

HERMITIAN PERIODICITY AND COHOMOLOGY OF INFINITE ORTHOGONAL GROUPS

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ABSTRACT. As an application of our papers [2], [4], in favourable cases we prove the periodicity of hermitian K -groups with a shorter period than previously obtained. We also compute the homology and cohomology with field coefficients of infinite orthogonal and symplectic groups of specific rings of integers in a number field.

1. INTRODUCTION

We provide applications of our previous papers [2] and [4] in two directions. Firstly, we prove a refinement of our periodicity theorem proved in [4] which leads to a shorter period for hermitian K -groups of specific rings A . This is the case if A is an algebra over the real subfield R of a cyclotomic field. The computation of the hermitian K -theory of R is detailed in [2] and enables us to define explicit “Bott elements” for R . An important subcase is when A is an F -algebra, where F is algebraically closed. This leads to the classical 8-periodicity of the hermitian K -groups of A : see Theorem 2.2.

The second application is the computation of the cohomology and homology with fields coefficients of the infinite orthogonal and symplectic groups associated to specific rings of 2-integers in a number field. This computation is quite explicit and relies partly on computations made in [6] for finite coefficients. For rational coefficients, these results are particular cases of those of Borel [5].

2. REFINEMENTS OF THE PERIODICITY THEOREM IN HERMITIAN K -THEORY

In this section we establish a more refined periodicity theorem for a class of rings introduced in [4], and there called “hermitian regular”. As seen in [3], this class includes many rings (and more generally schemes) of geometric nature. First, we have a lemma that clarifies the definition in the setting of K -theoretic Bott periodicity. For this, we use the “positive Bott element” in ${}_1KQ_p(\mathbb{Z}'; \mathbb{Z}/2p)$ constructed in [4, Theorem 1.1] for a 2-power $p \geq 8$.

Lemma 2.1. *Let A be a ring with involution such that $1/2 \in A$, and let $m = 2^\nu$ and $p = \sup(8, 2^{\nu-1})$. We assume the existence of an integer d , such that the cup-product with the Bott element in $K_p(\mathbb{Z}; \mathbb{Z}/m)$ induces an isomorphism*

$$K_n(A; \mathbb{Z}/m) \xrightarrow{\cong} K_{n+p}(A; \mathbb{Z}/m).$$

for $n \geq d$. Then the following are equivalent.

- (i) *There exists an integer n such that all iterated cup-products with the positive Bott element in ${}_1KQ_p(\mathbb{Z}'; \mathbb{Z}/2p)$ induce isomorphisms*

$$K_n(A; \mathbb{Z}/m) \xrightarrow{\cong} K_{n+ps}(A; \mathbb{Z}/m)$$

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and

$$K_{n+1}(A; \mathbb{Z}/m) \xrightarrow{\cong} K_{n+1+ps}(A; \mathbb{Z}/m).$$

- (ii) A is hermitian regular, as in Definition 0.5 of [4].
- (iii) Whenever $n \geq d$, cup-product with the positive Bott element in ${}_1KQ_p(\mathbb{Z}'; \mathbb{Z}/2p)$ induces an isomorphism

$${}_\varepsilon KQ_n(A; \mathbb{Z}/m) \xrightarrow{\cong} {}_\varepsilon KQ_{n+p}(A; \mathbb{Z}/m).$$

Proof. Since (i) is evidently a special case of (iii), and it is shown in [4, Theorems 0.7 and 4.2, Example 4.3] that (ii) is equivalent to (iii), it remains to show that (i) implies (iii). Without loss of generality, we may assume that $n \geq d$.

This follows by the argument of “downward Karoubi induction” as in [1, Theorem 3.1(b)], applied to the commuting diagrams of exact sequences (notation obviously abbreviated)

$$\begin{array}{ccccccc} {}_{-\varepsilon}KQ_{n+1} & \rightarrow & {}_{-\varepsilon}U_n & \rightarrow & K_n & & {}_{-\varepsilon}KQ_n \\ & & \cup b^+ & & \downarrow \cup b_K = \cup b^+ & & \downarrow \cup b^+ \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ {}_{-\varepsilon}KQ_{n+1+p} & \rightarrow & {}_{-\varepsilon}U_{n+p} & \rightarrow & K_{n+p} & & {}_{-\varepsilon}KQ_{n+p} \end{array}$$

and

$$\begin{array}{ccccccc} K_n & \longrightarrow & {}_\varepsilon V_{n-1} & \longrightarrow & {}_\varepsilon KQ_{n-1} & \longrightarrow & K_{n-1} \\ \downarrow \cup b_K & & \downarrow \cup b^+ & & \downarrow \cup b^+ & & \downarrow \cup b_K \\ K_{n+p} & \longrightarrow & {}_\varepsilon V_{n-1+p} & \longrightarrow & {}_\varepsilon KQ_{n-1+p} & \longrightarrow & K_{n-1+p} \end{array}$$

that arise from cup-products with Bott elements. \square

The most interesting result in this section is the following generalization of the theorem proved in [8]. It is a consequence of more general results which are proved in this paper.

