

*Proof.* Let  $s_1, s_2 \in \Omega^{0,\bullet}(X, E)$  with compact support and let  $\alpha$  be the 1-form on  $X$  given by  $\alpha(Y) = \langle c(Y)s_1, s_2 \rangle_{\Lambda^{0,\bullet} \otimes E}$ , for any vector field  $Y$  on  $X$ . Proposition 1.3.1 and (1.3.10) imply that for  $x \in X$ ,

$$\langle s_1, D^c s_2 \rangle_{\Lambda^{0,\bullet} \otimes E, x} = \langle D^c s_1, s_2 \rangle_{\Lambda^{0,\bullet} \otimes E, x} - \text{Tr}(\nabla \alpha)_x. \quad (1.3.17)$$

The integral over  $X$  of the last term vanishes by Proposition 1.2.1. Thus  $D^c$  is formally self-adjoint.

For  $\zeta \in T^*X$ , let  $\zeta^* \in TX$  be the metric dual of  $\zeta$ . The principal symbol  $\sigma(D^c)$  of  $D^c$  is

$$\sigma(D^c)(\zeta) = \sqrt{-1}c(\zeta^*). \quad (1.3.18)$$

By (1.3.2),  $(\sigma(D^c)(\zeta))^2 = |\zeta|^2$ , which means, that  $\sigma(D^c)(\zeta)$  is invertible for any  $\zeta \neq 0$ . Thus  $D^c$  is a first order elliptic differential operator.  $\square$

Let  $(F, h^F)$  be a Hermitian vector bundle on  $X$  and let  $\nabla^F$  be a Hermitian connection on  $F$ . Then the usual **Bochner Laplacians**  $\Delta^F, \Delta$  are defined by

$$\Delta^F := - \sum_{i=1}^{2n} \left( (\nabla_{e_i}^F)^2 - \nabla_{\nabla_{e_i}^F e_i}^F \right), \quad \Delta = \Delta^{\mathbb{C}}. \quad (1.3.19)$$

Let  $s_1, s_2 \in \mathcal{C}^\infty(X, F)$ , with compact support and let  $\alpha$  be the 1-form on  $X$  given by  $\alpha(Y)(x) = \langle \nabla_Y^F s_1, s_2 \rangle(x)$ , for any  $Y \in T_x X$ . Then by (1.2.6), (1.2.7), we get the following useful equation :

$$\begin{aligned} \int_X \langle \Delta^F s_1, s_2 \rangle dv_X &= \int_X \langle \nabla^F s_1, \nabla^F s_2 \rangle dv_X - \int_X \text{Tr}(\nabla \alpha) dv_X \\ &= \int_X \langle \nabla^F s_1, \nabla^F s_2 \rangle dv_X. \end{aligned} \quad (1.3.20)$$

We denote by  $\Delta^{\text{Cl}}$  the Bochner Laplacian on  $\Lambda(T^{*(0,1)}X) \otimes E$  associated to  $\nabla^{\text{Cl}}$  as in (1.3.19). Now we prove the Lichnerowicz formula for  $D^c$ .

**Theorem 1.3.5.**

$$(D^c)^2 = \Delta^{\text{Cl}} + \frac{r^X}{4} + \frac{1}{2} \left( R^E + \frac{1}{2} R^{\det} \right) (e_i, e_j) c(e_i) c(e_j). \quad (1.3.21)$$

*Proof.* By (1.3.2), (1.3.6) and (1.3.15),

$$\begin{aligned}
(D^c)^2 &= \frac{1}{2} \sum_{ij} \left\{ c(e_i) \nabla_{e_i}^{\text{Cl}} c(e_j) \nabla_{e_j}^{\text{Cl}} + c(e_j) \nabla_{e_j}^{\text{Cl}} c(e_i) \nabla_{e_i}^{\text{Cl}} \right\} \\
&= \frac{1}{2} \sum_{ij} \left\{ (c(e_i)c(e_j) + c(e_j)c(e_i)) \nabla_{e_i}^{\text{Cl}} \nabla_{e_j}^{\text{Cl}} + c(e_i) [\nabla_{e_i}^{\text{Cl}}, c(e_j)] \nabla_{e_j}^{\text{Cl}} \right. \\
&\quad \left. + c(e_j) [\nabla_{e_j}^{\text{Cl}}, c(e_i)] \nabla_{e_i}^{\text{Cl}} + c(e_j)c(e_i) [\nabla_{e_j}^{\text{Cl}}, \nabla_{e_i}^{\text{Cl}}] \right\} \\
&= - \sum_i (\nabla_{e_i}^{\text{Cl}})^2 + \sum_{ijk} \langle \nabla_{e_i}^{TX} e_j, e_k \rangle c(e_i)c(e_k) \nabla_{e_j}^{\text{Cl}} \\
&\quad + \frac{1}{2} \sum_{ij} c(e_j)c(e_i) [\nabla_{e_j}^{\text{Cl}}, \nabla_{e_i}^{\text{Cl}}].
\end{aligned} \tag{1.3.22}$$

But we have

$$\langle \nabla_{e_i}^{TX} e_j, e_k \rangle = -\langle e_j, \nabla_{e_i}^{TX} e_k \rangle. \tag{1.3.23}$$

In view of (1.3.2), (1.3.23), we obtain

$$\begin{aligned}
\langle \nabla_{e_i}^{TX} e_j, e_k \rangle c(e_i)c(e_k) \nabla_{e_j}^{\text{Cl}} &= -c(e_i)c(e_k) \nabla_{\nabla_{e_i}^{TX} e_k}^{\text{Cl}} \\
&= \nabla_{\nabla_{e_i}^{TX} e_i}^{\text{Cl}} - \frac{1}{2} \sum_{i \neq k} c(e_i)c(e_k) \left( \nabla_{\nabla_{e_i}^{TX} e_k}^{\text{Cl}} - \nabla_{\nabla_{e_k}^{TX} e_i}^{\text{Cl}} \right) \\
&= \nabla_{\nabla_{e_i}^{TX} e_i}^{\text{Cl}} - \frac{1}{2} c(e_i)c(e_k) \nabla_{[e_i, e_k]}^{\text{Cl}}.
\end{aligned} \tag{1.3.24}$$

Comparing to (1.3.13), we have here

$$(R^{\text{Cl}} + R^E)(e_l, e_m) = \nabla_{e_l}^{\text{Cl}} \nabla_{e_m}^{\text{Cl}} - \nabla_{e_m}^{\text{Cl}} \nabla_{e_l}^{\text{Cl}} - \nabla_{[e_l, e_m]}^{\text{Cl}}. \tag{1.3.25}$$

(1.3.22)–(1.3.25) yield

$$(D^c)^2 = - \sum_i \left( (\nabla_{e_i}^{\text{Cl}})^2 - \nabla_{\nabla_{e_i}^{TX} e_i}^{\text{Cl}} \right) + \frac{1}{2} c(e_j)c(e_i) (R^{\text{Cl}} + R^E)(e_j, e_i). \tag{1.3.26}$$

To simplify the notation, set

$$R_{ijkl} := \langle R^{TX}(e_j, e_i)e_k, e_l \rangle. \tag{1.3.27}$$

By Proposition 1.3.2, we get

$$\begin{aligned}
c(e_j)c(e_i)R^{\text{Cl}}(e_j, e_i) &= -\frac{1}{4} R_{ijkl} c(e_i)c(e_j)c(e_k)c(e_l) \\
&\quad + \frac{1}{2} c(e_i)c(e_j) R^{\text{det}}(e_i, e_j).
\end{aligned} \tag{1.3.28}$$

By the second equation of (1.2.4) and (1.3.2),

$$\sum_{i \neq k \neq j} R_{ijkl} c(e_i) c(e_j) c(e_k) = 2 \sum_{i < j < k} (R_{ijkl} + R_{jkil} + R_{kijl}) c(e_i) c(e_j) c(e_k) = 0.$$

