

## LECTURE 6

### Frobenius kernels, Kempf's vanishing theorem, distributions algebras and theorem of Curtis (21 and 24/2/2025)

Let  $k$  be a field of characteristic  $p > 0$ . Denote by  $\bar{k}$  an algebraic closure of  $k$ .

#### 10. Frobenius kernels, Frobenius twists

Since we are only interested in split reductive groups, which are defined over the prime field, it suffices to define the Frobenius morphism as follows.

**DEFINITION 10.1** (Frobenius morphism). Let  $A$  be  $k$ -algebra, coming by base change from a  $\mathbb{F}_p$ -algebra  $A_0$ , i.e.  $A = A_0 \otimes_{\mathbb{F}_p} k$ , and let  $X = \text{Spec}(A)$ . Then the Frobenius morphism  $\text{Fr}_X : X \rightarrow X$  is the endomorphism induced by the morphism of  $k$ -algebras  $A \rightarrow A$  defined by  $a_0 \otimes z \mapsto a_0^p \otimes z$ .

If  $A_0$  is finitely generated, hence a quotient of a polynomial ring  $\mathbb{F}_p[X_1, \dots, X_n]$  and if we regard  $X$  as a closed subscheme of affine space  $\mathbb{A}_k^n$ , then  $\text{Fr}_X(R)$  sends an arbitrary  $R$ -point  $(x_1, \dots, x_n)$  of  $X(R)$  to  $(x_1^p, \dots, x_n^p)$ , for any  $k$ -algebra  $R$ .

**DEFINITION 10.2** (Frobenius kernels). With the previous notation, suppose that  $A_0$  is a Hopf algebra with comultiplication  $\Delta_0$  and augmentation  $\varepsilon_0 : A_0 \rightarrow \mathbb{F}_p$ . Then  $G_0 = \text{Spec}(A_0)$  is a group scheme over  $\mathbb{F}_p$  and  $G = \text{Spec}(A)$  is the  $k$ -group scheme obtained by base change. For any  $a_0 \in A_0$  one has  $\Delta_0(a_0^p) = \Delta_0(a_0)^p$  and  $\varepsilon_0(a_0^p) = \varepsilon_0(a_0)^p = \varepsilon_0(a_0)$ , since  $\Delta_0, \varepsilon_0$  are morphisms of algebras and since  $\varepsilon_0$  takes values in  $\mathbb{F}_p$ . Thus,  $\text{Fr}_G$  is a morphism of  $k$ -group schemes.

Its kernel will be denoted by  $G_1$  and called the first Frobenius kernel of  $G$ . The kernel of the composed morphism  $\text{Fr}_G^n = \text{Fr}_G \circ \dots \circ \text{Fr}_G$  ( $n$  times) will be denoted by  $G_n$  and called the  $n$ -th Frobenius kernel of  $G$ .

**EXAMPLES 10.3.** (1) Let  $G = \mathbb{G}_{m,k} = \text{Spec}(k[T, T^{-1}])$ . The Frobenius morphism is given by  $T \mapsto T^p$  hence  $G_1 = \mu_p$  is the spectrum of  $k[T]/(T^p - 1) \simeq k[T]/(T - 1)^p$ . It is non-reduced and has only one point: one says that this is an *infinitesimal group scheme*. For any  $n \in \mathbb{N}^*$ , the  $n$ -th Frobenius kernel is  $G_n = \mu_{p^n}$ .

More generally, for any finitely generated abelian group  $M$ , if  $G = \text{Spec} k[M]$  is the corresponding diagonalisable group, then  $G_n = \text{Spec}(k[M/p^n M])$  is the diagonalisable group corresponding to  $M/p^n M$ .

(2) Let  $G = \mathbb{G}_{a,k} = \text{Spec}(k[X])$ . The Frobenius morphism is given by  $X \mapsto X^p$  hence for any  $n \in \mathbb{N}^*$  the  $n$ -th Frobenius kernel  $G_n$  is the  $k$ -group scheme  $\alpha_{p^n} = \text{Spec}(k[X]/(X^p))$ .

(3) Let  $G = \text{SL}_{2,k}$ . It is  $\text{Spec}(A_0 \otimes k)$  where  $A_0 = \mathbb{F}_p[a, b, c, d]/(ad - bc - 1)$ . By abuse of notation, denote by the same letters  $a, b, c, d$  the entries of a "generic" matrix of  $\text{SL}_2$ . Then the Frobenius morphism is given by

$$\begin{pmatrix} a & c \\ b & d \end{pmatrix} \mapsto \begin{pmatrix} a^p & c^p \\ b^p & d^p \end{pmatrix}$$

hence for any  $n \in \mathbb{N}^*$  the  $n$ -th Frobenius kernel is the closed subgroup of  $\text{SL}_{2,k}$  defined by the equations:  $b^{p^n} = 0 = c^{p^n}$ ,  $a^{p^n} = 1 = d^{p^n}$  (and of course  $ad = 1 + bc$ ). Again, it is non-reduced and infinitesimal (this is a general fact).

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<sup>0</sup>Preliminary version of Feb. 28

One can prove the following proposition:

**PROPOSITION 10.4.** *Suppose our  $k$ -group scheme  $G$  is smooth (i.e.  $G \otimes \bar{k}$  is reduced). Then  $\mathrm{Fr}_G : G \rightarrow G$  is a finite, locally free morphism of rank  $p^{\dim G}$ . In particular, it is faithfully flat, hence so is  $\mathrm{Fr}_G^n$  for every  $n \in \mathbb{N}^*$ , and one has an exact sequence of  $k$ -group schemes:*

$$(10.1) \quad 1 \longrightarrow G_n \longrightarrow G \xrightarrow{\mathrm{Fr}_G^n} G \longrightarrow 1.$$

**EXAMPLES 10.5.** (1) For  $G = \mathbb{G}_{m,k}$ , the Frobenius morphism corresponds to the inclusion  $k[T^p, T^{-p}] \subset k[T, T^{-1}]$  and the larger ring is a free module of rank  $p$  over the smaller ring, with basis  $\{1, T, \dots, T^{p-1}\}$ .

(2) For  $G = \mathbb{G}_{a,k}$ , the Frobenius morphism corresponds to the inclusion  $k[X^p] \subset k[X]$  and the larger ring is a free module of rank  $p$  over the smaller ring, with basis  $\{1, X, \dots, X^{p-1}\}$ .

(3) Let  $G = \mathrm{SL}_{2,k} = \mathrm{Spec}(A)$ , with  $A$  as in Example 10.3 above. The Frobenius morphism corresponds to the inclusion  $B \subset A$ , where  $B$  denotes the  $k$ -subalgebra generated by the elements  $a^p, b^p, c^p, d^p$ . Note that  $G$  is covered by the two principal open subsets given by  $a \neq 0$  and  $b \neq 0$ . Over the principal open set given by  $a \neq 0$ , one has  $A_a \simeq k[a, a^{-1}] \otimes k[b, c]$  and this is free of rank  $p^3$  over the subring  $k[a^p, a^{-p}] \otimes k[b^p, c^p]$ . Similarly, over the open subset  $b \neq 0$  one has  $A_b \simeq k[b, b^{-1}] \otimes k[a, d]$  and this is free of rank  $p^3$  over the subring  $k[b^p, b^{-p}] \otimes k[a^p, d^p]$ . So we see that  $A$  is a locally free  $B$ -module of rank  $p^3$ .

**DEFINITION 10.6** (Frobenius twist of a  $G$ -module). Let  $V$  be a  $G$ -module. For each  $r \in \mathbb{N}^*$ , its Frobenius twist  $V^{[r]}$  is the vector space  $V$  regarded as  $G$ -module through  $\mathrm{Fr}_G^r : G \rightarrow G$ .

**EXAMPLES 10.7.** (1) Let  $G = T$  a  $d$ -dimensional split torus, let  $\lambda \in X(T)$  and let  $V = k_\lambda$  be the corresponding 1-dimensional  $T$ -module. Then  $V^{[r]}$  is the vector space  $k$  on which every  $t \in T(R)$  acts by  $t *_r 1 = t^{p^r} \cdot 1 = \lambda(t^{p^r})1 = (p^r \lambda)(t)1$ , i.e. one has  $V^{[r]} \simeq k_{p^r \lambda}$ .

