{BV}(\Gamma)$  which have valency at least 4 (3 of them have valency 4 and one of them has valency 5). But at those vertices the convex hull  $\operatorname{Conv}(\mathcal{BV}(\mathcal{F}))$  has only valency 3. This convex hull has also two points of valency 4, but both of them are cut-vertices.

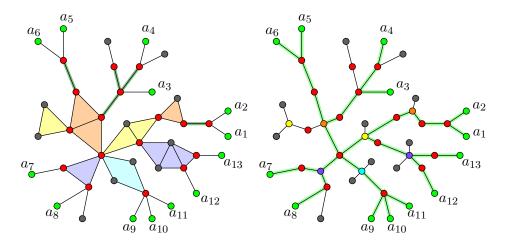


FIGURE 9. Example 1.37, in which the hypotheses of Theorem 1.36 are satisfied.

#### 1.5. Applications to finite sets of branches on normal surface singularities.

The main result of this section (Theorem 1.40) is the announced generalization to arbitrary normal surface singularities of the fact that  $u_L$  is an ultrametric on arborescent singularities (see Theorem 1.20). This generalization, stating that in general  $u_L$  is an ultrametric in restriction to special sets of branches, describable topologically on any embedded resolution of their sum, is an immediate corollary of Theorem 1.36 of the previous section.

Applying Theorem 1.36 to the angular distance  $\rho$ , we get:

**Corollary 1.38.** Let  $\pi$  be a good resolution of X. Consider a subset  $\mathcal{F}$  of the set of vertices of the dual graph  $\Gamma_{\pi}$  and its convex hull  $\operatorname{Conv}(\mathcal{F})$  in the brick-vertex tree  $\mathcal{BV}(\Gamma_{\pi})$  of  $\Gamma_{\pi}$ . If each brick of  $\Gamma_{\pi}$  has  $\operatorname{Conv}(\mathcal{F})$ -valency at most 3, then the restriction of  $\rho$  to  $\mathcal{F}$  is tree-like and the associated tree is isomorphic as an  $\mathcal{F}$ -tree to  $\operatorname{Conv}(\mathcal{F})$ .

In order to state the next results, it is convenient to introduce the following vocabulary:

**Definition 1.39.** If  $\mathcal{F} \subset \mathcal{B}(X)$  is a finite set of branches on X, then an **injective resolution** of  $\mathcal{F}$  is an embedded resolution of their sum such that different branches in  $\mathcal{F}$  have different representing divisors (in the sense of Definition 1.9).

If  $\pi$  is an injective resolution of  $\mathcal{F}$ , then we have a canonical injection of  $\mathcal{F}$  in  $\mathcal{P}(\pi)$ . We will identify sometimes  $\mathcal{F}$  and its image, saying for instance that  $\mathcal{F}$  is a subset of the set of vertices of  $\Gamma_{\pi}$ .

We deduce immediately from Corollaries 1.38 and 1.23 the following theorem, which is our extension of Theorem 1.20 to not necessarily arborescent normal surface singularities:

**Theorem 1.40.** Let X be a normal surface singularity. Consider a finite set  $\mathcal{F}$  of branches on it and denote by L one of them. Let  $\pi$  be an injective resolution of the sum of branches in  $\mathcal{F}$ . Identify  $\mathcal{F}$  with the set of prime divisors representing its elements. If each brick of  $\Gamma_{\pi}$  has  $\operatorname{Conv}(\mathcal{F})$ -valency at most 3, then the function  $u_L : (\mathcal{F} \setminus \{L\})^2 \to [0, \infty)$  is an ultrametric and the associated rooted  $\mathcal{F}$ -tree is isomorphic to  $\operatorname{Conv}(\mathcal{F})$ .

Note that Theorem 1.20 is indeed a special case of Theorem 1.40. This is a consequence of the fact that for arborescent singularities,  $\Gamma_{\pi}$  has no bricks.

**Remark 1.41.** The rooted tree associated to  $u_L$  in Theorem 1.40 is *end-rooted* in the sense of [17, Definition 3.5], that is, its root is of valency 1. It corresponds to a supplementary element associated to the set of closed balls of the ultrametric, which may be thought as a ball of infinite radius. The approach of the paper [17] was to work exclusively with rooted trees associated to ultrametrics. By contrast, in the present paper our trees are associated to metrics satisfying the 4-point condition (see Definition 1.24), therefore they are not canonically rooted. One may translate one approach into the other one using Proposition 1.22.

An important aspect of Theorem 1.40 is that *it depends only on the topology of the total transform of the branches on an embedded resolution of their sum*, and neither on special properties of the values of the intersection numbers of the prime exceptional divisors, nor on their genera.

**Example 1.42.** The condition on the valency of brick-points in Theorem 1.40 (and of analogous theorems like Theorem 2.51) is not necessary in general.

One of the easiest examples is given by a singularity X for which the dual graph of its minimal good resolution is a tetrahedron. Denote by  $E_1, E_2, E_3, E_4$  the exceptional primes, and assume that they all have the same self-intersection  $-k, k \ge 4$ . By symmetry,  $\check{E}_i \cdot \check{E}_j$  is

constant for any  $1 \le i \ne j \le 4$ . The brick-vertex tree has here a brick-vertex of valency 4, but the 4-point condition is satisfied. See Examples 2.53 and 2.54 for a deeper description of this example.

## 1.6. An ultrametric characterization of arborescent singularities.

The aim of this section is to prove a converse to Theorem 1.20. Namely, we prove that if  $u_L$  is an ultrametric for some branch L on X, then X is arborescent (see Theorem 1.44).

In the next proposition we show that if the normal surface singularity is not arborescent, then one may find four branches on it such that for any one of them, called L, the associated function  $u_L$  is not an ultrametric on the set of remaining three branches (even if the proposition is not stated like this, the fact that its conclusion may be formulated in this way is a consequence of Proposition 1.22):

**Proposition 1.43.** Let  $X_{\pi}$  be a good model of X. Assume that a, b, m, p are four pairwise distinct vertices of the dual graph  $\Gamma_{\pi}$ , such that:

- both m and p are adjacent to a;
- a does not separate b from either m or p.

Denote by  $x_m$  the intersection point of  $E_a$  and  $E_m$  and by  $x_p$  the intersection point of  $E_a$ and  $E_p$ . Let A and B be branches on X whose representing divisors on  $X_{\pi}$  are  $E_a$  and  $E_b$  respectively. Then there exist branches  $C_m$  and  $C_p$  whose strict transforms on  $X_{\pi}$  pass through  $x_m$  and  $x_p$  respectively, such that:

(13)  $(A \cdot B)(C_m \cdot C_p) < (C_m \cdot A)(C_p \cdot B) < (C_m \cdot B)(C_p \cdot A).$ 

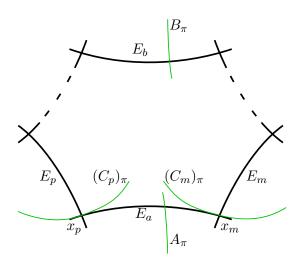


FIGURE 10. Geometric situation of Proposition 1.43.

Proof. Consider a branch  $C_m$  whose strict transform  $(C_m)_{\pi}$  passes through the point  $x_m$ , is smooth and tangent to the prime exceptional divisor  $E_a$ . Denote by  $s \in \mathbb{N}^*$  the intersection number  $(C_m)_{\pi} \cdot E_a$ . As  $(C_m)_{\pi} \cdot E_m = 1$  and the intersection numbers of  $(C_m)_{\pi}$  with all the other irreducible components of the exceptional divisor of  $\pi$  are all 0, we deduce that:

$$(C_m)^{ex}_{\pi} = -\check{E}_m - s\check{E}_a.$$

Consider an analogous branch  $C_p$  whose strict transform passes through  $x_p$ , and such that one has  $(C_p)_{\pi} \cdot E_a = t \in \mathbb{N}^*$ . One gets:

$$(C_m)^{ex}_{\pi} = -\check{E}_p - t\check{E}_a$$

See Figure 10 for the relative positions of prime exceptional divisors and strict transforms of branches.

As  $A_{\pi}^{ex} = -\check{E}_a$  and  $B_{\pi}^{ex} = -\check{E}_b$ , we see that the desired system of inequalities becomes:

(14)  
$$\begin{array}{l} \langle a,b\rangle \cdot (\langle m,p\rangle + t\langle m,a\rangle + s\langle a,p\rangle + ts\langle a,a\rangle) < \\ (\langle m,a\rangle + s\langle a,a\rangle)(\langle p,b\rangle + t\langle a,b\rangle) < \\ (\langle m,b\rangle + s\langle a,b\rangle)(\langle p,a\rangle + t\langle a,a\rangle). \end{array}$$

We want to show that we may find pairs  $(s,t) \in \mathbb{N}^* \times \mathbb{N}^*$  such that (14) holds. Let us consider in turn both inequalities.

• After developing the products and eliminating the cancelling terms, the left-hand inequality becomes:

(15) 
$$(\langle a,a\rangle\langle b,p\rangle - \langle a,b\rangle\langle a,p\rangle)s + (\langle a,m\rangle\langle b,p\rangle - \langle a,b\rangle\langle m,p\rangle) > 0.$$

Note that the left-hand side of (15) is a polynomial of degree 1 in the variable s. By Proposition 1.18 and the hypothesis that a does not separate b from p in the dual graph of  $\pi$ , the coefficient  $\langle a, a \rangle \langle b, p \rangle - \langle a, b \rangle \langle a, p \rangle$  of s is positive. Therefore, the inequality (15) becomes true for s big enough.

• Similarly, the right-hand inequality of (14) becomes:

$$(16) \ (\langle a,a\rangle\langle b,m\rangle - \langle a,b\rangle\langle a,m\rangle)t - (\langle a,a\rangle\langle b,p\rangle - \langle a,b\rangle\langle a,p\rangle)s + \langle a,p\rangle\langle b,m\rangle - \langle a,m\rangle\langle b,p\rangle > 0.$$

Assume that s was chosen such that (15) holds. The left-hand side of (16) is then a polynomial of degree 1 in the variable t. Its dominating coefficient  $\langle a, a \rangle \langle b, m \rangle - \langle a, b \rangle \langle a, m \rangle$  is > 0, because a does not separate b from m. Therefore, the inequality (16) becomes true for t big enough.

As an easy consequence of the previous proposition, we get the announced characterization of arborescent singularities:

**Theorem 1.44.** Let X be a normal surface singularity. Then the following properties are equivalent:

- (1) For any branch  $L \in \mathcal{B}(X)$ , the function  $u_L$  is an ultrametric on the set  $\mathcal{B}(X) \setminus \{L\}$ .
- (2) There exists a branch  $L \in \mathcal{B}(X)$ , such that the function  $u_L$  is an ultrametric on the set  $\mathcal{B}(X) \setminus \{L\}$ .
- (3) The bracket  $\langle \cdot, \cdot \rangle$  satisfies the following inequality:

 $\langle a, b \rangle \cdot \langle l, c \rangle \ge \min\{\langle a, c \rangle \cdot \langle l, b \rangle, \langle b, c \rangle \cdot \langle l, a \rangle\}, \text{ for all } (a, b, c, l) \in (\mathcal{P}(X))^4.$ 

(4) The singularity X is arborescent.

Proof.

- The equivalences  $(1) \iff (2) \iff (3)$  are a direct consequence of Corollary 1.23.
- The implication  $(4) \Longrightarrow (1)$  is a direct consequence of Theorem 1.20.
- The implication  $(1) \implies (4)$  is a direct consequence of Proposition 1.43. Indeed, that proposition implies that on any non-arborescent singularity, one may find four branches such that if L is any one of them,  $u_L$  is not an ultrametric in restriction to the remaining three branches.

#### 2. Ultrametric distances on valuation spaces

In this second part of the paper, we generalize the results of Part 1 to the setting of valuation spaces. We keep denoting by  $(X, x_0)$  a normal surface singularity and by  $\mathcal{O}_X$  its local ring. We denote by  $R = R_X$  the completion  $\hat{\mathcal{O}}_X$  of its local ring relative to its maximal ideal and by  $\mathfrak{m} = \mathfrak{m}_X$  the unique maximal ideal of R.

#### 2.1. Semivaluation spaces of normal surface singularities.

In this section we recall the definitions of *semivaluations* and *valuations* of X, as well as that of *normalized* such objects. Then we recall the classification of semivaluations into divisorial, quasi-monomial (in particular irrational), curve and infinitely singular.

Let  $[0, +\infty]$  be the union of the set of non-negative real numbers and of the singleelement set  $\{+\infty\}$ , endowed with the usual total order. In this paper we will consider the following notion of semivaluation:

**Definition 2.1.** A semivaluation on X (or on R) is a function  $\nu \colon R \to [0, +\infty]$  satisfying the following axioms:

- (1)  $\nu(0) = +\infty$  and  $\nu(1) = 0;$
- (2)  $\nu(\phi\psi) = \nu(\phi) + \nu(\psi)$  for all  $\phi, \psi \in R$ ;
- (3)  $\nu(\phi + \psi) \ge \min\{\nu(\phi), \nu(\psi)\}$  for all  $\phi, \psi \in R$ ;
- (4)  $0 < \nu(\mathfrak{m}) < +\infty;$

where  $\nu(\mathfrak{m}) := \min\{\nu(\phi) \mid \phi \in \mathfrak{m}\}$ . The semivaluation  $\nu$  is **normalized** if in addition  $\nu(\mathfrak{m}) = 1$ . The semivaluation  $\nu$  is a **valuation** if  $\nu^{-1}(+\infty) = \{0\}$ . The set of semivaluations on X will be denoted as  $\hat{\mathcal{V}}_X^*$ , while the set of normalized semivaluations will be denoted by  $\overline{\mathcal{V}_X}$ .