Thus

$$\begin{aligned} R_{ijkl} c(e_i) c(e_j) c(e_k) c(e_l) &= -R_{ijjl} c(e_i) c(e_l) + R_{ijil} c(e_j) c(e_l) \\ &= 2c(e_j) c(e_l) R_{ijil} = -2R_{ijij}. \end{aligned} \quad (1.3.29)$$

In the last equation of (1.3.29), we use that  $R_{ijil}$  is symmetric in  $j, l$  (which follows by the first equation of (1.2.4)). By (1.2.5) and (1.3.27), we get the right hand side of the (1.3.29) equals  $-2r^X$ . Hence (1.3.26)-(1.3.29) imply (1.3.21).  $\square$

### 1.3.3 Modified Dirac operator

For any  $\mathbb{Z}_2$ -graded vector space  $V = V^+ \oplus V^-$ , the natural  $\mathbb{Z}_2$ -grading on  $\text{End}(V)$  is defined by

$$\text{End}(V)^+ = \text{End}(V^+) \oplus \text{End}(V^-), \quad \text{End}(V)^- = \text{Hom}(V^+, V^-) \oplus \text{Hom}(V^-, V^+),$$

and we define  $\deg B = 0$  for  $B \in \text{End}(V)^+$ , and  $\deg B = 1$  for  $B \in \text{End}(V)^-$ . For  $B, C \in \text{End}(V)$ , we define their supercommutator (or graded Lie bracket) by

$$[B, C] = BC - (-1)^{\deg B \cdot \deg C} CB. \quad (1.3.30)$$

For  $B, B', C \in \text{End}(V)$ , the **Jacobi identity** holds:

$$\begin{aligned} (-1)^{\deg C \cdot \deg B'} [B', [B, C]] + (-1)^{\deg B' \cdot \deg B} [B, [C, B']] \\ + (-1)^{\deg B \cdot \deg C} [C, [B', B]] = 0. \end{aligned} \quad (1.3.31)$$

We will apply the above notation for spaces  $\Lambda(T^{*(0,1)}X)$  and  $\Omega^{0,\bullet}(X, E)$  with natural  $\mathbb{Z}_2$ -grading induced by the parity of the degree.

For  $i_1 < \dots < i_j$ , we define

$$c(e^{i_1} \wedge \dots \wedge e^{i_j}) = c(e_{i_1}) \cdots c(e_{i_j}). \quad (1.3.32)$$

Then by extending  $\mathbb{C}$ -linearly,  ${}^c B$  is defined for any  $B \in \Lambda(T^*X \otimes_{\mathbb{R}} \mathbb{C})$ .

For  $A \in \Lambda^3(T^*X)$ , set  $|A|^2 = \sum_{i < j < k} |A(e_i, e_j, e_k)|^2$ . Now let  $A$  be a smooth section of  $\Lambda^3(T^*X)$ . Let

$$\nabla_U^A = \nabla_U^{\text{Cl}} + {}^c(i_U A) \quad \text{for } U \in TX \quad (1.3.33)$$

be the Hermitian connection on  $\Lambda(T^{*(0,1)}X) \otimes E$  induced by  $\nabla^{\text{Cl}}$  and  $A$ . Let  $\Delta^A$  be the Bochner Laplacian defined by  $\nabla^A$  as in (1.3.19).

**Definition 1.3.6.** The **modified Dirac operators**  $D^{c,A}$ ,  $D_{\pm}^{c,A}$  are defined by

$$D^{c,A} := D^c + {}^c A, \quad D_{\pm}^{c,A} := D_{\pm}^c + {}^c A. \quad (1.3.34)$$

**Theorem 1.3.7.** *The modified Dirac operator  $D^{c,A}$  is formally self-adjoint and*

$$(D^{c,A})^2 = \Delta^A + \frac{r^X}{4} + {}^c(R^E + \frac{1}{2}R^{\det}) + {}^c(dA) - 2|A|^2. \quad (1.3.35)$$

*Proof.* By Lemma 1.3.4 and (1.3.10), the operator  $D^c + {}^c A$  is formally self-adjoint. By (1.3.6),  $\nabla_{e_i}^{\text{Cl}} {}^c A = {}^c(\nabla_{e_i}^{\text{Cl}} A)$ . From (1.2.44) and (1.3.2) and since  $A$  is odd degree, we have

$$\begin{aligned} [c(e_i), {}^c A] &= -2 {}^c(i_{e_i} A), \\ c(e_i)(\nabla_{e_i}^{\text{Cl}} {}^c A) - (\nabla_{e_i}^{\text{Cl}} {}^c A)c(e_i) &= 2 {}^c(e^i \wedge \nabla_{e_i}^{TX} A) = 2 {}^c(dA). \end{aligned} \quad (1.3.36)$$

By (1.3.19), (1.3.33) and the first equation of (1.3.36),

$$\begin{aligned} \Delta^A &= \Delta^{\text{Cl}} + \frac{1}{2} \left( \nabla_{e_i}^{\text{Cl}} [c(e_i), {}^c A] + [c(e_i), {}^c A] \nabla_{e_i}^{\text{Cl}} \right) \\ &\quad - \frac{1}{2} [c(\nabla_{e_i}^{TX} e_i), {}^c A] - \frac{1}{4} \sum_i [c(e_i), {}^c A]^2 \\ &= \Delta^{\text{Cl}} - 2 {}^c(i_{e_i} A) \nabla_{e_i}^{\text{Cl}} + \frac{1}{2} [c(e_i), \nabla_{e_i}^{\text{Cl}} {}^c A] - \sum_i {}^c(i_{e_i} A)^2. \end{aligned} \quad (1.3.37)$$

Then Theorem 1.3.5, (1.3.33), (1.3.36) and (1.3.37) imply

$$\begin{aligned} (D^c + {}^c A)^2 &= (D^c)^2 + [c(e_i), {}^c A] \nabla_{e_i}^{\text{Cl}} + c(e_i)(\nabla_{e_i}^{\text{Cl}} {}^c A) + ({}^c A)^2 \\ &= \Delta^A + ({}^c A)^2 + \sum_i {}^c(i_{e_i} A)^2 + c(e_i)(\nabla_{e_i}^{\text{Cl}} {}^c A) \\ &\quad - \frac{1}{2} [c(e_i), (\nabla_{e_i}^{\text{Cl}} {}^c A)] + \frac{r^X}{4} + {}^c(R^E + \frac{1}{2}R^{\det}). \end{aligned} \quad (1.3.38)$$

Relations (1.3.36) and (1.3.38) yield

$$(D^c + {}^c A)^2 = \Delta^A + ({}^c A)^2 + \sum_i {}^c(i_{e_i} A)^2 + {}^c(dA) + \frac{r^X}{4} + {}^c(R^E + \frac{1}{2}R^{\det}). \quad (1.3.39)$$

Let  $I = \{i_1, \dots, i_m\}$  be an ordered subset of  $\{1, \dots, 2n\}$ , and assume that all  $i_j \in I$  are distinct. Let  $|I|$  be the cardinal of  $I$ . Set  ${}^c e_I = c(e_{i_1}) \cdots c(e_{i_m})$ . Take  $k \leq 2n$ , and let  $I, J$  be two ordered subsets of  $\{k+1, \dots, 2n\}$  such that  $I \cap J = \emptyset$ . Then

$${}^c e_{1 \dots k} {}^c e_I {}^c e_{1 \dots k} {}^c e_J = (-1)^{k|I|} ({}^c e_{1 \dots k})^2 {}^c e_I {}^c e_J = (-1)^{k|I| + \frac{k(k+1)}{2}} {}^c e_I {}^c e_J. \quad (1.3.40)$$