(2) Let  $V$  be the natural representation of  $\mathrm{SL}_{2,k}$ , with its basis  $\{x, y\}$ . Note that  $V$  is the simple module  $L(1) = H^0(1)$ . Then  $V^{[1]}$  has a basis that we denote by  $\{x^{[1]}, y^{[1]}\}$ , on which a matrix

$$g = \begin{pmatrix} a & c \\ b & d \end{pmatrix}$$

acts by sending  $x^{[1]}$  to  $a^p x^{[1]} + b^p y^{[1]}$  and  $y^{[1]}$  to  $c^p x^{[1]} + d^p y^{[1]}$ . Thus,  $L(1)^{[1]}$  is isomorphic to the  $G$ -submodule of  $H^0(p)$  spanned by  $x^p$  and  $y^p$ , which is exactly the simple submodule  $L(p)$ .

Further, regarding  $L(1) = H^0(1)$  as a subspace of the symmetric algebra  $\bigoplus_{n \in \mathbb{N}} H^0(n) = k[x, y]$ , one sees that the  $p$ -th power map  $\varphi$  induces a  $k$ -semilinear isomorphism from  $L(1)^{[1]}$  to the  $G$ -submodule of  $H^0(p)$  spanned by  $x^p$  and  $y^p$ , which is exactly the simple submodule  $L(p)$ .

One can prove the following proposition:

**PROPOSITION 10.8.** *Suppose that we have an exact sequence of  $k$ -group schemes:*

$$(10.2) \quad 1 \longrightarrow N \longrightarrow G \xrightarrow{\pi} G' \longrightarrow 1.$$

*i.e.  $\pi$  is faithfully flat and  $N = \mathrm{Ker}(\pi)$ . Then:*

- (1) *If  $V$  is a  $G$ -module on which  $N$  acts trivially, the structure of  $G$ -module on  $V$  factors uniquely through a structure of  $G'$ -module, which we denote by  $\pi_*(V)$ .*
- (2) *If  $V'$  is a  $G'$ -module and if one denotes by  $V = \pi^*(V')$  the  $G$ -module obtained by restriction via  $\pi$ , then  $N$  acts trivially on  $V$  and the resulting  $G'$ -module  $\pi_*(V)$  is the original module  $G'$ -module  $V'$ .*

### 11. Properties of the induction functors $\text{Ind}_H^G$

Recall that  $k$  is a field. One can prove the following theorem, see [SGA3<sub>1</sub>], Exp. VI<sub>A</sub>, §3 and Exp. VI<sub>B</sub>, §11 for (i) and [Ja03], Prop. I.5.12 for (ii).

**THEOREM 11.1.** *Let  $G$  be an affine  $k$ -group scheme,  $H$  a closed subgroup of  $G$ . Then:*

- (i) *The quotient  $G/H$  exists in the category of schemes. Further, if  $H$  is a normal subgroup then  $G/H$  is an affine  $k$ -group scheme and  $k[G/H] = k[G]^H$ .*
- (ii) *Every  $H$ -module  $M$  gives rise to an  $H$ -equivariant quasi-coherent sheaf  $\mathcal{L}(M)$  on  $G/H$  and there is a natural isomorphism  $R^i \text{Ind}_H^G(M) \simeq H^i(G/H, \mathcal{L}(M))$  for every  $i > 0$ .*
- (iii) *In particular, if  $H$  is normal in  $G$ , then  $H^i(G/H, \mathcal{L}(M)) = 0$  since  $G/H$  is affine, hence the subgroup  $H$  is exact in  $G$ , i.e.  $R^i \text{Ind}_H^G = 0$  for  $i > 0$ .*

We deduce from this the following corollary:

**COROLLARY 11.2.** *Suppose that we have an exact sequence of  $k$ -group schemes:*

$$1 \longrightarrow N \longrightarrow G \xrightarrow{\pi} G' \longrightarrow 1.$$

*Let  $H'$  be a closed subgroup of  $G'$  and  $H$  be its inverse image in  $G$ ; so that  $H/N \simeq H'$ . Then, for any  $H'$ -module  $M$ , one has natural isomorphisms of  $G$ -modules:*

$$(11.1) \quad \pi_G^*(R^i \text{Ind}_{H'}^{G'}(M)) \simeq R^i \text{Ind}_H^G(\pi_H^*(M))$$

*where  $\pi_G^*$  and  $\pi_H^*$  denote restriction via  $\pi$  for  $G'$ -modules and  $H'$ -modules respectively.*

**PROOF.** Consider the  $H$ -module  $V = \pi_H^*(M) \otimes k[G]$ . Then  $V^N$  is a  $H/N = H'$ -module and  $V^H = (V^N)^{H'}$ . But  $N$  acts trivially on  $\pi_H^*(M)$  hence one has:

$$V^N = \pi_H^*(M) \otimes k[G]^N = \pi_H^*(M) \otimes k[G']$$

and its structure of  $H'$ -module is precisely that of  $M \otimes k[G']$ , whereas its structure of  $G$ -module is that of  $\pi_G^*(M \otimes k[G'])$ . So we obtain that

$$(11.2) \quad \text{Ind}_H^G(\pi_H^*(M)) = V^H = (V^N)^{H'} = \pi_G^*((M \otimes k[G'])^{H'}) = \pi_G^*(\text{Ind}_{H'}^{G'}(M)).$$

This proves the assertion for  $i = 0$ . Now, consider the functor  $F = \pi_G^* \circ \text{Ind}_{H'}^{G'} = \text{Ind}_H^G \circ \pi_H^*$ . Since  $\pi_G^*$  is exact, one has  $R^i F = \pi_G^* \circ R^i \text{Ind}_{H'}^{G'}$  for all  $i \geq 0$ . Further,  $\pi_H^*$  is also exact, so if we prove that it takes injective  $H'$ -modules to  $H$ -modules which are acyclic for  $\text{Ind}_H^G$ , we will obtain that  $R^i F = R^i \text{Ind}_H^G \circ \pi_H^*$  and this will prove (11.1).

We have seen in Lect. 3 that every injective  $H'$ -module is a direct summand of an induced module  $\text{Ind}_e^{H'}(J)$  for some  $k$ -vector space  $J$ . So it suffices to prove that  $\pi_H^*(\text{Ind}_e^{H'}(J))$  is acyclic. By (11.2) applied to the pairs  $H \supset N$  and  $H' \supset e$  instead of  $G \supset H$  and  $G' \supset H'$ , we have:

$$(11.3) \quad \pi_H^*(\text{Ind}_e^{H'}(J)) = \text{Ind}_N^H(\pi_N^*(J)) = \text{Ind}_N^H(J_{\text{triv}})$$

where  $J_{\text{triv}}$  denotes  $J$  regarded as a trivial  $N$ -module.

Now, one has  $\text{Ind}_N^G = \text{Ind}_H^G \circ \text{Ind}_N^H$  and since  $N$  is exact in  $H$  and in  $G$  (since  $H/N$  and  $G/N$  are affine) we obtain that, for  $i > 0$ :

$$0 = R^i \text{Ind}_N^G(J_{\text{triv}}) = R^i \text{Ind}_H^G(\text{Ind}_N^H(J_{\text{triv}})).$$

This proves that  $\pi_H^*(\text{Ind}_e^{H'}(J)) = \text{Ind}_N^H(J_{\text{triv}})$  is acyclic for  $\text{Ind}_H^G$  and this completes the proof of the corollary.  $\square$

## 12. Kempf's vanishing theorem

Let us come back to a split reductive  $k$ -group  $G$ , with maximal torus  $T$  and root datum  $\mathcal{R}(G, T) = (X(T), X_*(T), R, R^\vee)$ . Let  $\Delta$  be a base of the root system and  $B$  the Borel subgroup containing  $T$  and corresponding to the set  $-R^+$  of *negative* roots. Let

$$X(T)^+ = \{\lambda \in X(T) \mid \langle \lambda, \alpha^\vee \rangle \in \mathbb{N} \quad \forall \alpha \in \Delta\}$$

be the set of dominant weights. For every  $B$ -module  $M$  we write  $H^i(G/B, M)$  or simply  $H^i(M)$  instead of  $R^i \text{Ind}_B^G(M)$ . And when  $M = k_\lambda$  for  $\lambda \in X(T)$  regarded as a character of  $B$ , we write simply  $\lambda$  instead of  $k_\lambda$ . We want to prove the following:

**THEOREM 12.1** (Kempf's vanishing theorem). *For  $\lambda \in X(T)^+$  one has  $H^i(G/B, \lambda) = 0$  for all  $i > 0$ .*

The proof uses as a technical tool the dominant "weight"  $\rho = \frac{1}{2} \sum_{\beta \in R^+} \beta$ , which satisfies  $\langle \rho, \alpha^\vee \rangle = 1$  for all  $\alpha \in \Delta$ . Here we put "weight" in quotes because if, for example,  $G = \text{PGL}_2$  then  $\rho$  does not belong to  $X(T)$ . However, this is not a serious problem, as we explain below.

