

## Solutions to Homework 6()

Due October 29th

### Problem 1 :

1. By the  $(\forall_3)$  axiom,  $\vdash \forall x \neg\varphi \rightarrow \neg\varphi$  holds. Moreover  $(A \rightarrow \neg B) \rightarrow (B \rightarrow \neg A)$  is a tautology, so, replacing  $A$  by  $\forall x \neg\varphi$  and  $B$  by  $\varphi$ , applying the tautology rule and the Modus Ponens rule, we obtain that  $\vdash \varphi \rightarrow \neg\forall x \neg\varphi$  holds.

But  $\vdash \exists x \varphi \leftrightarrow \neg\forall x \neg\varphi$  holds by the  $(\text{Def}_\exists)$  rule, and by the tautology  $(A \leftrightarrow C) \rightarrow ((B \rightarrow C) \rightarrow (B \rightarrow A))$  applied to  $A = \exists x \varphi$ ,  $B = \varphi$  and  $C = \neg\forall x \neg\varphi$ , and two applications of Modus Ponens, we get that  $\vdash \varphi \rightarrow \exists x \varphi$  holds.

I am sorry I am not writing the deductions trees anymore, but they are too large (in particular because the tautology rule )...

2. Instead of providing a derivation, let us use completeness. We have to show that  $\models (\forall x(\varphi \rightarrow \psi)) \rightarrow ((\exists x\varphi) \rightarrow \psi)$ . Let  $\mathcal{M}$  be some  $\mathcal{L}$ -structure and  $\delta \in M^V$ . We want to show that  $(\forall x(\varphi \rightarrow \psi)) \rightarrow ((\exists x\varphi) \rightarrow \psi)_\delta^{\mathcal{M}} = 1$ . Let us assume that  $(\forall x(\varphi \rightarrow \psi))_\delta^{\mathcal{M}} = 1$  and  $(\exists x\varphi)_\delta^{\mathcal{M}} = 1$ , we have to show that  $\psi_\delta^{\mathcal{M}} = 1$ . By the semantic of  $\exists$ , we can find  $\delta'$  such that  $\delta'(y) = \delta(y)$  whenever  $y \neq x$  such that  $\varphi_{\delta'}^{\mathcal{M}} = 1$ . Moreover, by the semantic of  $\forall$ , we have  $(\varphi \rightarrow \psi)_{\delta'}^{\mathcal{M}} = 1$  and hence  $\psi_{\delta'}^{\mathcal{M}} = 1$ . But  $x$  is not free in  $\psi$  and hence  $\psi_\delta^{\mathcal{M}} = \psi_{\delta'}^{\mathcal{M}} = 1$ .

We can also provide a derivation, but some very tedious book keeping is involved. Let me sketch such a proof (in particular, I will not detail all the tautologies involved, especially when then concern transitivity of  $\rightarrow$ , or contraposition). First let us prove that  $\vdash (\forall x(\varphi \rightarrow \psi)) \rightarrow (\forall x(\neg\psi \rightarrow \varphi))$  holds. By  $(\text{Taut})$ ,  $\vdash (\varphi \rightarrow \psi) \rightarrow (\neg\psi \rightarrow \neg\varphi)$  holds. By  $(\forall_3)$ ,  $\vdash (\forall x \varphi \rightarrow \psi) \rightarrow \varphi \rightarrow \psi$  holds and hence by tautology and Modus Ponens,  $\vdash (\forall x(\varphi \rightarrow \psi)) \rightarrow (\neg\psi \rightarrow \neg\varphi)$  holds. By  $(\text{Gen})$ ,  $\vdash \forall x((\forall x(\varphi \rightarrow \psi)) \rightarrow (\neg\psi \rightarrow \neg\varphi))$  holds and by  $(\forall_1)$  and Modus Ponens,  $\vdash (\forall x(\varphi \rightarrow \psi)) \rightarrow (\forall x \neg\psi \rightarrow \neg\varphi)$  holds.