Since  $A$  is odd degree, (1.3.40) imply

$$\begin{aligned} c(i_{e_i} A)^2 &= \sum_{k=0}^2 \sum_{i_1 < \dots < i_k} (-1)^{\frac{k(k-1)}{2}} c((i_{e_{i_1}} \dots i_{e_{i_k}} A)^2), \\ c(A)^2 &= \sum_{k=0}^3 \sum_{i_1 < \dots < i_k} (-1)^{\frac{k(k+1)}{2}} c((i_{e_{i_1}} \dots i_{e_{i_k}} A)^2). \end{aligned} \quad (1.3.41)$$

Observe that since  $A \in \Lambda^3(T^*X)$ ,  $A^2 = 0$  and  $(i_{e_{i_1}} i_{e_{i_2}} A)^2 = 0$ . Thus

$${}^c(A)^2 + \sum_i c(i_{e_i} A)^2 = -2 \sum_{i_1 < i_2 < i_3} (i_{e_{i_1}} i_{e_{i_2}} i_{e_{i_3}} A)^2 = -2|A|^2. \quad (1.3.42)$$

From (1.3.39) and (1.3.42), we infer (1.3.35).  $\square$

### 1.3.4 Atiyah-Singer index theorem

**Theorem 1.3.8.** *If  $X$  is compact, the modified Dirac operator  $D^{c,A}$  is an essentially self-adjoint Fredholm operator, thus its kernel  $\text{Ker}(D^{c,A})$  is a finite dimensional complex vector space.*

*Proof.* At first, if  $s_k \in L_{0,\bullet}^2(X, E)$ ,  $D^{c,A} s_k = 0$  and  $\lim_{k \rightarrow \infty} s_k = s \in L_{0,\bullet}^2(X, E)$ , then  $D^{c,A} s = 0$  in the sense of distributions. By Theorem A.3.4,  $s \in \Omega^{0,\bullet}(X, E)$  and  $s \in \text{Ker}(D^{c,A})$ . Thus the space  $\text{Ker}(D^{c,A})$  is closed, so a Hilbert space. Since  $X$  is compact, Theorems A.3.1, A.3.2 and Lemma 1.3.4 imply that  $D^{c,A}$  is essentially self-adjoint and the unit ball

$$B = \{s \in L_{0,\bullet}^2(X, E) : \|s\|_{L^2} \leq 1, D^{c,A} s = 0\} \subset \text{Ker}(D^{c,A}) \quad (1.3.43)$$

is compact. Thus  $\text{Ker}(D^{c,A})$  is finite dimensional and  $D^{c,A}$  is Fredholm.  $\square$

When  $X$  is compact, we define the index  $\text{Ind}(D_+^{c,A})$  of  $D_+^{c,A}$  as

$$\begin{aligned} \text{Ind}(D_+^{c,A}) &:= \dim \text{Ker}(D_+^{c,A}) - \dim \text{Coker}(D_+^{c,A}) \\ &= \dim \text{Ker}(D_+^{c,A}) - \dim \text{Ker}(D_-^{c,A}). \end{aligned} \quad (1.3.44)$$

For any Hermitian (complex) vector bundle  $(F, h^F)$  with Hermitian connection  $\nabla^F$  and curvature  $R^F$  on  $X$ , set

$$\begin{aligned} \text{ch}(F, \nabla^F) &:= \text{Tr} \left[ \exp \left( \frac{-R^F}{2\pi\sqrt{-1}} \right) \right], \\ c_1(F, \nabla^F) &:= \text{Tr} \left[ \frac{-R^F}{2\pi\sqrt{-1}} \right], \\ \text{Td}(F, \nabla^F) &:= \det \left( \frac{R^F / (2\pi\sqrt{-1})}{\exp(R^F / (2\pi\sqrt{-1})) - 1} \right). \end{aligned} \quad (1.3.45)$$

By Appendix B.5, these are closed real differential forms on  $X$  and their cohomology classes do not depend on the choice of the metric  $h^F$  and connection  $\nabla^F$ . The corresponding cohomology classes are called the **Chern class** of  $F$ , the **first Chern class** of  $F$ , the **Todd class** of  $F$ , respectively, and we denote them by  $\text{ch}(F)$ ,  $c_1(F)$ ,  $\text{Td}(F) \in H^*(X, \mathbb{R})$ .

**Theorem 1.3.9** (Atiyah-Singer index Theorem). *If  $X$  is compact,  $\text{Ind}(D_+^{c,A})$  is a topological invariant given by*

$$\text{Ind}(D_+^{c,A}) = \int_X \text{Td}(T^{(1,0)}X) \text{ch}(E). \quad (1.3.46)$$

## 1.4 Lichnerowicz formula for $\square^E$

This Section is organized as follows. In Section 1.4.1, we exhibit the relation between the operator  $\bar{\partial}^E + \bar{\partial}^{E,*}$  and the Dirac operator  $D^c$ . In Section 1.4.2, we prove Bismut's Lichnerowicz formula for the Kodaira Laplacian  $\square^E$ . In Section 1.4.3, we establish the Bochner-Kodaira-Nakano formula for  $\square^E$ . In Section 1.4.4, we prove the Bochner-Kodaira-Nakano formula with boundary term.

We will use the notation from Sections 1.2, 1.3.

### 1.4.1 The operator $\bar{\partial}^E + \bar{\partial}^{E,*}$

Let  $(X, J)$  be a complex manifold with complex structure  $J$  and  $\dim_{\mathbb{C}} X = n$ , and let  $g^{TX}$  be any Riemannian metric on  $TX$  compatible with  $J$ . We consider a holomorphic Hermitian vector bundle  $(E, h^E)$  on  $X$ . Let  $\nabla^E$  be the holomorphic Hermitian (i.e. Chern) connection on  $(E, h^E)$  whose curvature is  $R^E$ .

Let  $\bar{\partial}^E$  be the Dolbeault operator acting on  $\Omega^{0,\bullet}(X, E) := \bigoplus_q \Omega^{0,q}(X, E)$ . Then

$$(\bar{\partial}^E)^2 = 0. \quad (1.4.1)$$

The complex  $(\Omega^{0,\bullet}(X, E), \bar{\partial}^E)$  is called the Dolbeault complex and its cohomology, called Dolbeault cohomology of  $X$  with values in  $E$ , is denoted by  $H^{0,\bullet}(X, E)$ .

By the Dolbeault isomorphism (Theorem B.4.4),  $H^{0,\bullet}(X, E)$  is canonically isomorphic to the  $q$ -th cohomology group  $H^q(X, \mathcal{O}_X(E))$  of the sheaf  $\mathcal{O}_X(E)$  of holomorphic sections of  $E$  over  $X$ . We shortly denote  $H^q(X, E) := H^q(X, \mathcal{O}_X(E))$ . Especially for  $q = 0$ ,

$$H^{0,0}(X, E) = H^0(X, \mathcal{O}_X(E)) = H^0(X, E). \quad (1.4.2)$$

Let  $\bar{\partial}^{E,*}$  be the formal adjoint of  $\bar{\partial}^E$  on the Dolbeault complex  $\Omega^{0,\bullet}(X, E)$  with respect to the scalar product  $\langle \cdot, \cdot \rangle$  in (1.3.14). Set

$$\begin{aligned} D &= \sqrt{2}(\bar{\partial}^E + \bar{\partial}^{E,*}), \\ \square^E &= \bar{\partial}^E \bar{\partial}^{E,*} + \bar{\partial}^{E,*} \bar{\partial}^E. \end{aligned} \quad (1.4.3)$$

Then  $\square^E$  is called the Kodaira Laplacian and

$$D^2 = 2\square^E. \quad (1.4.4)$$

Thus  $D^2$  preserves the  $\mathbb{Z}$ -grading of  $\Omega^{0,\bullet}(X, E)$ . It is a fundamental result, that the elements of  $\text{Ker}(\square^E)$ , called **harmonic forms**, represent the Dolbeault cohomology. The following Theorem follows from the more general Theorem 3.1.8 on non-compact manifolds (cf. Remark 3.1.10).