**REMARK 12.2.** The derived subgroup  $G_0 = \mathcal{D}(G)$  of  $G$  is a split semi-simple  $k$ -group of type  $R$  and  $T_0 = T \cap G_0$  is a maximal torus of  $G_0$ . The radical  $R(G)$  of  $G$  is a central torus  $S$  contained in  $T$ , and  $C = S \cap G_0$  is a finite diagonalisable subgroup of  $S$ . We have isomorphisms

$$T \simeq (T_0 \times S)/C \quad \text{and} \quad G \simeq (G_0 \times S)/C.$$

Thus,  $X(T)$  is the subgroup of  $X(T_0) \times X(S)$  consisting of pair of characters  $(\lambda, \chi)$  which coincide on  $C$  and for any such pair, the simple  $G$ -module  $L(\lambda, \chi)$  is  $L(\lambda) \otimes k_\chi$ , where  $L(\lambda)$  is the corresponding simple  $G_0$ -module.

Moreover, by the classification theory in terms of root data, we know that there exists a split, simply connected, semi-simple  $k$ -group  $G_1$  of type  $R$  with split maximal torus  $T_1$  and a surjective (faithfully flat) morphisms of split groups  $(G_1, T_1) \rightarrow (G_0, T_0)$  and hence of  $\tilde{G} = G_1 \times S$  onto  $G$ . Thus, setting  $\tilde{T} = T_1 \times S$ , one sees that  $X(T)$  is a subgroup of  $X(\tilde{T}) = X(T_1) \times X(S)$ , one has  $X(T)^+ \subset X(\tilde{T})^+$  and the representation theory of  $G$  is completely determined by that of  $\tilde{G}$ .

Further, the Borel subgroup  $\tilde{B}$  of  $\tilde{G}$  containing  $\tilde{T}$  and corresponding to the negative roots maps onto  $B$  and one has an isomorphism  $\tilde{G}/\tilde{B} \simeq G/B$ . Thus, for any  $\lambda \in X(T)^+$  one has  $H^i(G/B, \lambda) = H^i(\tilde{G}/\tilde{B}, \lambda)$ . Thus, it suffices to prove Kempf's vanishing theorem when  $G$  is semi-simple and simply-connected; in this case  $\rho$  belongs to  $X(T)$ , which is the weight lattice  $P(R)$ .

Therefore, from now on we shall assume that  $G$  is a split, simply connected semi-simple  $k$ -group with root system  $R$ . We assume further that  $\text{char}(k) = p > 0$ .

**NOTATION 12.3.** As said above, one sets  $\rho = \frac{1}{2} \sum_{\beta \in R^+} \beta$ . It is the unique element of  $P(R)$  such that  $\langle \rho, \alpha^\vee \rangle = 1$  for every  $\alpha \in \Delta$ .

Further, for each  $r \in \mathbb{N}^*$  we consider the  $r$ -th Steinberg weight  $\sigma_r = (p^r - 1)\rho$  and the  $r$ -th Steinberg module  $\text{St}_r = L(\sigma_r)$ .<sup>1</sup>

Denote by  $G_r B$  the inverse image of the  $B$  via the Frobenius morphism  $\text{Fr}^r : G \rightarrow G$ ; it is a closed subgroup of  $G$ . We may regard  $\text{St}_r$  as a  $G_r B$ -module by restriction, and since we have a non-zero  $B$ -module map  $\text{St}_r \rightarrow k_{\sigma_r}$  we get by Frobenius reciprocity a non-zero morphism of  $G_r B$ -modules  $\text{St}_r \rightarrow \text{Ind}_B^{G_r B}(\sigma_r)$ .

One crucial property of  $\text{St}_r$  is the following proposition, whose proof will be given in the next lecture.

<sup>1</sup>Steinberg introduced this module in a different manner in the context of representations of the finite group  $G(\mathbb{F}_{p^r})$  and proved as part of his construction that  $\dim_k \text{St}_r = p^{rN}$  where  $N = |R^+|$ . We will give a different proof below.

PROPOSITION 12.4. *The above map  $\text{St}_r \rightarrow \text{Ind}_B^{G_r B}(\sigma_r)$  is an isomorphism of  $G_r B$ -modules.*

PROOF OF TH. 12.1. Assuming this result, we can now give the Haboush-Andersen proof of Kempf's vanishing theorem. Let  $\lambda \in X(T)^+$ . We saw earlier that the  $r$ -th Frobenius twist of the 1-dimensional  $B$ -module  $k_\lambda$  is  $k_{p^r \lambda}$ . Now, apply (11.1) to  $G' = G$ ,  $H' = B$  and  $\pi = \text{Fr}^r$ . Then  $H = G_r B$  and we obtain an isomorphism of  $G$ -modules:

$$H^i(G/B, \lambda)^{[r]} \simeq R^i \text{Ind}_{G_r B}^G(p^r \lambda).$$

Tensor this with the  $G$ -module  $\text{St}_r$  and applying the generalised tensor identity on the right hand-side, we obtain:

$$(12.1) \quad H^i(G/B, \lambda)^{[r]} \otimes \text{St}_r \simeq R^i \text{Ind}_{G_r B}^G(p^r \lambda) \otimes \text{St}_r \simeq R^i \text{Ind}_{G_r B}^G(p^r \lambda \otimes \text{St}_r).$$

Further,  $\text{St}_r \simeq \text{Ind}_B^{G_r B}(\sigma_r)$ . Thus, applying the tensor identity to the  $G_r B$ -module  $p^r \lambda$  one obtains that

$$p^r \lambda \otimes \text{St}_r \simeq \text{Ind}_B^{G_r B}(p^r \lambda \otimes \sigma_r) = \text{Ind}_B^{G_r B}(p^r(\lambda + \rho) - \rho).$$

Thus, (12.1) gives

$$(12.2) \quad H^i(G/B, \lambda)^{[r]} \otimes \text{St}_r \simeq R^i \text{Ind}_{G_r B}^G(\text{Ind}_B^{G_r B}(p^r(\lambda + \rho) - \rho)).$$

Further, one has an isomorphism of schemes  $G_r B/B \simeq G_r/(B \cap G_r) = G_r/B_r$  and the latter scheme is a finite affine  $k$ -scheme because  $G_r$  is so (see the next lecture).

Therefore,  $R^j \text{Ind}_B^{G_r B} = 0$  for  $j > 0$  and this gives that the right-hand side of (12.2) is  $R^i \text{Ind}_B^G(p^r(\lambda + \rho) - \rho)$ . Thus we obtain:

$$(12.3) \quad H^i(G/B, \lambda)^{[r]} \otimes \text{St}_r \simeq H^i(G/B, p^r(\lambda + \rho) - \rho) = H^i(G/B, \mathcal{L}(\lambda + \rho)^{p^r} \otimes \mathcal{L}(-\rho)).$$

Now, for any  $\lambda \in X(T)^+$  one knows that the line bundle  $\mathcal{L}(\lambda + \rho)$  is ample on the projective variety  $G/B$  and hence the right-hand side is 0 for  $r$  large enough. This implies that  $H^i(G/B, \lambda)^{[r]} = 0$  for some  $r$ , hence  $H^i(G/B, \lambda) = 0$ .  $\square$

REMARK 12.5. The  $k$ -group schemes  $G$  and  $B$  come from base change from flat (and smooth)  $\mathbb{Z}$ -group schemes  $G_{\mathbb{Z}}$  and  $B_{\mathbb{Z}}$ . Denoting the derived functors  $R^i \text{Ind}_{B_{\mathbb{Z}}}^{G_{\mathbb{Z}}}$  by  $H_{\mathbb{Z}}^i$  and similarly if we replace  $\mathbb{Z}$  by an arbitrary field  $K$  one can prove the following universal coefficient theorem: for any field  $K$  and  $\lambda \in X(T)$  (not necessarily dominant) there is a short exact sequence of  $G_K$ -modules (see [Ja03], Prop. I.4.18)

$$(12.4) \quad 0 \longrightarrow H_{\mathbb{Z}}^i(\lambda) \otimes K \longrightarrow H_{\mathbb{K}}^i(\lambda) \longrightarrow \text{Tor}_1^{\mathbb{Z}}(K, H_{\mathbb{Z}}^{i+1}(\lambda)) \longrightarrow 0.$$

Therefore, Kempf's theorem implies that over our base field  $k$ , one has  $H^0(\lambda) \simeq H_{\mathbb{Z}}^0(\lambda) \otimes k$  for  $\lambda \in X(T)^+$ .