Theorem 2.2. *Let F be an algebraically closed field of characteristic $\neq 2$ with the trivial involution, and let A be an involutive F -algebra which is hermitian regular as above. Suppose that, with m prime to the characteristic of F , for $n \geq d$ the classical periodicity map*

$$K_n(A; \mathbb{Z}/m) \longrightarrow K_{n+2}(A; \mathbb{Z}/m)$$

is an isomorphism. Then, for $n \geq d$ the hermitian K -groups ${}_\varepsilon KQ_n(A; \mathbb{Z}/m)$ are periodic of period 8 with respect to n , the isomorphism being given by the cup-product with a “Bott element” in ${}_1KQ_8(F; \mathbb{Z}/m)$.

In order to prove this theorem and more general ones below, we combine our general periodicity theorems [4, Theorem 0.7] with the more detailed information in [4, Theorem 2.6] for totally real 2-regular number fields, so as to obtain sharper periodicity results for certain algebras A with involution.

Writing $\mathbb{Z}' = \mathbb{Z}[1/2]$, for $\alpha > 2$ define $R = R_\alpha^+$ to be the ring $\mathbb{Z}'[\zeta_{2^\alpha} + \bar{\zeta}_{2^\alpha}]$ of 2-integers in the maximal real subfield $F = \mathbb{Q}(\zeta_{2^\alpha} + \bar{\zeta}_{2^\alpha})$ of the cyclotomic field $\mathbb{Q}(\zeta_{2^\alpha})$, provided with the trivial involution. Then, from e.g. [2], F is a totally real 2-regular number field to which Proposition 2.3 of [4] applies, as follows. Let $\nu \geq 4$, $p = 2^{\nu-1}$ and $M = 2^{\nu+\alpha-2} = 2p \cdot 2^{\alpha-2}$. Then the image of the Bott element in ${}_1KQ_p(R; \mathbb{Z}/2p)$ by the canonical map

$${}_1KQ_p(\mathbb{Z}'; \mathbb{Z}/2p) \longrightarrow {}_1KQ_p(R; \mathbb{Z}/2p)$$

is the reduction mod $2p$ of an “exotic Bott element” $\bar{b} \in {}_1KQ_p(R; \mathbb{Z}/M)$.

Theorem 2.4 of [4] now takes the following form. The theorem stated afterwards is a direct consequence.

Lemma 2.3. [4] For $n \geq 0$, the cup-product with \bar{b} in ${}_1KQ_p(R; \mathbb{Z}/M)$ induces an isomorphism

$$\bar{\beta} : {}_\varepsilon KQ_n(R; \mathbb{Z}/M') \xrightarrow{\cong} {}_\varepsilon KQ_{n+p}(R; \mathbb{Z}/M')$$

whenever M' divides M . □

Theorem 2.4. Let A be an R -algebra with an involution that is trivial¹ on R , and suppose that A is hermitian regular. Let $\nu \geq 4$ and $p = 2^{\nu-1}$, and assume the existence of an integer d , such that, for $n \geq d$, the cup-product with the Bott element in $K_p(\mathbb{Z}; \mathbb{Z}/2p)$ induces an isomorphism

$$K_n(A; \mathbb{Z}/m) \xrightarrow{\cong} K_{n+p}(A; \mathbb{Z}/m)$$

whenever m divides $2p$. Then for $n \geq d$, the cup-product with the exotic Bott element \bar{b} defined above induces an isomorphism

$${}_\varepsilon KQ_n(A; \mathbb{Z}/M') \xrightarrow{\cong} {}_\varepsilon KQ_{n+p}(A; \mathbb{Z}/M')$$

whenever M' divides $2^{\nu+\alpha-2} = 2p \cdot 2^{\alpha-2}$.