**Theorem 1.4.1** (Hodge theory). *If  $X$  is a compact complex manifold, then for any  $q \in \mathbb{N}$ , we have the following direct sum decomposition*

$$\begin{aligned} \Omega^{0,q}(X, E) &= \text{Ker}(D|_{\Omega^{0,q}}) \oplus \text{Im}(\square^E|_{\Omega^{0,q}}) \\ &= \text{Ker}(D|_{\Omega^{0,q}}) \oplus \text{Im}(\bar{\partial}^E|_{\Omega^{0,q-1}}) \oplus \text{Im}(\bar{\partial}^{E,*}|_{\Omega^{0,q+1}}). \end{aligned} \quad (1.4.5)$$

Thus for any  $q \in \mathbb{N}$ , we have the canonical isomorphism,

$$\text{Ker}(D|_{\Omega^{0,q}}) = \text{Ker}(D^2|_{\Omega^{0,q}}) \simeq H^{0,q}(X, E). \quad (1.4.6)$$

Especially,  $H^q(X, E) \simeq H^{0,q}(X, E)$  is finite dimensional.

**Definition 1.4.2.** The **Bergman kernel** of  $E$  is  $P(x, x')$ , ( $x, x' \in X$ ), the Schwartz kernel of  $P$ , the orthogonal projection from  $(L^2(X, \Lambda(T^{*(0,1)}X) \otimes E), \langle \cdot, \cdot \rangle)$  onto  $\text{Ker}(D)$ , the kernel of  $D$  acting on  $\Omega^{0,\bullet}(X, E) \cap L^2(X, \Lambda(T^{*(0,1)}X) \otimes E)$ , with respect to the Riemannian volume form  $dv_X(x')$ . Especially,

$$P(x, x') \in (\Lambda(T^{*(0,1)}X) \otimes E)_x \otimes (\Lambda(T^{*(0,1)}X) \otimes E)_{x'}^*.$$

*Remark 1.4.3.* From Theorem 1.4.1, the Bergman kernel  $P(x, x')$  is smooth on  $x, x' \in X$  when  $X$  is compact. In general, by the ellipticity of  $D$  and Schwartz kernel Theorem, we know  $P(x, x')$  is  $\mathcal{C}^\infty$  (cf. Problem 1.5).

Recall that the tensors  $S, T, \mathcal{S}, T_{as}$  were defined in (1.2.38) and (1.2.48).

**Lemma 1.4.4.** *For the operators  $\bar{\partial}^E, (\nabla^E)^{1,0}$  acting on  $\Omega^{\bullet,\bullet}(X, E)$  in (1.1.9), we have*

$$\begin{aligned} \bar{\partial}^E &= \bar{w}^j \wedge \tilde{\nabla}_{\bar{w}_j}^{TX} + i_{T^{(0,1)}} \\ &= \bar{w}^j \wedge \tilde{\nabla}_{\bar{w}_j}^{TX} + \frac{1}{2} \langle T(\bar{w}_j, \bar{w}_k), w_m \rangle \bar{w}^j \wedge \bar{w}^k \wedge i_{\bar{w}_m}, \end{aligned} \quad (1.4.7)$$

$$\begin{aligned} (\nabla^E)^{1,0} &= w^j \wedge \tilde{\nabla}_{w_j}^{TX} + i_{T^{(1,0)}} \\ &= w^j \wedge \tilde{\nabla}_{w_j}^{TX} + \frac{1}{2} \langle T(w_j, w_k), \bar{w}_m \rangle w^j \wedge w^k \wedge i_{w_m}. \end{aligned} \quad (1.4.8)$$

For the formal adjoints  $\bar{\partial}^{E,*}$  and  $(\nabla^E)^{1,0*}$  of  $\bar{\partial}^E$  and  $(\nabla^E)^{1,0}$  with respect to (1.3.14), we have

$$\begin{aligned} \bar{\partial}^{E,*} &= -i_{\bar{w}_j} \tilde{\nabla}_{w_j}^{TX} - \langle T(w_j, w_k), \bar{w}_k \rangle i_{\bar{w}_j} \\ &\quad + \frac{1}{2} \langle T(w_j, w_k), \bar{w}_m \rangle \bar{w}^m \wedge i_{\bar{w}_k} \wedge i_{\bar{w}_j}, \end{aligned} \quad (1.4.9)$$

$$\begin{aligned} (\nabla^E)^{1,0*} &= -i_{w_j} \tilde{\nabla}_{\bar{w}_j}^{TX} - \langle T(\bar{w}_j, \bar{w}_k), w_k \rangle i_{w_j} \\ &\quad + \frac{1}{2} \langle T(\bar{w}_j, \bar{w}_k), w_m \rangle w^m \wedge i_{w_k} i_{w_j}. \end{aligned} \quad (1.4.10)$$

*Proof.* The operator  $\bar{\partial}^E$  on  $E$  is given by

$$\bar{\partial}^E = \sum_{i=1}^n \bar{w}^i \wedge \nabla_{\bar{w}_i}^E. \quad (1.4.11)$$

We still denote by  $\tilde{\nabla}^{TX}$  the connection  $\tilde{\nabla}^{TX} \otimes 1 + 1 \otimes \nabla^E$  and by  $i_T$  the operator  $i_T \otimes 1$  on  $\Lambda^{\bullet,\bullet}(T^*X) \otimes E$ . From (1.2.44), we deduce

$$\nabla^E = \varepsilon \circ \tilde{\nabla}^{TX} + i_T. \quad (1.4.12)$$

Relations (1.2.37) and (1.4.12) imply (1.4.7) and (1.4.8), by decomposition after bidegree and the definition of  $T$ . Observe that from (1.2.38), the  $(0,1)$  and  $(1,0)$ -components of  $\mathcal{S}$  are

$$\begin{aligned} \mathcal{S}^{(0,1)} &= \left( \left\langle \tilde{\nabla}_{\bar{w}_i}^{TX} \bar{w}_i, w_j \right\rangle - \left\langle \nabla_{e_k}^{TX} e_k, w_j \right\rangle \right) \bar{w}_j, \\ \mathcal{S}^{(1,0)} &= \left( \left\langle \tilde{\nabla}_{\bar{w}_i}^{TX} w_i, \bar{w}_j \right\rangle - \left\langle \nabla_{e_k}^{TX} e_k, \bar{w}_j \right\rangle \right) w_j. \end{aligned} \quad (1.4.13)$$

Let  $s_1, s_2 \in \Omega_0^{\bullet,\bullet}(X, E)$  and let  $\alpha$  be the  $(0,1)$ -form on  $X$  given for any vector field  $U = U^{(1,0)} \oplus U^{(0,1)} \in T^{(1,0)}X \oplus T^{(0,1)}X$  on  $X$ , by  $\alpha(U) = -\langle i_{U^{(0,1)}} s_1, s_2 \rangle_{\Lambda^{\bullet,\bullet} \otimes E}$ . Note that from (1.2.6),

$$\text{Tr}(\nabla \alpha) = w_j \alpha(\bar{w}_j) + \bar{w}_j \alpha(w_j) - \alpha(\nabla_{e_k}^{TX} e_k). \quad (1.4.14)$$

Proceeding as in the proof of (1.3.17), (1.4.13) and (1.4.14) entail the following relation between pointwise scalar products:

$$\begin{aligned} \langle s_1, \bar{w}^i \tilde{\nabla}_{\bar{w}_i}^{TX} s_2 \rangle_{\Lambda^{\bullet,\bullet} \otimes E, x} &= -\langle i_{\bar{w}_i} \tilde{\nabla}_{\bar{w}_i}^{TX} s_1, s_2 \rangle_{\Lambda^{\bullet,\bullet} \otimes E, x} \\ &\quad - \text{Tr}(\nabla \alpha)_x + i_{\mathcal{S}^{(0,1)}} \alpha. \end{aligned} \quad (1.4.15)$$

The integral of the last term vanishes by Proposition 1.2.1, so integrating (1.4.15) and (1.2.42) over  $X$ , we infer (1.4.9).