On the other hand, since induction commutes with flat base change, one has  $H_{\mathbb{Z}}^0(\lambda) \otimes \mathbb{C} \simeq H_{\mathbb{C}}^0(\lambda)$  and one knows that this is a simple  $G_{\mathbb{C}}$  module with highest weight  $\lambda$ , whose character is given by Weyl's character formula.

To prove the crucial proposition 12.4, we need a new tool, the algebra of distributions at the origin of an affine  $k$ -group scheme, which we introduce in the next section.

### 13. Distribution algebras

Let  $G = \text{Spec}(A)$  be an affine  $k$ -group scheme, where  $A = k[G]$ . Let  $I$  be the augmentation ideal of  $A$ , i.e. the kernel of the augmentation map  $\varepsilon : A \rightarrow k$  (since we assume that  $k$  is a field,  $I$  is a maximal ideal). Denote by  $A^*$  the dual vector space.

DEFINITION 13.1. Let  $\text{Dist}(G) = \{\phi \in A^* \mid \phi(I^{n+1}) = 0 \text{ for some } n \in \mathbb{N}\}$ . It is the increasing union of the finite dimensional subspaces

$$\text{Dist}_n(G) = \{\phi \in A \mid \phi(I^{n+1}) = 0\} \simeq (A/I^{n+1})^*.$$

Note that  $\text{Dist}_0(G)$  is 1-dimensional and spanned by the augmentation map  $\varepsilon$ . For  $\phi, \psi \in \text{Dist}(G)$ , define their product  $\phi\psi$  as the linear form  $m_k \circ (\phi \otimes \psi) \circ \Delta : A \rightarrow k$ , where  $\Delta$  is the comultiplication of  $A$  and  $m_k$  the multiplication  $k \otimes k \rightarrow k$ . Then  $\phi\psi$  belongs to  $\text{Dist}(G)$  because for any  $n \in \mathbb{N}^*$  one has

$$(13.1) \quad \Delta(I^n) \subset \sum_{j=0}^n I^r \otimes I^{n-j}$$

hence if  $\phi$  vanishes on  $I^{r+1}$  and  $\psi$  on  $I^{s+1}$  then  $\phi \otimes \psi$  vanishes on a term  $I^u \otimes I^v$  unless  $u \leq r$  and  $v \leq s$  hence  $\phi\psi$  vanishes on  $I^n$  unless  $n \leq r + s$ . This shows that we have

$$(13.2) \quad \text{Dist}_r(G)\text{Dist}_s(G) \subset \text{Dist}_{r+s}(G).$$

The associativity of the product follows from the axiom of coassociativity  $(\Delta \circ \text{id}_A) \circ \Delta = (\text{id}_A \circ \Delta) \circ \Delta$ . Further, the axiom

$$(13.3) \quad (\varepsilon \otimes \text{id}) \circ \Delta = \text{id}_A = (\text{id} \otimes \varepsilon) \circ \Delta$$

shows that  $\varepsilon$  is the unit for the multiplication. One calls  $\text{Dist}(G)$  the algebra of distributions on  $G$  (with support at the origin).<sup>2</sup>

Set  $\text{Dist}^+(G) = \{\phi \in \text{Dist}(G) \mid \phi(1) = 0\}$ . Then

$$(13.4) \quad \text{Dist}(G) = k\varepsilon \oplus \text{Dist}^+(G).$$

Moreover, since  $\Delta(1) = 1 \otimes 1$  one sees that  $\text{Dist}^+(G)$  is a two-sided ideal of  $\text{Dist}(G)$ .

Further, recalling that

$$A \otimes A = k(1 \otimes 1) \oplus I \otimes k1 \oplus k1 \otimes I \oplus I \otimes I,$$

the axiom (13.3) implies that for any  $a \in I$  one has

$$\Delta(a) \in a \otimes 1 + 1 \otimes a + I \otimes I$$

from which one deduces that, for any  $n \geq 2$  and  $a_1, \dots, a_n \in I$ , one has:

$$(13.5) \quad \Delta(a_1 \cdots a_n) \in \prod_{i=1}^n (a_i \otimes 1 + 1 \otimes a_i) + \sum_{j=1}^n I^j \otimes I^{n+1-j}.$$

Thus, if  $\phi \in \text{Dist}_r(G)$  and  $\psi \in \text{Dist}_s(G)$  with  $r, s \geq 1$  and  $n = r + s$ , then both  $\phi\phi$  and  $\psi\psi$  vanish on the last sum, whereas  $\phi\psi - \psi\phi$  vanish on the product, which is invariant under the swap  $a \otimes b \leftrightarrow b \otimes a$ . Thus  $\phi\psi - \psi\phi$  vanish on  $I^n$  and hence belong to  $\text{Dist}_{r+s-1}$ .

This proves that the filtered algebra  $(\text{Dist}_n(G))_{n \geq 0}$  is *almost commutative*, i.e. the associated graded algebra is commutative.

Further, for  $r = s = 1$ , we obtain that  $\text{Dist}_1(G)$  and its subspace  $\text{Dist}_1^+(G)$  are Lie algebras for the bracket  $[\phi, \psi] = \phi\psi - \psi\phi$ . Note that

$$\text{Dist}_1^+(G) \simeq (I/I^2)^*$$

i.e.  $\text{Dist}_1^+(G)$  identifies with the tangent space to  $G$  at the origin, namely  $\text{Lie}(G)$ .<sup>3</sup> One can show that the structure of Lie algebra on  $\text{Dist}_1^+(G)$  is the same as the structure of Lie algebra on  $\text{Lie}(G)$ , regarded as the Lie algebra of left-invariant derivations of  $A = k[G]$ .

<sup>2</sup>This terminology was introduced by Laurent Schwartz when the Bourbaki group was writing the part of his treatise on Lie algebras.

<sup>3</sup>Since  $\text{Dist}_1(G) = k\varepsilon \oplus \text{Dist}_1^+(G)$  and since  $\varepsilon$  is the unit element of the algebra, hence central, one obtains that as Lie algebra  $\text{Dist}_1(G)$  is the direct sum of  $\text{Lie}(G)$  and the trivial Lie algebra  $k$ .

REMARK 13.2. Suppose that  $\text{char}(k) = p > 0$  and that  $G$  is smooth over  $k$ , of dimension  $d$ . Let  $r \in \mathbb{N}^*$ . The previous definition applies also to the  $r$ -th Frobenius kernel  $G_r$  of  $G$ . In this case, we have seen that  $\text{Fr} : G \rightarrow G$  is a locally free morphism of rank  $p^d$ . It follows that  $\text{Fr}^r$  is locally free of rank  $p^{rd}$ .

(1) Since  $G_r$  is the fiber product:

$$\begin{array}{ccc} G_r & \longrightarrow & \text{Spec}(k) \\ \downarrow & & \downarrow e \\ G & \xrightarrow{\text{Fr}^r} & G \end{array}$$

where  $e$  is the unit section of  $G$ , we see that  $G_r$  is a finite  $k$ -group scheme of rank  $p^{rd}$ , i.e. its coordinate algebra  $A = k[G_r]$  is finite dimensional, of dimension  $p^{rd}$ .

(2) Since  $A$  is finite dimensional,  $H = \text{Dist}(G_r)$  is actually the full linear dual  $A^*$  hence the transpose of multiplication map  $A \otimes A \rightarrow A$  induces a linear map  $\Delta_H : H \rightarrow H \otimes H$  which makes  $H$  into a Hopf algebra. It is called the *dual Hopf algebra* of  $A$ . Taking the dual again, one obtains that  $A$  is the Hopf dual of  $H$ : we say that  $A$  and  $H$  are *dual Hopf algebras*. We will use this property in a later section.

REMARK 13.3. Let  $\text{char}(k)$  be arbitrary again. Denote by  $\mathfrak{g}$  the Lie algebra of  $G$  and by  $U(\mathfrak{g})$  the enveloping algebra of  $\mathfrak{g}$ . The isomorphism of Lie algebras  $\mathfrak{g} \xrightarrow{\sim} \text{Dist}_1^+(G)$  induces a unique morphism of associative algebras:

$$\phi : U(\mathfrak{g}) \rightarrow \text{Dist}(G).$$

Clearly, its image is the subalgebra of  $\text{Dist}(G)$  generated by  $\mathfrak{g}$ . One can prove the following:

- (a) If  $\text{char}(k) = 0$  then  $\phi$  is an isomorphism.
- (b) If  $\text{char}(k) = p$  then  $\text{Im}(\phi)$  is the subalgebra  $\text{Dist}(G_1)$  of  $\text{Dist}(G)$ . Further, for any  $D \in \mathfrak{g}$ , regarded as a left-invariant derivation of  $A = k[G]$ , the composed map  $D^p = D \circ \dots \circ D$  ( $p$  times) is again a left-invariant derivation of  $A$  hence it coincides with some element  $D^{[p]}$  of  $\mathfrak{g}$ . This defines an endomorphism  $x \mapsto x^{[p]}$  of  $\mathfrak{g}$  which is semilinear, i.e.  $(zx)^{[p]} = z^p x^{[p]}$  for every  $z \in k$ . Then one has the following result:  $\phi$  induces an isomorphism

$$U(\mathfrak{g})/J \xrightarrow{\sim} \text{Dist}_1(G)$$

where  $J$  is the two-sided ideal of  $U(\mathfrak{g})$  generated by the elements  $x^p - x^{[p]}$ , for  $x \in \mathfrak{g}$ . (These elements are central in  $U(\mathfrak{g})$  hence the left ideal that they generate is already equal to  $J$ .)