**Remark 2.2.** There are more general notions of semivaluation which do not require the condition (4) on Definition 2.1, or which take values on the non-negative part of the additive semigroup  $\mathbb{R}^2$ , with respect to the lexicographical ordering. In the literature, the semivaluations of Definition 2.1 are usually called *centered* (which makes reference to the condition  $\nu(\mathfrak{m}) > 0$ ), *finite* (meaning that  $\mathfrak{m} < +\infty$ ) and of *rank* 1 (since they take values on the non-negative part of ( $\mathbb{R}, +$ )).

If  $\nu$  is a semivaluation on X, so is  $\lambda \nu$  for any  $\lambda \in \mathbb{R}^*_+ := (0, +\infty)$ . In particular, any semivaluation is proportional to a normalized one.

**Remark 2.3.** The normalization with respect to the maximal ideal is not the only possible one. It is sometimes useful to normalize with respect to other ideals of R. A typical choice (see [13, 14] for the smooth setting) is to normalize with respect to the value taken on a given irreducible element x of R, that is, by considering only semivaluations which satisfy  $\nu(x) = 1$ . In this case a special care must be taken for the curve valuation  $\nu_C$  with  $C = \{x = 0\}$ , since  $\operatorname{int}_C(x) = +\infty$  (see below for the definitions of  $\nu_C$  and  $\operatorname{int}_C$ ).

One may define semivaluations on R as  $[0, +\infty]$ -valued functions  $\nu$  on the set of ideals of R satisfying the following constraints for any pair  $(\mathfrak{a}, \mathfrak{b})$  of ideals of R:

- $\nu(\mathfrak{a}) \geq \nu(\mathfrak{b})$  whenever  $\mathfrak{a} \subseteq \mathfrak{b}$ ;
- $\nu(\mathfrak{ab}) = \nu(\mathfrak{a}) + \nu(\mathfrak{b});$
- $\nu(\mathfrak{a} + \mathfrak{b}) \geq \min\{\nu(\mathfrak{a}), \nu(\mathfrak{b})\};$
- $0 = \nu(R) < \nu(\mathfrak{m}) < \nu(0) = +\infty.$

The correspondence between the two versions of the definition is given by setting  $\nu(\mathfrak{a}) := \min\{\nu(\phi) \mid \phi \in \mathfrak{a}\}$  for any  $\nu$  which is a semivaluation according to the first version. Conversely, if  $\nu$  is a semivaluation according to the second version, we get a semivaluation according to the first version by defining simply  $\nu(\phi) := \nu(\phi R)$  for all  $\phi \in R$ .

Note that for any semivaluation  $\nu$ , the set  $\nu^{-1}(+\infty)$  is a prime ideal of R. Therefore, it defines either the point  $x_0$  or a branch on X.

**Definition 2.4.** The support of a semivaluation of R is the vanishing locus of the prime ideal  $\nu^{-1}(+\infty)$ .

The spaces  $\hat{\mathcal{V}}_X^*$  and  $\mathcal{V}_X$  come equipped with natural topologies:

**Definition 2.5.** The weak topologies on the sets  $\hat{\mathcal{V}}_X^*$  and  $\mathcal{V}_X$  are the weakest ones such that the maps  $\phi \mapsto \nu(\phi)$  are continuous for any  $\phi \in R$ .

In the foundational work [50], Zariski gave a classification of semivaluations according to some algebraic invariants (*rank*, *rational rank*, *transcendence degree*). Those different kinds of semivaluations can also be characterized by their geometric properties. We recall here a few facts about this classification in our setting.

- Divisorial valuations. They are the valuations associated to exceptional primes  $E \in \mathcal{P}(X)$ , as seen in Section 1.1. Let  $X_{\pi}$  be a good model of X, and  $E \in \mathcal{P}(\pi)$  be any irreducible (and reduced) component of the exceptional divisor  $\pi^{-1}(x_0)$ . Then the map  $\operatorname{div}_E$ , which associates to a function  $\phi \in R$  the order of vanishing of  $\phi \circ \pi$  along E, defines a valuation of X. We say that a valuation is divisorial if it is of the form  $\lambda \operatorname{div}_E$ , with  $\lambda \in \mathbb{R}^*_+$ . When  $\lambda = 1$ , the divisorial valuation is called **prime**, a denomination already used in Part 1. For any exceptional prime  $E \in \mathcal{P}(X)$  we denote by  $\overline{\nu_E} := b_E^{-1} \operatorname{div}_E$  the normalized valuation proportional to  $\operatorname{div}_E$ , where  $\overline{b_E} := \operatorname{div}_E(\mathfrak{m}) \in \mathbb{N}^*$  is the **generic multiplicity** of  $\nu_E$ . Finally, for any good model  $X_{\pi}$  of X, we denote by  $\overline{\Sigma^*_{\pi}}$  the set of normalized divisorial valuations associated to the primes of  $\pi$ .
- Quasi-monomial and irrational valuations. Quasi-monomial valuations of X are constructed as follows. Let  $X_{\pi}$  be a good model of X, and let  $P \in E(\pi)$  be any point in the exceptional divisor  $E(\pi)$  of  $\pi$ . Pick local coordinates (x, y) at P adapted to  $E(\pi)$  (i.e., so that  $E(\pi) \subseteq \{xy = 0\}$  locally at P). For any  $(r, s) \in (\mathbb{R}^*_+)^2$ , we may consider the monomial valuation  $\mu_{r,s}$  on the local ring of  $X_{\pi}$  at P, defined on the set of monomials in x and y by setting  $\mu_{r,s}(x) = r$  and  $\mu_{r,s}(y) = s$ , and extended to any element  $\phi \in R$  by taking the minimum of  $\mu_{r,s}$  on the set of monomials appearing in  $\phi$ . The valuation  $\nu_{r,s}$  defined by  $\overline{\nu_{r,s}} := \pi_* \mu_{r,s} : \phi \mapsto \mu_{r,s}(\phi \circ \pi)$  is an element of  $\hat{\mathcal{V}}^*_X$ , called a **quasimonomial valuation**. If r and s are rationally dependent, it turns out that  $\nu_{r,s}$  is a divisorial valuation (associated to an exceptional prime obtained after a toric modification of  $X_{\pi}$  in the coordinates (x, y)). If r and s are

rationally independent, we call the valuation  $\nu_{r,s}$  an **irrational valuation**. Notice that we can also define  $\nu_{r,s}$  when either r or s vanishes. For example, suppose that  $E(\pi) = \{x = 0\} = E$  locally at P. Then the valuation  $\nu_{1,0}$  coincides with div<sub>E</sub>, while  $\nu_{0,1}$  is not a centered valuation: it would correspond up to a multiplicative constant to the order of vanishing along the branch determined by the projection of  $\{y = 0\}$  to X.

Given a good model  $X_{\pi}$ , we denote by  $S_{\pi}$  the set of normalized quasimonomial valuations (where we allow either r or s to be zero) described as above for some

point  $p \in \pi^{-1}(x_0)$ , and call it the **skeleton of**  $X_{\pi}$ . Notice that  $S_{\pi}$  admits a structure of finite connected graph, with set of vertices  $S_{\pi}^*$ , and edges between two points  $\nu_E$  and  $\nu_F$  for each intersection point between E and F in  $\pi^{-1}(x_0)$ .

- Curve semivaluations. They are the semivaluations associated to branches in  $\mathcal{B}(X)$ . Given such a branch L, a curve semivaluation associated to L is any positive real multiple of  $[int_L]$ , which in turn is defined by  $int_L(\phi) := L \cdot (\phi)$ , where  $\phi \in R$  and  $(\phi)$  denotes the divisor of  $\phi$ . As for divisorial valuations, we denote by  $[\nu_L] := m(L)^{-1}int_L$  the normalized semivaluation proportional to  $int_L$ , where  $m(L) \in \mathbb{N}^*$  is the multiplicity of L. Notice that curve semivaluations are never valuations, since  $int_L(\phi) = +\infty$  for any  $\phi \in R$  vanishing on L. In fact, the support of  $int_L$  according to Definition 2.4 is exactly L.
- Infinitely singular valuations. These are the remaining elements of  $\hat{\mathcal{V}}_X^*$ . They are characterized by having rank and rational rank equal to 1, and transcendence degree equal to 0. They are also characterized as valuations whose value group is not finitely generated over  $\mathbb{Z}$ . They can be thought as curve semivaluations associated to branches of infinite multiplicity (see [13, Chapter 4]).

**Remark 2.6.** In Part 1, we considered only divisorial valuations. Given such a valuation u, we denoted by  $E_u$  the exceptional prime associated to it. Since here we consider other types of valuations, not associated to exceptional primes, we prefer to denote by  $\nu \in \mathcal{V}_X$  any kind of valuation, and write  $\nu = \nu_E$  if  $\nu$  is the divisorial valuation associated to the exceptional prime E.

### 2.2. B-divisors on normal surface singularities.

In the first part of the paper, it was crucial to associate a dual to any prime divisor on a model of X. By looking at the divisor as a prime divisorial valuation, and by collecting its associated dual divisors on all the models, one gets a particular b-divisor, in the sense of Definition 2.10. In this section we explain how to extend the previous construction to all semivaluations on X (see Definition 2.9). As an application, we show how to extend to the space of normalized semivaluations the notions of bracket (see Definition 2.11) and of angular distance (see Definition 2.14).

Let  $\pi: X_{\pi} \to X$  be a good resolution of the normal surface singularity X and  $\nu \in \mathcal{V}_X^*$ a semivaluation of X. By the valuative criterion of properness, any  $\nu \in \hat{\mathcal{V}}_X^*$  has a unique **center** in  $X_{\pi}$ , which lies in the exceptional divisor of  $\pi$ . The center is characterized as the unique scheme-theoretic point  $\xi \in X_{\pi}$  so that  $\nu$  takes non-negative values on the local ring  $\mathcal{O}_{X_{\pi},\xi}$  of elements of the fraction field of R whose pullbacks to  $X_{\pi}$  are regular at  $\xi$ , and strictly positive values exactly on its maximal ideal  $\mathfrak{m}_{\xi}$ .

One may define unambiguously the value  $\nu(D)$  taken by  $\nu$  on any divisor  $D \in \mathcal{E}(\pi)_{\mathbb{R}}$ (see for instance [27, Section 7.5.2] for the case where R is regular, which extends without changes to our case, or [19, Section 2.2]). The idea is to define first  $\nu(D)$  when D is prime, by evaluating  $\nu$  on a local defining function of D, and to extend it then by linearity. Such local defining functions may be taken as pull-backs of elements of the localization of R at the defining prime ideal  $\nu^{-1}(+\infty)$  of the support of  $\nu$ , to which  $\nu$  extends canonically.

Any semivaluation on X induces a dual divisor on  $X_{\pi}$ , according to the next proposition (see [12, Page 400] or [19, Proposition 2.5]):

**Proposition 2.7.** For any semivaluation  $\nu \in \hat{\mathcal{V}}_X^*$ , there exists a unique divisor  $Z_{\pi}(\nu) \in \mathcal{E}(\pi)_{\mathbb{R}}$  such that  $\nu(D) = Z_{\pi}(\nu) \cdot D$  for each  $D \in \mathcal{E}(\pi)_{\mathbb{R}}$ .

We will use the following name for this divisor:

**Definition 2.8.** The divisor  $Z_{\pi}(\nu)$  characterized in Proposition 2.7 is called the **dual** divisor of  $\nu$  in the model  $X_{\pi}$ .

The name alludes to the fact that for a divisorial valuation  $\operatorname{div}_E$ , we have  $Z_{\pi}(\operatorname{div}_E) = \check{E}$ . Here  $\check{E}$  denotes the dual divisor of E, as defined by relations (2).

**Definition 2.9.** The collection  $Z(\nu) = (Z_{\pi}(\nu))_{\pi}$ , where  $\pi$  varies along all good resolutions of X, is called the **b-divisor associated to**  $\nu$ .

This name is motivated by the fact that  $Z(\nu)$  is a b-divisor in the following sense, due to Shokurov [42] (the letter "b" is the initial of "birational"):

**Definition 2.10.** A collection  $(Z_{\pi})_{\pi}$ , where  $\pi$  varies among all good resolutions of X and  $Z_{\pi} \in \mathcal{E}(\pi)_{\mathbb{R}}$ , is called a **b-divisor** of X if for any pair of models  $(\pi, \pi')$  such that  $\pi'$  dominates  $\pi$ , one has:

$$\psi_* Z_{\pi'} = Z_\pi$$

if  $\pi' = \pi \circ \psi$ .

In Part 1, we noticed that the intersection of two dual divisors does not depend on the model used to compute it (see Proposition 1.5). This allows to define the intersection number  $Z(\nu) \cdot Z(\mu)$  of two b-divisors associated to divisorial valuations  $\nu, \mu \in \hat{\mathcal{V}}_X^*$ .

In the general case of an arbitrary pair of semivaluations  $(\nu, \mu)$  of X, the intersection number  $Z_{\pi}(\nu) \cdot Z_{\pi}(\mu)$  may depend on the model  $\pi$ . In fact, we always have  $Z_{\pi'}(\nu) \cdot Z_{\pi'}(\mu) \leq Z_{\pi}(\nu) \cdot Z_{\pi}(\mu)$ , for any model  $\pi'$  dominating  $\pi$ . This allows to define:

$$Z(\nu) \cdot Z(\mu) := \inf_{\pi} \left( Z_{\pi}(\nu) \cdot Z_{\pi}(\mu) \right) \in [-\infty, 0).$$

We refer to [5, 12, 19] for further details on b-divisors associated to valuations.

