

RELATIONS BETWEEN HIGHER ALGEBRAIC K-THEORIES

D. Anderson, M. Karoubi, J. Wagoner\*

In this paper we outline the construction of a sequence of natural transformations

$$K_i^Q \rightarrow K_i^{BN} \rightarrow K_i^V \rightarrow K_i^{K-V}$$

between the higher algebraic K-theories  $K_i^Q$  of  $[Q]$ ,  $K_i^{BN}$  of  $[W]$ ,  $K_i^V$  of  $[V]$  and  $K_i^{K-V}$  of  $[K-V]$  and  $[G]$ . The composition  $K_i^Q \rightarrow K_i^{K-V}$  is the map constructed by Gersten [G].

Let  $G$  be a discrete group. Let  $NG$  be the simplicial set in  $[S]$  such that the geometric realization  $|NG|$  is the classifying space  $BG$  of  $G$ . Let  $\Delta G$  denote the contractible simplicial set whose  $k$ -simplices are  $(k+1)$ -tuples  $(g_0, \dots, g_k)$  which the face and degeneracy operators being deletion and insertion. The geometric realization  $|\Delta G|$  shall be denoted by  $EG$ . The map of simplicial sets  $\Delta G \rightarrow NG$  given by

$$(g_0, \dots, g_k) \rightarrow (g_0^{-1}g_1, \dots, g_{k-1}^{-1}g_k)$$

induces as in [S] the classifying fibration for  $G$ :

$$G \rightarrow EG \rightarrow BG$$

For any coset  $\alpha \cdot H$  of a subgroup  $H$  of  $G$  let  $E_{\alpha \cdot H} = |\Delta_{\alpha \cdot H}|$  where  $\Delta_{\alpha \cdot H}$  is the subcomplex of  $\Delta G$  whose  $k$ -simplices are  $(k+1)$ -tuples  $(g_0, g_1, \dots, g_k)$  with  $g_i \in \alpha \cdot H$  for  $0 \leq i \leq k$ .

---

\*Partially supported by NSF GP-34217X

Let  $\{H_i\}$  be a collection of subgroups of  $G$ . Let  $\Delta\{G, \alpha \cdot H_i\}$  denote the bisimplicial set whose  $(k, \ell)$ -simplices are of the form

$$(\alpha_0 \cdot H_0 \subset \dots \subset \alpha_k \cdot H_k; (g_0, \dots, g_\ell))$$

where  $g_i \in \alpha_0 \cdot H_0$  for  $0 \leq i \leq \ell$ . The boundary and degeneracy maps in each factor are the usual deletions and insertions. Let  $\Delta^V\{G, \alpha \cdot H_i\}$  be the simplicial space obtained by vertical realization: in dimension  $k$ ,  $\Delta^V\{G, \alpha \cdot H_i\}$  is the disjoint union the spaces

$$(\alpha_0 \cdot H_0 \subset \dots \subset \alpha_k \cdot H_k) \times E_{\alpha_0 \cdot H_0}$$

Then as for any bi-simplicial set

$$|\text{diag } \Delta\{G, \alpha \cdot H_i\}| \cong |\Delta^V\{G, \alpha \cdot H_i\}|.$$

We shall let  $E\{G, \alpha \cdot H_i\}$  denote  $|\text{diag } \Delta\{G, \alpha \cdot H_i\}|$ . The reader is alerted to the slight notational difference between this and the  $E(X, X_i)$  of [W]. Let  $N\{H_i\}$  be the bi-simplicial set whose  $(k, \ell)$ -simplices are of the form

$$(H_0 \subset \dots \subset H_k; (g_1, \dots, g_\ell))$$

where  $g_i \in H_0$  for  $1 \leq i \leq \ell$ . The boundary and degeneracy operators in the first factor are deletion and insertion, the ones in the second factor are those of  $NH_0$ . Let  $N^V\{H_i\}$  be the vertical realization of  $N\{H_i\}$ ; this is a simplicial space which in dimension  $k$  is the disjoint union of the spaces

$$(H_0 \subset \dots \subset H_k) \times BH_0.$$

We also have

$$|\text{diag } N\{H_i\}| \cong |N^V\{H_i\}|.$$

Let  $B\{H_i\}$  denote  $|\text{diag } N\{H_i\}|$ .

There is a commutative diagram

$$(*) \quad \begin{array}{ccc} E\{G, \alpha \cdot H_i\} & \longrightarrow & EG \\ \downarrow & & \downarrow \\ B\{H_i\} & \longrightarrow & BG \end{array}$$

induced by the following diagram of maps on the level of  $k$ -simplices:

$$\begin{array}{ccc} (\alpha_0 \cdot H_0 \subset \dots \subset \alpha_k \cdot H_k; (g_0, \dots, g_k)) & \longrightarrow & (g_0, \dots, g_k) \\ \downarrow & & \downarrow \\ (H_0 \subset \dots \subset H_k; (g_0^{-1}g_1, \dots, g_{k-1}^{-1}g_k)) & \longrightarrow & (g_0^{-1}g_1, \dots, g_{k-1}^{-1}g_k) \end{array} .$$

Lemma 1. The sequence

$$E\{G, \alpha \cdot H_i\} \rightarrow B\{H_i\} \rightarrow BG$$

is a homotopy fibration.