The importance of the algebras  $\text{Dist}(G)$  comes from the following:

PROPOSITION 13.4. *Let  $V$  be a  $G$ -module, i.e. a right  $A$ -comodule  $\mu : V \rightarrow V \otimes A$ . Then  $V$  has a structure of left  $\text{Dist}(G)$ -module,<sup>4</sup> given for any  $\phi \in \text{Dist}(G)$  and  $v \in V$  by  $\phi \cdot v = (\text{id}_V \otimes \phi)(\mu(v))$ .*

*Further, if  $G$  is a finite  $k$ -group scheme, i.e. if  $\dim_k(A) < \infty$ , then any  $\text{Dist}(G)$ -module  $V$  has a natural structure of  $A$ -comodule, i.e. a structure of  $G$ -module.<sup>5</sup>*

PROOF. (1) Recall that  $\varepsilon$  is the unit 1 of  $\text{Dist}(G)$ . Then the axiom  $(\text{id}_V \otimes \varepsilon) \circ \mu = \text{id}_V$  gives that  $1 \cdot v = v$  for all  $v \in V$ .

<sup>4</sup>Conversely, under various hypotheses on  $G$  that will be described later, every finite dimensional  $\text{Dist}(G)$ -module is a  $G$ -module.

<sup>5</sup>One can prove that this is also true if  $G$  is a split semi-simple, simply connected  $k$ -group and  $V$  is finite dimensional, but this requires more work.

Further, let  $\phi, \psi \in \text{Dist}(G)$  and  $v \in V$  and write  $\mu(v) = \sum_i v_i \otimes a_i$  and  $\mu(v_i) = \sum_j v_{ij} \otimes a_{ij}$  for all  $i$ . Then one has

$$\phi \cdot (\psi \cdot v) = \phi \cdot \sum_i \psi(a_i) v_i = \sum_{i,j} \psi(a_i) \phi(a_{ij}) v_{ij} = (\text{id}_V \otimes \phi \otimes \psi) \circ (\mu \otimes \text{id}_A) \circ \mu(v).$$

But  $(\mu \otimes \text{id}_A) \circ \mu = (\text{id}_V \otimes \Delta) \circ \mu$  hence the expression above is  $(\phi\psi) \cdot v$ . This proves the first assertion.

(2) Suppose that  $\dim_k(A) < \infty$ . Then, as remarked above,  $A$  and  $H = \text{Dist}(G)$  are dual Hopf algebras. If  $V$  is a left  $H$ -module, given by a  $k$ -linear map  $\lambda : H \otimes V \rightarrow V$ , we have natural isomorphisms (the second one since  $\dim_k(h) < \infty$ ):

$$\text{Hom}_k(H \otimes V, V) = \text{Hom}_k(V, \text{Hom}_k(H, V)) = \text{Hom}_k(V, V \otimes H^*) = \text{Hom}_k(V, V \otimes A)$$

and one checks without difficulty that the resulting map  $\mu : V \rightarrow V \otimes A$  is a coaction.  $\square$

EXAMPLE 13.5 (The additive group). Let  $G = \mathbb{G}_{a,k}$ . Then  $A = k[X]$  and  $I = (X)$ . For any  $i \in \mathbb{N}$ , let  $\gamma_i$  be the linear form on  $A$  defined by  $\gamma_i(X^n) = \delta_{i,n}$  (Kronecker symbol). Then  $\{\gamma_i \mid i \in \mathbb{N}\}$  is a basis of  $\text{Dist}(\mathbb{G}_{a,k})$  and  $\gamma_0 = \text{vep}$  is the unit element. Let us compute the multiplication table. One has

$$\Delta(X) = X \otimes 1 + 1 \otimes X$$

and hence, for any  $n \geq 1$ ,

$$\Delta(X^n) = \sum_{i=0}^n X^i \otimes X^{n-i}.$$

It follows that for any  $r, s \geq 1$ , one has  $\gamma_r \gamma_s = \binom{r+s}{r} \gamma_{r+s}$ . In particular, for  $s = 1$  one obtains  $\gamma_r \gamma_1 = (r+1) \gamma_{r+1}$  and by induction one obtains that  $r! \gamma_1^r = \gamma_r$ . For this reason, we will denote  $\gamma_1$  by  $\gamma$  and  $\gamma_r$  for  $r \geq 2$  by the symbol  $\gamma^{(r)}$ : if  $\text{char}(k) = 0$  one has  $\gamma^{(r)} = \gamma^r / r!$  and  $\text{Dist}(\mathbb{G}_{a,\mathbb{Q}})$  is isomorphic to the polynomial ring  $\mathbb{Q}[\gamma]$ .<sup>6</sup>

Further, let  $V$  be a  $\mathbb{G}_{a,k}$ -module, given by a coaction  $\mu : V \rightarrow V \otimes A$ . For any  $v \in V$ , one can write uniquely:

$$\mu(v) = \sum_{n \in \mathbb{N}} v_n \otimes X^n$$

with  $v_n = 0$  for all but a finite number of indices  $n$ . Then, for each  $r \in \mathbb{N}$  one has

$$\gamma^{(r)} v = (\text{id}_V \otimes \gamma^{(r)})(\mu(v)) = v_r$$

hence we can write:

$$(13.6) \quad \mu(v) = \sum_{n \in \mathbb{N}} \gamma^{(n)} v \otimes X^n.$$

Thus, if  $U_\alpha$  is a root subgroup in a split semi-simple group  $G$  and if we have chosen an isomorphism  $\exp_\alpha : \mathbb{G}_{a,k} \xrightarrow{\sim} U_\alpha$ ,  $z \mapsto \exp_\alpha(z)$  and if  $V$  is a  $U_\alpha$ -module then for any  $v \in V$  one has

$$\mu(v) = \sum_{n \in \mathbb{N}} \gamma_\alpha^{(n)} v \otimes X^n$$

and hence, for every  $z \in k$ :

$$(13.7) \quad \exp_\alpha(z) v = \sum_{n \in \mathbb{N}} z^n \gamma_\alpha^{(n)} v$$

which is in accordance with the formula  $\exp_\alpha(z) = \sum_{n \in \mathbb{N}} z^n \frac{\gamma_\alpha^n}{n!}$  in characteristic 0.

<sup>6</sup>But note that if  $\text{char}(k) = p > 0$ , the algebra  $\text{Dist}(\mathbb{G}_{a,k})$  is not finitely generated: it is generated by the  $\gamma_{pr}$  for  $r \in \mathbb{N}$ .