Proof. By using cofinality in direct systems as in [4, §4] and applying the lemma above, we observe that the group $\varinjlim_\varepsilon KQ_{n+sp}(A; \mathbb{Z}/M')$ may be computed by taking cup-product either with the usual Bott element in ${}_1KQ_p(\mathbb{Z}; \mathbb{Z}/2p)$ or with an exotic Bott element in ${}_1KQ_p(\mathbb{Z}; \mathbb{Z}/(2p \cdot 2^{\alpha-2}))$. Therefore, the theorem is a consequence of Theorem 4.5 in [4, Section 4]. □

When ℓ is an odd prime the periodicity statement is quite different as we shall see, in contrast with the situation in algebraic K -theory (see also [11] and [3] for very similar arguments). We consider the algebra $R_\alpha = \mathbb{Z}[\zeta_{\ell^\alpha}]$ and the group $K_2(R_\alpha; \mathbb{Z}/\ell^\alpha)$, $\alpha > 2$. From the exact sequence

$$K_2(R_\alpha) \rightarrow K_2(R_\alpha) \rightarrow K_2(R_\alpha; \mathbb{Z}/\ell^\alpha) \rightarrow K_1(R_\alpha) \rightarrow K_1(R_\alpha)$$

we can define a ‘‘Bott element’’ u in the group $K_2(R_\alpha; \mathbb{Z}/\ell^\alpha)$ which maps to a generator of the kernel of the map between the K_1 -groups. Now let σ be the involution on the K -groups induced by the duality. Then $c = u \cdot \sigma(u)$ in $K_4(R_\alpha; \mathbb{Z}/\ell^\alpha)$ is invariant by σ , and therefore belongs to the group²

$${}_1KQ_4(R_\alpha; \mathbb{Z}/\ell^\alpha)_+ \cong {}_1K_4(R_\alpha; \mathbb{Z}/\ell^\alpha)_+ \cong K_4(R_\alpha^+; \mathbb{Z}/\ell^\alpha)_+ \cong {}_1KQ_4(R_\alpha^+; \mathbb{Z}/\ell^\alpha)_+,$$

where the last isomorphism is a transfer map between K -groups and KQ -groups. Moreover, if $\nu > 0$, a classical Bockstein argument shows that $c^{\ell^{\nu-1}}$ may also be lifted to an ‘‘exotic Bott element’’ b_+ in the group ${}_1KQ_{4\ell^{\nu-1}}(R_\alpha^+; \mathbb{Z}/\ell^{\alpha+\nu-1})_+$. On the other hand, as in Section 5 of [4], we may define a ‘‘negative’’ Bott element b_- in ${}_1KQ_{4\ell^{\nu-1}}(R_\alpha^+; \mathbb{Z}/\ell^{\alpha+\nu-1})_-$ that is the reduction mod $\ell^{\alpha+\nu-1}$ of an integral class in ${}_1KQ_{4\ell^{\nu-1}}(R_\alpha^+)$, as detailed in [7, p. 278]. Thus, following the spirit of [4, §5] we define a ‘‘mixed Bott element’’

$$b_+ + b_- \in {}_1KQ_{4\ell^{\nu-1}}(R_\alpha^+; \mathbb{Z}/\ell^{\alpha+\nu-1}).$$

The following theorem is a consequence of the analogous one in K -theory and the periodicity theorem for the higher Witt groups. More precisely, Theorem 4.3, p. 278 in [7] implies that the higher Witt groups are 4-periodic mod 2-torsion. On the other hand, the symmetric part of hermitian K -theory is isomorphic to the symmetric part of K -theory modulo 2-torsion: see [4, § 5] for more details.

¹If σ is the involution, this precisely means that $\sigma(\lambda a) = \lambda \sigma(a)$ for $\lambda \in R$ and $a \in A$.

²In general, we indicate by G_+ the invariant part of an abelian group G (with 2 invertible) by an involution. We also indicate by G_- its anti-invariant part. Finally, we note that the groups $KQ_r(\Lambda; \mathbb{Z}/\ell^\alpha)_-$ are periodic of period 4 according to the periodicity theorem proved in [7].

Theorem 2.5. *With the previous notations, let A be an R_α^+ -algebra, $\alpha > 2$, with an involution that is trivial on R_α^+ . Writing $p = 2(\ell - 1)\ell^{\nu-1}$, $\nu > 0$, we assume the existence of an integer d , such that, for $n \geq d$, the cup-product with the Bott element in $K_p(\mathbb{Z}; \mathbb{Z}/m)$ induces an isomorphism*

$$K_n(A; \mathbb{Z}/m) \xrightarrow{\cong} K_{n+p}(A; \mathbb{Z}/m)$$

whenever m divides ℓ^ν . Then, for $n \geq d$ and $p' = 4\ell^{\nu-1}$, the cup-product with the mixed Bott element $b_+ + b_-$ defined above induces an isomorphism

$${}_\varepsilon KQ_n(A; \mathbb{Z}/m') \xrightarrow{\cong} {}_\varepsilon KQ_{n+p'}(A; \mathbb{Z}/m')$$

whenever m' divides $\ell^{\alpha+\nu-1}$. In particular, if A is an R_α^+ -algebra for all α , we may choose $\nu = 1$ so that the groups ${}_\varepsilon KQ_n(A; \mathbb{Z}/m')$ are periodic of period 4 with respect to n for all powers m' of ℓ .

