

# Cellularity and beyond

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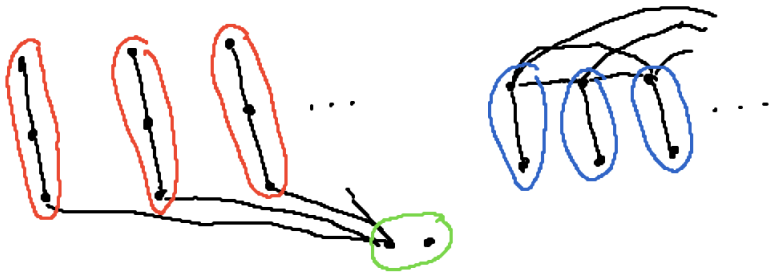
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# OVERVIEW

- 1 Cellularity
- 2 Mutual Algebraicity
- 3 Siblings: A case study
- 4 Monadic stability
- 5 Monadic NIP
- 6 Questions

## PICTURES



# CELLULARITY

## Definition (Schmerl, 1990 [13])

$M$  is *cellular* if whenever we choose some subset the components of one type, and fix everything else pointwise,  $\text{Aut}(M)$  induces still the full symmetric group on the chosen components.

## Definition

$T$  is stable if it does not encode an infinite linear order.

## Theorem

If  $M$  is cellular, then it is  $\omega$ -categorical and  $\omega$ -stable.

- Key intuition:  $M$  is cellular if and only if it encodes neither a linear order nor an infinite equivalence relation.

## A COLLECTION OF THEOREMS

- (Macpherson-Pouzet-Woodrow, 1992 [12]) Given an age  $\mathcal{A}$ , let  $Mod(\mathcal{A})$  be the countable structures of age  $\mathcal{A}$ . Then  $|Mod(\mathcal{A})| \in \{1, \aleph_0, 2^{\aleph_0}\}$ , and is  $\leq \aleph_0 \iff M$  is cellular.
- (Laskowski-Mayer, 1996 [9]) Let  $M$  be (atomically) stable and countable. If  $Sub(M)$  is the set of substructures, up to isomorphism, then  $|Sub(M)| < 2^{\aleph_0} \iff |Sub(M)| \leq \aleph_0 \iff M$  is cellular.
- (Falque-Thiéry, 2020 [6]) If  $M$  is homogeneous and the unlabeled growth rate of  $M$  is at most a polynomial, then  $M$  is (essentially) cellular.
- Cellularity similarly corresponds to an initial interval for the labeled growth rate (Bodirsky-Bodor, 2018 [2]), even for arbitrary hereditary classes (Laskowski-Terry, 2018 [10]).
- (B.-Laskowski, 2019 [4]) Counting structures bi-embeddable with a given countable structure. (To be elaborated on.)

# UNARY EXPANSIONS

- Given a property  $P$ , a structure/theory is *monadically*  $P$  if any expansion by (finitely many) unary relations still has  $P$ .
- Cellular structure are monadically cellular.

Theorem (B.-Laskowski [5])

$M$  is monadically  $\omega$ -categorical  $\iff M$  is cellular.

# MA-PRESENTATIONS

## Definition

Given a set  $A$ , a relation  $R \subset A^k$  is *mutually algebraic* if there is some  $N$  such that for any proper 2-partition of  $k$ , we have  $\forall \bar{y} \exists \leq^N \bar{x}$  such that  $R(\bar{x}, \bar{y})$ .

## Example

The edge relation in a bounded-degree graph is mutually algebraic. So is any unary relation.

## Definition

$M$  is *MA-presented* if every atomic relation is mutually algebraic.

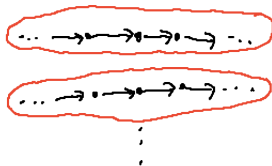
# DECOMPOSING MA-PRESENTED STRUCTURES

## Theorem (B.-Laskowski [5])

*An MA-presented structure admits a decomposition like cellular structures, but without the finiteness conditions.*

## Example

Consider a model of  $(\mathbb{Z}, \text{succ})$ .



- Components are connected components, which agree with algebraic closure.



# MUTUAL ALGEBRAICITY

## Definition

A theory is *mutually algebraic* if, after expanding by constants, every model is q.f.-interdefinable with an MA-presented structure.

## Example

Consider the theory of an equivalence relation with  $n$  infinite classes. After naming a point in each class, this is quantifier-free interdefinable with  $n$  unary relations.

## Theorem (B.-Laskowski [5])

*Given a mutually algebraic  $M$ , the cellular-like decomposition of any MA-presentation of  $M$  induces a corresponding decomposition of  $M$ . The decomposition of  $M$  is largely independent of the choice of MA-presentation.*

# MUTUAL ALGEBRAICITY AND CELLULARITY

## Theorem (B.-Laskowski [5])

*$M$  is cellular  $\iff M$  is mutually algebraic and  $\omega$ -categorical.*

- Recall the components correspond to the algebraic closures of their elements, and  $\omega$ -categoricity forces these to be finite.