EXAMPLE 13.6 (The multiplicative group). Let  $G = \mathbb{G}_{m,k}$ . Then  $A = k[T, T^{-1}]$  and  $I = (T - 1)$ . For any  $n \in \mathbb{N}$ , a  $k$ -basis of the quotient  $A/I^{n+1}$  is given by the images of the  $(T - 1)^j$  for  $j = 0, \dots, n$ . So, for each  $n \in \mathbb{N}$  let  $\delta_n$  be the linear form which vanishes on  $I^{n+1}$  and on  $(T - i)^j$  for  $j < n$  but takes the value 1 on  $(T - 1)^n$ . Then  $\{\gamma_n \mid n \in \mathbb{N}\}$  is a basis of  $\text{Dist}(\mathbb{G}_{m,k})$  and  $\delta_0 = \text{vep}$  is the unit element. Let us compute the multiplication table. Setting  $u = T - 1$

$$\Delta(T) = T \otimes T \quad \text{and hence} \quad \Delta(u) = (u \otimes u) + (u \otimes 1) + (1 \otimes u).$$

Therefore, for any  $n \in \mathbb{N}^*$ , one has

$$\Delta(u^n) = \sum_{\substack{a,b,c \in \mathbb{N} \\ a+b+c=n}} \frac{n!}{a!b!c!} u^{a+b} \otimes u^{a+c}.$$

In the right-hand side, consider only the terms for which  $a + b = 1$ , that is,  $c = n - 1$  and  $a = 1, b = 0$  or  $a = 0, b = 1$ . This gives

$$\Delta(u^n) = nu \otimes u^n + nu \otimes u^{n-1} + \text{other terms}.$$

For arbitrary  $r \in \mathbb{N}^*$  one deduces that

$$\delta_1 \delta_r(u^n) = \begin{cases} r & \text{if } r = n; \\ r + 1 & \text{if } r = n - 1, \text{ i.e. } n = r + 1; \\ 0 & \text{otherwise.} \end{cases}$$

Thus one has  $\delta_1 \delta_r = r \delta_r + (r + 1) \delta_{r+1}$ , hence  $(\delta_1 - r) \delta_r = (r + 1) \delta_{r+1}$ . By induction one obtains that  $r! \delta_r = \delta_1 (\delta_1 - 1) \cdots (\delta_1 - r + 1)$ . Thus, if  $\text{char}(k) = 0$  one obtains that  $\delta_r$  is the ‘‘binomial polynomial’’

$$\binom{\delta_1}{r} = \frac{\delta_1 (\delta_1 - 1) \cdots (\delta_1 - r + 1)}{r!}$$

(and  $\text{Dist}(\mathbb{G}_{m,\mathbb{Q}})$  is isomorphic to the polynomial ring  $\mathbb{Q}[\delta_1]$ ). For this reason, we will denote  $\delta_1$  by  $\delta$  and  $\delta_r$  for  $r \geq 2$  by the symbol  $\binom{\delta}{r}$ .

Observe that, since  $T^n = (T - 1 + 1)^n = \sum_{i=0}^n \binom{n}{i} (T - 1)^i$  for  $n \geq 0$ , we have for all  $r, n \in \mathbb{N}$ :

$$(13.8) \quad \binom{\delta}{r}(T^n) = \begin{cases} \binom{n}{r} & \text{if } n \geq r; \\ 0 & \text{otherwise.} \end{cases}$$

(Note also that the second case is contained in the first, since  $\binom{n}{r} = 0$  when  $0 \leq n < r$ .) Further, setting  $u = T - 1$  we have for every  $n > 0$ , the following equality in the completion  $k[[u]]$  of the local ring of  $A$  at the unit element 1:

$$T^{-1} = \frac{1}{1+u} = \sum_{r \geq 0} (-1)^r u^r$$

and hence, by differentiating, for every  $n \geq 2$

$$T^{-n} = \frac{1}{(1+u)^n} = \frac{1}{(n-1)!} \sum_{j \geq 0} (-1)^j (j+n-1) \cdots (j+1) u^j = \sum_{j \geq 0} (-1)^j \binom{j+n-1}{n-1} u^j.$$

Since  $(-1)^j \binom{j+n-1}{n-1} = (-1)^j \binom{j+n-1}{j} = \binom{-n}{j}$  (also for  $n = 1$ ) we obtain that  $\binom{\delta}{r}(T^{-n}) = \binom{-n}{r}$  for every  $r \geq 0$  and  $n > 0$ .

Therefore, if  $V$  is a  $\mathbb{G}_{m,k}$ -module given by a coaction  $\mu : V \rightarrow V \otimes A$  and if  $v$  is a weight vector of weight  $n \in \mathbb{Z}$ , i.e. if

$$\mu(v) = v \otimes T^n$$

then  $\binom{\delta}{r}v = \binom{n}{r}v$ . If  $\text{char}(k) = 0$ , this is obtained more easily by noting that the generator  $\delta$  of  $\text{Lie}(\mathbb{G}_{m,k})$  acts on  $v$  by  $\delta v = -nv$  and hence

$$\binom{\delta}{r}v = \frac{\delta(\delta-1)\cdots(\delta-r+1)}{r!}v = \frac{-n(-n-1)\cdots(-n-r+1)}{r!}v.$$

REMARK 13.7. Denote temporarily the augmentation ideal  $I$  by  $\mathfrak{m}$ , to emphasize that it is a maximal ideal. The localized ring  $A_{\mathfrak{m}}$  is the local ring  $\mathcal{O}_{G,e}$  at the unit elements and for every  $n \in \mathbb{N}$  we have isomorphisms of vector spaces

$$A/\mathfrak{m}^{n+1} \simeq \mathcal{O}_{G,e}/(\mathfrak{m}\mathcal{O}_{G,e})^{n+1}.$$

Thus we see that as  $k$  vector space,  $\text{Dist}(G)$  depends only on the local ring  $\mathcal{O}_{G,e}$  hence is determined by any affine open neighbourhood of  $e$ . We will apply this in the case where  $G$  is a split semi-simple group over  $k$ .

PROPOSITION 13.8. *Let  $G$  be a split semi-simple, simply connected group over  $k$ , with maximal torus  $T$  and root system  $R$ . Let  $\mathfrak{g}$  and  $\mathfrak{t}$  be the Lie algebras of  $G$  and  $T$ . Fix a base  $\Delta = \{\alpha_1, \dots, \alpha_\ell\}$  of  $R$  and a numbering  $\beta_1, \dots, \beta_N$  of  $R^+$  such that  $\beta_i = \alpha_i$  for  $i = 1, \dots, \ell$ . Then:*

- (1) *Since  $G$  is simply-connected, the elements  $\alpha_i^\vee$  form a  $\mathbb{Z}$ -basis of  $X_*(T)$  and their differentials  $H_i$  form a  $k$ -basis of  $\mathfrak{t} = X_*(T) \otimes k$ . Further, for  $j = 1, \dots, N$  denote by  $H_j$  the differential of  $\beta_j^\vee$ ; it is a combination with coefficients in  $\mathbb{N}$  of the  $H_i$ 's for  $i = 1, \dots, \ell$ .*
- (2) *For  $j = 1, \dots, N$ , choose generators  $X_j$  and  $Y_j$  of  $\mathfrak{g}_{\beta_j}$  and  $\mathfrak{g}_{-\beta_j}$  respectively, such that  $[Y_j, X_j] = H_j$ . Then the elements*

$$(13.9) \quad Y_N^{(a_N)} \cdots Y_1^{(a_1)} \binom{H_1}{b_1} \cdots \binom{H_\ell}{b_\ell} X_1^{(c_1)} \cdots X_N^{(c_N)}$$

*with the  $a_j, c_j, b_i \in \mathbb{N}$ , form a  $k$ -basis of  $\text{Dist}(G)$ . Further, if  $\text{char}(k) = p$ , then these elements with  $0 \leq a_j, c_j, b_i < p^r$  form a  $k$ -basis of  $\text{Dist}(G_r)$ .*

- (3) *If  $V$  is a  $T$ -module and  $v \in V$  a vector of weight  $\mu \in X(T)$ , then for  $i = 1, \dots, \ell$  and  $b \in \mathbb{N}$  one has*

$$(13.10) \quad \binom{H_i}{b}v = \binom{\langle \mu, \alpha_i^\vee \rangle}{b}v.$$

PROOF. (1) For  $T = \mathbb{G}_{m,k}$  one has  $X_*(T) = \mathbb{Z}\omega$  where  $\omega$  is the identity map from  $\mathbb{G}_{m,k}$  to  $T$ . Identifying  $\text{Lie}(\mathbb{G}_{m,k})$  with  $k$  and  $\text{Hom}_k(k, \text{Lie}(T)) = \text{Lie}(T)$ , we obtain that the differential  $d\omega$  is a generator of  $\text{Lie}(T)$ .<sup>7</sup> In the general case, one has  $X_*(T) = \bigoplus_{\alpha \in \Delta} \mathbb{Z}\alpha^\vee$  and accordingly  $T$  is isomorphic to the direct product of the  $\alpha^\vee(\mathbb{G}_{m,k})$ . The first assertion follows. The second assertion (which we wrote only to introduce the  $H_j$ 's) follows from the fact that  $\Delta^\vee$  is a base of the root system  $R^\vee$ .

- (2) The multiplication map

$$U_{-\beta_N} \times \cdots \times U_{-\beta_1} \times T \times U_{\beta_1} \times \cdots \times U_{\beta_N} \rightarrow G$$

is an isomorphism from the left-hand side onto an open neighbourhood  $\Omega$  of the unit element  $e$ . The result then follows from the previous remark and the description of  $\text{Dist}(\mathbb{G}_{a,k})$  and  $\text{Dist}(\mathbb{G}_{m,k})$ .