We may now combine this theorem (for ℓ odd) with the previous one for 2-primary coefficients to prove Theorem 2.2. It is a generalization of the theorem proved in [8] when $A = F$ (see below).

Proof of Theorem 2.2. We remark that F contains all the rings R_α^+ considered before. We now decompose the integer m into primary powers. According to the previous theorem, when m is odd the groups ${}_\varepsilon KQ_n(A; \mathbb{Z}/m)$ are periodic of period 4 with respect to n . For 2-primary powers, we have 8-periodicity according to Theorem 2.4 (choose $\nu = 4$). Note that the ‘‘exotic’’ Bott element in ${}_1KQ_8(F; \mathbb{Z}/m)$ was already defined in [8]. \square

3. COHOMOLOGY OF ORTHOGONAL AND SYMPLECTIC GROUPS OF RINGS OF 2-INTEGERS IN 2-REGULAR TOTALLY REAL NUMBER FIELDS

Let A be a commutative ring and let $\varepsilon = \pm 1$. The group ${}_\varepsilon O_{n,n}(A) \subseteq GL_{2n}(A)$ is the subgroup of automorphisms of $A^n \oplus A^n$ that leave invariant the ε -quadratic form

$$\begin{pmatrix} 0 & 1 \\ \varepsilon & 0 \end{pmatrix}.$$

Passing to the colimit by componentwise inclusion, we obtain the group ${}_\varepsilon O(A)$ and its classifying space $B_\varepsilon O(A)$. The group cohomology of ${}_\varepsilon O(A)$ coincides with the singular cohomology of $B_\varepsilon O(A)$, and therefore with the singular cohomology of Quillen’s $+$ -construction $B_\varepsilon O(A)^+$. For a number field F with ring of 2-integers R_F , the rational group cohomology of ${}_\varepsilon O(R_F)$ was computed by Borel [5] almost forty years ago. When F is a totally real 2-regular number field, our results in [2] identify the homotopy type of $B_\varepsilon O(R_F)^+$ in terms of classical topological invariants. In the following, we use these results to compute explicitly the group cohomology of ${}_\varepsilon O(R_F)$ with \mathbb{F}_2 -coefficients.

In this section, all displayed spectra are implicitly 2-completed and connective. For example, we let ${}_\varepsilon \mathcal{K}Q(R_F)$ denote the 2-completion of the connective cover of the hermitian K -theory spectrum of R_F . Let r denote the number of real embeddings of the number field F . We refer to [2] for the choice of the residue field \mathbb{F}_q of R_F .

We recall from [2] the homotopy cartesian square:

$$\begin{array}{ccc} {}_\varepsilon \mathcal{K}Q(R_F) & \longrightarrow & {}_\varepsilon \mathcal{K}Q(\mathbb{R})^r \\ \downarrow & & \downarrow \\ {}_\varepsilon \mathcal{K}Q(\mathbb{F}_q) & \longrightarrow & {}_\varepsilon \mathcal{K}Q(\mathbb{C})^r \end{array}$$

When $\varepsilon = 1$, ${}_1 \mathcal{K}Q(\mathbb{R}) \simeq \mathcal{K}(\mathbb{R}) \vee \mathcal{K}(\mathbb{R})$ and ${}_1 \mathcal{K}Q(\mathbb{C}) \simeq \mathcal{K}(\mathbb{R})$, where we consider the real and complex numbers with their usual topologies. The map from ${}_1 \mathcal{K}Q(\mathbb{R})$

to ${}_1\mathcal{KQ}(\mathbb{C})$ is induced by the Whitney sum of real vector bundles. Since it has a splitting [2, Appendix B], we deduce the following theorem.

Theorem 3.1. *There is a homotopy equivalence of 2-completed connective spectra*

$${}_1\mathcal{KQ}(R_F) \simeq {}_1\mathcal{KQ}(\mathbb{F}_q) \vee \mathcal{K}(\mathbb{R})^r.$$

By considering the underlying infinite loop spaces of the spectra in Theorem 3.1 we obtain the following group cohomology computation.