Proof. The simplicial set  $\Delta\{G, \alpha \cdot H_i\}$  is the pull back of the maps  $\Delta G \rightarrow NG$  and  $N\{H_i\} \rightarrow NG$ . Since realization of simplicial sets commutes with pullbacks (\*) is a cartesian square. In particular  $E\{G, \alpha \cdot H_i\} \rightarrow B\{H_i\}$  is a fibration with discrete fiber  $G$ . Since  $EG$  is contractible the "nine-lemma" of [T; Appendix, §6] shows  $E\{G, \alpha \cdot H_i\}$  is homotopy equivalent to the homotopy fiber of  $B\{H_i\} \rightarrow BG$ .

We recall briefly the definitions of  $K_i^{BN}$  and  $K_i^V$ . See [V] and [W]. Let  $A$  be an associative ring with unity and  $A^\infty$  be the standard right  $A$ -module with basis  $e_1, e_2, \dots, e_n, \dots$ . A semi-standard flag  $P = \{P_1 \subset \dots \subset P_k\}$  in  $A^\infty$  is a sequence of free submodules such that for some  $n \geq 1$  each subspace  $P_i$  is spanned by a finite subset of  $\{e_1, \dots, e_n\}$  and such that  $P_k = A^n$ . We say

$P' = \{P'_1 \subset \dots \subset P'_k\}$  refines  $P$ , written  $P \leq P'$ , provided there is an increasing sequence  $n_1 < \dots < n_k$  with  $P_i = P'_{n_i}$  for  $1 \leq i \leq k$ . If  $P$  is a flag with  $P_k = A^n$  let  $U_P \subset GL_n(A) \subset GL(A)$  be the subgroup of elements of the form  $I + N$  where  $I$  is the identity and  $N$  is an  $n \times n$ -matrix satisfying  $N(P_i) \subset P_{i-1}$  and  $N(P_1) = 0$ . Then let  $\widehat{GL}(A) = E\{GL(A), \alpha \cdot U_P\}$  and for  $i \geq 1$  let

$$K_i^{BN}(A) = \pi_{i-1} \widehat{GL}(A).$$

As in (\*) we have a cartesian square

$$\begin{array}{ccc}
 E\{GL(A), \alpha \cdot U_P\} & \longrightarrow & EGL(A) \\
 \downarrow & & \downarrow \\
 B\{U_P\} & \longrightarrow & BGL(A)
 \end{array}$$

(†)

and a homotopy fibration

$$\widehat{GL}(A) \rightarrow B\{U_P\} \rightarrow BGL(A).$$

Let  $U \subset GL(A)$  be the subgroup of upper triangular matrices with ones on the diagonal. Let  $p$  denote any finite permutation matrix. Let  $GL^V(A) \subset EGL(A)$  be the realization of the subcomplex of  $\Delta GL(A)$  whose  $k$ -simplices consist of  $(k+1)$ -tuples  $(g_0, \dots, g_k)$  such that there is some coset  $\alpha \cdot pU_P^{-1}$  containing  $g_i$  for  $0 \leq i \leq k$ . Then in [V] Volodin defines

$$K_i^V(A) = \pi_{i-1} GL^V(A).$$

There is a cartesian square

$$\begin{array}{ccc}
 \mathrm{GL}^V(A) & \longrightarrow & \mathrm{EGL}(A) \\
 \downarrow & & \downarrow \\
 \bigcup_{\mathbb{P}} \mathrm{BpUp}^{-1} & \longrightarrow & \mathrm{BGL}(A)
 \end{array}$$

where the space  $\bigcup_{\mathbb{P}} \mathrm{BpUp}^{-1}$  denotes the union of the subspaces  $\mathrm{BpUp}^{-1}$  in  $\mathrm{BGL}(A)$ . As in Lemma 1 we have a homotopy fibration

$$\mathrm{GL}^V(A) \longrightarrow \bigcup_{\mathbb{P}} \mathrm{BpUp}^{-1} \longrightarrow \mathrm{BGL}(A)$$

The natural transformation

$$(1) \quad K_i^{\mathrm{BN}} \rightarrow K_i^V$$

is induced by the correspondence

$$(\alpha_0 \cdot U_{\mathbb{P}_0} \subset \dots \subset \alpha_k \cdot U_{\mathbb{P}_k}; g_0, \dots, g_k) \rightarrow (g_0, \dots, g_k).$$

It can be shown that the direct sum of matrices induces on  $\widehat{\mathrm{GL}}(A)$  and  $\mathrm{GL}^V(A)$  the structure of a homotopy associative and commutative H-space and furthermore we have

$$K_1^{\mathrm{Bass}}(A) = K_1^{\mathrm{BN}}(A) = K_1^V(A)$$

and

$$K_2^{\mathrm{Milnor}}(A) = K_2^{\mathrm{BN}}(A) = K_2^V(A).$$

See [V] and [W].