Let  $\beta$  be the  $(1, 0)$  form on  $X$  given by  $\beta(U) = -\langle i_{U^{(1,0)}} s_1, s_2 \rangle_{\Lambda^{\bullet, \bullet} \otimes E}$ . Then as in (1.4.15),

$$\begin{aligned} \langle s_1, w^j \widetilde{\nabla}_{w_j}^{TX} s_2 \rangle_{\Lambda^{\bullet, \bullet} \otimes E, x} &= -\langle i_{w_j} \widetilde{\nabla}_{\bar{w}_j}^{TX} s_1, s_2 \rangle_{\Lambda^{\bullet, \bullet} \otimes E, x} \\ &\quad - \text{Tr}(\nabla \beta)_x + i_{S^{(1,0)}} \beta. \end{aligned} \quad (1.4.16)$$

Integration of (1.4.16) and (1.2.42) gives (1.4.10).  $\square$

In this Section, in the definition (1.3.15) of the  $\text{spin}^c$  Dirac operator  $D^c$ , we choose  $\nabla^{\text{det}}$  to be the holomorphic Hermitian connection on  $\det(T^{(1,0)}X)$ . Consequently  $D$  is a modified Dirac operator.

**Theorem 1.4.5.** *We have the following identity*

$$D = D^c - \frac{1}{4} c(T_{as}). \quad (1.4.17)$$

*Proof.* In view of (1.3.1), (1.4.7) and (1.4.9), we have

$$\begin{aligned} \sqrt{2} \bar{\partial}^E &= c(w_i) \widetilde{\nabla}_{\bar{w}_i}^{TX} - \frac{1}{4} c(w_i) c(w_j) c(T(\bar{w}_i, \bar{w}_j)), \\ \sqrt{2} \bar{\partial}^{E,*} &= c(\bar{w}_i) \widetilde{\nabla}_{w_i}^{TX} + \frac{\sqrt{2}}{2} \langle T(w_i, w_j), \bar{w}_k \rangle i_{\bar{w}_j} i_{\bar{w}_i} \wedge \bar{w}^k \\ &= c(\bar{w}_i) \widetilde{\nabla}_{w_i}^{TX} + \frac{1}{4} c(\bar{w}_j) c(\bar{w}_i) c(T(w_i, w_j)). \end{aligned} \quad (1.4.18)$$

Taking into account (1.4.3) and (1.4.18), we get

$$\begin{aligned} D &= c(w_i) \widetilde{\nabla}_{\bar{w}_i}^{TX} + c(\bar{w}_i) \widetilde{\nabla}_{w_i}^{TX} \\ &\quad - \frac{1}{4} c(w_i) c(w_j) c(T(\bar{w}_i, \bar{w}_j)) - \frac{1}{4} c(\bar{w}_i) c(\bar{w}_j) c(T(w_i, w_j)). \end{aligned} \quad (1.4.19)$$

Let  $\Gamma^{T^{(1,0)}X} \in T^*X \otimes \text{End}(T^{(1,0)}X)$  be the connection form of  $\nabla^{T^{(1,0)}X}$  associated to the frames  $\{w_j\}$ . Note that for the frame  $\{\bar{w}^{j_1} \wedge \cdots \wedge \bar{w}^{j_k}, 1 \leq j_1 < \cdots < j_k \leq n\}$ ,

$$\begin{aligned} \widetilde{\nabla}^{TX} &= d + \langle \Gamma^{T^{(1,0)}X} w_l, \bar{w}_m \rangle \bar{w}^m \wedge i_{\bar{w}_l}, \\ \Gamma^{\text{det}} &= \text{Tr}[\Gamma^{T^{(1,0)}X}]. \end{aligned} \quad (1.4.20)$$

Comparing with (1.2.38), (1.3.3), (1.3.5), we obtain

$$\widetilde{\nabla}^{TX} = \nabla^{\text{Cl}} + \frac{1}{4} \sum_{ij} \langle S(\cdot) e_i, e_j \rangle c(e_i) c(e_j). \quad (1.4.21)$$

Clearly, by (1.2.38),

$$\frac{1}{4} \left( \langle S(e_i) e_i, e_j \rangle (c(e_i))^2 c(e_j) + \langle S(e_i) e_j, e_i \rangle c(e_i) c(e_j) c(e_i) \right) = -\frac{1}{2} c(S). \quad (1.4.22)$$

Thus (1.2.39), (1.4.21), (1.4.22) imply

$$\begin{aligned}
& c(w_i)\tilde{\nabla}_{\bar{w}_i}^{TX} + c(\bar{w}_i)\tilde{\nabla}_{w_i}^{TX} \\
&= D^c - \frac{1}{2}c(\mathcal{S}) + \frac{1}{4} \sum_{j \neq i \neq k} \langle S(e_i)e_j, e_k \rangle c(e_i)c(e_j)c(e_k) \\
&= D^c - \frac{1}{2}c(\mathcal{S}) + \frac{1}{4}c(T_{as}).
\end{aligned} \tag{1.4.23}$$

Using (1.2.42), we get

$$\begin{aligned}
& \frac{1}{4}c(\bar{w}_i)c(\bar{w}_j)c(T(w_i, w_j)) + \frac{1}{4}c(w_i)c(w_j)c(T(\bar{w}_i, \bar{w}_j)) \\
&= \frac{1}{4}\langle T(e_i, e_j), e_k \rangle c(e_i)c(e_j)c(e_k) = \frac{1}{2}c(T_{as}) - \frac{1}{2}c(\mathcal{S}).
\end{aligned} \tag{1.4.24}$$

Finally (1.4.19), (1.4.23) and (1.4.24) imply (1.4.17).  $\square$

When  $X$  is compact, the Euler number  $\chi(X, E)$  of the holomorphic vector bundle  $E$  is defined by

$$\chi(X, E) = \sum_{q=0}^n (-1)^q \dim H^q(X, E). \tag{1.4.25}$$

From Theorems 1.3.9, 1.4.1, 1.4.5, we obtain:

**Theorem 1.4.6** (Riemann-Roch-Hirzebruch Theorem). *If  $X$  is compact, then*

$$\chi(X, E) = \int_X \text{Td}(T_h X) \text{ch}(E). \tag{1.4.26}$$

## 1.4.2 Bismut's Lichnerowicz formula for $\square^E$

Recall that the Bismut connection  $\nabla^B$  preserves the complex structure on  $TX$  by Lemma 1.2.10, thus, as in (1.2.43), it induces a natural connection  $\nabla^B$  on  $\Lambda(T^{*(0,1)}X)$  which preserves its  $\mathbb{Z}$ -grading. Let  $\nabla^{B, \Lambda^{0, \bullet}}$ ,  $\nabla^{B, \Lambda^{0, \bullet} \otimes E}$  be the connections on  $\Lambda(T^{*(0,1)}X)$ ,  $\Lambda(T^{*(0,1)}X) \otimes E$  defined by

$$\begin{aligned}
\nabla^{B, \Lambda^{0, \bullet}} &= \nabla^B + \langle S(\cdot)w_j, \bar{w}_j \rangle, \\
\nabla^{B, \Lambda^{0, \bullet} \otimes E} &= \nabla^{B, \Lambda^{0, \bullet}} \otimes 1 + 1 \otimes \nabla^E.
\end{aligned} \tag{1.4.27}$$

By (1.2.42),  $\langle S(\cdot)w_j, \bar{w}_j \rangle$  is a purely imaginary form, thus  $\nabla^{B, \Lambda^{0, \bullet} \otimes E}$  is a Hermitian connection on  $\Lambda(T^{*(0,1)}X) \otimes E$  which preserves its  $\mathbb{Z}$ -grading. We denote by  $R^{B, \Lambda^{0, \bullet}}$  the curvature of  $\nabla^{B, \Lambda^{0, \bullet}}$ .