Corollary 3.2. *Let H^* denote cohomology with \mathbb{F}_2 -coefficients. Then there is an isomorphism of Hopf algebras and modules over the mod 2 Steenrod algebra*

$$H^*(O(R_F)) \cong H^*(O(\mathbb{F}_q)) \otimes H^*(BO)^{\otimes r}.$$

Here $H^*(BO) \cong \mathbb{F}_2[w_1, w_2, \dots]$ is a polynomial algebra generated by the Stiefel-Whitney classes w_i , $i \geq 1$, and $H^*(O(\mathbb{F}_q))$ is a polynomial algebra on generators x_i, \bar{x}_{2i-1} , $i \geq 1$.

The cohomology of the classifying space BO is computed in e.g. [12, Corollary 16.11], and that of $O(\mathbb{F}_q)$ in [6, IV, Corollary 4.3].

When $\varepsilon = -1$, ${}_{-1}\mathcal{KQ}(\mathbb{R}) \simeq \mathcal{K}(\mathbb{C})$ and ${}_{-1}\mathcal{KQ}(\mathbb{C}) \simeq \mathcal{K}(\mathbb{H})$, where we consider the quaternions with the usual topology. Hence, there is a homotopy cartesian square:

$$\begin{array}{ccc} {}_{-1}\mathcal{KQ}(R_F) & \longrightarrow & \mathcal{K}(\mathbb{C})^r \\ \downarrow & & \downarrow \\ {}_{-1}\mathcal{KQ}(\mathbb{F}_q) & \longrightarrow & \mathcal{K}(\mathbb{H})^r \end{array}$$

We note that there is a naturally induced isomorphism

$${}_{-1}KQ_0(\mathbb{R}) \longrightarrow {}_{-1}KQ_0(\mathbb{C}) \cong \mathbb{Z}$$

corresponding to the (even) rank of the free symplectic A -inner product space [10, p.7]. Thus, by considering the underlying infinite loop spaces of these spectra, we find the homotopy cartesian square:

$$\begin{array}{ccc} BSp(R_F)^+ & \longrightarrow & BU^r \\ \downarrow & & \downarrow \\ BSp(\mathbb{F}_q)^+ & \longrightarrow & BSp^r \end{array}$$

We note that the classifying space BSp of the symplectic group is simply-connected. Moreover, see e.g. [12, Corollary 16.11], $H^*(BU)$ is a polynomial algebra generated by Chern classes c_i , $i \geq 1$, and $H^*(BSp)$ is the subring generated by $p_i = c_{2i}$. Thus $H^*(BU)$ is a free module over the subalgebra $H^*(BSp)$. It follows that the Eilenberg-Moore spectral sequence in cohomology [9, §7,8]

$$Tor_*^{H^*(BSp)^r}(H^*(BSp(\mathbb{F}_q)), H^*(BU^r)) \implies H^*(BSp(R_F))$$

collapses to its zeroth column, and we conclude the following result.

Theorem 3.3. *Let H^* denote cohomology with \mathbb{F}_2 -coefficients. Then there is an isomorphism of Hopf algebras and modules over the mod 2 Steenrod algebra*

$$H^*(BU)^{\otimes r} \otimes_{H^*(BSp)^{\otimes r}} H^*(Sp(\mathbb{F}_q)) \xrightarrow{\cong} H^*(Sp(R_F)).$$

The cohomology of $Sp(\mathbb{F}_q)$ is the tensor product of a polynomial algebra on generators g_i , $i \geq 1$, and an exterior algebra on generators h_j , $j \geq 1$ [6, IV, §6].

Dually, we may compute the *homology* of $BSp(R_F)$ by using the cotensor product \square instead of the usual tensor product over \mathbb{F}_2 . This gives an isomorphism

$$H_*(Sp(R_F)) \xrightarrow{\cong} H_*(BU^r) \square_{H_*(BSp)^r} H_*(Sp(\mathbb{F}_q)).$$

In particular, there is a naturally induced injective map

$$H_*(Sp(R_F)) \hookrightarrow H_*(BU)^r \otimes H_*(Sp(\mathbb{F}_q)).$$

The \mathbb{F}_2 -homology of $\mathrm{Sp}(\mathbb{F}_q)$ is the tensor product of a polynomial algebra on generators σ_i , $i \geq 0$, and an exterior algebra on generators τ_j , $j \geq 1$ [6, IV, §5].

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