Theorem A. The fundamental groups of  $\mathrm{B}\{U_{\mathbb{P}}\}$  and  $\bigcup_{\mathbb{P}} \mathrm{BpUp}^{-1}$  are perfect and the sequences

$$\widehat{\mathrm{GL}}(A) \rightarrow \mathrm{B}\{U_{\mathbb{P}}\}^+ \rightarrow \mathrm{BGL}(A)^+$$

and

$$GL^V(A) \longrightarrow (\cup_{\mathbb{P}} U_{\mathbb{P}}^{-1})^+ \longrightarrow BGL(A)^+$$

are homotopy fibrations.

As a consequence there are maps

$$\Omega BGL(A)^+ \longrightarrow \widehat{GL}(A)$$

and

$$\Omega BGL(A)^+ \longrightarrow GL^V(A)$$

which induce natural homomorphisms

$$(2) \quad K_i^Q(A) = \pi_{i-1}(\Omega BGL(A)^+) \rightarrow \pi_{i-1}(\widehat{GL}(A)) = K_i^{BN}(A)$$

and

$$(3) \quad K_i^Q(A) = \pi_{i-1}(\Omega BGL(A)^+) \rightarrow \pi_{i-1}(GL^V(A)) = K_i^V(A).$$

In fact (3) is the composition of (1) and (2). It will be shown in [W'] that  $H_*(B\{U_{\mathbb{P}}\}; Z)$  vanishes for any ring. Hence  $B\{U_{\mathbb{P}}\}^+$  is contractible and Theorem A shows that (2) is an isomorphism. I think the methods of [W'] will also show  $H_*(\cup_{\mathbb{P}} U_{\mathbb{P}}^{-1}; Z) = 0$  and therefore (3) is probably an isomorphism too.

Finally we construct the natural transformation  $K_i^{BN} \rightarrow K_i^{K-V}$ . A similar construction works for  $K_i^V$ . Recall the definition of  $K_i^{K-V}$  as given in [G]. Let  $A_{\star} = \{A_n\}$  be the simplicial ring where

$$A_n = A[t_0, t_1, \dots, t_n] / t_0 + t_1 + \dots + t_n = 1$$

and the face and degeneracy operators  $\partial_i: A_n \rightarrow A_{n-1}$  and

$s_i: A_n \rightarrow A_{n+1}$  are given by

$$\partial_i(t_\ell) = \begin{cases} t_\ell & , \ell < i \\ 0 & , \ell = i \\ t_{\ell-1} & , i < \ell \end{cases} \quad \text{and} \quad s_i(t_\ell) = \begin{cases} t_\ell & , \ell < i \\ t_\ell + t_{\ell+1} & , \ell = i \\ t_{\ell+1} & , i < \ell \end{cases}$$

Let  $GL(A)_* = \{GL(A_n)\}$  be the corresponding simplicial group. Then as in [G]

$$K_i^{K-V}(A) = \pi_{i-1} |GL(A_*)| = \pi_i BGL(A_*)$$

where  $BGL(A_*)$  is the realization of the diagonal in the bisimplicial set  $NGL(A_*)$  whose  $(k, \ell)$ -simplices are  $\ell$ -tuples  $(g_1, \dots, g_\ell)$  with  $g_i \in GL(A_k)$  for  $1 \leq i \leq \ell$ . The vertical face and degeneracies are those of  $NGL(A_k)$  and the horizontal face and degeneracies come from the simplicial ring  $A_*$ . Let  $\Delta GL(A_*)$  be the bisimplicial set whose  $(k, \ell)$ -simplices are  $(\ell+1)$ -tuples  $(g_0, \dots, g_\ell)$  where  $g_i \in GL(A_k)$  for  $1 \leq i \leq \ell$ . Let  $EGL(A_*)$  be the realization of the diagonal. Then there is a homotopy fibration

$$|GL(A_*)| \rightarrow EGL(A_*) \rightarrow BGL(A_*).$$

There is a similar fibration for  $BU_P(A_*)$ .