## Theorem (B.-Laskowski [5])

*If  $M$  is mutually algebraic but not cellular, then some elementary extension contains infinitely many new pairwise-isomorphic infinite components.*

- So if  $M$  is mutually algebraic but not cellular, an elementary extension encodes an infinite equivalence relation.

# SUPPORTING ARRAYS

## Definition

Given a structure  $M$ , a quantifier-free type  $p$  over  $M$  *supports an infinite array* if there is some  $N \succ M$  with infinitely many disjoint realizations of  $p$ .

## Lemma

$p(\bar{x})$  supports an infinite array  $\iff p \vdash x_i \neq m$  for every  $x_i \in \bar{x}, m \in M$ .

## Theorem (Laskowski-Terry [11])

$M$  is not mutually algebraic  $\iff$  there is some  $N \succ M$  and some  $k \in \omega$  such that infinitely many  $k$ -types over  $N$  support infinite arrays.

- Arrays over  $(\mathbb{Q}, <)$  and an infinite equivalence relation.

# UNARY EXPANSIONS

## Theorem (Laskowski [8])

- *Mutually algebraicity is preserved under expansions by unary (in fact mutually algebraic) relations.*
- *$T$  is mutually algebraic  $\iff T$  is monadically NFCP.*

# SIBLINGS

## Definition

Two structures are *siblings* if they are bi-embeddable.

Given a structure  $M$ ,  $Sib(M)$  counts the number of siblings, up to isomorphism (including  $M$  itself).

## Conjecture (Thomassé)

Given a countable relational structure  $M$ ,  $Sib(M) \in \{1, \aleph_0, 2^{\aleph_0}\}$ .

- Note  $(\mathbb{N}, +, \times, 0, 1)$  has only one sibling, so it doesn't seem like  $Sib(M)$  measures model-theoretic complexity

# SIBLINGS AND CELLULARITY

## Theorem (B.-Laskowski [4])

*Given a countable structure  $M$  in a finite relational language, either*

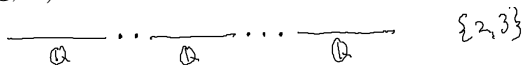
- 1  $M$  is cellular and has either 1 or  $\aleph_0$  siblings.*
- 2  $M$  is not cellular, and there is some age-preserving  $N \supset M$  such that  $N$  has  $2^{\aleph_0}$  siblings.*

## Corollary

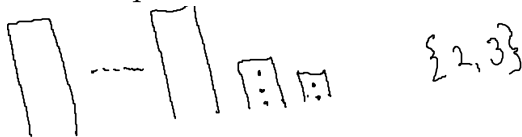
- Thomassé's conjecture is true for  $\omega$ -categorical or countable universal structures (in a finite relational language).*
- Thomassé's conjecture is true when coarsened to ages (in a finite relational language).*

# THE PARADIGMATIC CASES

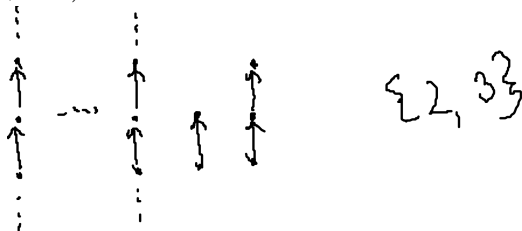
- ①  $M = (\mathbb{Q}, <)$



- ②  $M$  is an infinite equivalence relation



- ③  $M = (\mathbb{Z}, succ)$



## MORE ON THE PROOF

- The proof follows the general strategy proposed.
- ① The unstable case is handled similarly to  $(\mathbb{Q}, <)$
- ② The stable non-mutually algebraic case is handled similarly to the infinite equivalence relation, using the infinite arrays to mimic equivalence classes.
- ③ The mutually algebraic non-cellular case is handled similarly to  $(\mathbb{Z}, \text{succ})$  by adding infinitely many new infinite components.
- A significant technical hurdle is that these arguments take place on tuples, but “being in the same tuple” might not be definable.
- A lot of work is spent showing that we can treat tuples like singletons.



# MONADIC STABILITY

## Example

The theory of an infinite equivalence relation is monadically stable, but not mutually algebraic.

## Theorem (Baldwin-Shelah [1])

*The following are equivalent.*

- 1  *$T$  is monadically stable.*
- 2  *$T$  is stable and monadically NIP.*
- 3 *Models of  $T$  admit a nice decomposition into trees of countable models.*
- 4 *There is no unary expansion with a definable infinite linear order on singletons.*
- 5  *$T$  is stable and if  $B \downarrow_D C$ , then for any  $a$ ,  $aB \downarrow_D C$  or  $B \downarrow_D aC$  (equiv., dependence is trivial and is transitive on singletons).*

# MONADIC STABILITY AND MUTUAL ALGEBRAICITY

- Since mutual algebraicity is the same as monadic NFCP, monadic stability is a generalization.

## Theorem (B.-Laskowski)

*$T$  is mutually algebraic  $\iff$  its models admit a nice tree decomposition of depth 1.*

## Theorem (B.-Laskowski)

*If  $T$  is monadically stable but not mutually algebraic, then*

- 1 *Some model admits a unary expansion with a definable infinite equivalence relation on singletons.*
- 2 *Some model admits a mutually algebraic expansion that codes graphs.*

# USES?

- It seems like monadic stability could be another stepping stone in proofs, similar to mutual algebraicity.
- The results about encoding configurations *on singletons* in unary expansions is very appealing, if a problem can be shown to be “blind” to unary expansions.