By  $(\forall_1)$ ,  $\vdash (\forall x \neg\psi \rightarrow \neg\varphi) \rightarrow (\neg\psi \rightarrow (\forall x \neg\varphi))$  holds and by tautology and Modus Ponens (and the paragraph above),  $\vdash (\forall x(\varphi \rightarrow \psi)) \rightarrow (\neg\psi \rightarrow (\forall x \neg\varphi))$  holds. By tautology and Modus Ponens  $\vdash (\forall x(\varphi \rightarrow \psi)) \rightarrow ((\neg\forall x \neg\varphi) \rightarrow \psi)$  holds. Finally, using  $(\text{Def}_\exists)$ ,  $(\text{Taut})$  and Modus Ponens, one gets that  $\vdash (\forall x(\varphi \rightarrow \psi)) \rightarrow ((\exists x\varphi) \rightarrow \psi)$  holds.

3. We have to show that  $\vdash' (\exists x\varphi) \rightarrow (\neg\forall x \neg\varphi)$  and  $\vdash' (\neg\forall x \neg\varphi) \rightarrow (\exists x\varphi)$  both hold (and then we can conclude by some straightforward use of tautologies and Modus Ponens). Let us first prove that  $\vdash' (\exists x\varphi) \rightarrow (\neg\forall x \neg\varphi)$  holds. By  $(\forall_3)$ ,  $\vdash' \forall x \neg\varphi \rightarrow \neg\varphi$  holds. By tautologies (contraposition) and Modus ponens,  $\vdash' \varphi \rightarrow \neg\forall x \neg\varphi$  holds. By  $(\text{Gen})$ ,  $\vdash' \forall x(\varphi \rightarrow \neg\forall x \neg\varphi)$  holds, and by  $\exists_2$  and Modus Ponens,  $\vdash' (\exists x\varphi) \rightarrow (\neg\forall x \neg\varphi)$  holds.

Now let us prove that  $\vdash' (\neg\forall x \neg\varphi) \rightarrow (\exists x\varphi)$  holds. By  $\exists_1$ ,  $\vdash' \varphi \rightarrow \exists x\varphi$  holds. By tautology and Modus Ponens,  $\vdash' (\neg\exists x\varphi) \rightarrow \neg\varphi$  holds. By  $(\text{Gen})$ ,  $\vdash' \forall x, (\neg\exists x\varphi) \rightarrow \neg\varphi$  holds and by  $\forall_1$  and Modus Ponens,  $\vdash' (\neg\exists x\varphi) \rightarrow (\forall x \neg\varphi)$  holds. Finally, by tautology and Modus Ponens,  $\vdash' (\neg\forall x \neg\varphi) \rightarrow (\exists x\varphi)$  also holds.

4. In question 1, we have proved that the two new rules ( $\exists_1$ ) and ( $\exists_2$ ) can be derived using the usual rules. It follows that the set  $T$  of  $(\Gamma, \varphi)$  such that  $\Gamma \vdash \varphi$  is closed under these two new rules (and is, by definition closed under the old rules), so, by minimality  $T' \subseteq T$ . Using question 2, we get that  $T'$  is closed under the ( $\text{Def}_{\exists}$ ) rule and hence, by minimality of  $T$ , we get that  $T \subseteq T'$ , i.e.  $T = T'$  and  $\Gamma \vdash \varphi$  if and only if  $\Gamma \vdash' \varphi$ .

**Problem 2 :**

1. Let  $\varphi_n = \exists x_1 \dots \exists x_n \bigwedge_{i \neq j} \neg x_i = x_j$ . Then  $\mathcal{M} \models \varphi_n$  if and only if  $|\mathcal{M}| \geq n$ . Let  $T_{\infty} = \{\varphi_n : n \in \mathbb{N}\}$  and  $T' = T \cup T_{\infty}$ . Then the models of  $T'$  are indeed exactly the infinite models of  $T'$ .
2. By the compactness theorem, it suffices to show that any finite subset of  $T'$  is consistent. But any finite subset of  $T'$  is included in  $T \cup \{\varphi_n : n \leq m\}$  for some  $m$ . By hypothesis, there exists  $\mathcal{M} \models T$  such that  $|\mathcal{M}| \geq m$ . Then  $\mathcal{M} \models T \cup \{\varphi_n : n \leq m\}$  and that theory is indeed satisfiable.
3. By the compactness theorem  $T' \models \varphi$  if and only if  $T \cup \{\varphi_n : n \leq m\} \models \varphi$  for some  $m$ . But models of  $T \cup \{\varphi_n : n \leq m\}$  are exactly the models of  $T$  of cardinality at least  $m$ . That concludes the proof.