Recall that in Definition 1.6 was introduced the **bracket** of two prime divisorial valuations. The next definition extends the bracket to arbitrary pairs of semivaluations:

**Definition 2.11.** Let  $\nu, \mu \in \hat{\mathcal{V}}_X^*$  be two semivaluations of X. Their **bracket** is defined by:

$$\boxed{\langle \nu, \mu \rangle} := -Z(\nu) \cdot Z(\mu) \in (0, +\infty].$$

When  $\nu = \mu$ , the self-bracket  $\alpha(\nu) := \langle \nu, \nu \rangle$  is called the **skewness** of  $\nu$ .

**Remark 2.12.** The skewness  $\alpha(\nu)$  has been analized for germs of smooth surfaces in [13], where it was defined as the supremum of the ratio between the values of  $\nu$  and of the multiplicity function. With this interpretation, the skewness is sometimes called the *Izumi* constant of  $\nu$ , a denomination which refers to the works [25, 26] of Izumi. Its study has been the focus of several works, see e.g. [40, 10, 34, 41, 6]. The b-divisor interpretation given by Favre and Jonsson is more recent, and it has been used to study several properties of valuation spaces for smooth and singular surfaces (see e.g. [27, 19]).

Let us consider now the restriction of the bracket to the space  $\mathcal{V}_X$  of normalized semivaluations. The skewness is always finite for quasimonomial valuations, while it is always infinite for curve semivaluations. It can be any value in  $(0, +\infty]$  for infinitely singular valuations. We denote by  $\mathcal{V}_X^{\alpha}$  the set of normalized valuations with finite skewness.

More generally, one can show (see [19, Proposition 2.12]) that  $\langle \nu, \mu \rangle$  is determined on a model  $X_{\pi}$ , i.e.,  $\langle \nu, \mu \rangle = -Z_{\pi}(\nu) \cdot Z_{\pi}(\mu)$  as far as  $\nu$  and  $\mu$  have different centers on  $X_{\pi}$ . As for two distinct normalized semivaluations, there is always a model on which their centers are disjoint, we deduce that:

**Proposition 2.13.** The bracket of two distinct normalized semivaluations is always finite.

Carrying on the analogies with the divisorial case of Part 1, we define the notion of angular distance of semivaluations, as introduced in [19].

**Definition 2.14.** The angular distance of two normalized semivaluations  $\mu, \nu \in \mathcal{V}_X$  is:

(17) 
$$\boxed{\rho(\nu,\mu)} := -\log \frac{\langle \nu,\mu \rangle^2}{\alpha(\nu) \cdot \alpha(\mu)} \in [0,\infty]$$

if  $\nu \neq \mu$ , and 0 if  $\nu = \mu$ .

**Remark 2.15.** The function  $\rho$  defines an **extended distance** on  $\mathcal{V}_X$  (see [19, Proposition 2.38]), in the sense that it vanishes exactly on the diagonal, it is symmetric, and it satisfies the triangular inequality (like a standard distance), but it may take the value  $+\infty$  in some cases. In fact,  $\rho(\nu, \mu) = +\infty$  exactly when  $\nu \neq \mu$  and at least one of the semivaluations  $\nu$  and  $\mu$  has infinite skewness. This locus can be precisely determined, by reducing first to the smooth case using [19, Lemma 2.41], and by describing then the skewness of a semivaluation in terms of its *Puiseux parameterization*, as in [13, Chapter 4] (when one works over  $\mathbb{C}$ ) or using Jonsson's approach in [27, Section 7] (when one works over an arbitrary field, possibly of positive characteristic). In particular,  $\rho$  defines a distance on  $\mathcal{V}_X^{\alpha}$ , hence on the set of normalized quasimonomial valuations. The topology induced by  $\rho$  on  $\mathcal{V}_X$  is usually called the **strong topology**, in order to distinguish it from the weak topology introduced in Definition 2.5.

# 2.3. Ultrametric distances on semivaluation spaces of arborescent singularities.

In Section 1.3 we started the study of the function  $u_L$ , that culminated with the characterization of arborescent singularities given in Theorem 1.44. This section is devoted to the proof of an analog for semivaluation spaces (see Theorem 2.18). We will study functions  $u_{\lambda}$  depending on an arbitrary semivaluation  $\lambda \in \hat{\mathcal{V}}_X^*$ , defined on  $\mathcal{V}_X \times \mathcal{V}_X$ . In the particular case in which  $\lambda$  is the curve semivaluation int<sub>L</sub> associated to a branch L on X, we get  $u_{\text{int}_L} = u_L$  (see Remark 2.17).

**Definition 2.16.** Let X be a normal surface singularity, and let  $\lambda \in \hat{\mathcal{V}}_X^*$  be any semivaluation. Let  $\nu_1, \nu_2 \in \mathcal{V}_X$  be any normalized semivaluations on X. We set:

(18) 
$$\boxed{u_{\lambda}(\nu_{1},\nu_{2})} := \begin{cases} \frac{\langle \lambda,\nu_{1} \rangle \cdot \langle \lambda,\nu_{2} \rangle}{\langle \nu_{1},\nu_{2} \rangle} & \text{if } \nu_{1} \neq \nu_{2}, \\ 0 & \text{if } \nu_{1} = \nu_{2}. \end{cases}$$

**Remark 2.17.** Since  $\langle \nu_1, \nu_2 \rangle < +\infty$  when  $\nu_1 \neq \nu_2$  (see Proposition 2.13), the function  $u_{\lambda}$  is well defined with values in  $[0, +\infty]$ , and it vanishes if and only if  $\nu_1 = \nu_2$ . The value  $+\infty$  is sometimes achieved. In fact, while the denominator is always strictly positive, we have  $\langle \lambda, \nu \rangle = +\infty$  if and only if  $\lambda = \nu$  and  $\alpha(\lambda) = +\infty$ . In particular,  $u_{\lambda}$  takes only finite values if  $\alpha(\lambda) < +\infty$ , while it always takes finite values on  $(\mathcal{V}_X \setminus \{\lambda\})^2$ .

Notice that if  $\nu_1$  and  $\nu_2$  tend to the same valuation  $\nu$ , then  $\frac{\langle \lambda, \nu_1 \rangle \cdot \langle \lambda, \nu_2 \rangle}{\langle \nu_1, \nu_2 \rangle}$  tends to  $\frac{\langle \lambda, \nu \rangle^2}{\alpha(\nu)}$ . This value is finite as long as  $\nu \neq \lambda$ , and it is 0 if and only if  $\alpha(\nu) = +\infty$ . This always happens when  $\nu$  is a curve semivaluation, and never happens for quasimonomial valuations.

Notice also that  $u_{\lambda}$  can be extended to  $(\hat{\mathcal{V}}_{X}^{*})^{2}$  using an analogous formula. In fact, by homogeneity of the bracket, we have  $u_{\lambda}(b_{1}\nu_{1}, b_{2}\nu_{2}) = u_{\lambda}(\nu_{1}, \nu_{2})$  for any  $b_{1}, b_{2} \in (0, +\infty)$ .

Finally, Definition 2.16 clearly generalizes (9). In fact, if L, A, B are branches on X, then  $u_L(A, B) = u_{\text{int}_L}(\text{int}_A, \text{int}_B)$ , where  $\text{int}_L, \text{int}_A, \text{int}_B$  are the curve valuations associated to L, A, B respectively.

The aim of this section is to prove the following result, which is a generalization of Theorem 1.44:

**Theorem 2.18.** Let X be a normal surface singularity. Then the following properties are equivalent:

- (1) For any semivaluation  $\lambda \in \hat{\mathcal{V}}_X^*$ , the function  $u_\lambda$  is an extended ultrametric distance on  $\mathcal{V}_X$ .
- (2) There exists a semivaluation  $\lambda \in \hat{\mathcal{V}}_X^*$ , such that the function  $u_{\lambda}$  is an extended ultrametric distance on  $\mathcal{V}_X$ .
- (3) The singularity X is arborescent.

Before starting the proof, let us give some definitions and preliminary results, analogous to those described in Part 1.

**Definition 2.19.** Let X be a normal surface singularity, and  $\mu, \nu_1, \nu_2 \in \mathcal{V}_X$  be three normalized semivaluations. We say that  $\mu$  separates  $\nu_1$  and  $\nu_2$  (or the couple  $(\nu_1, \nu_2)$ ) if either  $\mu \in {\nu_1, \nu_2}$ , or  $\nu_1$  and  $\nu_2$  belong to different connected components of  $\mathcal{V}_X \setminus {\mu}$ .

**Proposition 2.20** ([19, Proposition 2.14]). Let X be a normal surface singularity and  $\mu, \nu_1, \nu_2 \in \mathcal{V}_X$  be three normalized semivaluations. Then we have:

(19) 
$$\langle \mu, \nu_1 \rangle \cdot \langle \mu, \nu_2 \rangle \leq \langle \mu, \mu \rangle \cdot \langle \nu_1, \nu_2 \rangle.$$

Moreover, the equality holds if and only if  $\mu$  separates  $\nu_1$  and  $\nu_2$ .

Notice that, by homogeneity, Proposition 2.20 holds also for non-normalized valuations.

**Proposition 2.21.** Let X be a normal surface singularity, and  $\nu_j \in \mathcal{V}_X$ , for j = 1, ..., 4, be four normalized semivaluations. Suppose that there exists  $\mu \in \mathcal{V}_X$  that separates simultaneously the couple  $(\nu_1, \nu_2)$  and the couple  $(\nu_3, \nu_4)$ . Then:

(20) 
$$\langle \nu_1, \nu_2 \rangle \cdot \langle \nu_3, \nu_4 \rangle \leq \langle \nu_1, \nu_3 \rangle \cdot \langle \nu_2, \nu_4 \rangle$$

Moreover, the equality in (20) holds if and only if  $\mu$  also separates simultaneously the couple  $(\nu_1, \nu_3)$  and the couple  $(\nu_2, \nu_4)$ .

*Proof.* By Proposition 2.20, we have

(21) 
$$\langle \mu, \nu_1 \rangle \cdot \langle \mu, \nu_3 \rangle \leq \langle \mu, \mu \rangle \cdot \langle \nu_1, \nu_3 \rangle,$$

(22) 
$$\langle \mu, \nu_2 \rangle \cdot \langle \mu, \nu_4 \rangle \le \langle \mu, \mu \rangle \cdot \langle \nu_2, \nu_4 \rangle$$

We want to prove the inequality

(23) 
$$\langle \nu_1, \nu_2 \rangle \cdot \langle \nu_3, \nu_4 \rangle \cdot \langle \mu, \mu \rangle \le \langle \mu, \nu_2 \rangle \cdot \langle \mu, \nu_4 \rangle \cdot \langle \nu_1, \nu_3 \rangle,$$

which implies the statement (20) by applying (22). Now, again by Proposition 2.20, we have

(24) 
$$\langle \mu, \nu_1 \rangle \cdot \langle \mu, \nu_2 \rangle = \langle \mu, \mu \rangle \cdot \langle \nu_1, \nu_2 \rangle,$$

(25) 
$$\langle \mu, \nu_3 \rangle \cdot \langle \mu, \nu_4 \rangle = \langle \mu, \mu \rangle \cdot \langle \nu_3, \nu_4 \rangle,$$

where the equalities are given by the fact that  $\mu$  separates both couples  $(\nu_1, \nu_2)$  and  $(\nu_3, \nu_4)$ . From these equalities, together with (21), we deduce that:

$$\begin{aligned} \langle \nu_1, \nu_2 \rangle \cdot \langle \nu_3, \nu_4 \rangle \cdot \langle \mu, \mu \rangle^2 &= \langle \mu, \nu_1 \rangle \cdot \langle \mu, \nu_3 \rangle \cdot \langle \mu, \nu_2 \rangle \cdot \langle \mu, \nu_4 \rangle \\ &\leq \langle \mu, \mu \rangle \cdot \langle \nu_1, \nu_3 \rangle \cdot \langle \mu, \nu_2 \rangle \cdot \langle \mu, \nu_4 \rangle, \end{aligned}$$

which gives the desired inequality (23).

Finally, by Proposition 2.20, the inequalities (21) and (22) are equalities if and only if  $\mu$  separates both the couple ( $\nu_1, \nu_3$ ) and the couple ( $\nu_2, \nu_4$ ). This concludes the proof.

# **Proof of Theorem 2.18.** Clearly (1) implies (2).

Let us prove that (3)  $\implies$  (1). Let  $\lambda \in \mathcal{V}_X$  be any normalized semivaluation. Since by construction  $u_{\lambda}$  is symmetric and vanishes only on the diagonal, it is enough to show that the ultrametric triangular inequality holds.

Let  $\nu_1, \nu_2, \nu_3 \in \mathcal{V}_X$ , and assume that  $c := \langle \lambda, \nu_1 \rangle \cdot \langle \lambda, \nu_2 \rangle \cdot \langle \lambda, \nu_3 \rangle \in [0, +\infty]$  is finite. This is guaranteed for example if the three semivaluations are taken in  $\mathcal{V}_X \setminus \{\lambda\}$ . Let us define  $I_1, I_2, I_3$  by:

$$\begin{aligned} u_{\lambda}(\nu_{1},\nu_{2}) &= \frac{\langle \lambda,\nu_{1}\rangle \cdot \langle \lambda,\nu_{2}\rangle}{\langle \nu_{1},\nu_{2}\rangle} = \frac{c}{\langle \nu_{1},\nu_{2}\rangle \cdot \langle \lambda,\nu_{3}\rangle} =: \frac{c}{I_{3}}, \\ u_{\lambda}(\nu_{1},\nu_{3}) &= \frac{\langle \lambda,\nu_{1}\rangle \cdot \langle \lambda,\nu_{3}\rangle}{\langle \nu_{1},\nu_{3}\rangle} = \frac{c}{\langle \nu_{1},\nu_{3}\rangle \cdot \langle \lambda,\nu_{2}\rangle} =: \frac{c}{I_{2}}, \\ u_{\lambda}(\nu_{2},\nu_{3}) &= \frac{\langle \lambda,\nu_{2}\rangle \cdot \langle \lambda,\nu_{3}\rangle}{\langle \nu_{2},\nu_{3}\rangle} = \frac{c}{\langle \nu_{2},\nu_{3}\rangle \cdot \langle \lambda,\nu_{1}\rangle} =: \frac{c}{I_{1}}. \end{aligned}$$

We want to show that if X is arborescent, then among the quantities  $I_1, I_2, I_3$ , at least two coincide, and they are smaller or equal that the third one.