By (1.2.60), (1.3.3) and (1.3.8), as in (1.4.21), we get for  $U \in TX$ ,

$$\nabla_U^{B, \Lambda^{0, \bullet} \otimes E} = \nabla_U^{\text{Cl}} + \frac{1}{2}c(S^B(U)) = \nabla_U^{\text{Cl}} - \frac{1}{4}c(i_U T_{as}). \tag{1.4.28}$$

As in (1.3.19), we denote by  $\Delta^{B, \Lambda^{0, \bullet} \otimes E}$  the Bochner Laplacian defined by  $\nabla^{B, \Lambda^{0, \bullet} \otimes E}$ .

**Theorem 1.4.7.**

$$D^2 = \Delta^{B, \Lambda^{0, \bullet} \otimes E} + \frac{r^X}{4} + {}^c(R^E + \frac{1}{2} \text{Tr}[R^{T^{(1,0)}X}]) + \frac{\sqrt{-1}}{2} {}^c(\bar{\partial}\partial\Theta) - \frac{1}{8} |(\partial - \bar{\partial})\Theta|^2. \quad (1.4.29)$$

*Proof.* Let  $R^{\det}$  be the curvature of the holomorphic Hermitian connection on  $\det(T^{(1,0)}X)$ . Then

$$R^{\det} = \text{Tr}[R^{T^{(1,0)}X}]. \quad (1.4.30)$$

Theorem 1.3.7 and relations (1.2.51), (1.4.17) and (1.4.30) entail (1.4.29).  $\square$

*Remark 1.4.8.* If  $(X, \Theta)$  is Kähler, then  $\nabla^{B, E}$  coincides with  $\nabla^{\Lambda(T^{*(0,1)}X) \otimes E}$ , the connection on  $\Lambda(T^{*(0,1)}X) \otimes E$  induced by the holomorphic Hermitian connections  $\nabla^{T^{(1,0)}X}$  and  $\nabla^E$ . Moreover,  $r^X = 2R^{\det}(w_i, \bar{w}_i)$ . (1.4.29) reads

$$D^2 = \Delta^{\Lambda(T^{*(0,1)}X) \otimes E} - R^E(w_j, \bar{w}_j) + 2\left(R^E + \frac{1}{2} \text{Tr}[R^{T^{(1,0)}X}]\right)(w_i, \bar{w}_j)\bar{w}^j \wedge i_{\bar{w}_i}. \quad (1.4.31)$$

### 1.4.3 Bochner-Kodaira-Nakano formula

Let  $\Theta$  be the real  $(1, 1)$ -form associated to  $g^{TX}$  as in (1.2.49). We define the Lefschetz operator  $L = (\Theta \wedge) \otimes 1$  on  $\Lambda^{\bullet, \bullet}(T^*X) \otimes E$  and its adjoint  $\Lambda = i(\Theta)$  with respect to the Hermitian product  $\langle \cdot, \cdot \rangle_{\Lambda^{\bullet, \bullet} \otimes E}$  induced by  $g^{TX}$  and  $h^E$ . For  $\{w_j\}_{j=1}^n$  a local orthonormal frame of  $T^{(1,0)}X$ , we have

$$L = \sqrt{-1}w^j \wedge \bar{w}^j \wedge, \quad \Lambda = -\sqrt{-1}i_{\bar{w}_j}i_{w_j}. \quad (1.4.32)$$

Let us define the formal adjoints  $(\nabla^E)^{1,0*}$  of  $(\nabla^E)^{1,0}$  and  $(\nabla^E)^{0,1*} = \bar{\partial}^{E,*}$  of  $(\nabla^E)^{0,1} = \bar{\partial}^E$  with respect to (1.3.14) as in Lemma 1.4.4. We use next the the supercommutator defined in (1.3.30), and we apply it on  $\Omega^{\bullet, \bullet}(X, E)$  endowed with natural  $\mathbb{Z}_2$ -grading induced by the parity of degree.

**Definition 1.4.9.** The **holomorphic and anti-holomorphic Kodaira Laplacians** are defined by:

$$\begin{aligned} \bar{\square}^E &= [(\nabla^E)^{1,0}, (\nabla^E)^{1,0*}], \\ \square^E &= [\bar{\partial}^E, \bar{\partial}^{E,*}]. \end{aligned} \quad (1.4.33)$$

The **Hermitian torsion operator** is defined by

$$\mathcal{T} := [\Lambda, \partial\Theta] = [i(\Theta), \partial\Theta]. \quad (1.4.34)$$

Let us express now  $\mathcal{T}$  in terms of the torsion  $T$  of the connection  $\tilde{\nabla}^{TX}$ .

**Lemma 1.4.10.** *We have*

$$\mathcal{T} = \frac{1}{2} \langle T(w_j, w_k), \bar{w}_m \rangle \left[ 2 w^k \wedge \bar{w}^m \wedge i_{\bar{w}_j} - 2 \delta_{jm} w^k - w^j \wedge w^k \wedge i_{w_m} \right]. \quad (1.4.35)$$

*Proof.* From (1.2.48), (1.2.54) and (1.4.34), we obtain

$$\begin{aligned} \mathcal{T} = \frac{\sqrt{-1}}{2} \langle T(w_j, w_k), \bar{w}_m \rangle & \left\{ [\Lambda, \omega^j] \wedge \omega^k \wedge \bar{w}^m \right. \\ & \left. + \omega^j \wedge [\Lambda, \omega^k] \wedge \bar{w}^m + \omega^j \wedge \omega^k \wedge [\Lambda, \bar{w}^m] \right\}. \end{aligned} \quad (1.4.36)$$

By the formula (1.4.32) for  $\Lambda$ , we easily get

$$[\Lambda, \omega^j] = -\sqrt{-1} i_{\bar{w}_j}, \quad [\Lambda, \bar{w}^m] = \sqrt{-1} i_{w_m}. \quad (1.4.37)$$

Now, (1.4.36), (1.4.37) together with  $T(w_j, w_k) = -T(w_k, w_j)$  imply the desired relation (1.4.35).  $\square$

We have the following generalization of the usual Kähler identities in the presence of torsion.

**Theorem 1.4.11** (generalized Kähler identities).

$$[\bar{\partial}^{E,*}, L] = \sqrt{-1} ((\nabla^E)^{1,0} + \mathcal{T}), \quad (1.4.38a)$$

$$[(\nabla^E)^{1,0*}, L] = -\sqrt{-1} (\bar{\partial}^E + \bar{\mathcal{T}}), \quad (1.4.38b)$$

$$[\Lambda, \bar{\partial}^E] = -\sqrt{-1} ((\nabla^E)^{1,0*} + \mathcal{T}^*), \quad (1.4.38c)$$

$$[\Lambda, (\nabla^E)^{1,0}] = \sqrt{-1} (\bar{\partial}^{E,*} + \bar{\mathcal{T}}^*). \quad (1.4.38d)$$

*Proof.* Remark that the third and fourth formulas are the adjoints of the first two. Thus it suffices to prove (1.4.38a), (1.4.38b). Using (1.4.9) we find

$$\begin{aligned} [\bar{\partial}^{E,*}, L] &= [-i_{\bar{w}_i} \tilde{\nabla}_{w_i}^{TX}, L] - \langle T(w_j, w_k), \bar{w}_k \rangle [i_{\bar{w}_j}, L] \\ &\quad + \frac{1}{2} \langle T(w_j, w_k), \bar{w}_m \rangle [\bar{w}^m \wedge i_{\bar{w}_k} i_{\bar{w}_j}, L]. \end{aligned} \quad (1.4.39)$$

By (1.4.32),

$$[i_{\bar{w}_j}, L] = -\sqrt{-1} w^j \wedge, \quad [i_{w_j}, L] = \sqrt{-1} \bar{w}^j \wedge. \quad (1.4.40)$$