- (3) This follows from the discussion in Example 13.6 and the fact that  $T \simeq \prod_{i=1}^{\ell} \alpha_i^\vee(\mathbb{G}_{m,k})$ .  $\square$

<sup>7</sup>That is, we use the identification  $\text{Hom}_k(k, \text{Lie}(T)) = \text{Lie}(T)$  to identify  $d\omega$  with its value at the generator  $1_k$  of  $k$ .

REMARK 13.9. It follows from point (2) that we have isomorphisms

$$(13.11) \quad \text{Dist}(G) \simeq \text{Dist}(U) \otimes \text{Dist}(B^+) \quad \text{and} \quad \text{Dist}(G_r) \simeq \text{Dist}(U_r) \otimes \text{Dist}(B_r^+)$$

of  $(\text{Dist}(U), \text{Dist}(B^+)$ -bimodules and  $(\text{Dist}(U_r), \text{Dist}(B_r^+)$ -bimodules, respectively.

Let us introduce another notation and definition. Since we assumed that our split semi-simple group  $G$  is simply-connected, the set of simple coroots  $\{\alpha_1^\vee, \dots, \alpha_\ell^\vee\}$  form a  $\mathbb{Z}$ -basis of the cocharacter group  $X_*(T)$ .

NOTATION 13.10. We denote by  $(\omega_1, \dots, \omega_\ell)$  the dual basis of the character group  $X(T)$ , i.e. one has  $\langle \omega_i, \alpha_j^\vee \rangle = \delta_{ij}$  (Kronecker symbol). The  $\omega_i$  are called the *fundamental weights*.

DEFINITION 13.11. Suppose that  $\text{char}(k) = p > 0$ . For any  $r \in \mathbb{N}^*$ , the set of  $r$ -restricted dominant weights is:

$$X_r(T) = \{\lambda \in X(T)^+ \mid 0 \leq \langle \lambda, \alpha^\vee \rangle < p^r, \quad \forall \alpha \in \Delta\}.$$

Thus,  $X_r(T) = \{\sum_{i=1}^\ell a_i \omega_i \mid a_i \in \mathbb{N}, \quad a_i < p^r\}$ .

Now, one has the following useful lemma, see e.g. [Hu72], Lemma 26.2.

LEMMA 13.12. For  $j = 1, \dots, N$ , one has for every  $a, b \in \mathbb{N}$  the commutation formula:

$$X_j^{(a)} Y_j^{(b)} = \sum_{i=0}^{\min(a,b)} Y_j^{(b-i)} \binom{H_j - a - b + 2i}{i} X_j^{(a-i)}.$$

Before stating and proving a more general result, let us mention the following easy proposition.

PROPOSITION 13.13. Assume that  $\text{char}(k) = p > 0$  and that  $G = \text{SL}_2$ . Then for  $n = 0, \dots, p-1$ , the induced module  $H^0(n)$  is simple as a  $G_1$ -module, a fortiori as a  $G$ -module. In particular, one has  $H^0(n) = L(n)$  for  $n = 0, \dots, p-1$ .

PROOF. Denote by  $Y^{(a)}$ ,  $X^{(c)}$  and  $\binom{H}{b}$  the standard generators of  $\text{Dist}(U)$ ,  $\text{Dist}(U^+)$  and  $\text{Dist}(T)$ , as given in Prop. 13.8. On the other hand, let  $\{x, y\}$  be the standard basis of  $V = k^2$ . We have seen that  $H^0(n) = S^n(V)$  is the space of homogeneous polynomials in  $x, y$  of degree  $n$ . For any  $k$ -algebra  $R$  and  $r \in R$ , one has

$$\begin{pmatrix} 1 & 0 \\ r & 1 \end{pmatrix} x^n = (x + zy)^n = \sum_{i=0}^n \binom{n}{i} r^i x^{n-i} y^i.$$

If we regard  $H^0(n)$  as a  $U$ -module and identify  $k[U]$  to a polynomial ring in one variable  $k[w]$ , it follows that the corresponding coaction  $\nu : H^0(n) \rightarrow H^0(n) \otimes k[Z]$  satisfies

$$\nu(x^n) = \sum_{i=0}^n \binom{n}{i} x^{n-i} y^i \otimes w^i$$

and hence the elements  $Y^{(r)}$  of  $\text{Dist}(U)$  act on  $x^n$  by:

$$(13.12) \quad Y^{(r)} x^n = \begin{cases} \binom{n}{r} x^{n-r} y^r & \text{for } r = 0, \dots, n; \\ 0 & \text{if } r > n. \end{cases}$$

This is true for any  $n \in \mathbb{N}$ . Further, under the assumption that  $n < p$  all coefficients  $\binom{n}{r}$  for  $r = 0, \dots, n$  are non-zero in  $k$ . Therefore for  $n < p$  we obtain, firstly, that  $H^0(n)$  is generated as  $\text{Dist}(U_1)$ -module, a fortiori as  $\text{Dist}(G_1)$ -module, by the vector  $x^n$ .

Now, let  $w \neq 0$  be arbitrary in  $H^0(n)$ . By (13.12) we can write uniquely

$$w = \sum_{i=0}^d z_i Y^{(i)} x^n$$

for some  $d \in \{0, \dots, n\}$ , the  $z_i$  in  $k$  and  $z_d \neq 0$ . Now observe that  $X^{(j)}x^n = 0$  for all  $j > 0$  because, if non-zero,  $X^{(j)}x^n$  would have weight  $n+2j$ . This, combined with the previous lemma and Example 13.6, gives:

$$X^{(d)}w = z_d \binom{H}{d} x^n = z_d \binom{n}{d} x^n$$

As  $z_d \neq 0$  by assumption and  $\binom{n}{d} \neq 0$  since  $0 \leq d \leq n < p$ , this shows that the  $\text{Dist}(G_1)$ -submodule generated by  $w$  contains  $x^n$ , hence equals  $H^0(n)$  by our first observation above. This proves that  $H^0(n)$  is simple as a  $\text{Dist}(G_1)$ -module, i.e. as a  $G_1$ -module, hence a fortiori as a  $G$ -module.  $\square$

#### 14. Curtis-Humphreys' theorem

We want to prove the following theorem, due to Curtis [Cu60] in the case  $r = 1$  and generalised to  $r \geq 1$  by Humphreys [Hu77].

**THEOREM 14.1.** *Let  $G$  be a split semisimple, simply connected group over  $k$ , with  $\text{char}(k) = p > 0$ . Let  $r \in \mathbb{N}^*$  and  $\lambda \in X_r(T)$ . Then, regarded as  $G_r$ -module,  $L(\lambda)$  is simple.*

**PROOF.** The proof will be in several steps. Recall that  $\bar{k}$  denotes an algebraic closure of  $k$ . Firstly, one has the following lemma:

**LEMMA 14.2.** *Let  $H$  be an algebraic group over  $k$  such that  $H \otimes \bar{k}$  is reduced. Let  $M$  be a  $H$ -module and  $N$  a subspace of  $M$  such that  $G(\bar{k})(N \otimes \bar{k}) \subset N \otimes \bar{k}$ . Then  $N$  is a sub- $H$ -module of  $M$ .*

**PROOF.** For simplicity of notation, suppose that  $\dim_k(M) < \infty$ . Let  $(v_1, \dots, v_r)$  be a  $k$ -basis of  $N$ , extend it to a  $k$ -basis  $(v_1, \dots, v_m)$  of  $M$ . Let  $A = k[H]$  and  $\mu : M \rightarrow M \otimes A$  the coaction. For  $j = 1, \dots, r$ , one can write uniquely

$$(14.1) \quad \mu(v_j) = \sum_{i=1}^m v_i \otimes a_{ij}$$

with  $a_{ij} \in A$ . Then, for a fixed  $j$  and for any  $g \in G(\bar{k})$  one has in  $M \otimes \bar{k}$  the equality:

$$g \cdot (v_j \otimes 1) = \sum_{i=1}^m v_i \otimes g(a_{ij}).$$

By hypothesis, this is an element of  $N \otimes \bar{k}$  and it follows that for each  $i > r$  one has  $g(a_{ij}) = 0$  for all  $g \in G(\bar{k})$ . But  $A \otimes \bar{k}$  is a finitely generated  $\bar{k}$ -algebra, hence a Jacobson algebra, i.e. the intersection of all its maximal ideals  $\text{Ker}(g)$ , for  $g \in G(\bar{k})$ , is the nilradical of  $A$ , which is 0 by assumption. It follows that  $a_{ij} = 0$  for all  $j = 1, \dots, r$  and  $i > r$ , and hence (14.1) shows that  $N$  is a subcomodule of  $M$ .  $\square$