Since X is arborescent, the convex hull  $\operatorname{Conv}(\nu_1, \nu_2, \nu_3, \lambda)$  of  $\{\nu_1, \nu_2, \nu_3, \lambda\}$  has one of the shapes represented in Figure 1. Possibly reordering the four semivaluations, we may assume that they are in counter-clockwise order, starting from the top right corner. In the case of the Y-shape, assume that the branch point is  $\lambda$  (in other cases the argument is the same). We study case by case, according to the shape of  $\operatorname{Conv}(\nu_1, \nu_2, \nu_3, \lambda)$ :

- *H*-shaped. Let  $\mu$  be any point in the horizontal segment. It separates all couples but at least one between  $\nu_1$ ,  $\lambda$  and  $\nu_2$ ,  $\nu_3$ . By Proposition 2.21 we deduce that  $I_3 = I_2 < I_1$ .
- X-shaped. The branch point  $\mu$  separates all couples, and  $I_1 = I_2 = I_3$ .
- Y-shaped. The branch point  $\mu = \lambda$  separates all couples, and again  $I_1 = I_2 = I_3$ .
- *F*-shaped. Let  $\mu$  be the branch point. It separates all couples, but  $\nu_1, \nu_2$ , and we get  $I_1 = I_2 < I_3$ .
- C-shaped. Let  $\mu$  be any point in the vertical segment. It separates all couples but  $\nu_1, \nu_2$ and  $\nu_3, \lambda$ . We get  $I_1 = I_2 < I_3$ .

The case when some of the valuations  $\nu_1, \nu_2, \nu_3, \lambda$  coincide is easier, and is left to the reader. We conclude that  $u_{\lambda}$  defines an ultrametric distance on  $\mathcal{V}_X \setminus \{\lambda\}$  (and an extended ultrametric on  $\mathcal{V}_X$ ).

We conclude the proof by showing that  $(2) \Longrightarrow (3)$ . We proceed by contradiction, and assume that X is not arborescent, i.e., that  $\mathcal{V}_X$  contains a loop S. We have fixed a valuation  $\lambda$  for which  $u_{\lambda}$  is an ultrametric distance. We will show that there exist  $\nu_1, \nu_2, \nu_3 \in \mathcal{V}_X$  satisfying

(26) 
$$\langle \nu_3, \lambda \rangle \cdot \langle \nu_1, \nu_2 \rangle < \langle \nu_2, \lambda \rangle \cdot \langle \nu_1, \nu_3 \rangle < \langle \nu_1, \lambda \rangle \cdot \langle \nu_2, \nu_3 \rangle,$$

or  $I_3 < I_2 < I_1$ , if we use the notations introduced in the previous part of the proof. This would contradict the hypothesis that  $u_{\lambda}$  is an ultrametric distance.

But this is the valuative counterpart of Proposition 1.43, which can be proved in this more general setting by using Proposition 2.20 instead of Proposition 1.17. The role of a, b, m, p will be played by  $\nu_3, \lambda, \nu_1, \nu_2$  respectively. In particular, given b, it suffices to pick  $\nu_3$  as any point in S so that  $\lambda$  is in the connected component of  $\mathcal{V}_X \setminus \{\lambda\}$  containing  $S \setminus \{\lambda\}$ . We may assume that  $\nu_3$  is divisorial, associated to an exceptional prime divisor  $E_a$ . Fix a model  $X_{\pi}$  such that  $\lambda$  and  $\nu_3$  have different centers in  $\pi$ , and denote by  $E_m$  and  $E_p$  the exceptional prime divisors adjacent to  $E_a$ , whose associated valuations belong to S. Up to taking a higher model, we may also assume that the center of  $\lambda$  is disjoint from  $E_m$  and  $E_p$ , and that  $\nu_3$  does not separate  $\lambda$  from either  $\nu_{E_m}$  or  $\nu_{E_p}$ . Proposition 1.43 gives two valuations  $\nu_1$  and  $\nu_2$ , corresponding respectively to monomial valuations at the points  $x_m$  and  $x_p$  of Figure 10, which satisfy (26).

#### 2.4. $\mathbb{R}$ -trees and graphs of $\mathbb{R}$ -trees.

In Section 1.4, we associated to any finite connected graph  $\Gamma$  a tree  $\mathcal{BV}(\Gamma)$ , called its *brick-vertex tree*. We then applied this construction to the dual graph of the embedded resolution of the sum of a finite set  $\mathcal{F}$  of branches on a normal surface singularity X, and we were able to describe using it a situation in which  $u_L$  defines an ultrametric distance on  $\mathcal{F} \setminus \{L\}$  (see Theorem 1.40).

In Section 2.1 we described the space  $\mathcal{V}_X$  of normalized semivaluations of X, which can be seen as a projective limit of dual graphs of all possible good resolutions of X.

In this section we construct an analog of the brick-vertex tree for the space  $\mathcal{V}_X$ . With this scope in mind, we first recall the tree structure carried by the space of normalized valuations at a smooth surface singularity. Then we introduce the more general concept of graph of  $\mathbb{R}$ -trees (see Definition 2.23) and we explain how to associate to such a graph a topological space, called its *realization* (see Definition 2.24). We conclude the section by introducing several operations on graphs of  $\mathbb{R}$ -trees, *regularizations* (see Definition 2.34) and *refinements* (see Definition 2.36), which will be used in the next section in the construction of the *brick-vertex tree* of a graph of  $\mathbb{R}$ -trees.

Tree structures.

When X is smooth, the space of normalized valuations  $|\mathcal{V}| := \mathcal{V}_X$  has been deeply studied by Favre and Jonsson in [13] (see also Jonsson's course [27]). It is referred to as the *valuative tree*, since it carries the structure of a  $\mathbb{R}$ -tree in the sense of [27, Definition 2.2]. Let us first recall the definition of this notion:

**Definition 2.22.** An interval structure on a set I is a partial order  $\leq$  on I under which I becomes isomorphic as a poset to the real interval [0, 1] or to the trivial real interval  $\{0\}$  (endowed with the standard total order of the real numbers). A sub-interval  $J \subseteq I$  is a subset of I that becomes a subinterval of [0, 1] under such isomorphism. If I is a set with an interval structure, we denote by  $I^-$  the same set with the opposite interval structure.

An  $\mathbb{R}$ -tree is a set W together with a family  $\{ [x, y] \subseteq W \mid x, y \in W \}$  of subsets endowed with interval structures, and satisfying the following properties:

(T1)  $[x, x] = \{x\};$ 

(T2) if  $x \neq y$ , then  $[x, y] = [y, x]^-$  as posets; moreover,  $x = \min[x, y]$  and  $y = \min[y, x]$ ; (T3) if  $z \in [x, y]$ , then [x, z] and [z, y] are subintervals of [x, y] such that  $[x, z] \cup [z, y] = [x, y]$  and  $[x, z] \cap [z, y] = \{z\}$ ;

(T4) for any  $x, y, z \in W$ , there exists a unique element  $w = \boxed{x \wedge_z y} \in [x, y]$  such that  $[z, x] \cap [y, x] = [w, x]$  and  $[z, y] \cap [x, y] = [w, y]$ ;

(T5) if  $x \in W$  and  $(y_{\alpha})_{\alpha \in A}$  is a net in W such that the segments  $[x, y_{\alpha}]$  increase with  $\alpha$  (relative to the inclusion partial order of the subsets of W), then there exists  $y \in W$  such that  $\bigcup_{\alpha} [x, y_{\alpha}) = [x, y)$ .

Here we used the notation  $[x, y] := [x, y] \setminus \{y\}$ . We define analogously (x, y] and (x, y).

Recall that a **net** is a sequence indexed by a directed set, not necessarily countable.

An  $\mathbb{R}$ -tree structure on the set W induces a natural topology, called **weak topology**. It is constructed as follows. Fix any  $z \in W$ , and pick any two points  $x, y \in W \setminus \{z\}$ . We say that  $x \sim_z y$  if  $z \notin [x, y]$  (a condition equivalent to  $(z, x] \cap (z, y] \neq \emptyset$ , found sometimes in the literature). An equivalence class is called a **tangent direction**  $[\overrightarrow{v}]$  at z, and the set of all such classes is denoted by  $[T_zW]$  (see Example 2.31). Tangent directions need to be thought as *branches* at a point z of W, and in some way as infinitesimal objects (hence the name *tangent direction*). For this reason we distinguish an element  $\overrightarrow{v} \in T_zW$  from the set  $[U_z(\overrightarrow{v})]$  of points  $x \in W \setminus \{z\}$  representing  $\overrightarrow{v}$ , which is seen as a subset of W. We declare  $U_z(\overrightarrow{v})$  to be open for any z varying in W and  $\overrightarrow{v}$  varying among all tangent directions at z. The weak topology is generated by such open sets (i.e., it is the weakest topology for which all the sets  $U_z(\overrightarrow{v})$  are open). When considering the  $\mathbb{R}$ -tree structure of  $\mathcal{V}$ , the weak topology defined here coincides with the weak topology defined in Section 2.1.

#### Graphs of $\mathbb{R}$ -trees.

The structure of the space of normalized semivaluations  $\mathcal{V}_X$  associated to a normal surface singularity X has been investigated from a viewpoint similar to that of the present paper by Favre [12], and by Gignac and the last-named author in [19]. It has also been investigated from somewhat different perspectives by Fantini [11], Thuillier [43] and de Felipe [8].

Roughly speaking,  $\mathcal{V}_X$  is obtained patching together copies of the valuative tree  $\mathcal{V}$  along any skeleton  $\mathcal{S}$  associated to a good resolution  $\pi$  (see Proposition 2.49). By definition,  $\mathcal{V}_X$ admits an  $\mathbb{R}$ -tree structure if and only if the singularity X is arborescent. To cover the general case, we introduce the concept of graph of  $\mathbb{R}$ -trees, which generalizes the concept of finite graph.

Seen combinatorially, a finite graph is given by a set of vertices V and a set of edges E, both seen abstractly and related by incidence maps. One may then consider a topological realization of it: the edges can be seen as real segments  $I_e = [0, 1]$ , and the incidences may be realized by maps  $i_e: \{0, 1\} \to V$ , which give the identifications between the ends of the segment  $I_e$  and some vertices of V. We may assume that every vertex in V is in the image on one such  $i_e$ . The graph can be then realized topologically as the disjoint union of all segments  $I_e$  (and of the set V) quotiented by the identification of the ends to vertices according to the maps  $i_e$ . In order to define graphs of  $\mathbb{R}$ -trees, we replace in this construction the segments with  $\mathbb{R}$ -trees:

# **Definition 2.23.** A graph of R-trees of finite type is defined by the following data:

(G1) Three sets V, E, D, with V and E finite.

(G2) A family  $(W_e)_{e \in E}$  of  $\mathbb{R}$ -trees with two distinct marked points  $x_e, y_e \in W_e$ , together with a map  $i_e: V_e := \{x_e, y_e\} \to V$ .

(G3) A family  $(W_d)_{d\in D}$  of  $\mathbb{R}$ -trees with a marked point  $x_d \in W_d$ , together with a map  $i_d: V_d := \{x_d\} \to V$ .

We denote such a structure by (V, W), where  $W := (W_a)_{a \in A}$  is a family of  $\mathbb{R}$ -trees as described above, with  $A := E \sqcup D$ . An element  $W_a$  is called a **tree element** of (V, W). If  $a \in E, W_a$  is called an **edge element**, while if  $a \in D, W_a$  is called a **decoration element** of (V, W). The maps  $i_a$  are called **identification maps**.

The previous definition has both topological aspects (as we consider  $\mathbb{R}$ -trees as building blocks) and combinatorial ones (as one has incidence maps). As for finite graphs, this definition allows to get a topological space:

**Definition 2.24.** Given a graph of  $\mathbb{R}$ -trees (V, W), its realization Z is the set defined as

$$\overline{Z(V,W)} := \bigsqcup_{a \in A} W_a \middle/ \sim,$$

where  $W_a \ni x \sim x' \in W_{a'}$  if and only if  $x \in V_a, x' \in V_{a'}$  and  $i_a(x) = i_{a'}(x')$ .

**Remark 2.25.** Notice that we defined the realization Z of a graph of  $\mathbb{R}$ -trees (V, W) merely as a set, and not as a topological space, even though it is endowed naturally by the topology induced by the one on the tree elements through the quotient by the equivalence relation  $\sim$ . This topology, to which we will refer as the **quotient topology**, is not well adapted to our purposes (see Remark 2.32). We will introduce a second topology, called the **weak topology** (see Definition 2.30), and we will consider a realization of Z as a topological space with respect to the weak topology.

Up to restricting V if necessary, we will always assume that for any  $v \in V$ , there exists an  $a \in A$  such that  $v \in i_a(V_a)$ . In this case, we can identify v with the class of elements of the form  $i_a(x)$  that satisfy  $i_a(x) = v$ . Analogously, we will use the same notation for a tree  $W_a$  and its natural projection into Z.