We want to get a commutative square like (+) for the simplicial ring  $A_*$ . For any ring  $A$  we let  $U_P(A)$  denote  $U_P$  for the ring  $A$ . Let  $N\{U_P(A_*)\}$  be the bi-simplicial set whose  $(k, \ell)$  simplices are of the form

$$(U_{P_0}(A_\ell) \subset \dots \subset U_{P_k}(A_\ell); (g_1, \dots, g_\ell))$$

where  $g_i \in U_{P_0}(A_\ell)$  for  $1 \leq i \leq \ell$ . Let  $\Delta\{GL(A_*), \alpha U_P(A_*)\}$  be the bi-simplicial set whose  $(k, \ell)$  simplices are of the form

$$(\alpha_0 \cdot U_{P_0}(A_\ell) \subset \dots \subset \alpha_k \cdot U_{P_k}(A_\ell); (g_0, \dots, g_\ell))$$

where  $g_i \in \alpha_0 \cdot U_{P_0}(A_\ell)$  for  $0 \leq i \leq \ell$ . Let

$$B\{U_P(A_*)\} = |\text{diag } N\{U_P(A_*)\}|$$

and

$$E\{GL(A_*) , \alpha \cdot U_P(A_*)\} = |\text{diag } \Delta\{GL(A_*) , \alpha \cdot U_P(A_*)\}| .$$

Then the following is a pullback square:

$$\begin{array}{ccc}
 E\{GL(A_*), \alpha \cdot U_P(A_*)\} & \longrightarrow & EGL(A_*) \\
 \downarrow & & \downarrow \\
 B\{U_P(A_*)\} & \longrightarrow & BGL(A_*)
 \end{array}$$

(\*\*)

Let  $\widehat{GL}(A_*) = E\{GL(A_*), \alpha \cdot U_P(A_*)\}$  .

Lemma 2.  $\widehat{GL}(A_*) \cong |GL(A_*)|$  .

Proof. As in Lemma 1 the homotopy fiber of  $B\{U_P(A_*)\} \rightarrow BGL(A_*)$  is  $\widehat{GL}(A_*)$ . Hence it suffices to prove  $B\{U_P(A_*)\}$  is contractible. This space has the homotopy type of the realization of the simplicial space  $N^V\{U_P(A_*)\}$  which in dimension  $k$  is the disjoint union of the

$$(P_0 \leq \dots \leq P_k) \times BU_P(A_*) .$$

Since  $A_*$  is an acyclic simplicial ring each  $BU_P(A_*)$  is contractible; thus up to homotopy type we are looking at the nerve of the partially ordered set of semi-standard flags in  $A^\infty$  which is contractible.

Now let  $A$  denote the constant simplicial ring where  $A_n = A$  for  $n \geq 0$  and all the face and degeneracy operators are the identity. Then there is a cartesian square like (\*\*) but in this case we are still, up to homotopy type, just working with the square (†) when  $A$  is not considered as a simplicial ring. The natural inclusion of simplicial rings  $A \rightarrow A_*$  induces a homotopy commutative diagram of homotopy fibrations



$$\begin{array}{ccccc}
 \widehat{GL}(A) & \longrightarrow & B\{U_P(A)\}^+ & \longrightarrow & BGL(A)^+ \\
 \downarrow & & \downarrow & & \downarrow \\
 \widehat{GL}(A_*) & \longrightarrow & B\{U_P(A_*)\} & \longrightarrow & BGL(A_*) \quad .
 \end{array}$$

This then gives the homomorphism

$$(4) \quad K_i^{BN}(A) = \pi_{i-1} \widehat{GL}(A) \longrightarrow \pi_{i-1} |GL(A_*)| = K_i^{K-V}(A).$$

Putting (1), (2), and the  $K_i^V$  analogue of (4) together gives the sequence of natural transformations

$$(5) \quad K_i^Q \rightarrow K_i^{BN} \rightarrow K_i^V \rightarrow K_i^{K-V}$$

whose composition is the map defined by Gersten [G]. When  $A$  is left regular Quillen [Q] has shown this composition to be an isomorphism.

Corollary B. If  $A$  is left regular  $K_i^{BN}$  and  $K_i^V$  contain  $K_i^Q$  as a direct summand.

#### References

- [G] S. Gersten, K-theory of a polynomial extension, preprint from Rice University.
- [K-V] M. Karoubi and O. Villamayor, Foncteurs  $K^n$  en algebra et en topologie, C.R. Acad. Sci. Paris 269(1969), 416-419.
- [Q] D. Quillen, Higher K-theory for categories with exact sequences, preprint, M.I.T.
- [S] S. Segal, Categories and cohomology theories, preprint, Oxford University.
- [T] E. Thomas, Fields of tangent k-planes on manifolds, Inventiones Math. Vol. 3(1967), p. 334-347.
- [W] J. Wagoner, Buildings, stratifications, and higher K-theories, this volume.
- [W'] J. Wagoner, Equivalence of algebraic K-theories, to appear.