**PROPOSITION 14.3.** *Let  $r \in \mathbb{N}^*$  and  $\lambda \in X_r(T)$ . Let  $kv_\lambda$  be the unique line of weight  $\lambda$  in  $L(\lambda)$ . Then  $L(\lambda)$  is generated by  $v_\lambda$  as a  $G_r$ -module, and even as a  $U_r$ -module.*

**PROOF.** Let us prove the first assertion. Let  $N = \text{Dist}(G_r)v_\lambda$  be the  $G_r$ -submodule of  $L(\lambda)$  generated by  $v_\lambda$ . Let us prove that  $N$  is a  $G$ -submodule. By the previous lemma, it suffices to prove that  $N \otimes \bar{k}$  is stable by  $G(\bar{k})$ . So, to abbreviate notation, we may assume in the sequel of

the proof that  $k = \bar{k}$ . Since  $G_r$  is a normal subgroup,  $\text{Dist}(G_r)$  is stable by the adjoint action of  $G(k)$  hence for any  $g \in G(k)$  we have:

$$(14.2) \quad gN = \text{Dist}(G_r)gv_\lambda$$

so it suffices to prove that  $gv_\lambda \in N$  for every  $g \in G(k)$ . One knows that  $G(k)$  is generated by  $B^+(k)$  and the elements  $n_\alpha$  of  $N_G(T)(k)$ , for  $\alpha \in \Delta$ . For  $b \in B^+(k)$  the results is clear since  $bv_\lambda = \lambda(b)v_\lambda$ .

So let  $\alpha \in \Delta$ . Then  $n_\alpha$  induces a linear isomorphism between the weight spaces  $L(\lambda)_\lambda$  and  $L(\lambda)_{s_\alpha(\lambda)}$ , both 1-dimensional. Further, setting  $d = \langle \lambda, \alpha^\vee \rangle$ , one has

$$s_\alpha(\lambda) = \lambda - d\alpha$$

and  $0 \leq d < p^r$  since  $\lambda \in X_r(T)$ . Hence the element  $Y_\alpha^{(d)}$  belongs to  $\text{Dist}(G_r)$ , as well as  $X_\alpha^{(d)}$ . Further,  $Y_\alpha^{(d)}v_\lambda$  is of weight  $\lambda - d\alpha$ , and it is non-zero because by Lemma 13.12 combined with point (3) of Prop. prop-nota-sssc one has

$$X_\alpha^{(d)}Y_\alpha^{(d)}v_\lambda = \binom{H_\alpha}{d}v_\lambda = \binom{d}{d}v_\lambda = v_\lambda.$$

Therefore,  $n_\alpha v_\lambda$  is a non-zero multiple of  $Y_\alpha^{(d)}v_\lambda$  and hence belongs to  $N = \text{Dist}(G_r)$ .

This completes the proof that  $N = \text{Dist}(G_r)$  is stable by  $G(\bar{k})$  and is hence a  $G$ -submodule of  $L(\lambda)$ . Since the latter is a simple  $G$ -module, it follows that  $L(\lambda) = \text{Dist}(G_r)$ . This proves the first assertion.

Finally, since  $\text{Dist}(G_r) = \text{Dist}(U_r) \otimes \text{Dist}(B_r^+)$  and  $\text{Dist}(B_r^+)v_\lambda = kv_\lambda$ , we obtain that  $\text{Dist}(G_r)v_\lambda = \text{Dist}(U_r)v_\lambda$ .  $\square$

By a duality argument, detailed in the next section, one obtains the following dual proposition:

**PROPOSITION 14.4.** *Let  $r \in \mathbb{N}^*$  and  $\lambda \in X_r(T)$ . Then  $L(\lambda)^{U_r^+}$  is the line  $kv_\lambda$ , whereas  $L(\lambda)^{U_r}$  is the line  $L(\lambda)_{w_0\lambda}$ .*

Assuming this, we can finish the proof of Th. 14.1. Let  $V$  be a non-zero  $G_r$  submodule of  $L(\lambda)$ . Since  $U_r^+$  is a unipotent group, one has  $V^{U_r^+} \neq 0$  hence  $V$  contains the line  $kv_\lambda$ . Since  $\text{Dist}(U_r)v_\lambda = L(\lambda)$ , one obtains  $V = L(\lambda)$ .  $\square$

Consider now the Steinberg module  $\text{St}_r = L(\sigma_r)$ , where  $\sigma_r = (p^r - 1)\rho$ . Note that  $\sigma_r$  belongs to  $X_r(T)$  hence  $\text{St}_r$  is simple as  $G_r$  module. Further, its lowest weight is  $w_0\sigma_r = -\sigma_r$  and hence one the difference  $\sigma_r - w_0\sigma_r = 2\sigma_r$  equals  $(p^r - 1) \sum_{\beta \in R^+} \beta$ .

Observe that since  $B_r$  is a normal subgroup of  $B$ , it is normalised by  $T$ , and this induces an action by conjugation of  $T$  on  $\text{Dist}(U_r)$  One has the following proposition:

**PROPOSITION 14.5.** *Let  $r \in \mathbb{N}^*$ . Since*

- (1)  *$\text{Dist}(U_r)$  has a unique minimal non-zero left ideal  $S$ , which is the line spanned by the monomial  $Y_N^{(p-1)} \cdots Y_1^{(p-1)}$  (it is also a right ideal). Further,  $T$  acts on this line by the weight  $-2\sigma_r$ , and all other weights are  $> -2\sigma_r$ .*
- (2) *For any  $\lambda \in X_r(T)$ , the surjective map  $\phi : \text{Dist}(U_r) \rightarrow L(\lambda)$ ,  $x \mapsto xv_\lambda$  is  $T$ -equivariant.*
- (3) *For  $\lambda = \sigma_r$ , this surjective map is injective, hence an isomorphism of  $\text{Dist}(U_r)$ -modules. In particular, one has  $\dim_k \text{St}_r = p^{rN}$ .*

**PROOF.** (1) For the first part, we refer to [Ja03], §§I.8.6-7 or Prop. II.3.8 a). The second part follows easily from the fact that the  $T$ -weight of a monomial  $Y_N^{(a_N)} \cdots Y_1^{(a_1)}$  is  $-\sum_{i=1}^n a_i \beta_i$ .

(2) is clear. Let us prove (3). Since  $\phi$  is surjective (by the proof of Prop. 14.3), there exist a non-zero element  $x \in \text{Dist}(U)$  such that  $xv_{\sigma_r}$  is the lowest weight vector  $v_{-\sigma_r}$ . Since  $\phi$  is

$T$ -equivariant we may assume that  $x$  has weight  $-\sigma_r$ , and hence  $x$  is a generator of the line  $S$ . Since this is the unique minimal non-zero left ideal of  $\text{Dist}(U_r)$ , it follows that  $\phi$  is injective. It is therefore bijective. In particular, one has  $\dim_k \text{St}_r = \dim_k \text{Dist}(U_r) = p^{rN}$ .  $\square$

We can now finish the proof of Kempf's vanishing theorem 12.1 by proving Prop. 12.4, which we repeat below

**PROPOSITION 14.6.** *The non-zero morphism of  $G_r B$ -modules  $\phi_r : \text{St}_r \rightarrow \text{Ind}_B^{G_r B}(\sigma_r)$  is an isomorphism.*

**PROOF.** One has  $B \cap G_r = B_r$  and hence the inclusion  $B \hookrightarrow G_r B$  induces an isomorphism of schemes  $G_r B/B \simeq G_r/B_r$ . By duality, the isomorphism

$$\text{Dist}(G_r) \simeq \text{Dist}(U_r^+) \otimes \text{Dist}(B_r)$$

implies that one has an isomorphism  $k[G_r] \simeq k[U_r^+] \otimes k[B_r]$  of  $(U_r^+, B_r)$ -modules and it follows that for any  $B_r$ -module  $M$  the induced module

$$\text{Ind}_B^{G_r B}(M) = (k[G_r] \otimes M)^{B_r}$$

is isomorphic to  $k[U_r^+] \otimes M$  as  $k$ -vector space. In particular, when  $M = k_\lambda$  for some  $\lambda \in X(T)$  one obtains that  $\text{Ind}_B^{G_r B}(\lambda)$  has dimension  $p^{rN}$ .

Now,  $\phi_r$  is non-zero hence injective since  $\text{St}_r$  is a simple  $G_r$ -module. Further, both modules have dimension  $p^{rN}$ . It follows that  $\phi_r$  is an isomorphism.  $\square$

## 15. Invariants and coinvariants for finite group schemes

To be written soon. Next lecture will be: Steinberg's Tensor Product Theorem and a brief survey of alcove geometry.