Let  $x, y \in Z$  be two points, and suppose that there exists  $a \in A$  such that  $x, y \in W_a$ . The  $\mathbb{R}$ -tree structure of  $W_a$  gives us a unique segment  $[x, y]_a$  which lies in  $W_a$ . This notation is well defined but for one case, namely if  $a \in E$  and  $i(x_a) = i(y_a) = v$ . In this case we will denote by  $[v, v]_a$  the natural projection of the segment  $[x_a, y_a]_a$  in  $W_a$ , and by [v, v] the singleton  $\{v\}$ .

**Remark 2.26.** We say that the graph in Definition 2.23 is of finite type because we impose both the set of vertices V and that of edge elements E to be finite. One can remove these conditions in (G1) and get more general objects. Since our interest in graphs of  $\mathbb{R}$ -trees lies solely in the description of valuation spaces, we will only need to work with graphs of  $\mathbb{R}$ -trees of finite type. We will hence assume all graphs of  $\mathbb{R}$ -trees to be of finite type, without further mention.

Nevertheless, most of the results in this section will apply for general graphs of  $\mathbb{R}$ -trees. We will use the finiteness of V and E in the next sections, to deduce the finiteness of the number of bricks (see Section 2.5).

Moreover, the definition of graphs of  $\mathbb{R}$ -trees can be easily adapted to other situations, for example to  $\mathbb{Q}$ -trees, or trees of spheres, etc.

From a graph of  $\mathbb{R}$ -trees, we can easily extract a finite graph (in the sense of Definition 1.29), which encodes its geometric complexity:

**Definition 2.27.** Let (V, W) be a graph of  $\mathbb{R}$ -trees, with realization Z(V, W). Its **skeleton** S(V, W) is the subset of Z(V, W) obtained as the union of the projected segments  $[x_e, y_e]_e$ , while e varies in E.

**Example 2.28.** The top left part of Figure 11 depicts an example of graph of  $\mathbb{R}$ -trees (V, W), where V consists of two points  $\{v_r, v_g\}$  (depicted in red and green), and W consists of four tree elements: one decoration element and three edge elements. Marked points are colored red or green according to the identification maps.

On the right part, we can see its realization, obtained by gluing together the tree elements along the marked points according to the identification maps.

Its skeleton S(V, W), denoted by thick lines, consists of the projection to Z of the three segments between the marked points of the three edge elements.

The lower left part of Figure 11 depicts the regularization of (V, W), a notion introduced below in Definition 2.34.

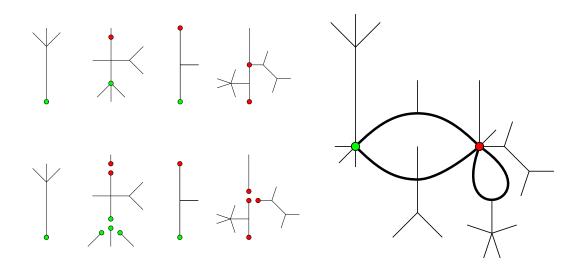


FIGURE 11. A graph of  $\mathbb{R}$ -trees, its regularization, their realization and the corresponding skeleton.

As indicated in Remark 2.25, the quotient topology on the realization of a graph of  $\mathbb{R}$ -trees is not well adapted. Another topology can be introduced, using the notion of **arc** between two points of the realization:

**Definition 2.29.** Let (V, W) be a graph of  $\mathbb{R}$ -trees, with realization Z. Let x, y be two points in Z. An **arc**  $\gamma$  between x and y is a subset of Z obtained as a finite concatenation of segments  $[s_j, s_{j+1}]_{a_j}, j = 0, \ldots, n$ , where

- $s_0 = x, s_{n+1} = y$ , and  $s_j \in V$  for all j = 1, ..., n;
- $s_j, s_{j+1} \in W_{a_j}$  for all j = 0, ..., n;
- any two segments in the concatenation intersect in at most finitely many points.

Here comes the definition of the topology on the realization:

**Definition 2.30.** Let (V, W) be a graph of  $\mathbb{R}$ -trees, with realization Z. For any  $z \in Z$ , and any  $x, y \in Z \setminus \{z\}$ , we say that  $x \sim_z y$  if there exists an arc between x and y, which does not contain z. The **weak topology** on Z is the weakest topology for which any subset U of Z representing an equivalence class for  $\sim_z$ , for any  $z \in Z$ , is a open set.

Notice that, in contrast with the situation for  $\mathbb{R}$ -trees, the equivalence classes for  $\sim_z$  do not correspond directly with tangent vectors at z. In fact, one can define tangent vectors at a point  $z \in Z$  as the union of tangent vectors at  $z \in W_a$  for all  $a \in A$ . When Z admits cycles, it may happen that the spaces associated to two tangent vectors at a point z belonging to the cycle could belong to the same equivalence class with respect to  $\sim_z$ . See

[19, Section 2.4] for a description of this phenomenon for normalized semivaluation spaces attached to normal surface singularities.

**Example 2.31.** Consider again the graph of  $\mathbb{R}$ -trees (V, W) described in Example 2.28, and its realization Z, depicted on the top left and right part of Figure 11 respectively. The tangent space at the green point  $v_g$  consists of 6 tangent vectors, associated to the 1 + 4 + 1 tangent vectors appearing on the first 3 tree elements. By contrast,  $Z \setminus \{v_g\}$  has 5 connected components. The discrepancy is due to the fact that  $v_g$  belongs to a cycle of the realization Z of (V, W). Similarly, the red point  $v_r$  has 7 tangent directions, while  $Z \setminus \{v_r\}$  has 5 connected components.

 $\mathbb{R}$ -trees and more generally graphs of  $\mathbb{R}$ -trees should not be thought only as topological spaces. In fact for applications to semivaluation spaces, one usually needs to go back and forth from the weak topology to the strong topology induced by  $\rho$  (see [13, 14, 27, 18, 15, 19]). Nevertheless, the weak topology will be very handy, for example in order to be able to talk about connected components of cofinite subsets of Z(V, W) and to define bricks.

**Remark 2.32.** Let us compare the two topologies introduced for the realization Z of a graph of  $\mathbb{R}$ -trees: the quotient topology and the weak topology. On the one hand, it is easy to see that the topology induced on  $W_a$  by the weak topology on Z does coincide with the weak topology on  $W_a$  given by its  $\mathbb{R}$ -tree structure. On the other hand, the weak topology on Z does not coincide in general with the quotient topology.

Consider for example the graph (V, W) where V consists of just one element  $V = \{p\}$ , and the family  $W = (W_d)_{d \in D}$  is an infinite family of decoration elements (not reduced to a point). In this case, the realization Z admits a structure of  $\mathbb{R}$ -tree, and the topology induced by this  $\mathbb{R}$ -tree structure coincides with the weak topology of its graph of  $\mathbb{R}$ -tree structure. In particular, an open connected neighborhood of p would contain all decoration elements  $W_d$ , but for a finite number of  $d \in D$ . In contrast, an open connected neighborhood of p for the quotient topology is the union of open connected neighborhoods of p in any decoration element  $W_d$ , and in particular it need not contain any  $W_d$ .

# Operations on graphs of $\mathbb{R}$ -trees.

Since the aim of this paper is not to develop a complete theory of graphs of  $\mathbb{R}$ -trees, we will not give a definition of morphisms of  $\mathbb{R}$ -trees, nor of isomorphic trees. Nevertheless, we will consider in this subsection a few operations on graphs of  $\mathbb{R}$ -trees, which will change the graph structure without changing the underlying realization (seen as a topological space). With this in mind, we will say that two graphs of  $\mathbb{R}$ -trees are **equivalent** if their realizations are homeomorphic with respect to the weak topologies.

The first operation is related to the choice of the marked points in the tree elements. In fact, following the parallel with classical graphs, we consider the additional condition:

(G4) the marked points  $V_a$  of a tree element  $W_a$  are **ends** of  $W_a$  (i.e., elements that do not disconnect  $W_a$ ).

# **Definition 2.33.** Graphs of $\mathbb{R}$ -trees satisfying the additional condition (G4) are called regular.

Given any graph of  $\mathbb{R}$ -trees (V, W), one can consider the following construction. For any  $d \in D$ , the tree  $W_d$  has a marked point  $x = x_d$ . For any tangent vector  $\overrightarrow{v} \in T_x W_d$ , set  $W_{d,\overrightarrow{v}} := U_x(\overrightarrow{v}) \cup \{x\}$ . The set  $W_{d,\overrightarrow{v}}$  is an  $\mathbb{R}$ -tree, with marked point x. Set  $i_{d,\overrightarrow{v}}(x) := i_d(x)$ . We replace  $W_d$  by the family  $(W_{d,\overrightarrow{v}})_{\overrightarrow{v} \in T_x W_d}$ .

Analogously, for any  $e \in E$ , the tree  $W_e$  has two marked points  $x = x_e$  and  $y = y_e$ . Consider the set of connected components of  $W_e \setminus V_e$ . For any such component U, set  $W_{e,U} := \overline{U}$ . Notice that there is a unique component U such that  $W_{e,U}$  contains  $V_e$ , namely, the one containing the open segment (x, y). We set  $V_{e,U} := W_{e,U} \cap V_e$ , and  $i_{e,U} := V_{e,U} \to V$  so that it coincides with  $i_e$  on its domain of definition. We replace  $W_e$  with the family  $(W_{e,U})_{e,U}$ .

Clearly  $(V, (W_{d,\vec{v}}, W_{e,U})_{d,\vec{V},e,U})$  defines a graph of  $\mathbb{R}$ -trees equivalent to (V, W), and satisfying property (G4). Therefore it is regular.

**Definition 2.34.** The graph of  $\mathbb{R}$ -trees  $(V, (W_{d, \vec{v}}, W_{e,U})_{d, \vec{V}, e, U})$  constructed above is called the **regularization** of (V, W).

**Example 2.35.** On the bottom left part of Figure 11, we can see the regularization (V, W') of (V, W) considered in Example 2.28. In this case, W' consists of ten tree elements. Notice that the number of edge elements remains unchanged.

Given a graph of  $\mathbb{R}$ -trees (V, W), one can define refinements of its structure by adding new vertices. Assume for simplicity that (V, W) is regular (analogous constructions can be done in the non-regular case). Denote by Z the realization of (V, W), and let  $p \in Z \setminus V$  be any point. Since p is not a vertex, it belongs to a unique tree element  $W_a$ .

If  $W_a$  is a decoration element with marked point x, we consider the  $\mathbb{R}$ -tree  $W'_a = W_a$ with marked points x and p. Set  $V' = V \cup \{p\}$ , then  $i'_a(x) = i_a(x)$  and  $i'_a(p) = p$ . Taking V' as set of vertices, and the family W' obtained from W by replacing  $W_a$  with  $W'_a$ , we get a new (in general non-regular) graph of  $\mathbb{R}$ -trees, equivalent to (V, W). Notice that in this case the number of vertices and edges increases by one. Moreover, the skeleton S(V', W')strictly contains S(V, W).

If  $W_a$  is an edge element with marked points x and y, set  $z = x \wedge_p y$  and  $V' = V \cup \{p, z\}$ . For any tangent vector  $\overrightarrow{v} \in T_z W_a$ , define  $W'_a(\overrightarrow{v})$  as the closure of  $U_x(\overrightarrow{v})$  in  $W_a$ . Set  $V'_a(\overrightarrow{v}) := W'_a(\overrightarrow{v}) \cap V'$ . Notice that  $V'_a(\overrightarrow{v})$  always contains z, and contains another point in V' in at most three cases (associated to the tangent vectors towards the elements p, x, y). We define  $i'_{a,\overrightarrow{v}} : W'_a(\overrightarrow{v}) \to V'$  in an similar fashion than the previous case. The couple (V', W'), where W' is the family obtained from W by replacing  $W_a$  with the family  $W'_a(\overrightarrow{v})$ , defines again a graph of  $\mathbb{R}$ -trees equivalent to (V, W). In this case the number of vertices increases either by 1 or by 2, as the number of edges. Finally, also in this case  $S(V', W') \supseteq S(V, W)$ , with equality if and only if  $p \in S(V, W)$ .

**Definition 2.36.** Any finite composition of the operation described above and regularizations will be called a **refinement** of the graph structure (V, W).

**Example 2.37.** Consider again the regular graph (V, W') described by Example 2.35, with realization Z, depicted in Figure 11. In the left part of Figure 12 we added two vertices, depicted in blue and yellow, obtaining four vertices  $V' = \{r_e, r_g, r_b, r_y\}$ . The two new vertices belong to unique tree elements, that you can see in the top right part of the picture. In the bottom right, we describe the (double) refinement (V', W'') of (V, W') with respect to these two new vertices. The yellow vertex belongs to a decoration element. In this case the new element associated becomes an edge element, and we add a segment to the skeleton (denoted by thick lines). The blue vertex belongs to an edge element, and to the skeleton S(V, W'). In this case, this edge element splits in two edge elements, plus a decoration element.

**Remark 2.38.** Let W be an edge element of some graph of  $\mathbb{R}$ -trees, with marked points x, y. For any point  $z \in [x, y]$ , define  $N_z$  as  $\bigcup_{\overrightarrow{v}} U_z(\overrightarrow{v}) \cup \{z\}$ , where  $\overrightarrow{v}$  varies among the

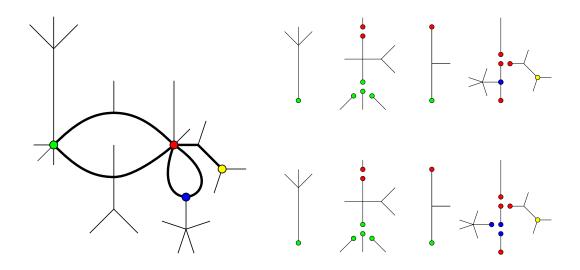


FIGURE 12. Refinement of a graph of  $\mathbb{R}$ -trees.

tangent vectors at z not represented by either x nor y. It can be also described as the set of points  $w \in W$  such that  $[w, z] \cap [x, y] = \{z\}$ . The set  $N_z$  admits a natural  $\mathbb{R}$ -tree structure, as a subtree of the tree element W. It can be also seen as an  $\mathbb{R}$ -tree **rooted** at z, or again as a graph of  $\mathbb{R}$ -trees with a single vertex z and a single decoration tree. We will refer to  $N_z$  as the **tree at** z **transverse to** [x, y]. It will be used below to define *implosions* of graphs of  $\mathbb{R}$ -trees (see Definition 2.45).