By (1.2.52),  $\tilde{\nabla}_{w_i}^{TX} L = L \tilde{\nabla}_{w_i}^{TX}$  so from (1.4.40)

$$[-i_{\bar{w}_j} \tilde{\nabla}_{w_j}^{TX}, L] = -[i_{\bar{w}_j}, L] \tilde{\nabla}_{w_j}^{TX} = \sqrt{-1} w^j \wedge \tilde{\nabla}_{w_j}^{TX}. \quad (1.4.41)$$

By (1.4.40), we infer

$$\begin{aligned} [\bar{w}^m \wedge i_{\bar{w}_k} i_{\bar{w}_j}, L] &= \bar{w}^m \wedge ([i_{\bar{w}_k}, L] i_{\bar{w}_j} + i_{\bar{w}_k} [i_{\bar{w}_j}, L]) \\ &= -\sqrt{-1} \bar{w}^m \wedge (\omega^k \wedge i_{\bar{w}_j} + i_{\bar{w}_k} \omega^j). \end{aligned} \quad (1.4.42)$$

Relations (1.4.39)-(1.4.42) yield finally

$$\begin{aligned} [\bar{\partial}^{E,*}, L] &= \sqrt{-1} w^j \wedge \tilde{\nabla}_{w_j}^{TX} + \sqrt{-1} \langle T(w_j, w_k), \bar{w}_k \rangle w^j \\ &\quad + \sqrt{-1} \langle T(w_j, w_k), \bar{w}_m \rangle w^k \wedge \bar{w}^m \wedge i_{\bar{w}_j}. \end{aligned} \quad (1.4.43)$$

Adding (1.4.8) and (1.4.35) shows that  $\sqrt{-1}((\nabla^E)^{1,0} + \mathcal{T})$  equals the right hand side of (1.4.43), hence (1.4.38a) holds.

Formula (1.4.38b) can be proved along similar lines as (1.4.38a). Alternatively, as the computation is local, we can choose a local holomorphic frame of  $E$  and using (1.4.40), we reduce the proof to the case of a trivial line bundle  $E$ . But then (1.4.38b) follows from (1.4.38a) by conjugation.  $\square$

**Theorem 1.4.12** (Bochner–Kodaira–Nakano formula).

$$\square^E = \bar{\square}^E + [\sqrt{-1}R^E, \Lambda] + [(\nabla^E)^{1,0}, \mathcal{T}^*] - [(\nabla^E)^{0,1}, \bar{\mathcal{T}}^*]. \quad (1.4.44)$$

*Proof.* From (1.4.38d) we deduce that  $\bar{\partial}^{E,*} = -\sqrt{-1}[\Lambda, (\nabla^E)^{1,0}] - \bar{\mathcal{T}}^*$ . Thus

$$\square^E = [\bar{\partial}^E, \bar{\partial}^{E,*}] = -\sqrt{-1}[\bar{\partial}^E, [\Lambda, (\nabla^E)^{1,0}]] - [\bar{\partial}^E, \bar{\mathcal{T}}^*]. \quad (1.4.45)$$

The Jacobi identity (1.3.31) implies

$$[\bar{\partial}^E, [\Lambda, (\nabla^E)^{1,0}]] = [\Lambda, [(\nabla^E)^{1,0}, \bar{\partial}^E]] + [(\nabla^E)^{1,0}, [\bar{\partial}^E, \Lambda]]. \quad (1.4.46)$$

Since  $(\bar{\partial}^E)^2 = 0$ ,  $((\nabla^E)^{1,0})^2 = 0$ , we have

$$R^E = (\nabla^E)^2 = [(\nabla^E)^{1,0}, \bar{\partial}^E]. \quad (1.4.47)$$

Using the expression of  $[\bar{\partial}^E, \Lambda]$  given in (1.4.38c) we find

$$[(\nabla^E)^{1,0}, [\bar{\partial}^E, \Lambda]] = \sqrt{-1}[(\nabla^E)^{1,0}, (\nabla^E)^{1,0*}] + \sqrt{-1}[(\nabla^E)^{1,0}, \mathcal{T}^*]. \quad (1.4.48)$$

Taking into account the definition of  $\bar{\square}^E$  (cf. (1.4.33)), we conclude (1.4.44) from (1.4.45)–(1.4.48).  $\square$

**Corollary 1.4.13.** *Assume that  $(X, g^{TX})$  is Kähler. Then*

$$\square^E = \bar{\square}^E + [\sqrt{-1}R^E, \Lambda], \quad (1.4.49a)$$

$$\Delta = 2\square = 2\bar{\square}. \quad (1.4.49b)$$

Here  $\bar{\square} := \bar{\square}^{\mathbb{C}} = \partial\bar{\partial}^* + \bar{\partial}^*\partial$ ;  $\square := \square^{\mathbb{C}}$  are usual  $\partial$ -Laplacian and  $\bar{\partial}$ -Laplacian,  $\Delta = dd^* + d^*d$  is the Bochner Laplacian on  $\Lambda(T^*X)$  and  $d^*$  is the adjoint of  $d$ .

Therefore, the Hodge decomposition holds for the de Rham cohomology group  $H^\bullet(X, \mathbb{C})$ :

- (a)  $H^j(X, \mathbb{C}) \cong \bigoplus_{p+q=j} H^q(X, \mathcal{O}_X^p) \cong \bigoplus_{p+q=j} H^{p,q}(X)$ ,
- (b)  $H^{p,q}(X) \cong \overline{H^{q,p}(X)}$ .

We denote here by  $H^{p,q}(X) := H^{p,q}(X, \mathbb{C})$  the Dolbeault cohomology groups.

*Proof.* Indeed, by Theorem 1.2.8,  $g^{TX}$  is Kähler if and only if  $\mathcal{T} = 0$ , so (1.4.49a) follows trivially from (1.4.44). By taking  $E = \mathbb{C}$  with a trivial metric, we obtain  $\square = \bar{\square}$ . Moreover

$$\Delta = [d, d^*] = [\partial + \bar{\partial}, \partial^* + \bar{\partial}^*] = \square + \bar{\square} + [\partial, \bar{\partial}^*] + [\bar{\partial}, \partial^*], \quad (1.4.50)$$

and the two latter brackets vanish (Problem 1.6). By the real analogue of Theorem 1.4.1 (Hodge theory),  $H^\bullet(X, \mathbb{C}) \simeq \text{Ker}(\Delta)$ . This completes the proof.  $\square$

**Theorem 1.4.14** (Nakano's inequality). *For any  $s \in \Omega_0^{\bullet, \bullet}(X, E)$ ,*

$$\begin{aligned} \frac{3}{2} \langle \square^E s, s \rangle &\geq \langle [\sqrt{-1}R^E, \Lambda] s, s \rangle \\ &\quad - \frac{1}{2} (\|\mathcal{T}s\|_{L^2}^2 + \|\mathcal{T}^*s\|_{L^2}^2 + \|\bar{\mathcal{T}}s\|_{L^2}^2 + \|\bar{\mathcal{T}}^*s\|_{L^2}^2). \end{aligned} \quad (1.4.51)$$

If  $(X, g^{TX})$  is Kähler, then

$$\langle \square^E s, s \rangle \geq \langle [\sqrt{-1}R^E, \Lambda] s, s \rangle. \quad (1.4.52)$$