## Conjecture (Pouzet-Sauer-Thomassé)

*Given an age  $\mathcal{A}$ , let  $|\text{Mod}(\mathcal{A})/\equiv|$  count the bi-embeddability classes of countable structures of age  $\mathcal{A}$ . Then  $|\text{Mod}(\mathcal{A})/\equiv| \in \{1, \aleph_0, \aleph_1, 2^{\aleph_0}\}$ . Furthermore, it is 1 iff  $\mathcal{A}$  is cellular.*

- An example for  $\aleph_0$  is an infinite equivalence relation; for  $\aleph_1$  is  $(\mathbb{Q}, <)$
- A guess: if  $\mathcal{A}$  is not monadically NIP, then there are  $2^{\aleph_0}$  classes; if  $\mathcal{A}$  is not monadically stable, there are  $\geq \aleph_1$  classes.
- Want to show unary expansions of  $\mathcal{A}$  don't affect the outcome.

# THE $\omega$ -CATEGORICAL CASE

## Definition

$M$  is *hereditarily cellular of depth  $\leq n$*  if it admits a decomposition like cellular structures, except the non-exceptional components are allowed to be hereditarily cellular of depth  $\leq n - 1$ .

## Example

Infinite equivalence relations are hereditarily cellular of depth 2.

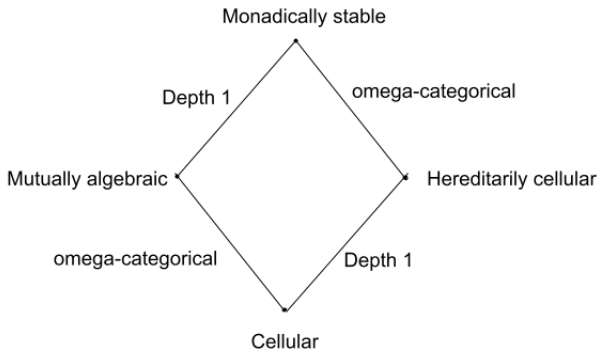
## Theorem (Lachlan [7])

$M$  is *monadically stable and  $\omega$ -categorical*  $\iff$   $M$  is *hereditarily cellular of depth  $n$  for some  $n \in \omega$* .

## Theorem (B. [3])

*A homogeneous  $M$  has subexponential unlabeled growth rate iff  $M$  is (essentially) hereditarily cellular.*

# THE MONADICALLY STABLE LANDSCAPE



# MONADIC NIP

## Definition

$T$  is NIP if it does not encode all graphs.

- These structures should be “tree-like” (or “order-like”).
- In the following characterization, finite satisfiability mimics the behavior of forking in monadically stable theories.

## Theorem (Shelah [14]; B.-Laskowski-Simon)

Write  $B \downarrow_M^{fs} C$  for  $tp(B/MC)$  is finitely satisfiable in  $M$ . TFAE:

- 1  $T$  is monadically NIP.
- 2 If  $B \downarrow_M^{fs} C$ , then for any  $a$  either  $aB \downarrow_M^{fs} C$  or  $B \downarrow_M^{fs} aC$ .
- 3  $T$  is  $dp$ -minimal and indiscernible-trivial.

- This gives a linear decomposition, akin to one step of the tree-decomposition for monadic stability.

# THE $\omega$ -CATEGORICAL CASE

## Conjecture

Let  $M$  be homogeneous. The following are equivalent.

- 1  $M$  is monadically NIP.
  - 2 The unlabeled growth rate of  $M$  is at most  $c^n$  for some  $c$ .
  - 3  $\text{Age}(M)$  has no infinite antichains (under embeddability).
- From a witness to failure of the previous theorem, we can code graphs in an (explicit) unary expansion of  $M$ .

## Theorem (B.-Laskowski-Simon)

If  $M$  (not necessarily  $\omega$ -categorical) has quantifier elimination and is not monadically NIP then

- 1 The unlabeled growth rate of  $M$  is faster than any exponential.
- 2 For some expansion  $M^*$  of  $M$  by finitely many unary predicates,  $\text{Age}(M^*)$  has an infinite antichain (under embeddability).

# QUESTIONS

## Conjecture

*Given an non-cellular age  $\mathcal{A}$ ,  $|\text{Mod}(\mathcal{A})/\equiv|$  is infinite.*

## Question

*Is there a good notion of “codes an infinite equivalence relation” such that a universal theory  $T$  in a finite relational language is cellular  $\iff T$  is stable and no model codes an infinite equivalence relation?*

## Question

*What is a plausible refinement of Thomassé’s conjecture describing how the cases split? If  $M$  is in the  $2^{\aleph_0}$ -case, must  $M$  “encode an infinite equivalence relation” or encode a linear order with  $2^{\aleph_0}$  siblings?*

## Question

*When/why are the monadic versions of properties relevant?*



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