## 2.5. Bricks and the brick-vertex tree of a graph of $\mathbb{R}$ -trees.

In this section we extend the notions of **brick** and of **brick-vertex tree** to graphs of  $\mathbb{R}$ -trees (see Definition 2.47). In the next section, we will apply this extended notion of brick-vertex tree to the semivaluation space  $\mathcal{V}_X$  of a normal surface singularity X, proving first that it has a structure of graph of  $\mathbb{R}$ -trees, and getting then Theorem 2.51, which is the counterpart of Theorem 1.40 for semivaluation spaces.

The following is an analog of Definition 1.15:

**Definition 2.39.** Let Z be the realization of a graph of  $\mathbb{R}$ -trees, and x, y, z three points of Z. We say that z **separates** x and y if x and y belong to different connected components of  $Z \setminus \{z\}$ .

In particular, z does not separate itself from any other point. Notice that if  $z \notin \{x, y\}$ , then z separates x and y if and only if all arcs between x and y contain z.

Let us define now an analog of Definition 1.31:

**Definition 2.40.** Let Z be the realization of a graph of  $\mathbb{R}$ -trees. A subset  $C \subseteq Z$  is called **cyclic** if for every couple (x, y) of distinct points of C, no point  $z \in C \setminus \{x, y\}$  separates them. A **cyclic element** of Z is a cyclic subset which is maximal with respect to inclusion. A cyclic element is called a **brick** if it does not consist of a single point.

Notice that if  $C = \{x\}$ , then C is a cyclic element if and only if for all  $y \in Z \setminus \{x\}$  there exists  $z \in Z \setminus \{x\}$  such that z separates x and y in Z.

**Proposition 2.41.** Let Z be the realization of a graph (V, W) of  $\mathbb{R}$ -trees. Then any brick of Z is contained in the skeleton S(V, W).

*Proof.* Let x be any point in  $Z \setminus S(V, W)$ . We want to prove that  $\{x\}$  is a cyclic element of Z. This is equivalent to showing that for any point  $y \in Z \setminus \{x\}$ , there exists a third point z that separates x and y.

Since  $x \notin S(V, W)$ , there exists a unique  $a \in A$  so that  $x \in W_a$ . We first assume that  $W_a$  is a decoration element, and denote by z the unique point marked point of  $W_a$ . Then z separates x and any point y in  $Z \setminus W_a$ . Let now y be any point in  $W_a \setminus \{x\}$ . In this case, any point in (x, y) separates x and y.

Suppose now that  $W_a$  is an edge element, say with ends  $x_a, y_a$ . By definition we have  $W_a \cap S(V, W) = [x_a, y_a]$ . Set  $z := x_a \wedge_x y_a$ . It belongs to  $[x_a, y_a]$ , and by our assumption it is different from x. In this case, z separates x and any point outside the connected component U of  $W_a \setminus [x_a, y_a]$  containing x (i.e. any point representing the tangent vector at z towards x). Finally, let y be any point in  $U \setminus \{x\}$ . Then the segment [x, y] is contained in U, and any point in (x, y) separates x and y.

We deduce that the bricks of Z may be identified with the bricks of the skeleton S(V, W) with respect to its finite graph structure.

As an immediate consequence of Proposition 2.41, we get the following property of graphs of  $\mathbb{R}$ -trees, assumed as usual to be of finite type:

**Corollary 2.42.** Let Z be the realization of a graph of  $\mathbb{R}$ -trees. Then Z has a finite number of bricks.

*Proof.* Pick any graph structure (V, W) whose realization is Z, and denote by S = S(V, W) the skeleton associated to it, with its structure of finite graph. Let E = [x, y] be an edge of S. Then either E is a bridge of S, in which case every point in (x, y) is a cyclic element, or E is not a bridge, and in this case E belongs to a brick. Since the number of edges is finite, so is the number of bricks.

The absence of bricks characterizes the graphs of  $\mathbb{R}$ -trees whose realizations have again a structure of  $\mathbb{R}$ -tree:

**Proposition 2.43.** Let Z be the realization of a graph of  $\mathbb{R}$ -trees. Suppose that no cyclic element of Z is a brick. Then Z admits a structure of  $\mathbb{R}$ -tree.

*Proof.* We aim at defining an  $\mathbb{R}$ -tree structure on Z satisfying the conditions of Definition 2.22.

Since all cyclic elements of Z are points, we infer that for every couple of points (x, y) in Z, there exists a unique arc  $\gamma = \gamma(x, y)$  between x and y. To show this, suppose by contradiction that there are two such arcs that do not coincide. Then in the union of the two we have a cycle, which would be contained in a brick, against the assumption.

Fix any regular structure (V, W) of graph of  $\mathbb{R}$ -trees, whose realization is Z. Then  $\gamma$  is a finite concatenation of segments  $I_j = [s_j, s_{j+1}]$  contained in tree elements  $W_{a_j}$ . We set  $[x, y] = \gamma$ , with the segment structure obtained by taking a concatenation of the orders given by the segment structures on  $I_j$ . It is easy to see that (T2) is satisfied for this family of intervals, while property (T3) holds directly by construction.

To verify property (T4), we have to show that for any triple x, y, z of points in Z, there exists a unique element  $w = x \wedge_z y$  so that  $[z, x] \cap [y, x] = [w, x]$  and  $[z, y] \cap [x, y] = [w, y]$ . The uniqueness of such w is trivial, hence we only need to show its existence. Consider the set  $I = [z, x] \cap [z, y]$ , with the partial order induced by the one in [z, x]. By uniqueness of arcs

between two points, we infer that I is itself a (possibly not closed) interval. Decompose  $[z, x] = \bigcup_j [s_j, s_{j+1}]_{a_j}$  where  $[s_j, s_{j+1}]_{a_j}$  belongs to  $W_{a_j}$ . Let k be the highest index for which  $W_{a_k} \cap I \neq \emptyset$ . Notice that if  $y \notin W_{a_k}$ , then [z, y] intersects  $W_{a_k} \cap V$  in a point  $\tilde{s}$  different from  $s_k$ . Set:

- $x_k = x$  if  $x \in W_{a_k}$ , and  $x_k = s_{k+1}$  otherwise;
- $y_k = y$  if  $y \in W_{a_k}$ , and  $y_k = \tilde{s}$  otherwise;
- $z_k = z$  if  $z \in W_{a_k}$ , and  $z_k = s_k$  otherwise.

Set now  $w = x_k \wedge_{z_k} y_k$ , the wedge being taken with respect to the tree structure on  $W_{a_k}$ . Clearly, w satisfies property (T4).

Finally, property (T5) clearly holds for Z. In fact, for any sequence of segments  $[x, y_{\alpha})$  in Z, there exists  $z \in Z$  so that  $[z, y_{\alpha}]$  belongs to a certain tree element  $W_a$  for  $\alpha$  big enough. Then property (T5) derives directly from the analogous property for  $W_a$ .

We want now to generalize the brick-vertex trees we defined for finite graphs to the case of graphs of  $\mathbb{R}$ -trees. In order to get such a definition, we need first to introduce a few more constructions.

There is a natural way to associate an  $\mathbb{R}$ -tree to any non-empty set:

**Definition 2.44.** Let B be any non-empty set. Let ~ be the equivalence relation on  $B \times [0,1]$  defined by by  $(x,s) \sim (y,t)$  if and only if (x,s) = (y,t) or t = s = 0. The quotient

$$\operatorname{Star}(B) = B \times [0,1]/\sim$$

is called the **star** over *B*. We will denote by  $x_t$  the class in Star(B) corresponding to the point (x, t), and by  $v_B$  the **apex** of Star(B), which is represented by (x, 0) for any  $x \in B$ .

Each star  $\operatorname{Star}(B)$  is endowed with a natural structure of  $\mathbb{R}$ -tree, whose definition we leave to the reader.

Let (V, W) be a regular graph of  $\mathbb{R}$ -trees, Z be its realization, and B be a brick of Z. For any point  $z \in B \setminus V$ , there exists a unique edge element  $W_{e(z)}$  containing z. We denote by  $N_z$  the  $\mathbb{R}$ -subtree at z transverse to e as defined in Remark 2.38. Then, we consider the graph of  $\mathbb{R}$ -trees  $N'_z$  which has one vertex  $\{z\}$ , and two decorative elements:

- $N_z$ , with marked point  $\{z\}$ , and
- the segment  $[v_B, z_1] \subset \text{Star}(B)$ , with marked point  $z_1$ .

It is easy to see that  $N'_z$  has no bricks, and is therefore an  $\mathbb{R}$ -tree by Proposition 2.43.

Given a brick B, let us denote by  $\lfloor E(B) \rfloor$  the set of indices  $e \in E$  such that the edge  $[x_e, y_e]$  between the two marked points of an edge element  $W_e$  is contained in B.

**Definition 2.45.** Let (V, W) be a regular graph of  $\mathbb{R}$ -trees, Z be its realization, B be a brick of Z. For any  $z \in B \setminus V$ , consider the  $\mathbb{R}$ -tree  $N'_z$  as defined above. Set  $V' = V \cup \{v_B\}$ , and consider the family W' of  $\mathbb{R}$ -trees given by:

- the decorative elements  $W_d$ ,  $d \in D$ , of W, with same marked point and same identification map;
- the edge elements  $W_e$  with  $e \in E \setminus E(B)$ , with same marked points and same identification map;
- the decorative elements  $N'_z$  for  $z \in B \setminus V$ , with marked point  $\{v_B\}$  and natural identification map;
- the edge elements  $[v_B, v_1] \subset \text{Star}(B)$ , for any  $v \in B \cap V$ , with marked points  $v_V$  and  $v_1$ , and identifications  $i(v_B) = v_B$  and  $i(v_1) = v$ .

Then (V', W') is a graph of  $\mathbb{R}$ -trees, that we will call the **implosion** of (V, W) along the brick B. We denote by Z' the realization of the graph (V', W') and by  $i_B : Z \to Z'$  the associated natural injection.

Note that the injection  $i_B : Z \to Z'$  is not continuous with respect to the weak topologies in Z and Z'. This is due to the fact that the topology induced on  $i_B(B)$  by the topology on Z' is the discrete topology, which does not coincide with the topology induced on B by the weak topology of Z (which is the standard topology defined on a graph, see Proposition 2.41). In other terms, we replaced the brick B with its star Star(B), and not with the *cone* with base B, which corresponds to the analogous construction done by replacing the discrete topology on B with the standard topology of its finite graph structure.

**Proposition 2.46.** Let (V,W) be a regular graph of  $\mathbb{R}$ -trees, and Z be its realization. Assume that Z has  $n \geq 1$  bricks, and let B be any one of them. Let (V',W') be the implosion of (V,W) along the brick B, and Z' its realization. Then Z' has exactly n-1 bricks, given by the images through the natural injection  $i_B$  of the bricks of Z different from B.

Proof. We only need to check that all points in  $\operatorname{Star}(B) \setminus i_B(B)$  form singleton cyclic elements of Z'. By Proposition 2.41, the bricks of Z' are contained in the skeleton S(V', W'), which intersects  $\operatorname{Star}(B)$  exactly in the edge elements  $[v_B, v_1]$  with  $v \in V \cap B$  (see Definition 2.45). Let w be any point in  $\operatorname{Star}(B) \setminus i_B(B)$ , and assume by contradiction that w is contained in a brick B'. Since  $\operatorname{Star}(B)$  is a tree, we get that  $B' \cap (Z' \setminus \operatorname{Star}(B)) =: C \neq \emptyset$ . But then,  $B \cup i_B^{-1}(C)$  would be a cyclic subset of Z strictly containing B, which is in contradiction with the maximality of B with respect to inclusion.

Given any graph of  $\mathbb{R}$ -trees, we can apply recursively regularizations and brick implosions, in order to *kill* all bricks. In fact, by Corollary 2.42, the number of bricks is finite, and by Proposition 2.46, the number of bricks strictly decreases under brick implosion. The final product of this process will be a graph of  $\mathbb{R}$ -trees (V', W'), in which all cyclic elements are trivial. By Proposition 2.43, its realization Z' admits a structure of  $\mathbb{R}$ -tree. It is the brick-vertex tree of the starting graph of  $\mathbb{R}$ -trees:

**Definition 2.47.** Let Z be the realization of a graph of  $\mathbb{R}$ -trees (V, W), and Z' be the  $\mathbb{R}$ -tree described above, obtained by recursive regularizations and brick implosions of all bricks of Z. Then Z' is called the **brick-vertex tree** of Z, and denoted by  $\mathcal{BV}(Z)$ . The points of Z' corresponding to apices of bricks of Z are called **brick points** of the brick-vertex tree. We denote by  $i_{bv}: Z \to Z'$  the natural injection obtained by the composition of the natural injections  $i_B$  described above for brick implosions.

We end this section with a remark about the notion of cyclic element from a topological perspective.

**Remark 2.48.** The term *cyclic element* is standard in general topology, while that of *brick* was introduced by us in order to get a common denomination for the graph-theoretic blocks which are not bridges and for the cyclic elements which are not points. Indeed, while the notion of block is combinatorial and that of cyclic element is topological, the underlying topological space of a brick of a finite graph is a brick of its underlying topological space (see Proposition 2.41).