*Proof.* Let  $s \in \Omega_0^{\bullet, \bullet}(X, E)$ . Since

$$\begin{aligned} \langle \square^E s, s \rangle &= \|\bar{\partial}^E s\|_{L^2}^2 + \|\bar{\partial}^{E,*} s\|_{L^2}^2, \\ \langle \bar{\square}^E s, s \rangle &= \|(\nabla^E)^{1,0} s\|_{L^2}^2 + \|(\nabla^E)^{1,0*} s\|_{L^2}^2, \end{aligned} \quad (1.4.53)$$

we deduce from (1.4.44) that

$$\begin{aligned} \|\bar{\partial}^E s\|_{L^2}^2 + \|\bar{\partial}^{E,*} s\|_{L^2}^2 &= \|(\nabla^E)^{1,0} s\|_{L^2}^2 + \|(\nabla^E)^{1,0*} s\|_{L^2}^2 \\ &\quad + \langle [\sqrt{-1}R^E, \Lambda] s, s \rangle + \langle [(\nabla^E)^{1,0}, \mathcal{T}^*] s, s \rangle - \langle [\bar{\partial}^E, \bar{\mathcal{T}}^*] s, s \rangle. \end{aligned} \quad (1.4.54)$$

By the Cauchy–Schwarz inequality, we find

$$\begin{aligned} \left| \langle [(\nabla^E)^{1,0}, \mathcal{T}^*] s, s \rangle \right| &\leq \frac{1}{2} \left( \|(\nabla^E)^{1,0} s\|_{L^2}^2 + \|(\nabla^E)^{1,0*} s\|_{L^2}^2 + \|\mathcal{T}s\|_{L^2}^2 + \|\mathcal{T}^*s\|_{L^2}^2 \right), \\ \left| \langle [\bar{\partial}^E, \bar{\mathcal{T}}^*] s, s \rangle \right| &\leq \frac{1}{2} \left( \|\bar{\partial}^E s\|_{L^2}^2 + \|\bar{\partial}^{E,*} s\|_{L^2}^2 + \|\bar{\mathcal{T}}s\|_{L^2}^2 + \|\bar{\mathcal{T}}^*s\|_{L^2}^2 \right). \end{aligned}$$

Therefore

$$\begin{aligned} \frac{3}{2} (\|\bar{\partial}^E s\|_{L^2}^2 + \|\bar{\partial}^{E,*} s\|_{L^2}^2) &\geq \frac{1}{2} (\|(\nabla^E)^{1,0} s\|_{L^2}^2 + \|(\nabla^E)^{1,0*} s\|_{L^2}^2) \\ &\quad + \langle [\sqrt{-1}R^E, \Lambda] s, s \rangle - \frac{1}{2} (\|\mathcal{T}s\|_{L^2}^2 + \|\mathcal{T}^*s\|_{L^2}^2 + \|\bar{\mathcal{T}}s\|_{L^2}^2 + \|\bar{\mathcal{T}}^*s\|_{L^2}^2), \end{aligned} \quad (1.4.55)$$

whereby the conclusion.  $\square$

For the purpose of proving vanishing theorems and the spectral gap for forms of bidegree  $(0, q)$  with values in a positive bundle (especially on non-compact manifolds or with boundary), we derive sometimes another form of the Bochner-Kodaira-Nakano formula. Set  $\tilde{E} = E \otimes K_X^*$  where  $K_X^* = \Lambda^n(T^{(1,0)}X) = \det(T^{(1,0)}X)$ . Since  $K_X \otimes K_X^* \cong \mathbb{C}$ , there exists a natural isometry

$$\begin{aligned} \Psi = \sim : \Lambda^{0,q}(T^*X) \otimes E &\longrightarrow \Lambda^{n,q}(T^*X) \otimes \tilde{E}, \\ \Psi s = \tilde{s} &= (w^1 \wedge \dots \wedge w^n \wedge s) \otimes (w_1 \wedge \dots \wedge w_n), \end{aligned} \quad (1.4.56)$$

where  $\{w_j\}_{j=1}^n$  a local orthonormal frame of  $T^{(1,0)}X$ .

**Theorem 1.4.15.** *For any  $s \in \Omega^{0,\bullet}(X, E)$ , we have*

$$\begin{aligned} \square^E s = \Psi^{-1} \square^{\tilde{E}} \Psi s + R^{E \otimes K_X^*} (w_j, \bar{w}_k) \bar{w}^k \wedge i_{\bar{w}_j} s \\ + \Psi^{-1} (\nabla^{\tilde{E}})^{1,0} \mathcal{T}^* \Psi s - [\bar{\partial}^E, \Psi^{-1} \bar{\mathcal{T}}^* \Psi] s. \end{aligned} \quad (1.4.57)$$

*Proof.* We apply (1.4.44) for  $\tilde{s}$ :

$$\square^{\tilde{E}} \tilde{s} = \square^{\tilde{E}} \tilde{s} + [\sqrt{-1} R^{\tilde{E}}, \Lambda] \tilde{s} + [(\nabla^{\tilde{E}})^{1,0}, \mathcal{T}^*] \tilde{s} - [\bar{\partial}^{\tilde{E}}, \bar{\mathcal{T}}^*] \tilde{s}. \quad (1.4.58)$$

Since  $K_X^*$  is a holomorphic bundle,

$$\bar{\partial}^{\tilde{E}} \tilde{s} = (\bar{\partial}^E s) \sim, \quad \bar{\partial}^{\tilde{E},*} \tilde{s} = (\bar{\partial}^{E,*} s) \sim, \quad \square^{\tilde{E}} \tilde{s} = (\square^E s) \sim. \quad (1.4.59)$$

Hence  $\Psi^{-1} \square^{\tilde{E}} \tilde{s} = \square^E s$ . Likewise

$$\begin{aligned} \Psi^{-1} [\bar{\partial}^{\tilde{E}}, \bar{\mathcal{T}}^*] \tilde{s} &= [\bar{\partial}^E, \Psi^{-1} \bar{\mathcal{T}}^* \Psi] s, \\ \Psi^{-1} [(\nabla^{\tilde{E}})^{1,0}, \mathcal{T}^*] \tilde{s} &= \Psi^{-1} (\nabla^{\tilde{E}})^{1,0} \mathcal{T}^* \tilde{s}, \\ \Psi^{-1} \square^{\tilde{E}} \Psi s &= \Psi^{-1} (\nabla^{\tilde{E}})^{1,0} (\nabla^{\tilde{E}})^{1,0*} \Psi s. \end{aligned} \quad (1.4.60)$$

By (1.4.37) we have

$$[\sqrt{-1} R^{\tilde{E}}, \Lambda] = R^{\tilde{E}} (w_j, \bar{w}_k) (w^j \wedge i_{w_k} - i_{\bar{w}_j} \bar{w}^k \wedge), \quad (1.4.61)$$

thus

$$\Psi^{-1} [\sqrt{-1} R^{\tilde{E}}, \Lambda] \tilde{s} = R^{E \otimes K_X^*} (w_j, \bar{w}_k) \bar{w}^k \wedge i_{\bar{w}_j} s. \quad (1.4.62)$$

From (1.4.59), (1.4.60) and (1.4.62), we obtain (1.4.57).  $\square$

*Remark 1.4.16.* Assume now that  $g^{TX}$  is Kähler. Then  $\mathcal{T} = 0$ , and  $\tilde{\nabla}^{TX}$  on  $\Lambda(T^{*(0,1)}X) \otimes E$  is induced by the holomorphic Hermitian connections  $\nabla^{T^{(1,0)}X}$ ,  $\nabla^E$ . On  $\Omega^{0,\bullet}(X, E)$ , set  $\Delta^{0,\bullet} = -\sum_i (\tilde{\nabla}_{w_i}^{TX} \tilde{\nabla}_{\bar{w}_i}^{TX} - \tilde{\nabla}_{\nabla_{w_i}^{TX} \bar{w}_i}^{TX})$ . From (1.4.8) and

(1.4.10), for  $s \in \Omega^{0,\bullet}(X, E)$ , we obtain  $\Psi^{-1} \square^{\tilde{E}} \Psi s = \Delta^{0,\bullet} s$ . We infer from (1.4.57):

$$\square^E s = \Delta^{0,\bullet} s + R^{E \otimes K_X^*} (w_j, \bar{w}_k) \bar{w}^k \wedge i_{\bar{w}_j} s \quad \text{for } s \in \Omega^{0,\bullet}(X, E). \quad (1.4.63)$$