Cyclic elements can be defined for much more general topological spaces than for finite graphs or realization spaces of graphs of  $\mathbb{R}$ -trees. This notion was introduced by Whyburn

in his 1927 paper [47], as a mean to describe the overall structure of *Peano continua*, i.e., the compact connected metric spaces which may be obtained as continuous images of the real interval [0, 1] inside some Euclidean space  $\mathbb{R}^n$ . He defined the *cyclic elements* of such a topological space as its maximal subsets C such that any two distinct points of them are contained in a circle topologically embedded in C. In fact, he initially studied only plane Peano continua, and he extended in later papers the theory to arbitrary ones using ingredients from Ayres' 1929 paper [1]. Later on, in the 1930 paper [29], Kuratowski and Whyburn simplified the theory of cyclic elements by defining them as in Definition 1.31 above.

The main point of this theory was to explain that the cyclic elements of a Peano continuum are organized in a tree-like manner. For instance, given any two cyclic elements, there is a unique connected union of cyclic elements which contains them and is minimal for inclusion – this is an analog of the uniqueness of path joining two points of a tree.

Later, the theory of cyclic elements was extended to more general settings (see e.g. [49, 30, 36] as well as the references in McAllister's surveys [32], [33] of the theory up to 1966 and in the interval 1966–81 respectively). In fact, as pointed out by Rado and Reichelderfer in [39], most of the results of the theory can be obtained in the very general situation of a set endowed with a "cyclic transitive relation" (a cyclic transitive relation  $\mathcal{R}$  on a set S is a binary relation which is reflexive, symmetric, and such that if  $x_1 \mathcal{R} x_2 \mathcal{R} \dots \mathcal{R} x_n \mathcal{R} x_1$ , then  $x_i \mathcal{R} x_j$  for all  $i, j = 1 \dots n$ ). In particular, in this generality one does not need topological spaces in order to talk about cyclic elements. This last aspect is very interesting in our setting, since as already pointed out, valuative spaces carry two natural topologies, with quite different properties (the weak topology is non-metrizable, and the space is not locally compact).

Let us mention that the Peano spaces in which all the cyclic elements are points are called *dendrites* (see [48]). Ważewski proved in [45] the existence of a *universal dendrite*, in which embed all other dendrites. Recently, Hrushovski, Loeser and Poonen found in [24, Corollary 8.2] a representation of it as a special type of valuation space, under a countability hypothesis on the base field.

In what concerns the relation between cyclic element theory of topological spaces and block theory of graphs, it is interesting to note that in the paper [46], in which Whitney introduced the notion of *nonseparable graph* (see Definition 1.30), he quotes an article of Whyburn on cyclic element theory, but that following that date the two fields seem to have evolved quite independently of each other.

## 2.6. Semivaluation spaces as graphs of $\mathbb{R}$ -trees.

In this section we apply the constructions of the previous section to the space of normalized valuations associated to a normal surface singularity. We first prove that its space of normalized semivaluations admits a structure of connected graph of  $\mathbb{R}$ -trees (see Proposition 2.49). Then we prove the valuative analog of Theorem 1.40, stating that the functions  $u_{\lambda}$  are ultrametrics on special types of subspaces of the space of normalized semivaluations (see Theorem 2.51). We conclude the paper with several examples which show that the hypothesis of the theorem are not necessary in order to get ultrametrics.

**Proposition 2.49.** Let X be a normal surface singularity, and  $\mathcal{V}_X$  its associated space of normalized semivaluations. Then  $\mathcal{V}_X$  admits a structure of connected graph of  $\mathbb{R}$ -trees, that is, it is a connected realization space of a graph of  $\mathbb{R}$ -trees. More precisely, any good resolution defines canonically such a structure.

42

Proof. Let  $\pi: X_{\pi} \to X$  be any good resolution. We set V as the set of divisorial valuations associated to the primes of  $\pi$ . For any point  $p \in \pi^{-1}(x_0)$ , we set  $W_p = \overline{U_{\pi}(p)}$ , which consists in the set  $U_{\pi}(p)$  of all semivaluations whose center in  $X_{\pi}$  is p, plus the divisorial valuations of the form  $\nu_E$  with  $E \ni p$  (which belong to V). Since  $\pi^{-1}(x_0)$  has simple normal crossings, either p belongs to a unique prime E of  $\pi$ , in which case we declare  $W_p$  a decoration element, with marked point  $\nu_E$ , or p belongs to exactly two exceptional primes E and F, in which case we declare  $W_p$  an edge element, with marked points  $\nu_E$  and  $\nu_F$ . Since for any such p,  $(X_{\pi}, p)$  is a smooth point, the set  $W_p$  is isomorphic to the valuative tree, hence it is an  $\mathbb{R}$ -tree. The couple  $(V, (W_p)_{p \in \pi^{-1}(x_0)})$  defines a structure of graph of  $\mathbb{R}$ -trees on  $\mathcal{V}_X$ .

**Example 2.50.** In Figure 13, we may see on the left the dual graph  $\Gamma_{\pi}$  of a good resolution  $\pi$  of some normal surface singularity X. In this example, there are 3 bricks, depicted in orange, blue and yellow. On the right side, we may see a depiction of the semivaluation space  $\mathcal{V}_X$ . The structure of a graph of  $\mathbb{R}$ -trees induced by  $\pi$  in this case has as vertices the vertices of  $\Gamma_{\pi}$  under identification with the corresponding valuations (we denoted them as  $\mathcal{S}^*_{\pi}$ ), edge elements correspond to the trees along the edges of  $\Gamma_{\pi}$ , and all other tree elements are decorations. The thick colored segments correspond to bricks of  $\mathcal{V}_X$  with respect to its structure of graph of  $\mathbb{R}$ -trees.

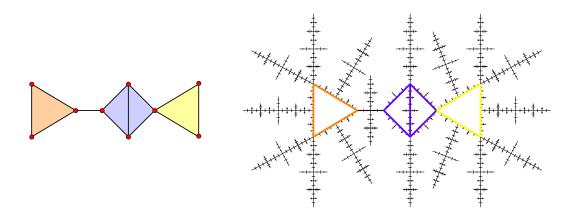


FIGURE 13. The dual graph associated to a good resolution  $\pi$  of a normal surface singularity X, with bricks shaded, and its associated space  $\mathcal{V}_X$  of normalized semivaluations.

We are now able to state and prove the following theorem, which is an analog of Theorem 1.40 for valuation spaces:

**Theorem 2.51.** Let X be a normal surface singularity,  $\mathcal{V}_X$  the associated space of normalized semivaluations, and  $\mathcal{J} \subseteq \mathcal{V}_X$  any subset of it. Let  $\mathcal{BV}(\mathcal{V}_X)$  be the brick-vertex tree of  $\mathcal{V}_X$ , and consider its subtree  $W = \operatorname{Conv}(i_{\mathrm{bv}}(\mathcal{J}))$ . If  $T_{v_B}W$  consists of at most 3 points for every brick vertex  $v_B \in W$ , then  $u_\lambda$  defines an extended ultrametric distance on  $\mathcal{J}$ , for any  $\lambda \in \mathcal{J}$ .

*Proof.* Fixed any  $\lambda \in \mathcal{J}$ , we need to prove that

(27) 
$$u_{\lambda}(\nu_1, \nu_3) \le \max\{u_{\lambda}(\nu_1, \nu_2), u_{\lambda}(\nu_2, \nu_3)\}$$

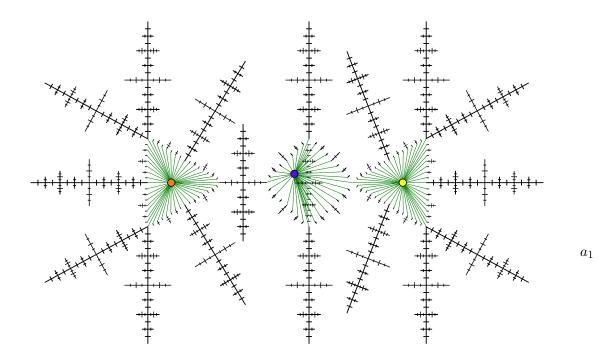


FIGURE 14. The brick-vertex tree  $\mathcal{BV}(\mathcal{V}_X)$  for the example of Figure 13.

for any triple  $\nu_1, \nu_2, \nu_3 \in \mathcal{J}$ . Notice that (27) is satisfied if either  $\nu_1, \nu_2$  or  $\nu_3$  coincide with  $\lambda$ , since at least two of the three values would be  $+\infty$  (see Remark 2.17). We may hence assume that  $\lambda \notin \{\nu_1, \nu_2, \nu_3\}$ . In particular, the three values in (27) are finite. Set  $J := \{\nu_1, \nu_2, \nu_3, \nu_4\}$ .

By proceeding as in Proposition 1.22 and Corollary 1.23, we get that (27) is equivalent to showing that  $\rho$  is tree-like, i.e., it satisfies the 4-point condition (10).

Take any good resolution  $\pi: X_{\pi} \to X$ . Any valuation  $\nu \in J$  either belongs to  $\mathcal{S}_{\pi}^*$ , or it belongs to the weakly open set  $U_{\pi}(p)$  associated to the center  $p = p(\nu) \in \pi^{-1}(x_0)$  of  $\nu$  in  $X_{\pi}$ . Let  $\mathcal{S}_{\pi}$  denote the skeleton associated to  $\pi$ , and let  $\Gamma$  be the subset of  $\mathcal{V}_X$  given by the union of  $\mathcal{S}_{\pi}$  and the segments  $[\nu_E, \nu] \in \overline{U_{\pi}(p)}$ , where  $p = p(\nu)$  is as above, E is any exceptional prime of  $\pi$  containing p, and  $\nu$  varies in J. The set  $\Gamma$  admits a structure of finite graph. In fact, up to taking higher good resolutions, we may assume that for any distinct  $\nu, \nu' \in J$ , their centers in  $X_{\pi}$  are also distinct. We may also assume that any valuation in J either belongs to  $\mathcal{S}_{\pi}$ , or its center in  $X_{\pi}$  is a smooth point of  $\pi^{-1}(x_0)$ . In this case, the structure of finite graph on  $\Gamma$  has as vertices  $\mathcal{S}_{\pi}^* \cup J$ , and as edges all the edges in  $\mathcal{S}_{\pi}$ , eventually cut by elements in  $J \cap \mathcal{S}_{\pi}$ , plus all the edges associated to the segments  $[\nu_E, \nu]$  with  $\nu \in J$  as described above (see Figure 15).

The function  $\rho$  defines a distance on the set of vertices of  $\Gamma$ , satisfying the condition (12). This is a consequence of Proposition 2.20 applied to the reformulations given in Proposition 1.18.

Consider now the brick-vertex tree  $\mathcal{BV}(\Gamma)$  associated to  $\Gamma$ . The embedding of  $\Gamma$  in  $\mathcal{V}_X$  induces an embedding of  $\mathcal{BV}(\Gamma)$  inside  $\mathcal{BV}(\mathcal{V}_X)$ . Since the tangent space of W at any brick point consists at most of 3 points, the Conv(J)-valency of any brick point of  $\Gamma$  is at most

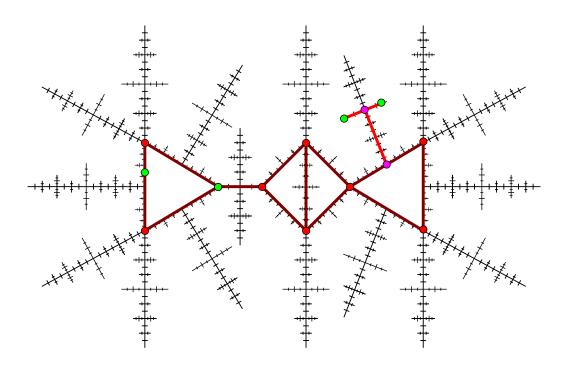


FIGURE 15. Graphs embedded in  $\mathcal{V}_X$ , illustrating the proof of Theorem 2.51.

3. We can apply Theorem 1.36, and deduce that  $\rho$  is tree-like on the set J, and we are done.

Notice that, as in the case of finite graphs, we get again the proof of the implication (3)  $\implies$  (1) of Theorem 2.18 as a direct corollary of Theorem 2.51.

**Example 2.52.** Figure 14 depicts the brick-vertex tree associated to the semivaluation space  $\mathcal{V}_X$  represented in Figure 13. The thick vertices in orange, blue and yellow denote the brick-vertices of  $\mathcal{BV}(\mathcal{V}_X)$ , while the dark green segments belong to the stars on them. The image needs to be thought with the green part not intersecting the rest of the space.

In Figure 15 consider a set J of four valuations in  $\mathcal{V}_X$  as in the proof of Theorem 2.51, that are depicted in light green. The dark red area denotes the skeleton associated to the minimal good resolution of X, while the light red part corresponds to the part added to  $\mathcal{S}_{\pi}$  to obtain  $\Gamma$ . The thick red dots correspond to the divisorial valuations in  $\mathcal{S}_{\pi}^*$  (not belonging to J), while the pink-purple dots are the rest of divisorial valuations added for describing the graph structure on  $\Gamma$ .

**Example 2.53.** As for its counterpart for finite sets of branches formulated in Theorem 1.40, the condition on the valency of brick-points in Theorem 2.51 is not necessary in general. Consider again the singularity studied in Example 1.42, whose minimal good model  $X_{\pi}$  has four exceptional primes  $E_1, \ldots, E_4$  of self-intersection -4, which intersect transversely each another. The skeleton associated to it is the 1-skeleton of a tetrahedron. Denote by  $\nu_j$  the prime divisorial valuation associated to  $E_j$  for all j = 1..., 4, and denote by  $\mu_t$  the monomial valuation at the intersection point p between  $E_1$  and  $E_2$ , so that  $Z_{\pi}(\mu_t) = (1-t)\check{E}_1 + t\check{E}_2$ . Since all these valuations belong to the skeleton  $S_{\pi}$ , which is included in a unique brick, any choice of 4 valuations a, b, c, d among  $\nu_1, \nu_2, \nu_3, \nu_4, \mu_t$  for 0 < t < 1 would not satisfy the hypotheses of Theorem 2.51.

By computing the bracket between  $\mu_t$  and  $\nu_j$ , we get

 $5\langle\nu_1,\mu_t\rangle = 2-t, \qquad 5\langle\nu_2,\mu_t\rangle = 1+t, \qquad 5\langle\nu_3,\mu_t\rangle = 5\langle\nu_4,\mu_t\rangle = 1.$ 

For any choice of 4 valuations a, b, c, d, we consider now the values  $I_1 = 25\langle a, b \rangle \langle c, d \rangle$ ,  $I_2 = 25\langle a, c \rangle \langle b, d \rangle$  and  $I_3 = 25\langle a, d \rangle \langle b, c \rangle$ . We recall that a, b, c, d satisfy the 4-point condition if and only if two out of these three values coincide and the third is greater or equal to the other two. First, pick the quadruple  $\nu_1, \mu_t, \nu_3, \nu_4$ : we get  $I_1 = 2 - t$ ,  $I_2 = I_3 = 1$ . In this case the 4-point condition is satisfied. Then, pick the quadruple  $\nu_1, \mu_t, \nu_2, \nu_3$ : we get  $I_1 = 2 - t$ ,  $I_2 = 1$ ,  $I_3 = 1 + t$ . In this case the 4-point condition is never satisfied.

**Example 2.54.** We saw in Example 2.53 how the validity of the 4-point condition may depend on the valuation when it varies inside the same brick. We now investigate how it varies when changing the self-intersections of prime divisors in some model. To this end, consider again the singularity X defined in Example 2.53, and the point p of intersection of  $E_1$  and  $E_2$ . Denote by  $E_5$  the exceptional prime divisor corresponding to the blow-up of p. In this new model  $X_{\pi'}$ , the self intersections of the strict transforms of  $E_j$ ,  $j = 1, \ldots, 4$ , and of  $E_5$ , are respectively -5, -5, -4, -4, -1.

Consider now the normal surface singularity Y whose minimal resolution has the same dual graph as of  $X_{\pi'}$ , but satisfying  $E_5^2 = -2$  instead of -1. Denote by  $\nu_j$  the prime divisorial valuation associated to  $E_j$  for all  $j = 1 \dots, 4$  and by  $\nu_5$  the one associated to  $E_5$ . Let  $\mu'_t$  be the monomial valuation at the intersection between the strict transform of  $E_2$ and  $E_5$ , so that  $Z_{\pi'}(\mu'_t) = (1-t)\check{E}_2 + t\check{E}_5$ . In this case, we get

$$80\langle\nu_1,\mu_t'\rangle = 7 + 8t,$$
  $80\langle\nu_3,\mu_t'\rangle = 80\langle\nu_4,\mu_t'\rangle = 10.$ 

For the choice of valuations a, b, c, d given by  $\nu_1, \mu'_t, \nu_3, \nu_4$ , we consider  $I_1 = 80^2 \langle a, b \rangle \langle c, d \rangle$ ,  $I_2 = 80^2 \langle a, c \rangle \langle b, d \rangle$  and  $I_3 = 80^2 \langle a, d \rangle \langle b, c \rangle$ . In this case we get  $I_2 = I_3 = 100$  and  $I_1 = 12(7+8t)$ .

In particular, we notice that the 4-point condition is satisfied for this quadruple if and only if  $t \geq \frac{1}{6}$ . Notice also that  $\mu'_t$  parametrizes the segment  $[\nu_2, \nu_5]$ , which is contained in the segment  $[\nu_2, \nu_1]$ . The situation here is quite different from the one described in Example 2.53, where the 4-point condition of the quadruple  $\nu_1, \mu_t, \nu_3, \nu_4$  was satisfied for any choice of  $\mu_t$ . In particular, the valuations  $\nu_1, \nu_2, \nu_3, \nu_4$  satisfy the 4-point condition for X, but they do not satisfy the 4-point condition for Y.

## References

- W. L. Ayres. Concerning Continuous Curves in Metric Space. Amer. J. Math., 51(4):577–594, 1929.
   42
- H.-J. Bandelt and M. A. Steel. Symmetric matrices representable by weighted trees over a cancellative abelian monoid. SIAM J. Discrete Math., 8(4):517–525, 1995.
- S. Böcker and A. W. M. Dress. Recovering symbolically dated, rooted trees from symbolic ultrametrics. Adv. Math., 138(1):105–125, 1998. 15
- [4] J. A. Bondy and U. S. R. Murty. Graph theory, volume 244 of Graduate Texts in Mathematics. Springer, New York, 2008. 17
- [5] S. Boucksom, C. Favre, and M. Jonsson. Degree growth of meromorphic surface maps. Duke Math. J., 141(3):519–538, 2008. 28
- [6] S. Boucksom, C. Favre, and M. Jonsson. A refinement of Izumi's theorem. In Valuation theory in interaction, EMS Ser. Congr. Rep., pages 55–81. Eur. Math. Soc., Zürich, 2014. 28

- [7] P. Buneman. A note on the metric properties of trees. J. Combinatorial Theory Ser. B, 17:48–50, 1974.
   14, 15
- [8] A. B. De Felipe. Topology of spaces of valuations and geometry of singularities. 2018. To appear in Trans. Amer. Math. Society. 33
- [9] P. Du Val. On absolute and non-absolute singularities of algebraic surfaces. Revue de la Faculté des Sciences de l'Univ. d'Istanbul (A), 91:159–215, 1944.
- [10] L. Ein, R. Lazarsfeld, and K. E. Smith. Uniform approximation of Abhyankar valuation ideals in smooth function fields. Amer. J. Math., 125(2):409–440, 2003. 28
- [11] L. Fantini. Normalized non-Archimedean links and surface singularities. C. R. Math. Acad. Sci. Paris, 352(9):719–723, 2014. 33
- [12] C. Favre. Holomorphic self-maps of singular rational surfaces. Publ. Mat., 54(2):389–432, 2010. 27, 28, 33
- [13] C. Favre and M. Jonsson. The valuative tree, volume 1853 of Lecture Notes in Mathematics. Springer-Verlag, Berlin, 2004. 4, 25, 27, 28, 29, 32, 36
- [14] C. Favre and M. Jonsson. Eigenvaluations. Ann. Sci. École Norm. Sup. (4), 40(2):309–349, 2007. 25, 36
- [15] C. Favre and M. Jonsson. Dynamical compactifications of C<sup>2</sup>. Ann. of Math. (2), 173(1):211-248, 2011.
   8, 36
- [16] T. Gallai. Elementare Relationen bezüglich der Glieder und trennenden Punkte von Graphen. Magyar Tud. Akad. Mat. Kutató Int. Közl., 9:235–236, 1964. 17
- [17] E. R. García Barroso, P. D. González Pérez, and P. Popescu-Pampu. Ultrametric spaces of branches on arborescent singularities. Preprint available at https://arxiv.org/abs/1605.02229, 2016. 2, 3, 5, 10, 12, 13, 22
- [18] W. Gignac and M. Ruggiero. Growth of attraction rates for iterates of a superattracting germ in dimension two. Indiana Univ. Math. J., 63(4):1195–1234, 2014. 36
- [19] W. Gignac and M. Ruggiero. Local dynamics of non-invertible maps near normal surface singularities. 2017. Preprint available at http://arxiv.org/abs/1704.04726. 3, 5, 10, 11, 27, 28, 29, 30, 33, 36
- [20] F. Harary. An elementary theorem on graphs. Amer. Math. Monthly, 66:405-407, 1959. 17
- [21] F. Harary. Graph theory. Addison-Wesley Publishing Co., 1969. 17
- [22] F. Harary and G. Prins. The block-cutpoint-tree of a graph. Publ. Math. Debrecen, 13:103–107, 1966.
   17
- [23] R. Hartshorne. Algebraic geometry. Springer-Verlag, New York, 1977. Graduate Texts in Mathematics, No. 52. 7
- [24] E. Hrushovski, F. Loeser, and B. Poonen. Berkovich spaces embed in Euclidean spaces. Enseign. Math., 60(3-4):273–292, 2014. 42
- [25] S. Izumi. Linear complementary inequalities for orders of germs of analytic functions. Invent. Math., 65(3):459–471, 1981/82. 28
- [26] S. Izumi. A measure of integrity for local analytic algebras. Publ. Res. Inst. Math. Sci., 21(4):719–735, 1985. 28
- [27] M. Jonsson. Dynamics on Berkovich spaces in low dimensions. 2119:205–366, 2015. 5, 8, 27, 28, 29, 32, 36
- [28] V. R. Kulli. The block-point tree of a graph. Indian J. Pure Appl. Math., 7(6):620-624, 1976. 17
- [29] K. Kuratowski and G. T. Whyburn. Sur les éléments cycliques et leurs applications. Fund. Math., 16(1):305–331, 1930. 42
- [30] B. S. Lehman. Cyclic element theory in connected and locally connected Hausdorff spaces. Canad. J. Math., 28(5):1032–1050, 1976. 42
- [31] J. Lipman. Rational singularities, with applications to algebraic surfaces and unique factorization. Inst. Hautes Études Sci. Publ. Math., (36):195–279, 1969. 5
- [32] B. L. McAllister. Cyclic elements in topology, a history. Amer. Math. Monthly, 73:337–350, 1966. 42
- [33] B. L. McAllister. A survey of cyclic element theory and recent developments. In General topology and modern analysis (Proc. Conf., Univ. California, Riverside, Calif., 1980), pages 255–263. Academic Press, New York-London, 1981. 42
- [34] M. Moghaddam. On Izumi's theorem on comparison of valuations. Kodai Math. J., 34(1):16–30, 2011.
   28
- [35] D. Mumford. The topology of normal singularities of an algebraic surface and a criterion for simplicity. Inst. Hautes Études Sci. Publ. Math., (9):5–22, 1961. 2, 7, 9

- [36] J. Nikiel, H. M. Tuncali, and E. D. Tymchatyn. Continuous images of arcs and inverse limit methods. Mem. Amer. Math. Soc., 104(498):viii+80, 1993. 42
- [37] A. Płoski. Remarque sur la multiplicité d'intersection des branches planes. Bull. Polish Acad. Sci. Math., 33(11-12):601-605, 1985. 2, 12, 13
- [38] P. Popescu-Pampu. Ultrametric spaces of branches on arborescent singularities. Math. Forsch. Oberwolfach Report, 46:2655–2658, 2016. 12
- [39] T. Radó and P. Reichelderfer. Cyclic transitivity. Duke Math. J., 6:474–485, 1940. 42
- [40] D. Rees. Izumi's theorem. In Commutative algebra (Berkeley, CA, 1987), volume 15 of Math. Sci. Res. Inst. Publ., pages 407–416. Springer, New York, 1989. 28
- [41] G. Rond and M. Spivakovsky. The analogue of Izumi's theorem for Abhyankar valuations. J. Lond. Math. Soc. (2), 90(3):725–740, 2014. 28
- [42] V. V. Shokurov. Prelimiting flips. Tr. Mat. Inst. Steklova, 240(Biratsion. Geom. Linein. Sist. Konechno Porozhdennye Algebry):82–219, 2003. 28
- [43] A. Thuillier. Géométrie toroïdale et géométrie analytique non archimédienne. Application au type d'homotopie de certains schémas formels. Manuscripta Math., 123(4):381–451, 2007. 33
- [44] W. T. Tutte. Connectivity in graphs. Mathematical Expositions, No. 15. University of Toronto Press, Toronto, Ont.; Oxford University Press, London, 1966. 17
- [45] T. Ważewski. Sur les courbes de Jordan ne renfermant aucune courbe simple fermée de Jordan, volume 37. NUMDAM, Thèses de l'entre-deux-guerres, 1923. Available at http://www.numdam.org/item? id=THESE\_1923\_37\_1\_0. 42
- [46] H. Whitney. Non-separable and planar graphs. Trans. Amer. Math. Soc., 34(2):339–362, 1932. 17, 42
- [47] G. T. Whyburn. Cyclicly connected continous curves. Proc. Natl. Acad. Sci. USA, 13(2):31–38, 1927. 42
- [48] G. T. Whyburn. What is a curve? Amer. Math. Monthly, 49:493-497, 1942. 42
- [49] G. T. Whyburn. Cut points in general topological spaces. Proc. Nat. Acad. Sci. U.S.A., 61:380–387, 1968. 42
- [50] O. Zariski. The reduction of the singularities of an algebraic surface. Ann. of Math. (2), 40:639–689, 1939. 26
- [51] O. Zariski. The theorem of Riemann-Roch for high multiples of an effective divisor on an algebraic surface. Ann. of Math. (2), 76:560–615, 1962. 7

UNIVERSIDAD DE LA LAGUNA E-mail address: ergarcia@ull.es

UNIVERSIDAD COMPLUTENSE DE MADRID E-mail address: pgonzalez@mat.ucm.es

LABORATOIRE PAUL PAINLEVÉ, UNIVERSITÉ DE LILLE *E-mail address*: patrick.popescu@math.univ-lille1.fr

INSTITUT DE MATHÉMATIQUES DE JUSSIEU, UNIVERSITÉ PARIS 7 *E-mail address:* matteo.ruggiero@imj-prg.fr